ORIGINAL PAPER



Roughness Evolution Induced by Third-Body Wear

Joaquin Garcia-Suarez¹ · Tobias Brink¹ · Jean-François Molinari^{1,2}

Received: 19 October 2023 / Accepted: 18 January 2024 © The Author(s) 2024

Abstract

Surface roughness is a key factor when it comes to friction and wear, as well as to other physical properties. These phenomena are controlled by mechanisms acting at small scales, in which the topography of apparently flat surfaces is revealed. Roughness in natural surfaces has been reported to conform to self-affine statistics in a wide variety of settings (ranging from earthquake physics to micro-electro-mechanical devices), meaning that the height profile can be described using a spectrum where the amplitude is proportional to its wavelength raised to a constant power, which is related to a statistical parameter named Hurst exponent. We analyze the roughness evolution in atomistic surfaces during molecular dynamics simulations of wear. Both pairs of initially flat and initially rough surfaces in contact are worn by a third body formed by particles trapped between them during relative sliding. During the first sliding stages, the particles trapped between the first bodies scratch the surfaces. Once the former becomes coated with atoms from the latter, the wear process slows down and becomes "adhesive like." The initial particle sizes are consistent with the minimum size to be expected for the debris, but tend to grow by material removal from the surfaces and to agglomerate. We show that, for the particular configurations under consideration, the surface roughness seems to converge to a steady state characterized by Hurst exponent close to 0.8, independently of the initial conditions.

Keywords Abrasive wear · Atom-by-atom attrition · Roughness · Hurst exponent

1 Introduction

Understanding the geometry and evolution of rough surfaces is an active research endeavor in tribology [1–5] as roughness mediates friction, wear, and lubrication [6]. Since the pioneering work of Bowden and Tabor, the response of sliding surfaces is known to depend on the real contact area between the surfaces [7], which, due to their roughness, is but a small percentage of the apparent contact area [8]. In natural surfaces [3, 4], across a wide range of scales, roughness appears to follow a fractal distribution (we refer in this case to "self-affine surfaces") which can be characterized in terms of a power law of the wavelengths whose exponent relates to the so-called "Hurst exponent," see [9].

A number of factors have been put forward to explain how these particular statistics arise in nature: material heterogeneity [10], plastic mechanisms [11, 12], fracture [13], and corrosion [14], among others. Ref. [12] proved that fractal roughness can arise from inelastic deformation induced by simple compression, combined with material discreteness and heterogeneity.

Recently, our group has developed molecular dynamics (MD) models with simplified potentials to enable *in silico* experiments in which the transition between wear regimes (from asperity plastic smoothing to fracture-induced debris creation) can be observed [15–17]. We used simplified potentials with tunable properties [18] as well as realistic ones (see Sect. 2.1). In this context, roughness evolution of 1D surfaces was studied [19, 20], reporting the generation of self-affine surfaces starting from contacting asperities that are subsequently sheared during surface relative sliding, which gives rise to a third body and whose rolling ends up wearing the surfaces through a process of tearing of shallow clumps of atoms [21], leading eventually to the attainment of a steady-state roughness with fractal characteristics. Ref. [20] was solely concerned with 2D

Published online: 08 March 2024



[☐] Joaquin Garcia-Suarez joaquin.garciasuarez@epfl.ch

¹ Institute of Civil Engineering, Institute of Materials, École Polytechnique Fédérale de Lausanne (EPFL), 1015 Lausanne, Switzerland

Present Address: Max-Planck-Institut für Eisenforschung GmbH, Max-Planck-Straße 1, 40237 Düsseldorf, Germany

37 Page 2 of 10 Tribology Letters (2024) 72:37

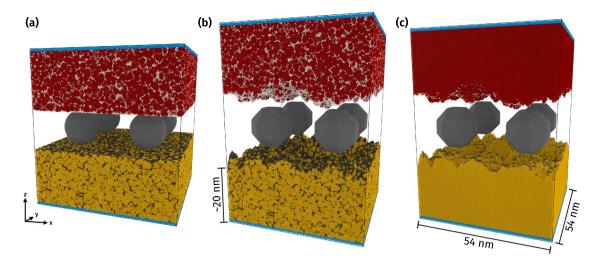


Fig. 1 Setup of the sliding contact simulations. In all cases, two blocks of bulk material (yellow: bottom block, red: top block) were put in contact with rigid wear particles (gray). Blue areas indicate the boundaries where force and displacement were imposed. We used different initial setups: a nanocrystalline first bodies (grain boundaries

indicated by black and gray atoms) starting from a flat surface and round wear particles, \mathbf{b} nanocrystalline first bodies starting from artificial surface roughness and polyhedral wear particles, and \mathbf{c} the same as (\mathbf{b}) but with single-crystalline first bodies (Color figure online)

geometries (1D roughness). Recently, our group performed 3D simulations [22], starting from a configuration with two surfaces in relative sliding motion with pre-formed third bodies in between (Fig. 1). This work considered both surfaces that were initially rough and flat as well as third-body particles simultaneously, hence a setting that departs starkly from idealized configurations [23-29]. Among the many insights provided by these simulations, the appearance of cylindrical rolling particles as those observed in experiments [30-32] and the attainment of an apparent steady-state roughness regime stood out. The steady state is reached after substantial material transfer from the surface to the debris particles. This also translates into decimation of the larger topographical features (those associated to the wavelength of the order of the diameter of the debris); this also implies an apparent "flattening" of the surface that, unlike previous results [23, 25, 33], is not only associated to atomistic mechanisms but also to debris creation and its coating by surface atoms upon subsequent sliding.

