

## Article

# Performance and Design of a Stepped Spillway Aerator

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**Abstract:** Stepped spillways are frequently limited to specific discharges under around 30 m<sup>2</sup>/s due to concerns about potential cavitation damages. A small air concentration can prevent such damages and the design of bottom aerators is well established for smooth chutes. The purpose of this study is to systematically investigate the performance of a deflector aerator at the beginning of stepped chutes. Six parameters (chute angle, step height, approach flow depth, approach flow Froude number, deflector angle and deflector height) are varied in a physical model. The spatial air concentration distribution downstream of the aerator, the cavity sub-pressure, water discharge and air discharges are measured. The results describe the commonly used air entrainment coefficient, the jet length, as well as the average and bottom air concentration development to design an aerator. The lowest bottom air concentration measured in all tests is higher than the air concentration recommended in literature to protect against cavitation damages. And, unlike smooth chutes, there appears to be no significant air detrainment downstream of the jet impact. One deflector aerator seems therefore sufficient to provide protection of a stepped spillway.

**Keywords:** aeration; aerator; air concentration; air entrainment; cavitation; deflector; stepped chute; stepped spillways



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## 1. Introduction

### 1.1. Stepped Spillways

Stepped spillways are commonly used, owing to their efficient energy dissipation and the emergence of roller compacted concrete (RCC) dams in the 1980s. Steep step spillways (chute angle  $\varphi \approx 50^\circ$ ) are found on the downstream face of RCC or gravity dams, while moderate slope stepped spillways ( $\varphi \approx 30^\circ$ ) are found on embankments dams.

Stepped spillways have been widely studied in the past decades in terms of flow regime, energy dissipation, self-aeration and pressure distribution. General summaries of researches are given in [1–3]. One major drawback of stepped spillways is that the specific discharge  $q$  has been limited to  $q \leq 30 \text{ m}^3/\text{s}/\text{m}$  [4–7] to avoid cavitation damages upstream of the inception point.

### 1.2. Cavitation

Cavitation corresponds to the formation of vapor cavities in water due to a reduction of the local pressure below the vapor pressure. The low pressure usually results from local flow separation of a high velocity flow along the boundary. Vapor bubbles are swept downstream until they reach a zone with pressures high enough for their collapse. A shock wave is formed during the collapse, as well as a microjet if the collapse happens close to a boundary. The resulting pitting harms the boundary.

The inception of cavitation can be assessed with the cavitation index  $\sigma = (p + p_a - p_v) / (\rho u^2 / 2)$ , where  $p$  is the local pressure,  $p_a$  the atmospheric pressure,  $p_v$  the vapor pressure,  $\rho$  the fluid density and  $u$  the average flow velocity. Due to local velocities and pressure fluctuations, cavitation inception does not start at  $\sigma = 0$  but at a critical cavitation index  $\sigma_c$ , which depends on the geometric chute configuration and surface irregularity.

Multiple studies summarized in [8] determined  $\sigma_c$  for various geometric singularities. The critical cavitation index below which damages are likely is  $\sigma_c = 0.2$  on smooth chutes with strict construction tolerances [8–10].

Stepped chutes are probably more prone to cavitation due to steps protruding into the flow. Using acoustic measurements, [11] showed a large increase in cavitation activity for  $0.30 \leq \sigma \leq 0.65$  for two stepped chute angles of  $\varphi = 21.8^\circ$  and  $\varphi = 68.2^\circ$ . They suggested that the critical cavitation index  $\sigma_c$  is related to the Darcy friction factor  $f$  with  $\sigma_c = 4f$ . A consecutive research [12], showed that after a few hours of operation at  $\sigma = 0.30$ , consistent damages were observed on steps for  $\varphi = 21.8^\circ$ , while lesser damages were observed for  $\varphi = 68.2^\circ$ . Using pressure measurements for  $\varphi = 51.3^\circ$ , [6] showed that the lowest pressures occur just upstream of the inception point on the vertical face close to the step edge.

For spillways, flow aeration is the most economical measure to avoid damages. A few researches [13–15] showed that an average air concentration of 5–8% eliminated damages. However, the local air concentration close to the samples investigated for damages remains unknown in these studies.

### 1.3. Chute Aerators

On smooth chutes, aerators artificially entrain air into high velocity flow to limit cavitation damages. Many researches of the 1980s [16–23] focused on the air entrainment coefficient  $\beta = q_A / q$ —the ratio of specific air discharge  $q_A$  to specific water discharge  $q$ —and showed that it is mainly related to the jet length  $L$ , the approach flow Froude number  $F_0$  and the air cavity sub-pressure  $\Delta p$ . Further research investigated the stream-wise air transport and air detrainment to determine the bottom air concentration  $C_b$  close to the aerator [24] and far downstream [25].

Little research was performed on stepped chutes. Two geometrical configurations were investigated for limited sets of parameters: the deflector aerator commonly used on stepped chutes [26], and a novel the step aerator [27,28]. The results showed a significantly better performance of the deflector aerator compared to the step aerator. Alternatives to aerate stepped chutes include lateral contraction of the flow with flaring gate piers [29], abrupt lateral contraction [30], and macro-roughness elements on the stepped chute that displace the inception point upstream [31]. The latter seems however unlikely to be an effective solution for high specific discharges.

A few prototype stepped spillways with various aerator designs are reported: Dachaoshan dam (China) [32,33], Wadi Dayqah dam (Oman) [34,35], Boguchany dam (Russia) [36], and enlarged Cotter RCC dam (Australia) [37]. They were all designed for  $q \geq 30 \text{ m}^2/\text{s}$  and included physical model tests.

### 1.4. Objectives

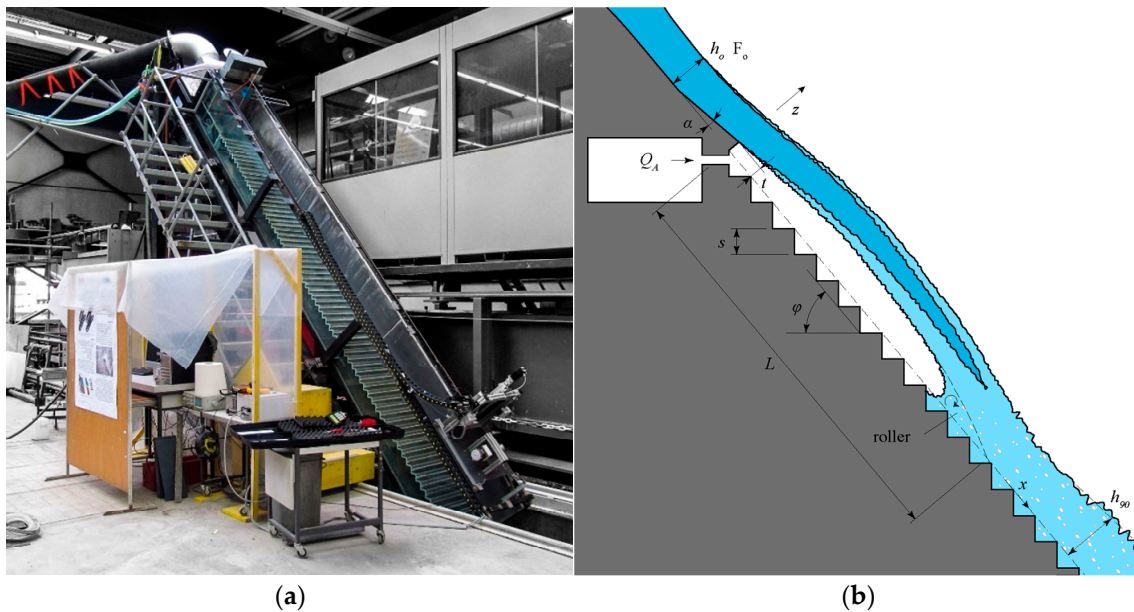
Potential cavitation damages currently limit stepped spillway designs for high specific discharges ( $q \leq 30 \text{ m}^3/\text{s}/\text{m}$ ). Since research on aerators in the past decades solved a similar issue on smooth chutes, some preliminary studies of aerators on stepped chutes were carried out with promising results [26–28].

The objective of the present study was to investigate the performance of a deflector aerator at the beginning of stepped chutes to prevent cavitation damages and therefore allow high specific discharges. The deflector aerator was studied on a physical model [38]. The influence of all relevant parameters was for the first time systematically varied to study the aerator performance and its effect on the downstream flow features [38,39].

## 2. Experimental Setup

### 2.1. Physical Model

The herein described study was performed in the frame of the work cited as [38]. A 0.5 m wide prismatic channel at the Laboratory of Hydraulic Constructions (LCH) of EPFL was used (Figure 1a) [38]. One sidewall was made of acrylic to allow flow observation and it had an adjustable bottom angle  $\varphi$  (Figure 1b). The stream-wise channel length was 6 m for a chute angle  $\varphi = 50^\circ$  and 8 m for  $\varphi = 30^\circ$ .