Even though our original paper [22] was focused on quantifying frictional forces and surface wear, the surface topography state was extracted at regular increments of the simulations (see Methods section). This manuscript presents the post-processing of the roughness and characterizes the steady-state regimes quantitatively. Section 2 briefly reviews the computations' specificities and presents the topography spectral analysis techniques to be utilized. The surface changes as well as the corresponding Hurst exponent

evolution are reported in Sect. 3 and discussed in Sect. 4. Conclusions and future work directions are presented in Sect. 5.

2 Methods

2.1 Data Generation

Rough surfaces were generated by MD simulations of rigid particles rolling on surfaces made out of a siliconlike model material [22]. We used LAMMPS [34] with a modified [35, 36] Stillinger-Weber [37] potential using GPU acceleration [38, 39]. The model material is a version of the original Stillinger-Weber potential for Si with an increased bond angle stiffness/bond directionality (the three-body potential parameter λ is doubled from 21 to 42). This compensates the nonphysical ductility of Si that is often present in other potentials [40], albeit at the cost of other material properties [36]. The resulting potential correctly leads to brittle fracture (cleavage without dislocation activity) while having much lower computational cost than more accurate approaches [41], thereby allowing much longer sliding distances and better statistics for the resulting surface roughness. This is important to avoid system-sized, ductile surface deformations that ultimately lead to welding of the first bodies instead of sustained rolling conditions. In other words, the plastic zone size is



Tribology Letters (2024) 72:37 Page 3 of 10 37

small enough to fit into the simulation cell. More details on the material model are provided in Refs. [22, 42]

We used the setups shown in Fig. 1. For two simulations (a-b), we prepared an equiaxed, non-textured nanocrystalline material with grain size of 3 nm, obtained by the Voronoi tessellation method [43]. For one simulation (c) we used a single-crystalline material with the (100) surface showing in z-direction. Initially, the top and bottom bulk regions (first bodies) each had a size of around $54 \times 54 \times 20 \text{ nm}^3$ (total of around 8 million atoms in the final simulation cell). For one nanocrystal and for the single crystal, we started from synthetic rough surfaces [44] which were generated with a Hurst exponent of 0.8, a lower wavelength cutoff of 0.5 nm, an upper wavelength cutoff of 27 nm, no roll-off (meaning that no two wavenumbers have the same amplitude [45]), and an RMS of heights of 2 nm using the software Tamaas [46]. The other nanocrystal had a flat surface initially. We then introduced four rigid particles each into the gaps between the surfaces (third bodies). These particles were polyhedra (rhombicuboctahedral shape), except for case (a), where we used round particles for comparison. Their diameter was chosen at \approx 16 nm. Note that the particles get coated quickly by material picked up from the surfaces. Therefore (i) their initial shape does not matter and (ii) the simulation resembles more closely the adhesive wear case than the abrasive one [22].

The sliding simulations were performed with periodic boundary conditions along x and y. A layer of thickness 0.4 nm was fixed at the ends of the top and bottom surfaces, where a normal force of 7.69 μ N was applied, corresponding to an average pressure of approximately 2.6 GPa or 8% of the hardness. Next to these boundary layers, another 0.4-nm-thick layer was used each to apply Langevin thermostats at room temperature with a damping constant of 0.01 ps. The center of mass velocity of the layer was subtracted from the thermostating calculation to avoid an artificial drag force. Sliding was imposed on the top first body with a velocity of 20 m/s to a total sliding distance of 1 μ m at an angle of 8.5° off the x-direction to avoid that the particles wear the same trench over and over again.

Every $0.1 \, \mu m$ sliding distance (5 ns), the simulation state was recorded. For post-processing, the third bodies are fixed in place while the surfaces are separated [22]. The atoms on the surfaces of the first bodies were identified using a surface mesh generation algorithm [47] implemented in Ovito [48]. This algorithm is based on testing if a virtual probe sphere of radius $0.385 \, \text{nm}$ can penetrate the material or not [47].

The resulting surfaces are then analyzed as described in the following.

2.2 Post-processing

Since our simulations are constructed to be periodic, we can avoid the use of segmentation methods that are required for real surfaces, where periodicity is not a given [49]. Moreover, in engineering practice, the method used for characterization is usually application dependent [50] and targets different features (e.g., metal surface characterization for tribological purposes or food processing [50]).

We shall employ the state-of-the-art techniques widely accepted to analyze in silico surfaces [12, 51] described in the following.

2.2.1 2D Surfaces

The height of a surface is defined by a function h = h(x, y), where $x \in [-L_x/2, +L_x/2]$ and $y \in [-L_y/2, +L_y/2]$ span the surface. If we assume a periodic boundary conditions, it follows that $h(-L_x/2, y) = h(+L_x/2, y)$ and $h(x, -L_y/2) = h(x, +L_y/2)$.

Periodicity allows analyzing the surface using Fourier series and, since we work with discrete datasets, discrete Fourier transform.

The first step is to interpolate among the original irregular mesh points to then evaluate the interpolant on $N \times N$ regular mesh, N being the number of points in either direction. We use a piece-wise constant interpolant between atoms. See that by the end of this procedure one may obtain a set of points that do not reflect the periodicity of the original point cloud; this motivates the use of "windowing" discussed later.