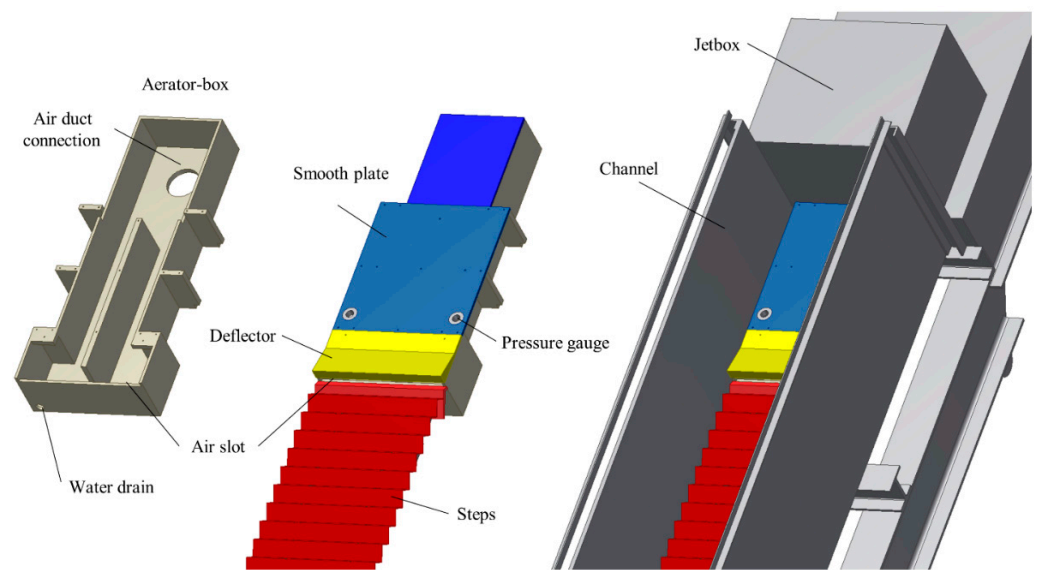


**Figure 1.** (a) Experimental facility with a chute bottom angle of  $\varphi = 50^\circ$ ; (b) Definition scheme of a stepped spillway aerator.

The discharge was supplied to the channel via a jet-box [40]. It generated the transition between pressurized to free surface flow. Guiding walls inside the jet-box ensured a homogeneous flow distribution. Unlike an uncontrolled ogee, the jet-box independently set the approach flow depth  $h_o$  and Froude number  $F_o$ . The jet-box opening height  $h_{jb}$  could be varied in the range  $0.015 \leq h_{jb} \leq 0.113$  m, and the maximum discharge provided by the pump if the jet-box was fully opened was  $Q = 0.245$  m<sup>3</sup>/s, corresponding to a unit discharge of  $q = 0.490$  m<sup>2</sup>/s.

The channel was equipped with steps made of folded aluminum sheets with a step height  $s = 0.06$  m. The fold radius was 0.0055 m for  $\varphi = 50^\circ$  and 0.006 m for  $\varphi = 30^\circ$ . A 0.47 m long smooth section was located between the jet-box (protruding 0.28 m in the channel) and the first step. In total, 67 steps were installed for  $\varphi = 50^\circ$  and 60 steps for  $\varphi = 30^\circ$ . Rectangular wood inserts with a 0.001 m chamfer could be placed in each aluminum step to have a step height  $s = 0.030$  m, which doubled the step count.

The aerator consisted of an aerator-box, as well as an interchangeable deflector and air duct (Figure 2). The aerator-box was impervious and had a sufficiently large cross-section to limit air head losses and therefore a sub-pressure in the cavity under the jet created by the deflector. The air slot below the deflector had a width of 0.48 m and a height of 0.02 m. The air duct was connected perpendicularly to the aerator-box to measure the air discharge. Two ducts were used: a 1 m long one with a 0.103 m internal diameter (for tests with low Froude numbers of  $F_o = 3.2$ ) and a 1.8 m long one with a 0.153 m internal diameter (for all other tests).



**Figure 2.** Components and placement of the aerator at the head of the stepped channel.

## 2.2. Instrumentation

The water discharge was measured with an electromagnetic flowmeter (ABB COPA-XE, Zürich, Switzerland;  $Q_{max} = 0.667 \text{ m}^3/\text{s}$ ; accuracy of  $\pm 0.005 Q_{max}$  for  $Q > 0.047 \text{ m}^3/\text{s}$ ). The approach flow depths were measured with a point gauge at  $x = -0.20 \text{ m}$ . Two piezoresistive pressure transducers (Baumer ED701 J20.633, Frauenfeld, Switzerland;  $p_{max} = 10 \text{ kPa}$ ; accuracy of  $\pm 0.001 p_{max}$ ) were installed on the bottom at  $x = -0.27 \text{ m}$  to estimate flow turbulence. The sub-pressure in the cavity below the jet  $\Delta p/(\rho g)$  was measured using a U-shaped water manometer placed in the center of the channel at the exit of the air slot. A thermoelectric anemometer (Schiltknecht ThermoAir64, Gossau, Switzerland;  $u_{max} = 5 \text{ m/s}$ ; accuracy of  $0.005 u_{max} + 0.015 u$ ) was placed in the center of the duct cross-section and at 60% of the length from the intake to calculate the air discharge assuming a logarithmic velocity profile.

The local air concentration  $C$  was measured during 20 s with a phase-detection fiber-optical probe using an  $80 \mu\text{m}$  prism at the tip (RBI Instrumentation, Meylan, France). Probes of the same manufacturer were used by [31,41–45]. The measurement error was found to be below 5% by [41]. The probe was compared to a phase-detection conductivity probe and showed a good agreement in the air concentrations measured [46]. Air concentration profiles were measured at the edge of the steps, starting from step 1 and with a regular stream-wise interval of 3 steps (steps 1, 4, 7, 10 . . . ) for the steps of  $s = 0.06 \text{ m}$ . For the steps of  $s = 0.03 \text{ m}$ , the same coordinates  $x$  was kept (steps 2, 8, 14, 20 . . . ). A total of 25 points were systematically measured for each profile. The depth-wise interval  $\Delta z$  was kept constant for all profiles of the same test and varied in the range  $0.004 \leq \Delta z \leq 0.01 \text{ m}$ . The number of profiles measured were 19 for  $\varphi = 50^\circ$  and 18 for  $\varphi = 30^\circ$ .

## 2.3. Test Program

The tests were designed to study the influence of the chute geometry—chute angle  $\varphi$  and step height  $s$ —the influence of the approach flow conditions—Froude number  $F_0$  and flow depth  $h_0$ —as well as the deflector geometry—deflector angle  $\alpha$  and deflector height  $t$  (Figure 1b). A total of 18 reference tests without the aerator and 44 aerator tests were performed (Table 1).

In addition, two reference tests and two aerator tests with a metal grid (0.008 m square holes every 0.01 m for a thickness of 0.0015 m, placed from  $x = -0.48$  to  $x = -0.19 \text{ m}$ ) were performed to study the influence of the approach flow turbulence. Finally, two aerator tests were performed with pressurized air injected in the water supply pipe upstream of the

jet-box to study the effect of approach flow pre-aeration. These 6 tests were performed with the chute  $\varphi = 30^\circ$  and  $s = 0.03$  m, the approach flow  $F_o = 5.5$  or  $7.5$  and  $h_o = 0.075$  m, as well as with the deflector  $\alpha = 9.46^\circ$  and  $t = 0.03$  m.

**Table 1.** Test numbers and their parameters: deflector angle  $\alpha$ , deflector height  $t$ , chute angle  $\varphi$ , step height  $s$ , Froude number  $F_o$  and flow depth  $h_o$ .

$\alpha$ (°)	$t$ (m)	$\varphi$ (°)	$s$ (m)	$F_o$ (-)	$F_o$ (-)	$F_o$ (-)	$F_o$ (-)	$F_o$ (-)
				3.2 $h_o$ (m) 0.075	5.5 $h_o$ (m) 0.052	5.5 $h_o$ (m) 0.075	5.5 $h_o$ (m) 0.092	7.5 $h_o$ (m) 0.075
-	-	50	0.06	17	15	13	14	16
-	-	50	0.03	10	9	8	7	6
-	-	30	0.06	41	40	38	39	42
-	-	30	0.03	56		54		55
5.71	0.015	50	0.06	29	33	32	30	31
9.46	0.015	50	0.06	27	25	24	26	23
9.46	0.015	50	0.03			12		
9.46	0.015	30	0.06			47		
9.46	0.015	30	0.03			58		
9.46	0.030	50	0.06	18	21	20	19	2
9.46	0.030	50	0.03	3	2	1	4	5
9.46	0.030	30	0.06	52	46	44	45	43
9.46	0.030	30	0.03	62		57		58
14.04	0.015	30	0.06			48		
14.04	0.030	50	0.06	28	34	35	36	37
14.04	0.030	50	0.03			11		
14.04	0.030	30	0.06			49		
14.04	0.030	30	0.03			60		
14.04	0.045	30	0.06	53		50		51
14.04	0.045	30	0.03			61		

### 2.4. Scale Effects

As usual for free surface flows, a Froude similitude was adopted to conserve the ratio between inertia and gravity forces. For two-phase flows, forces due to turbulence and surface tension should also be considered. They are respectively described by the Reynolds number  $R(L_{ref}) = uL_{ref}/\nu$  and the Weber number  $W(L_{ref}) = u/(\sigma_w/\rho/L_{ref})^{0.5}$ , where  $L_{ref}$  is a reference length,  $\nu$  is the kinematic viscosity,  $\rho$  the water density and  $\sigma_w$  the surface tension between air and water. The Froude similitude leads to air bubbles being too large in the model, which results in a higher detrainment rate and lower transport capacity compared to a prototype [47]. The water drops of the disintegrating jet are also too large, although the jet dispersion is not affected [48].

Various smooth chute aerators, stepped spillways and other hydraulic structures studies have provided recommendations on the Reynolds number  $R$ , the Weber number  $W$  or the model scale factor  $\lambda$  to limit scale effects [21,47–56]. The recommendations depend on the characteristic being studied: air entrainment coefficient, average air concentration, air concentration profile, velocity profile, air bubble properties, etc. In addition, [57] highlighted that a turbulent velocity of 0.25 to 0.3 m/s is required to break the surface tension and to initiate the aeration process.

The present study mainly focused on the average and bottom air concentrations. For these characteristics, the recommendations are  $R_o = R(h_o) \geq 10^5$  and  $W_o = W(h_o) \geq 110$ –140. The tests performed were in the ranges of  $2.02 \times 10^5 \leq R_o \leq 4.86 \times 10^5$  and  $87 \leq W_o \leq 209$ . The Reynolds number recommendations was respected for all tests, while the two approach flow conditions with the lowest discharges ( $F_o = 3.2$  with  $h_o = 0.075$  and  $F_o = 5.5$  with  $h_o = 0.052$ ) might have been affected by scale effects based on the Weber number recommendations. However, the turbulence generated by the aerator and the steps might lower the scale effects limit observed in previous studies.



### 3. Results

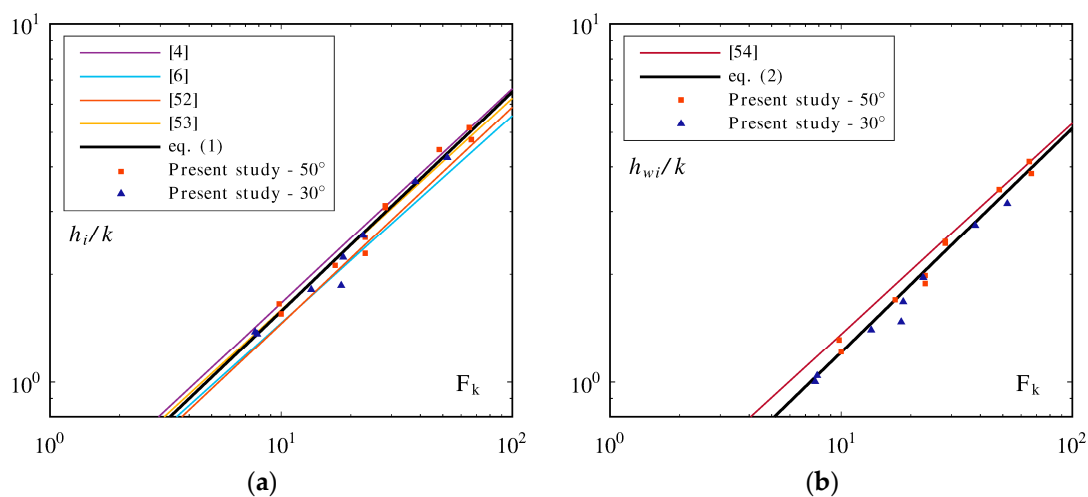
#### 3.1. Reference Tests without Aerator

The inception point was defined where the bottom air concentration reached  $C_b = 0.01$ . The inception position  $x_i$ , inception mixture depth  $h_i$ , inception equivalent blackwater flow depth  $h_{wi}$  and inception average air concentration  $C_{ai}$  were linearly interpolated between the two profiles adjacent to  $C_b = 0.01$ .

The mixture flow depth  $h_i$  at the inception point showed a good agreement with literature [4,6,58,59] (Figure 3a). The two tests slightly below the general trend are the tests with  $F_o = 7.5$  and  $s = 0.06$  m. At this Froude number, the flow was decelerating when reaching the steps (increasing  $h_w$ ). With the step height  $s = 0.06$  m, the inception point occurred during the deceleration, while it occurred after the deceleration phase for  $s = 0.03$  m. These two tests are unusual cases for stepped spillway and were not considered for the inception point flow depth analysis. The following relationship was obtained for  $30^\circ \leq \varphi \leq 50^\circ$  ( $r^2 = 0.993$ )

$$\frac{h_i}{k} = 0.384 \cdot F_k^{0.614} \tag{1}$$

where  $k = s \cdot \cos\varphi$  is the step roughness and  $F_k = q / (gk^3 \sin \varphi)^{0.5}$  the step roughness Froude number.



**Figure 3.** Inception point (a) mixture flow depth  $h_i$ , and (b) equivalent blackwater flow depth  $h_{wi}$ .

The equivalent blackwater flow depth at the inception point  $h_{wi}$  gave slightly smaller depths than [60], which might be explained by a different method to locate the inception point (Figure 3b). The following relationship was obtained for  $30^\circ \leq \varphi \leq 50^\circ$  ( $r^2 = 0.988$ )

$$\frac{h_{wi}}{k} = 0.287 \cdot F_k^{0.626} \tag{2}$$

The average air concentration at the inception point  $C_{ai}$  decreased with an increasing chute angle  $\varphi$  with  $C_{ai} = 0.241$  for  $\varphi = 30^\circ$  and  $C_{ai} = 0.201$  for  $\varphi = 50^\circ$ . The influence of the chute angle  $\varphi$  was previously found by [4] and slightly lower values were obtained in the present study. The results agreed well with  $C_{ai} = 0.20$  found by [58,60] for  $\varphi = 53.1^\circ$ .

The flow depths at the end of the channel agreed well with the uniform mixture depth  $h_{90u}$  and uniform equivalent blackwater flow depth  $h_{wu}$  suggested by [61].

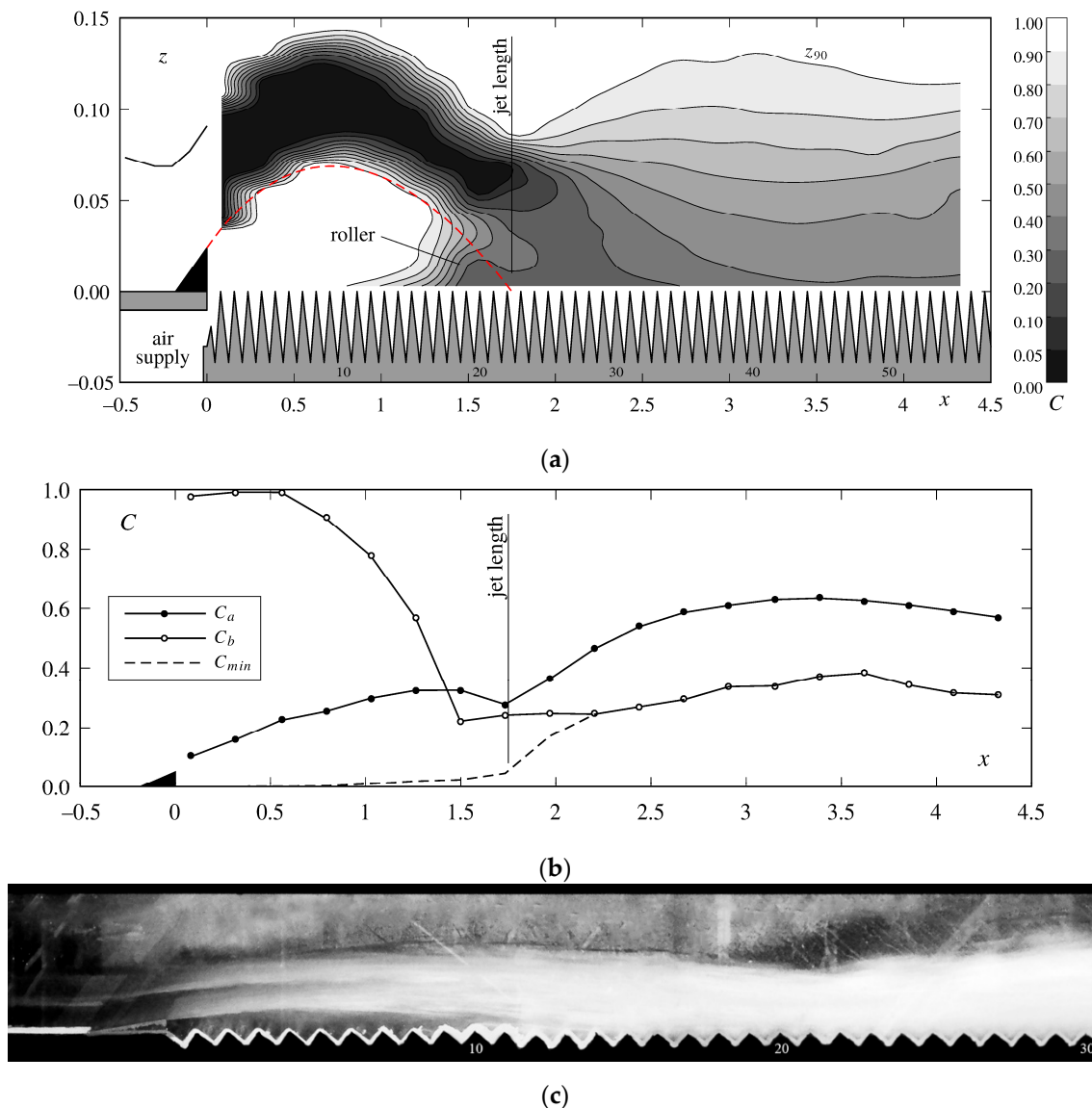
The uniform flow average air concentration  $C_{au}$  appeared to converge to the  $C_{au}$  values of smooth chutes as suggested by [4,62,63]. It signifies that  $C_{au}$  only depended on the chute angle  $\varphi$ . The influence of the step height  $s$  on  $C_{au}$  found in some relationships [4,64] might therefore be the consequence of insufficient flow development due to limited channel lengths. The  $C_{au}$  of [65] was used to normalize  $C_a$  in aerator tests results.