Heights are known in discrete fashion. The position of the *i*th surface atom comes given by a triple (x_i, y_i, z_i) , $z_i = h(x_i, y_i)$ being the height. The set of all points forms an unstructured mesh which must be re-sampled into a regular grid (sampling intervals $\Delta x = \Delta y = 1$ Å) before using *discrete* Fourier transform methods. Then, the height of the topography features can be expressed as follows:

$$h(x_i, y_i) = \frac{1}{L_x L_y} \sum_{q_x, q_y} \hat{h}_{q_x, q_y} \exp\left[i(q_x x_i + q_y y_i)\right],$$
(1)

where the wavenumbers appear, horizontal $q_x = 2\pi n/L_x$ and vertical $q_y = 2\pi n/L_y$, taking possible values indexed by $n \in [0, ..., N-1]$.

The amplitude corresponding to each combination of wavenumbers is computed as

$$\hat{h}_{q_x,q_y} = \sum_{x,y} h(x_i, y_i) \exp\left[-i(q_x x_i + q_y y_i)\right].$$
 (2)



 $[\]overline{}$ The Hurst exponent H is a defining parameter of rough surfaces that can be modeled as a fractional Brownian motion: when the field of vision is re-scaled by a factor ξ , the height distribution has to be rescaled by $\xi^{\rm H}$ to preserve its original statistics.

The heights are re-scaled beforehand to guarantee $\sum_{i=1}^{N\times N}h(x_i,y_i)=0$, which amounts to $\hat{h}_{0,0}=0$. The set of all Fourier coefficients will be referred to henceforth as "the spectrum of the surface" and any individual coefficient as "a harmonic."

The $h_{\rm rms}^2$ is an important parameter when it comes to test if a surface is "self-affine." This parameter represents an average squared height [45] and thus it conveys the magnitude of the topography oscillations. The 2D power spectral density (PSD) is a function of the wavenumbers defined using the harmonics' amplitudes

$$C_{q_x,q_y}^{\text{2D}} = \frac{1}{N^2} |\hat{h}_{q_x,q_y}|^2,,$$
 (3)

which is equivalent to the magnitude of the Fourier transform of the height-to-height autocorrelation function [45], a consequence of Parseval's theorem [52].

The lack of periodicity associated with discreteness and interpolation can introduce spurious high-frequency oscillations in the spectrum of the surfaces [45]. To avoid this issue, windowing is used. In this text, we use radial Hahn window, implicitly assuming that the roughness we are dealing with is isotropic. The radially symmetric Hahn window is defined as [45]

$$w(x,y) = \left(\frac{3\pi}{8} - \frac{2}{\pi}\right)^{-1/2}$$

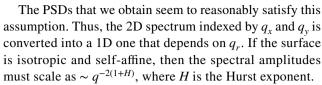
$$\left\{ 1 + \cos \left[\frac{2\pi\sqrt{(x - L_x/2)^2 + (y - L_y/2)^2}}{\min(L_x, L_y)} \right] \right\},$$
(4)

for $\sqrt{x^2+y^2} < \min(L_x,L_y)/2$ and equal to zero everywhere else. The modified "windowed" heights are given by $h_{\mathrm{Hahn}}(x_i,y_i) = w(x_i,y_i)h(x_i,y_i)$ for all (x_i,y_i) such that $\sqrt{x_i^2+y_i^2} < \min(L_x,L_y)/2$ and $h_{\mathrm{Hahn}}(x_i,y_i) = 0$ otherwise.

The Fourier transform comes given in terms of the horizontal wavenumber q_x and the vertical one q_y . If the surface is isotropic, then the coefficients of the Fourier series depend on the wavenumbers like $q_r = \sqrt{q_x^2 + q_y^2}$, meaning that the spectral amplitude C^{2D} must possess axial symmetry with respect to the origin of the $q_x - q_y$ plane. Thus, for any fixed q_r , we can define the radial average of 2D PSDs (implicitly assuming isotropy) as

$$C^{\rm iso}(q_r) = \frac{1}{N_\theta} \sum_{\theta} C^{\rm 2D}(q_r), \tag{5}$$

where N_{θ} is a number of angular probes. We probe at $\theta \in [0, 2\pi/100, ..., 198\pi/100]$, i.e., we average over $N_{\theta} = 100$ points for every fixed q_r .



The value of H is obtained through the slope of the line fitted using logarithmic scales, discarding the roll-off phase [45].

2.2.2 1D Line Scans

We have also performed 1D scans on the surfaces. Their theory is briefly introduced next, for further details see [19] and Appendix A of [45].

In the 1D case, given a height 1D scan $h_{1D}(x)$ along the x-direction, the PSD (per unit length, at a wavelength q_n) of self-affine surfaces comes given as

$$\frac{1}{L_x} \left| \int_{-L_x/2}^{L_x/2} h_{1D}(x) \exp\left(-\mathrm{i}q_n x\right) \right|^2 \mathrm{d}x$$

$$\approx \Delta x \frac{1}{N} \left| \sum_{k=0}^{N-1} h_{1D}(x_k) \exp\left(-\mathrm{i}q_n x_k\right) \right|^2, \tag{6}$$

which has been discretized using a regular step $\Delta x = L_x/N$, thus $x_k \in [0, \Delta x, ..., (N-1)\Delta x]$. Therefore, the discrete spectrum of the PSD contains discrete wavenumbers $q_n = 2\pi n/L_x$ for n = 0, 1, 2, ... Thus,

$$C^{1D}(q_n) = \frac{1}{N} \left| \sum_{k=0}^{N-1} h_{1D}(x_k) \exp\left(-iq_n x_k\right) \right|^2.$$
 (7)

which, after averaging over many scans [45], must satisfy $\sim q^{-(1+2H)}$ if the roughness is self-affine.

The height-to-height correlation function, defined as $\Delta h(\delta x) = \langle [h(x+\delta x)-h(x)]^2 \rangle^{1/2}$ (where $\langle \cdot \rangle$ means taking the spatial average), is another 1D statistical quantity of interest. It is known to follow $\Delta h(\delta x) \sim (\delta x)^{\rm H}$ if the 1D surface is indeed self-affine.

Results of 1D analyses must be averaged across many scans to render the results consistent with the 2D results [45]. We use ten scans along x-direction and ten more along y.

Our study focuses on assessing isotropy, and results of scans along the direction of sliding and perpendicular to it were found to add no extra information in that regard. For completeness' sake, we show results for those extra scans in Supplementary Material Appendix F.



Tribology Letters (2024) 72:37 Page 5 of 10 37

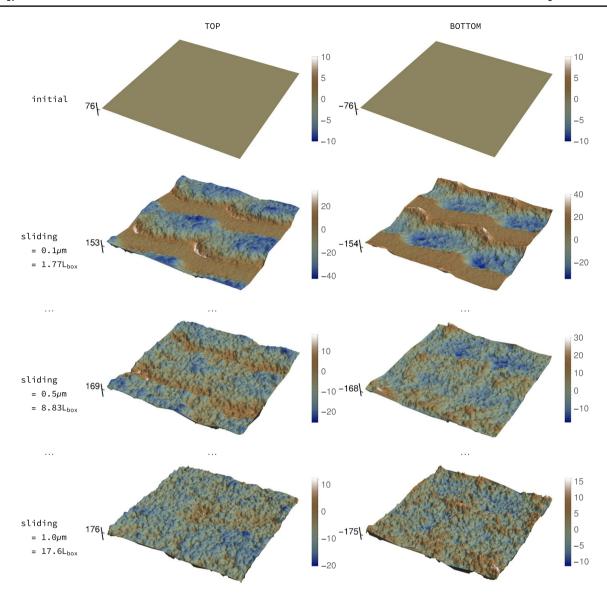


Fig. 2 Snapshots of surface evolution (units in Å unless otherwise stated): silicon surfaces (top and bottom) initially flat. Corresponding Hurst exponent evolution in Fig. 4a. Note changing scales. Vertical

axes mark the (evolving) mean height of each surface. In either surfaces, white means topographical features "bulging out", while blue means penetrating into the surface bulk

3 Results

3.1 Visualization of Roughness Evolution

For the geometrical setting and silicon-like material described in Sect. 2.1, we show schemes of the roughness evolution in three different cases. The first, Fig. 2, corresponds to the surfaces (top and bottom) that are initially flat and whose bulk contains grain boundaries. The second one, Fig. 3, features an initially rough isotropic surface (bottom one) created with Tamaas [46] with an initial Hurst exponent of 0.8 whose bulk material also contains

grain boundaries. Finally, Fig. A.1 is similar to Fig. 3, but the bulk material is monocrystalline.

Every plot is accompanied by a scale to measure the amplitude of oscillations with respect to the mean. See that the color code remains the same, but the range of the scales changes between surfaces, since the magnitude of the topographical features evolves. The absolute position on the mean plane is marked in the vertical axis to better appreciate how the surface level descends as surface atoms are transferred to the coating of the third body. Note that the middle point between mean planes of the surfaces corresponds to the height equal to 0. Lighter colors highlight



37 Page 6 of 10 Tribology Letters (2024) 72:37

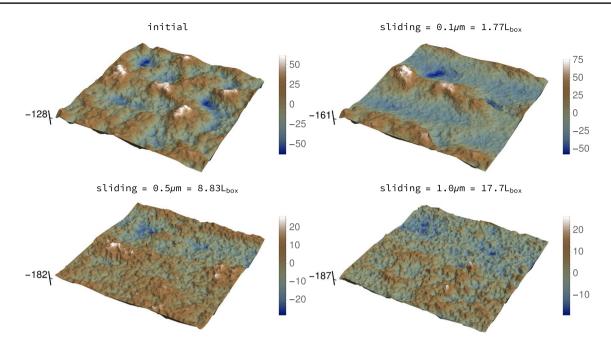


Fig. 3 Snapshots of surface evolution (units in Å unless otherwise stated): silicon surfaces (bottom) initially rough, nanocrystalline bulk. Corresponding Hurst exponent evolution in Fig. 4b. Note changing scales. Vertical axes mark the (evolving) mean height of each sur-

face. In either surfaces, white means topographical features "bulging out," while blue means penetrating into the surface bulk (Color figure online)

features that "stick out" of the surface, while darker ones penetrate into the bulk.

"Trenches" associated to the scratching by the third body are observed in both top and bottom surfaces after sliding by $0.1~\mu m$ (see second row in Fig. 2). Bear in mind that the particles' trajectories wind over the entire surface, owing to the sliding direction being not aligned with either axis of the surface. As sliding progresses, the topography "homogenizes": the initially flat surfaces become isotropically rough (third and fourth row in Fig. 2) and the initially rough ones evolve to a new state, similarly isotropic but characterized by lower height amplitudes (Fig. 3 and Supplementary Material A.1).