The uniform bottom air concentration  $C_{bu}$  also appeared to depend on  $\varphi$ . A value of  $C_{bu} = 0.17$  is suggested for  $\varphi = 30^\circ$ , and  $C_{bu} = 0.32$  for  $\varphi = 50^\circ$ . These values were used to normalize  $C_b$  in aerator tests results. Compared to smooth chutes [66],  $C_{bu}$  was close for  $\varphi = 30^\circ$  ( $C_{bu} = 0.18$ ), but there was an important difference for  $\varphi = 50^\circ$  ( $C_{bu} = 0.50$ ). The difference either indicates that steps have an influence or that uniform flow was not fully reached.

Based on the development of  $C_a$  and  $C_b$  presented in [38], a length of at least  $X = (x - x_i)/h_c \geq 20$  appeared to be required to reach quasi-uniform flow conditions.

### 3.2. Presentation of a Typical Aerator Test

The air concentration distribution obtained in a typical aerator test is presented in Figure 4a.



**Figure 4.** Typical results of an aerator test (test 20). (a) Air concentration  $C$  distribution and flow surface elevation  $z_{90}$ . The dashed red line shows the lower jet trajectory. The steps are distorted due to the use of different stream-wise and depth-wise scales; (b) Average air concentration  $C_a$ , bottom air concentration  $C_b$  and minimum air concentration  $C_{min}$ ; (c) Photo of the upper part of the channel ( $-0.5 \leq x \leq 2.35$  m).

The deflector is shown with a black triangle at  $x \leq 0$ . Above, the black line is the flow depth measured with the point gauge. Below, the 0.02 m high air slot is visible in the vertical face of the first step. The deflector combined with the aeration underneath allowed for the generation of a free jet. The wavy pattern of the jet surfaces particularly visible for  $0 \leq x \leq 0.5$  m results only from contour interpolation artifacts. The jet was formed of a blackwater core ( $0 \leq C \leq 0.05$ ) with a decreasing thickness in the stream-wise direction as both jet surfaces became gradually aerated. For long and thin jets, the blackwater core ended within the jet, whereas for short and thick jets, the blackwater core ended due to the jet impact on the steps. An air cavity was formed under the jet and allowed for the aeration of the lower jet surface.

The jet length  $L$  was defined via the impact of the lower jet surface trajectory on the pseudo-bottom [38]. A roller was located directly upstream of the jet impact. It was multiple steps high, and higher than that observed on smooth chutes [67,68]. The roller included water ejected from the jet by flow turbulence and the resulting nappe flow on the steps. After the jet impact, spray was formed, which increased the water surface  $z_{90}$  with a maximum at  $x = 3.15$  m in this example. Spray returned to the flow close to the channel end.

The average air concentration  $C_a$  steadily increased along the jet (Figure 4b), and a local maximum was attained at  $x \approx 1.4$  m. The ensuing decrease was due to the inclusion of the roller in the profile and to the jet impact where air was compressed and detrained. A second maximum at  $x \approx 3.15$  m was linked to spray. A gradual convergence towards the uniform average air concentration  $C_{au}$  was then observed although quasi-uniform flow conditions were not exactly reached for this test.

The bottom air concentration was by definition  $C_b = 1$  in the air cavity (Figure 4b). However, there was generally a small number of drops below the jet that slightly decreased  $C_b$ . Upstream of the jet impact, the roller decreased  $C_b$  and a minimum often occurred in the roller (here at  $x = 1.5$  m). After the jet impact, there were various trends that will be described further below. Unlike what was observed for smooth chute aerators,  $C_b$  did not rapidly decrease towards values below a few percent. The minimum air concentration  $C_{min}$  was located in the center of the jet (blackwater core) at the beginning, and was then located at the pseudo-bottom after the jet impact as indicated by the overlap of  $C_b$  and  $C_{min}$ .

Finally, Figure 4c shows a photo of the jet. At takeoff ( $x = 0$ ), both the upper and lower jet surface became white, indicating the onset of aeration. The blackwater core can be seen until step 8. The sidewall locally slowed down the jet and affected the local aeration. The consequence was that the jet length and blackwater core extended further than it is visible in Figure 4a. After the jet impact, spray began as indicated by a rough surface.

### 3.3. Flow Zones

To identify flow zones, the stream-wise coordinate  $x$  was normalized with the jet length  $L$  as used by [48] for smooth chutes. The quasi-uniform flow values identified above in reference tests were used to normalize flow properties (Figure 5). The behavior and zones of stepped chutes were similar to smooth chutes.

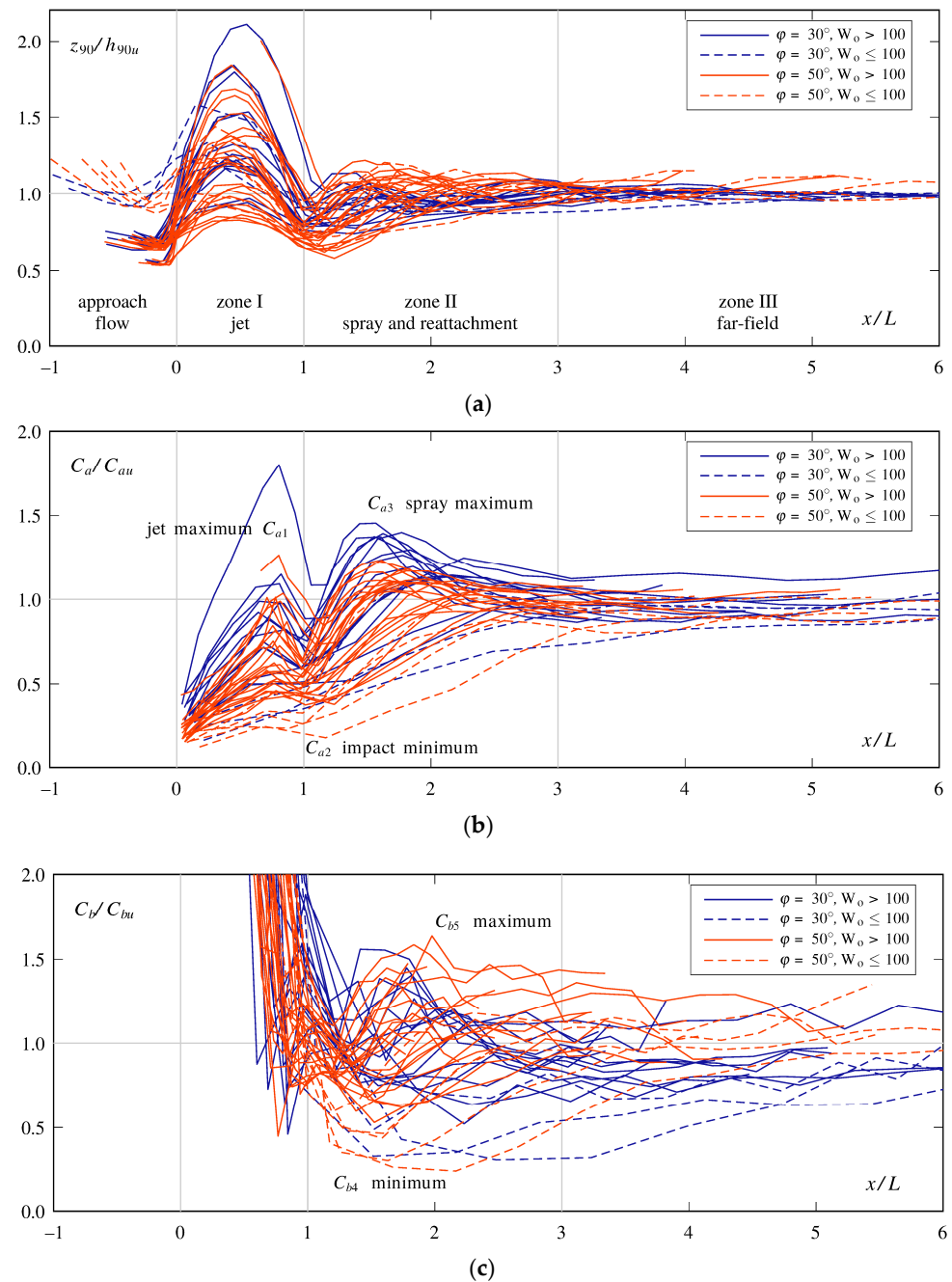
In the approach flow ( $x/L \leq 0$ ), three groups of normalized flow depths  $z_{90}/h_{90u}$  can be distinguished and reflect the three approach flow Froude numbers.

In zone I, the *jet zone* where  $0 \leq x/L \leq 1$ , the flow upper surface elevation was highly affected by the deflector geometry. The average air concentration  $C_a$  gradually increased until a maximum was reached at  $x/L \approx 0.8$ . The inclusion of the roller in the air concentration profiles then decreased  $C_a$  although the air entrainment continued at the surface. A minimum appeared at the jet impact ( $x/L \approx 1$ ) due to flow compression and air detrainment around the jet impact. The bottom air concentration was  $C_b \approx 1$  in the jet zone for  $x/L < 0.6$  due to the air cavity. The roller was then encountered, which very rapidly decreased  $C_b$ . At the jet impact,  $C_b$  was around the quasi-uniform bottom air concentration  $C_{bu}$ .



In zone II, the *spray and reattachment zone* where  $1 \leq x/L \leq 3$ , the flow upper surface elevation showed fluctuations due to the jet impact and spray. The average air concentration  $C_a$  rapidly increased directly after the jet impact. A majority of tests reached a second  $C_a$  maximum in this zone. A decrease of the bottom air concentration  $C_b$  below  $C_{bu}$  was observed following the jet impact. Some tests showed a  $C_b$  minimum and a maximum before reaching  $C_{bu}$  while others directly stabilized around  $C_{bu}$ . Tests with  $F_o = 3.2$  had a Weber number  $W_o \approx 88$  and showed a different trend in  $C_b$  likely due to scale effects.

In zone III, the *far-field zone* where  $x/L \geq 3$ , the flow characteristics stabilized and tended towards the quasi-uniform values observed in chutes without an aerator.



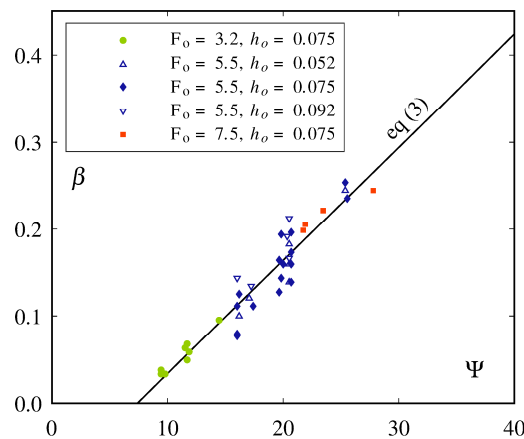
**Figure 5.** Development of the (a) flow surface elevation  $z_{90}$ , (b) average air concentration  $C_a$  and (c) bottom air concentration  $C_b$  in the different flow zones along the chute. Blue lines are tests with  $\varphi = 30^\circ$  and orange lines are tests with  $\varphi = 50^\circ$ .

### 3.4. Air Entrainment Coefficient

The air entrainment coefficient  $\beta = q_A/q$ —the ratio of specific air discharge  $q_A$  to specific water discharge  $q$ —is commonly expressed as a function of the relative jet length  $L/h_o$ . Since the jet length  $L$  is itself a function of the chute, flow and deflector characteristics, the air entrainment coefficient can be empirically derived from these characteristics. The following relationship best described the air entrainment coefficient for stepped chute aerators ( $r^2 = 0.906$ , Figure 6)

$$\beta = 0.013 \cdot F_o \cdot (1 + \sin \varphi)^{1.5} \cdot (1 + \tan \alpha)^3 - 0.096, \tag{3}$$

where  $F_o$  is the approach Froude number,  $\varphi$  the chute angle and  $\alpha$  the deflector angle.



**Figure 6.** Air entrainment coefficient  $\beta$  as a function of  $\Psi = F_o \cdot (1 + \sin \varphi)^{1.5} \cdot (1 + \tan \alpha)^3$ .

The approach flow Froude number  $F_o$  and deflector angle  $\alpha$  were used by [24] for smooth chutes. The addition of the chute angle  $\varphi$  for stepped chutes indicated that the process of air entrainment depended on  $\varphi$  with the presence of steps. The relative deflector height  $t/h_o$  had only a marginal an effect on  $\beta$ , and the relative step height  $s/h_o$  had an even smaller effect.

No satisfactory relationship providing  $\beta$  for both smooth and stepped chutes could be obtained due to the small influence of  $s/h_o$ , which is the only characteristic that differed in the two chute types. Ref. [69] showed that air detrainment is related to the jet impact angle  $\gamma$  on the chute bottom. On stepped chutes, the jet impacted the horizontal face of the steps whereas on smooth chutes the jet impacts a surface at an angle of  $\varphi$ . This likely important difference cannot be considered with  $s/h_o$ . A different process of air entrainment, detrainment and circulation in the cavity might occur, especially since the roller seemed to extend further upstream on the stepped chute.

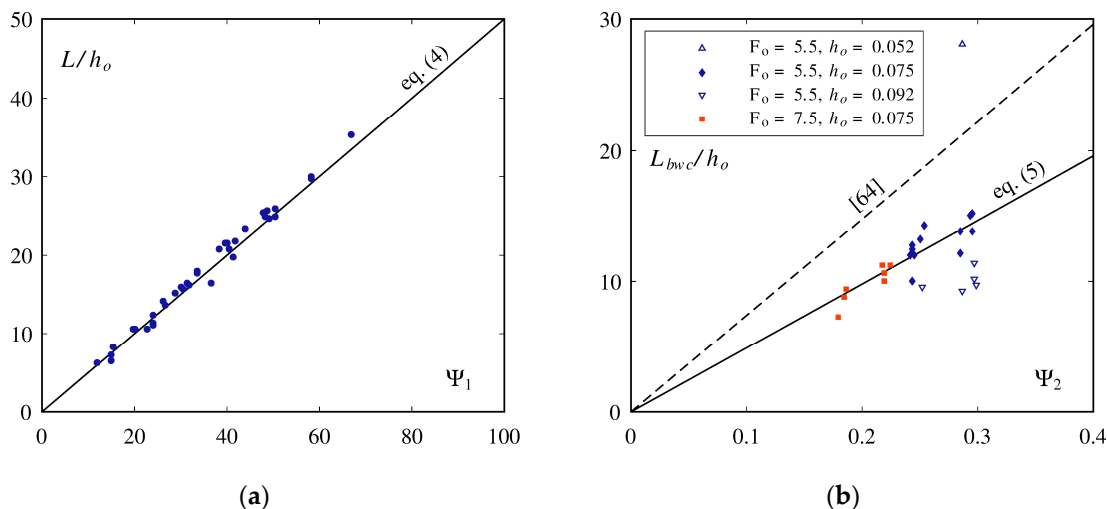
Equation (3) shows that for a deflector with  $\alpha = 10^\circ$  air entrainment might start at  $F_o = 2.0$  for  $\varphi = 50^\circ$  and at  $F_o = 2.5$  for  $\varphi = 30^\circ$ . However, these values were not tested on the model.

### 3.5. Jet Characteristics

A common approach to express the relative jet length  $L/h_o$  as a function of the basic parameters was investigated and the following relationship was obtained for a small sub-pressure (i.e.,  $\Delta p/(\rho gh_o) \leq 0.1$ ,  $r^2 = 0.979$ , Figure 7a)

$$\frac{L}{h_o} = 0.5 \cdot (1 + \sin \varphi)^2 \cdot (1 + \tan \alpha)^4 \cdot F_o^{1.4} \cdot (t/h_o)^{0.4} \tag{4}$$

which is valid for  $0^\circ \leq \varphi \leq 50^\circ$ ,  $3.2 \leq F_o \leq 10.4$ ,  $5.7^\circ \leq \alpha \leq 20^\circ$ ,  $0.10 \leq t/h_o \leq 1.65$  and  $\Delta p/(\rho gh_o) \leq 0.1$ . An alternative method based on jet trajectories using a ballistic parabola was presented in [38].



**Figure 7.** (a) Relative jet length  $L/h_o$  as a function of  $\Psi_1 = (1 + \sin \varphi)^2 \cdot (1 + \tan \alpha)^4 \cdot F_o^{1.4} \cdot (t/h_o)^{0.4}$ ; (b) Relative blackwater core length  $L_{bwc}/h_o$  as a function of  $\Psi_2 = F_o^{-1} \cdot (1 + \tan \alpha)^{-0.5} \cdot (1 + \sin \varphi)$ .