3.2 2D PSDs

We also provide graphics of PSD evolution in Eq. (3) in Appendix C. They clearly reveal "textured" surfaces during the first sliding stages, meaning that we see light spots in Figures C.1 to C.3, indicating large magnitude oscillations that break the radial symmetry required by isotropy. Physically, these marked wavelengths are related to the deep grooves left by the wear particles during the first runs, which scratch the initial surface in an abrasive manner. As more sliding unfolds, the debris is coated with surface atoms, changing the wear mechanism to tearing of small flakes of material, induced by adhesion and the debris' rolling

movement [21]. The texture then fades away, yielding a PSD wavenumber distribution that seems reasonably angle independent, i.e., isotropic, see bottom figures in Figures C.1 to C.3 (corresponding to state after total sliding 1 μ m). This result is reassuring insofar it backs our subsequent analysis as to self-affinity.

3.3 Logarithmic Slope of Radial PSD (C^{iso}): Fitting the Hurst Exponent

As explained in the Methods section, isotropic surfaces depend solely on the modulus of the wavenumber vector (q_x, q_y) , not on the ratio q_x/q_y . This motivates the definition of a radial PSD, characterized by $C^{\rm iso}$, eq. (5). The Hurst exponent can be easily fitted when this function is expressed in log–log scales. This exercise has been pursued in Figures D.1 and D.2 (initially flat surface, bulk material nanocrystalline), Figures D.3 and D.4 (initially rough surface, bulk material nanocrystalline), and Figures D.5 and D.6 (initially rough surface, bulk material monocrystalline).

3.4 Hurst Exponent Evolution

Figures 4a to 4b show the roughness evolution corresponding to the cases presented in Figs. 2, 3 and A.1, respectively. The method to extract the exponent from the discrete data



Tribology Letters (2024) 72:37 Page 7 of 10 37

Table 1 Comparison final Hurst exponents, derived from two different methods. 2D analysis results represent top and bottom average, while 1D ones correspond to averages over top and bottom surfaces, and 20 line scans each, ten along the *x*-direction and ten more along y

Surface	1D analysis	2D analysis
Initially flat, nanocrystalline bulk	0.76	0.86
Initially rough, nanocrystalline bulk	0.81	0.80
Initially rough, single-crystalline bulk	0.78	0.86

was outlined in Sect. 2.2. The initial values (before any sliding) are found to be, as expected, 0 in the case of the initially flat surface and approximately 0.8 in the two initially rough cases.

3.5 1D Line Scans

Since the range of data for the PSDs does not span many decades, it is necessary to verify the self-affinity using further measures in addition to $C^{\rm iso}$. Averaged 1D line scans of the final surfaces match reasonably well with the ones obtained directly from processing the complete 2D surfaces. See Table 1 for average Hurst exponents from 2D analysis (average between the values obtained from the top and bottom surfaces) and the 1D analysis (averaged over 20 scans, 10 along the x-direction and 10 along y).

We provide plots of such results in Supplementary Material Appendix B. Moreover, these scans yield an important piece of information: the Hurst exponents obtained from the 1D PSD predict well the ones of the height-to-height correlation function. This provides a consistency in self-affinity that mitigates the lack of roughness information over many decades [19], as would be desirable. Figures B.1 to B.3 show the fitting of the Hurst exponent from the 1D PSD on the left panel, while the right one shows the height correlation and the self-affine slope presumed from the Hurst exponent obtained from the PSD. We acknowledge that the exponent obtained from PSD analysis matches well with the slope in the short correlation lengths, before the curves level. These plateaus already occur for height differences above the order of 1 nm, but this is simply a result of the low h_{rms} values, which in turn are caused by the depletion of the bigger topography features during the wear process: correlations can only be made for features that actually exist, i.e., up to the order of $h_{\rm rms}$.

4 Discussion

The main insight we can extract, c.f. [19], it is that, for this material and system size and configuration, the topography of the three surfaces seems to converge to a steady state, characterized by $H \approx 0.8$, independently both of the initial

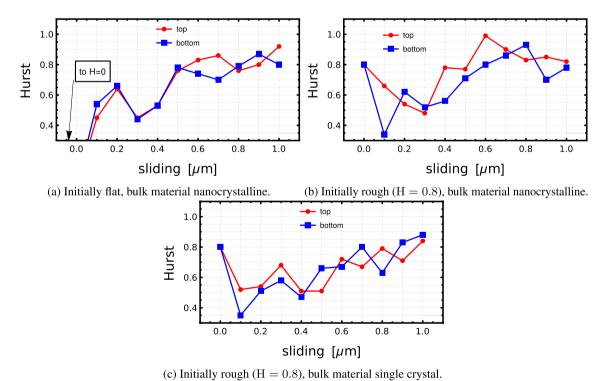


Fig. 4 Evolution of the Hurst exponent of Si-like surfaces worn by wear particles during sliding: three configurations

conditions (rough or flat) and of the microstructure of the bulk material. The latter remark is in agreement with the findings of [12]: material heterogeneity can have a strong influence on wear at this scale [53], but it cannot be the controlling factor of roughness evolution; rather, discrete deformation mechanisms bear responsibility [11, 12]; in our case, they amount primarily to wear by tearing of flakes of material, see Supplementary Material Appendix E.² [20, 21].