The relative jet blackwater core length  $L_{bwc}/h_o$  for the present study was shorter than the estimation obtained from [70] (Figure 7b). All tests with  $F_o = 3.2$  and all tests with  $h_o = 0.052$  m except one (test 34 with the steepest deflector) still had a blackwater core at the jet impact and therefore no  $L_{bwc}$  could be calculated. The results with  $F_o = 5.5$  showed that  $h_o$  still had an influence on  $L_{bwc}/h_o$ , which was likely an effect of a different approach flow turbulence for each  $h_o$ . The slope of the [70] relationship could be adapted with satisfactory results to ( $r^2 = 0.798$ )

$$\frac{L_{bwc}}{h_o} = 49 \cdot F_o^{-1} \cdot (1 + \tan \alpha)^{-0.5} \cdot (1 + \sin \varphi) \tag{5}$$

### 3.6. Development of Air Concentrations Downstream of the Aerator

#### 3.6.1. Average Air Concentration

The development of the average air concentration  $C_a$  downstream of the aerator showed common characteristics with three distinct extrema (Figure 5b). The first was a maximum shortly before the jet impact. This point will be referred further as the *jet maximum* with its streamwise coordinate  $x_1$  and average air concentration  $C_{a1}$ . It was quickly followed by an *impact minimum* close to the jet impact ( $x_2$  and  $C_{a2}$ ). The average air concentration increased again in the spray zone to a second maximum, the *spray maximum* ( $x_3$  and  $C_{a3}$ ). Finally, the average air concentration tended towards the quasi-uniform average air concentration  $C_{au}$ .

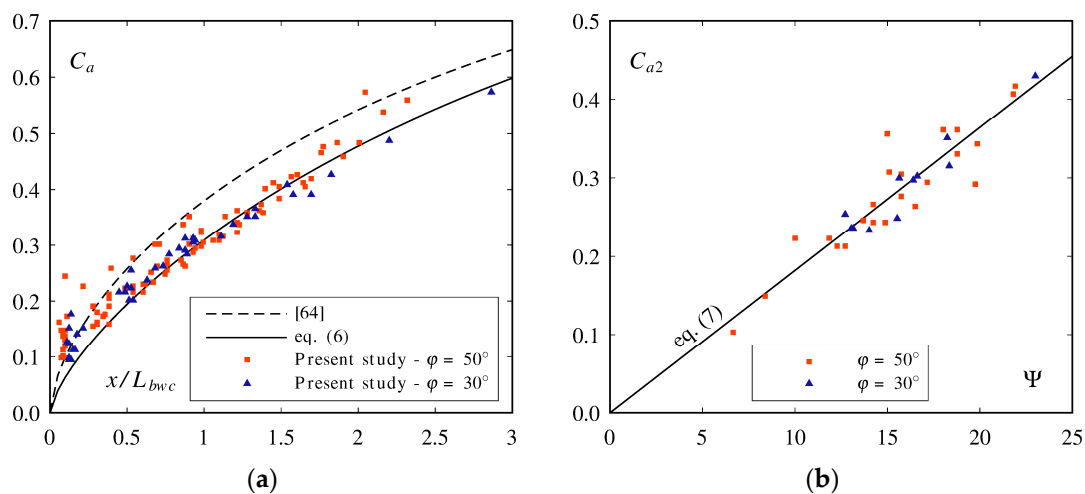
The position of the jet maximum was on average  $x_1/L = 0.78$ . The  $C_a$  development in the jet is a function of the blackwater core length  $L_{bwc}$  (Figure 8a). The data agreed with [70] with slightly lower values. An adapted relationship was found for  $x/L_{bwc} < 3$

$$C_a = \tanh\left(0.32(x/L_{bwc})^{0.7}\right) \tag{6}$$

The jet maximum average air concentration  $C_{a1}$  can be determined by substituting  $x_1 = 0.78 L$  and  $L_{bwc}$  from Equation (5) in Equation (6).

The position of the impact minimum was in average  $x_2/L = 1.03$ . The minimum average air concentration  $C_{a2}$  was related to the relative jet length  $L/h_o$  and could be better expressed directly from the base parameters ( $r^2 = 0.863$ , Figure 8b)

$$C_{a2} = 0.812 \cdot (1 + \sin \varphi)^{1.1} \cdot (1 + \tan \alpha)^{2.5} \cdot F_o^{1.1} \cdot (t/h_o)^{0.15} \tag{7}$$

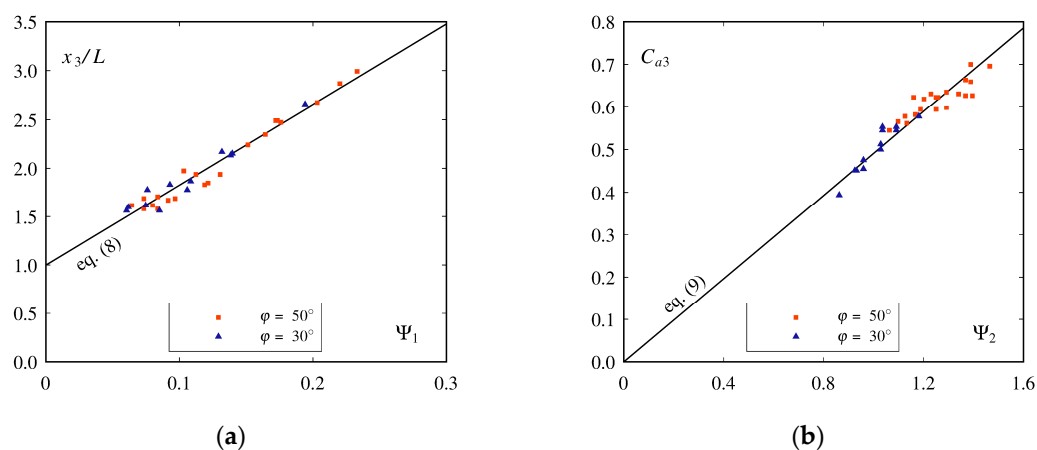


**Figure 8.** (a) Development of the average air concentration  $C_a$  in the jet as a function of the streamwise position  $x$  relative to the blackwater core length  $L_{bwc}$ . Only points upstream of the jet maximum were used ( $x \leq x_1$ ); (b) Impact minimum average air concentration  $C_{a2}$  as a function of  $\Psi = (1 + \sin \varphi)^{1.1} \cdot (1 + \tan \alpha)^{2.5} \cdot F_0^{1.1} \cdot (t/h_0)^{0.15}$ .

On smooth chutes, the air detrainment at the jet impact was related to the jet impact angle  $\gamma$  [69,71]. On stepped chutes, there was no correlation of the air detrainment with  $\gamma$  and no relationship could be derived.

Unlike the two previous extrema, the spray maximum streamwise coordinate  $x_3$  varied for each test. A few tests were excluded of the analysis because the jet was too long and the spray maximum was not reached before the end of the channel, or the spray maximum was not well defined. The coordinate  $x_3/L$  was somewhat correlated with the relative jet length  $L/h_0$ , with  $x_3/L$  decreasing for long  $L/h_0$ . The following relationship was obtained for  $1.5 \leq x_3/L \leq 3$  ( $r^2 = 0.958$ , Figure 9a)

$$\frac{x_3}{L} = 1 + 8.26 \cdot (1 + \sin \varphi)^{-1} \cdot (1 + \tan \alpha)^{-5} \cdot F_0^{-0.75} \cdot (t/h_0)^{-0.55} \cdot (s/h_0)^{0.3} \quad (8)$$



**Figure 9.** Spray maximum characteristics: (a) relative streamwise position  $x_3/L$  as a function of  $\Psi_1 = (1 + \sin \varphi)^{-1} \cdot (1 + \tan \alpha)^{-5} \cdot F_0^{-0.75} \cdot (t/h_0)^{-0.55} \cdot (s/h_0)^{0.3}$ , and (b) average air concentration  $C_{a3}$  as a function of  $\Psi_2 = \sin^{0.7} \varphi \cdot (1 + \tan \alpha) \cdot F_0^{0.25} \cdot (t/h_0)^{0.15}$ .

The relationships starts at  $x_3/L = 1$  which is the jet impact point. The four first parameters also influence the jet length  $L$ ; thus, the negative exponents indicate that  $x_3$  increases proportionally less than  $L$  when one of the four parameters increases. A larger

relative step height  $s/h_0$  increases  $x_3/L$  which suggests a dampening of the deflection at the jet impact by high steps.