It is also remarkable how the initially flat surface, Figs. 2 and 4a appear to converge faster to the steady-state roughness regime, with smaller oscillations around a mean slightly greater than 0.8. This seems to indicate that the "memory" of the previous roughness in the other two cases takes longer to be erased. This is reminiscent of the memory length scale that appears in rate and state friction laws [54]. In this context, the frictional state of the interface changes dynamically, reaching the new one after a transient. The extent of this transient is considered a function of the existing microcontacts, i.e., of the roughness. The numerical results seem to reflect this, since the "microcontact population" of the flat surface is very different from the one of the initially rough surface. Micromechanically, this could be related to the need of attaining an intermediate "indifferent" roughness state (corresponding to $H \approx 0.5$, in which the probability of the vertical position of the next atoms is equally probable to be below or above the current one, i.e., the roughness follows a standard random walk). A similar observation is made by [12]. From the prior samples, it seems that the roughness may need to oscillate around these values before reaching the steady state. In order to evolve into the intermediate regime, the features associated to the roughness $H \approx 0.8$ have to be erased by wear, while in the flat case they are directly *created* by wear. We remark that Eder et al. [51] also observed in their atomistic simulations that the surface needs to "forget" its initial roughness state before attaining a new one.

As mentioned in the introduction, simulations involving more ductile materials (either zinc, copper, or aluminum) converged to surface welding in which both surfaces were joined together, plastically deforming to engulf the particles in their midst. For brittle materials, the destiny may be the same in the long term: we observe an ever-growing volume of the coated third bodies [22], which could ultimately agglomerate and bridge the gap between surfaces forming the aforementioned shear band state. Hence, this steady state could be conceived as an "intermediate asymptotics" state that may seem locally stable but that can devolve into a shear band-like state over longer timescales. In practice, this final state may be avoided by other mechanisms acting on the said

 $^{^2}$ For further evidence, see the video from simulations titled "FN-07_69-equidistant-polyhedra.webm" in the data repository <code>zenodo.org/records/4698010</code>.



extended time spans. One of such is passivation: the coating atoms may react with the atmosphere and form in turn a composite layer that prevents the flake tearing process, thus deactivating the welding. Finally, we note that the uneven coating of the third bodies with atoms from the surfaces during initial sliding effectively cloaks any sharp edges and that may be the reason why all the configurations lead to cylindrical debris independently of third-body initial shape.

5 Final Remarks

The roughness evolution induced by third-body wear has been studied using large-scale molecular dynamics simulations. Using conventional post-processing techniques [45], the self-affinity of the resulting surfaces has been verified, yielding in addition evidence as to the existence of convergence to roughness with $H \approx 0.8$. This final state, induced under certain circumstances (e.g., brittle enough material and abrasive wear particles), appears to display similar roughness characteristics independently of both the initial topography (either flat or rough with a higher surface roughness $H \approx 0.8$) and bulk lattice structure (either single crystal or presence of grain boundaries).

We stress that this independence from bulk structure is consistent with [12] and that these authors also reported the existence of a transient "random-walk" state ($H \approx 0.5$) during the evolution of roughness prior to attainment of a steady state. We also emphasize that Hinkle et al. [12] run purely plasticity-driven simulations, wherein neither third bodies nor fracture were present.

Supplementary Information The online version contains supplementary material available at https://doi.org/10.1007/s11249-024-01833-9.

Author Contributions JGS carried out the data analysis and generated the figures. TB carried out the simulations and provided the data. JFM provided financial support and guidance. All authors discussed and prepared the manuscript.

Funding Open access funding provided by EPFL Lausanne. J. G.-S. and J.-F. M. gratefully acknowledge the sponsorship of the Swiss National Science Foundation (Grant #197152, "Wear across scales"). Computing time was provided by a grant from the Swiss National Supercomputing Center (CSCS) under project IDs s784 ("The evolution of rough surfaces in the adhesive wear regime") and s972 ("Surface and subsurface evolution of metals in three-body wear conditions"), as well as by École polytechnique fédérale de Lausanne (EPFL) through the use of the facilities of its Scientific IT and Application Support Center.

Data Availability The repository titled roughness_wear within the first author Github page (github.com/jgarciasuarez) contains Mathematical notebooks [55] detailing data post-processing leading to the results displayed in the text. The necessary data to reproduce results is available under request.

Tribology Letters (2024) 72:37 Page 9 of 10 37

Declarations

Conflict of interest The authors declare no competing interests.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- Persson, B.N.J., et al.: On the nature of surface roughness with application to contact mechanics, sealing, rubber friction and adhesion. J. Phys. Condens. Matter 17(1), R1 (2004). https://doi. org/10.1088/0953-8984/17/1/R01
- Bonamy, D., Bouchaud, E.: Failure of heterogeneous materials: a dynamic phase transition? Phys. Rep. 498(1), 1–44 (2011). https:// doi.org/10.1016/j.physrep.2010.07.006
- Renard, F., Candela, T., Bouchaud, E.: Constant dimensionality
 of fault roughness from the scale of microfractures to the scale of
 continents. Geophys. Res. Lett. 40(1), 83–87 (2013). https://doi.
 org/10.1029/2012GL054143
- Candela, T., Brodsky, E.E.: The minimum scale of grooving on faults. Geology 44(8), 603–606 (2016). https://doi.org/10.1130/ G37934.1
- 5. Aghababaei, R., et al.: How roughness emerges on natural and engineered surfaces. MRS Bull. 47(12), 1–8 (2023)
- Godet, M.: The third-body approach: a mechanical view of wear. Wear 100(1), 437–452 (1984). https://doi.org/10.1016/0043-1648(84)90025-5
- Bowden, F.P., Tabor, D.: The Friction and Lubrication of Solids, vol. 1. Oxford University Press, Oxford (2001)
- Dieterich, J.H., Kilgore, B.D.: Direct observation of frictional contacts: new insights for state-dependent properties. Pure Appl. Geophys. 143(1), 283–302 (1994)
- Mandelbrot, B.B., Van Ness, J.W.: Fractional Brownian motions, fractional noises and applications. SIAM Rev. 10(4), 422–437 (1968)
- Sundaram, N.K., Guo, Y., Chandrasekar, S.: Mesoscale folding, instability, and disruption of laminar flow in metal surfaces. Phys. Rev. Lett. 109, 106001 (2012). https://doi.org/10.1103/PhysR evLett.109.106001
- Irani, N., Nicola, L.: Modelling surface roughening during plastic deformation of metal crystals under contact shear loading. Mech. Mater. 132, 66–76 (2019). https://doi.org/10.1016/j.mechmat. 2019.02.007
- Hinkle, A.R., et al.: The emergence of small-scale self-affine surface roughness from deformation. Sci. Adv. 6(7), eaax0847 (2020). https://doi.org/10.1126/sciadv.aax0847
- Bouchaud, E., Bouchaud, J.-P.: Fracture surfaces: apparent roughness, relevant length scales, and fracture toughness. Phys. Rev. B 50, 17752–17755 (1994). https://doi.org/10.1103/PhysRevB.50. 17752
- ASME (ed.): The Relationship Between Surface Roughness and Corrosion, vol. 2B: Advanced Manufacturing. ASME International Mechanical Engineering Congress and Exposition,

- V02BT02A054. November 2013. https://doi.org/10.1115/IMECE 2013-65498. https://asmedigitalcollection.asme.org/IMECE/proce edings-pdf/IMECE2013/56192/V02BT02A054/4229565/v02bt 02a054-imece2013-65498.pdf
- Aghababaei, R., Warner, D.H., Molinari, J.-F.: Critical length scale controls adhesive wear mechanisms. Nat. Commun. 7(1), 11816 (2016). https://doi.org/10.1038/ncomms11816
- Aghababaei, R., Warner, D.H., Molinari, J.-F.: On the debris-level origins of adhesive wear. Proc. Natl Acad. Sci. U.S.A. 114(30), 7935–7940 (2017). https://doi.org/10.1073/pnas.1700904114
- Garcia-Suarez, J., Brink, T., Molinari, J.-F.: Breakdown of Reye's theory in nanoscale wear. J. Mech. Phys. Solids 173, 105236 (2023). https://doi.org/10.1016/j.jmps.2023.105236
- Rajan, V.P., Warner, D.H., Curtin, W.A.: An interatomic pair potential with tunable intrinsic ductility. Modell. Simul. Mater. Sci. Eng. 24(2), 025005 (2016). https://doi.org/10.1088/0965-0393/24/2/025005
- 19. Milanese, E., et al.: Emergence of self-affine surfaces during adhesive wear. Nat. Commun. **10**(1), 1–9 (2019)
- Milanese, E., et al.: Role of interfacial adhesion on minimum wear particle size and roughness evolution. Phys. Rev. E 102, 043001 (2020). https://doi.org/10.1103/PhysRevE.102.043001
- Milanese, E., Molinari, J.-F.: A mechanistic model for the growth of cylindrical debris particles in the presence of adhesion. Int. J. Solids Struct. 203, 1–16 (2020)
- Brink, T., Milanese, E., Molinari, J.-F.: Effect of wear particles and roughness on nanoscale friction. Phys. Rev. Mater. 6, 013606 (2022). https://doi.org/10.1103/PhysRevMaterials.6. 013606
- Sorensen, M.R., Jacobsen, K.W., Stoltze, P.: Simulations of atomic-scale sliding friction. Phys. Rev. B 53, 2101–2113 (1996). https://doi.org/10.1103/PhysRevB.53.2101
- Mo, Y., Turner, K.T., Szlufarska, I.: Friction laws at the nanoscale. Nature 457(7233), 1116–1119 (2009)
- Stoyanov, P., et al.: Experimental and numerical atomistic investigation of the third body formation process in dry tungsten/tungsten-carbide tribo couples. Tribol. Lett. 50, 67–80 (2013)
- Eder, S.J., et al.: Applicability of macroscopic wear and friction laws on the atomic length scale. Phys. Rev. Lett. 115, 025502 (2015). https://doi.org/10.1103/PhysRevLett.115.025502
- Yang, Y., Huang, L., Shi, Y.: Adhesion suppresses atomic wear in single-asperity sliding. Wear 352–353, 31–41 (2016). https://doi. org/10.1016/j.wear.2016.02.002
- Sharp, T.A., et al.: Scale- and load-dependent friction in commensurate sphere-on-flat contacts. Phys. Rev. B 96, 155436 (2017). https://doi.org/10.1103/PhysRevB.96.155436
- Aghababaei, R.: Effect of adhesion on material removal during adhesive wear. Phys. Rev. Mater. 3, 063604 (2019). https://doi. org/10.1103/PhysRevMaterials.3.063604
- Zanoria, E., Danyluk, S.: Ball-on-flat reciprocating sliding wear of single-crystal, semiconductor silicon at room temperature. Wear (1993). https://doi.org/10.1016/0043-1648(93)90516-O
- Zanoria, E., Danyluk, S., McNallan, M.: Effects of length, diameter and population density of tribological rolls on friction between self-mated silicon. Wear (1995). https://doi.org/10.1016/0043-1648(95)90196-5
- Zanoria, E.S., Danyluk, S., Mcnallan, M.J.: Formation of cylindrical sliding-wear debris on silicon in humid conditions and elevated temperatures. Tribol. Trans. 38(3), 721–727 (1995). https://doi.org/10.1080/10402009508983464
- Spijker, P., Anciaux, G., Molinari, J.-F.: Dry sliding contact between rough surfaces at the atomistic scale. Tribol. Lett. 44, 279–285 (2011)
- Thompson, A.P., et al.: LAMMPS a flexible simulation tool for particle-based materials modeling at the atomic, meso, and