The spray maximum average air concentration  $C_{a3}$  can be obtained from ( $r^2 = 0.876$ , Figure 9b)

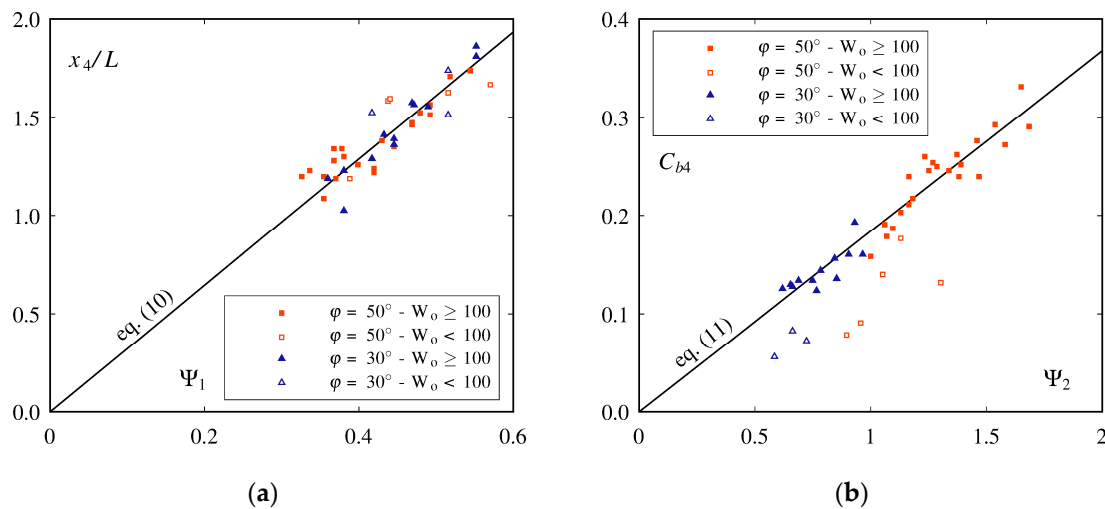
$$C_{a3} = 0.491 \cdot \sin^{0.7} \varphi \cdot (1 + \tan \alpha) \cdot F_o^{0.25} \cdot (t/h_0)^{0.15} \tag{9}$$

### 3.6.2. Bottom Air Concentration

Trends in the bottom air concentration  $C_b$  were less distinct than for  $C_a$  (Figure 5c). The bottom air concentration decreased along  $0.5 \leq x/L \leq 1$  due to the roller. Some tests showed a *roller minimum*. This location was not considered critical for cavitation since  $C_b > 0.1$  and the flow velocities were small. After the jet impact at  $x/L = 1$ ,  $C_b$  showed a rapid but continuous decrease similar to observations on smooth chute aerators, until a *minimum* was reached ( $x_4$  and  $C_{b4}$ ). From the minimum,  $C_b$  increased to a *maximum* ( $x_5$  and  $C_{b5}$ ), which was more pronounced for  $\varphi = 50^\circ$  than for  $\varphi = 30^\circ$ . The maximum was not always distinct, especially for  $\varphi = 30^\circ$ . After the maximum,  $C_b$  tended towards the quasi-uniform bottom air concentration  $C_{bu}$ .

Similarly to  $x_3$ , the streamwise coordinate of the minimum  $x_4/L$  was correlated with  $L/h_0$ . The minimum  $x_4$  was close to the jet impact for long  $L/h_0$ , and further away for shorter  $L/h_0$ . A relationship for  $x_4$  was obtained with the four parameters characterizing the jet length ( $r^2 = 0.841$ , Figure 10a)

$$\frac{x_4}{L} = 3.22 \cdot (1 + \sin \varphi)^{-1} \cdot (1 + \tan \alpha)^{-1.75} \cdot F_o^{-0.17} \cdot (t/h_0)^{-0.23} \tag{10}$$



**Figure 10.** Bottom minimum characteristics: (a) relative streamwise position  $x_4/L$  as a function of  $\Psi_1 = (1 + \sin \varphi)^{-1} \cdot (1 + \tan \alpha)^{-1.75} \cdot F_o^{-0.17} \cdot (t/h_0)^{-0.23}$ , and (b) bottom air concentration  $C_{b4}$  as a function of  $\Psi_2 = \sin^{1.4} \varphi \cdot (1 + \tan \alpha) \cdot F_o^{0.3} \cdot (t/h_0)^{0.15} \cdot (s/h_0)^{-0.3}$ .

The minimum bottom air concentration  $C_{b4}$  was obtained for  $1 \leq x_4/L \leq 2$  from ( $r^2 = 0.924$ , Figure 10b)

$$C_{b4} = 0.184 \cdot \sin^{1.4} \varphi \cdot (1 + \tan \alpha) \cdot F_o^{0.3} \cdot (t/h_0)^{0.15} \cdot (s/h_0)^{-0.3} \tag{11}$$

Tests with  $W_o < 100$  showed measured values as low as half the estimation of Equation (11) indicating scale effects that are discussed further below.



As for  $x_3$  and  $x_4$ , the streamwise coordinate of the minimum  $x_5/L$  was correlated with  $L/h_0$ . Many tests with  $\varphi = 30^\circ$  did not show a distinct maximum and were therefore excluded. A relationship for  $x_5$  was obtained for  $1.4 \leq x_5/L \leq 3.5$  ( $r^2 = 0.845$ , Figure 11a)

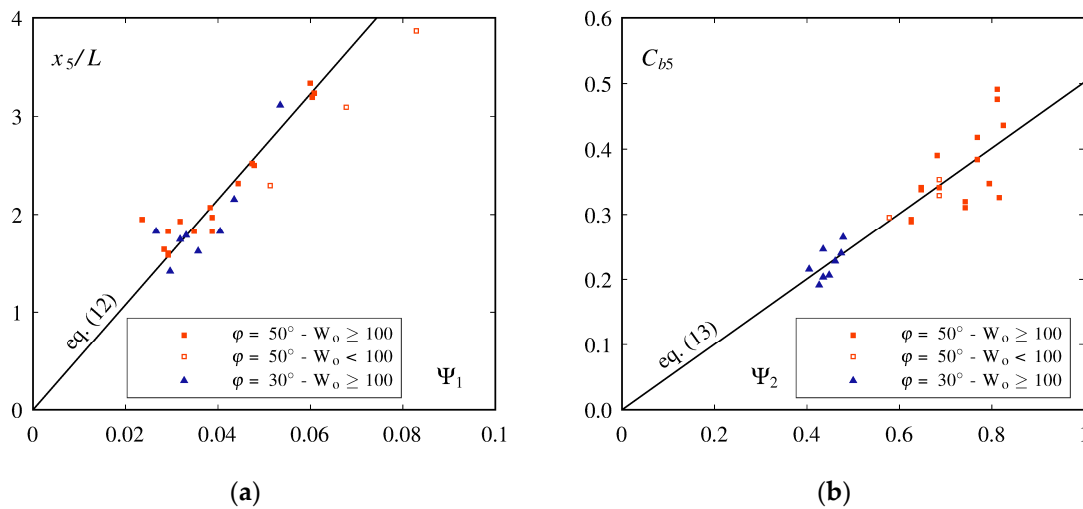
$$\frac{x_5}{L} = 53.8 \cdot (1 + \sin \varphi)^{-2} \cdot (1 + \tan \alpha)^{-4} \cdot F_o^{-1} \cdot (t/h_0)^{-0.3} \cdot (s/h_0)^{0.3} \quad (12)$$

The relative deflector height  $t/h_0$  and relative step height  $s/h_0$  have opposite exponents and can be combined to  $s/t$ .

The maximum bottom air concentration  $C_{b5}$  was obtained from ( $r^2 = 0.782$ , Figure 11b)

$$C_{b5} = 0.502 \cdot \sin^{1.5} \varphi \cdot F_o^{0.2} \cdot (t/h_0)^{0.25} \cdot (s/h_0)^{-0.1} \quad (13)$$

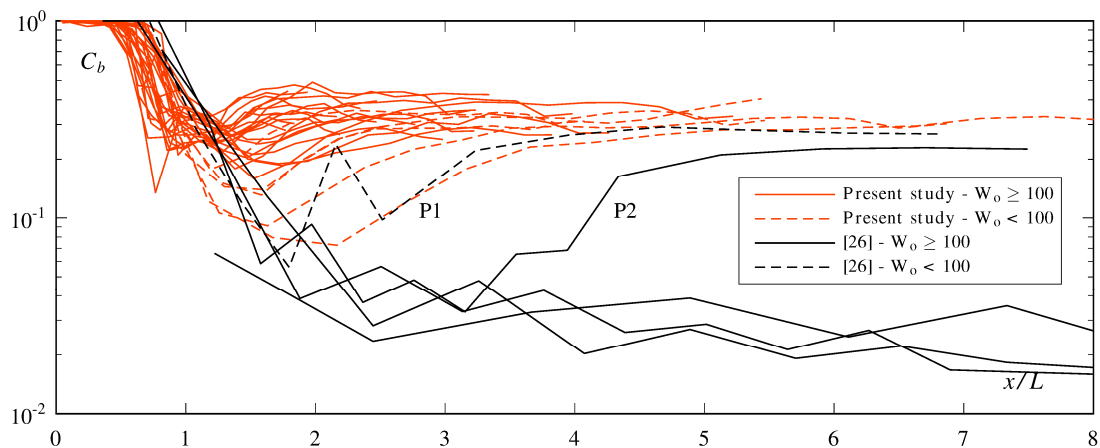
Quasi-uniform flow was considered to be attained at  $x/L \geq 4$ .