37 Page 10 of 10 Tribology Letters (2024) 72:37

- continuum scales. Comput. Phys. Commun. (2022). https://doi.org/10.1016/j.cpc.2021.108171
- Holland, D., Marder, M.: Ideal brittle fracture of silicon studied with molecular dynamics. Phys. Rev. Lett. 80, 746–749 (1998). https://doi.org/10.1103/PhysRevLett.80.746
- Holland, D., Marder, M.: Erratum: Ideal brittle fracture of silicon studied with molecular dynamics [Phys. Rev. Lett. 80, 746 (1998)]. Phys. Rev. Lett. 81, 4029–4029 (1998). https://doi.org/10.1103/PhysRevLett.81.4029
- Stillinger, F.H., Weber, T.A.: Computer simulation of local order in condensed phases of silicon. Phys. Rev. B 31, 5262–5271 (1985). https://doi.org/10.1103/PhysRevB.31.5262
- Brown, W.M., et al.: Implementing molecular dynamics on hybrid high performance computers–short range forces. Comput. Phys. Commun. 182(4), 898–911 (2011). https://doi.org/10.1016/j.cpc. 2010.12.021
- Brown, W.M., Yamada, M.: Implementing molecular dynamics on hybrid high performance computers—three-body potentials. Comput. Phys. Commun. 184(12), 2785–2793 (2013). https://doi.org/ 10.1016/j.cpc.2013.08.002
- Pastewka, L., et al.: Bond order potentials for fracture, wear, and plasticity. MRS Bull. 37(5), 493–503 (2012). https://doi.org/10. 1557/mrs.2012.94
- Pastewka, L., et al.: Screened empirical bond-order potentials for Si-C. Phys. Rev. B 87, 205410 (2013). https://doi.org/10.1103/ PhysRevB.87.205410
- 42. Brink, T., Molinari, J.-F.: Adhesive wear mechanisms in the presence of weak interfaces: insights from an amorphous model system. Phys. Rev. Mater. **3**(5), 053604 (2019). https://doi.org/10.1103/PhysRevMaterials.3.053604
- Derlet, P.M., Van Swygenhoven, H.: Atomic positional disorder in fcc metal nanocrystalline grain boundaries. Phys. Rev. B 67, 014202 (2003). https://doi.org/10.1103/PhysRevB.67.014202
- Wu, J.-J.: Simulation of rough surfaces with FFT. Tribol. Int. 33(1), 47–58 (2000). https://doi.org/10.1016/S0301-679X(00) 00016-5
- 45. Jacobs, T.D.B., Junge, T., Pastewka, L.: Quantitative characterization of surface topography using spectral analysis. Surf. Topogr.

- Metrol. Prop. 5(1), 013001 (2017). https://doi.org/10.1088/2051-672X/aa51f8
- Frérot, L., et al.: Tamaas: a library for elastic-plastic contact of periodic rough surfaces. J. Open Source Softw. 5(51), 2121 (2020)
- Stukowski, A.: Computational analysis methods in atomistic modeling of crystals. JOM 66(3), 399–407 (2014). https://doi.org/10.1007/s11837-013-0827-5
- Stukowski, A.: Visualization and analysis of atomistic simulation data with OVITO-the open visualization tool. Modell. Simul. Mater. Sci. Eng. 18(1), 015012 (2009). https://doi.org/10.1088/0965-0393/18/1/015012
- Guibert, R., et al.: Comparison of three multiscale methods for topographic analyses. Surf. Topogr. Metrol. Prop. 8(2), 024002 (2020). https://doi.org/10.1088/2051-672X/ab8348
- Podsiadlo, P., Stachowiak, G.W.: Directional multiscale analysis and optimization for surface textures. Tribol. Lett. 49, 179–191 (2013)
- Eder, S.J., et al.: Evolution of topography and material removal during nanoscale grinding. J. Phys. D Appl. Phys. 48(46), 465308 (2015). https://doi.org/10.1088/0022-3727/48/46/465308
- Evans, L.C.: Partial Differential Equations. American Mathematical Society, Providence (2010)
- Wattel, S., Garcia-Suarez, J., Molinari, J.-F.: Understanding the mechanisms of adhesive wear for heterogeneous materials through atomistic simulations. Extr. Mech. Lett. 57, 101913 (2022). https://doi.org/10.1016/j.eml.2022.101913
- Scholz, C.H.: The Mechanics of Earthquakes and Faulting, 3rd edn. Cambridge University Press, Cambridge (2019). https://doi. org/10.1017/9781316681473
- Wolfram, S.: The Mathematica Book, vol. 4. Cambridge University Press Cambridge (2000)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