**Figure 11.** Bottom maximum characteristics: (a) relative streamwise position  $x_5/L$  as a function of  $\Psi_1 = (1 + \sin \varphi)^{-2} \cdot (1 + \tan \alpha)^{-4} \cdot F_o^{-1} \cdot (t/h_0)^{-0.3} \cdot (s/h_0)^{0.3}$ , and (b) bottom air concentration  $C_{b5}$  as a function of  $\Psi_2 = \sin^{1.5} \varphi \cdot F_o^{0.2} \cdot (t/h_0)^{0.25} \cdot (s/h_0)^{-0.1}$ .

### 3.6.3. Comparison with Preliminary Tests

The present study tests are compared in terms of bottom air concentration with the tests of [26] in Figure 12. The difference between the two datasets is explained by a partial aeration of the flow in the tests by [26]. In the latter, the aerator only had a local effect close to the bottom. This performance difference can be explained by a smaller relative deflector height  $0.04 \leq t/h_0 \leq 0.24$  in [26] compared to  $0.16 \leq t/h_0 \leq 0.60$  for the present study. [57] showed that a deflector generates turbulence in the flow. A small deflector likely created less turbulence than a larger one, and therefore less air is entrained. It also shortened the relative jet length  $L/h_0$ , with values ranging from  $0.5 \leq L/h_0 \leq 10.3$  for [26] compared to  $6.3 \leq L/h_0 \leq 44$  for the present study, which further reduced air entrainment. Due to the partial aeration, the air was gradually detrained as observed for smooth chutes [24,25] until the entire depth became fully aerated from the surface (inception point). These results demonstrate minimal deflector dimension requirements to obtain the relatively high  $C_b$  observed in the present study. It is recommended to respect  $\alpha \geq 5.71^\circ$  for deflector angle,  $t/h_0 \geq 0.16$  for the relative deflector height and  $L/h_0 \geq 8$  for the jet length.



**Figure 12.** Bottom air concentration  $C_b$  comparison of all present study tests for  $\varphi = 50^\circ$  with those of [26].

#### 3.6.4. Scale Effects

Tests with  $F_o = 3.2$  ( $W_o = 88$  and  $R_o = 2.05 \times 10^5$ ) showed a significant underestimation of the minimum bottom air concentration  $C_{b4}$  due to scale effects (Figure 10). However, they showed no clear evidence of scale effects for the maximum bottom air concentration  $C_{b5}$  (some tests did not show a maximum) or the general development of the average air concentration  $C_a$ . Tests with the same unit discharge  $q = 0.205 \text{ m}^3/\text{s}$  but with a higher Froude number  $F_o = 5.5$  ( $R_o = 2.05 \times 10^5$  and  $W_o = 106$ ) showed no clear evidence of scale effects for  $C_a$  or  $C_b$ . Therefore, values of  $W_o \geq 100$  and  $R_o \geq 2 \times 10^5$  are suggested to limit scale effects. It highlights that a Reynolds number limit is not sufficient for  $F_o < 5$  as explained by [72].

#### 3.6.5. Cavitation Damages Protection

Excluding tests with scale effects, a minimum bottom air concentration  $C_b = 0.09$  was observed for  $\varphi = 30^\circ$  and  $C_b = 0.16$  for  $\varphi = 50^\circ$ . These bottom air concentrations were higher than the air concentrations observed by [13–15] to limit cavitation damages. For the configurations tested, a stepped spillway was fully protected against cavitation damages downstream of one single aerator.

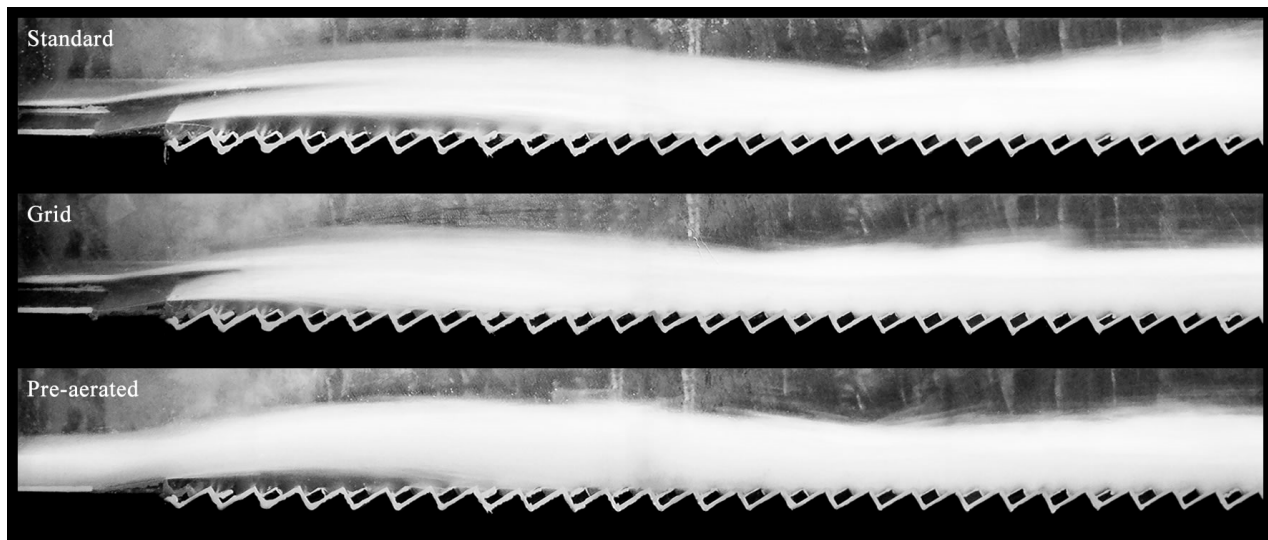
#### 3.7. Effect of Turbulence and Pre-Aeration

In addition to the six parameters varied, literature shows that turbulence has an influence on air entrainment [51,57]. Having steps upstream of the aerator instead of the smooth bottom used in the model would increase turbulence. To qualitatively evaluate the influence of increased turbulence on the aerator performance, a grid was attached to increase the bottom roughness. Holes in the grid were cut around the pressure transducers. It reduced the average pressure upstream of the deflector by 15 to 35% (helping dissipate the remaining jet-box pressure) and increased root mean square of the pressure fluctuations by 40 to 60% compared to tests without the grid.

In special circumstances, for example if another aerator upstream is present or for small discharges, the approach flow can be partially aerated. Two tests were performed to evaluate the resulting aerator performance. Pressurized air was injected 15 m upstream of the jet-box to ensure a homogeneous distribution within the flow. An air concentration profile was measured at  $x = 0$  m without a deflector and the jet-box opening height was iterated until the equivalent blackwater depth reached  $h_w = 0.075$  m. For both tests, a unit air discharge of  $0.080 \text{ m}^2/\text{s}$  was measured at  $x = 0$  m. The deflector was then installed, and the test started.

Compared visually to the standard test (Figure 13), the grid test showed an increase in the jet spread revealed by a shorter blackwater core and an impact of the lower jet surface

on the pseudo-bottom closer to the aerator. Less spray was observed for the grid test downstream of the jet impact. The pre-aerated test showed exclusively whitewater. No distinct difference could be observed on the upper jet surface, while a slightly larger spread was visible for the lower jet surface. This spread was lower than that of the grid test. As for the grid test, less spray was observed downstream of the jet impact as compared to the standard test.



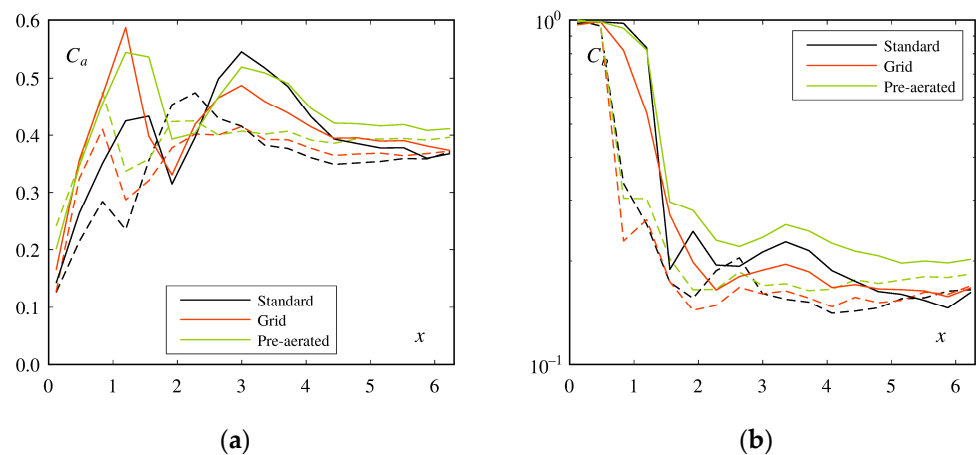
**Figure 13.** Visual comparison of the standard, grid and pre-aerated tests (respectively tests 58, 65 and 68) for  $F_o = 7.3\text{--}7.5$ .

Both the grid and pre-aerated tests showed a decrease in the jet length  $L$  as well as an increase in the air entrainment coefficient  $\beta$  and air cavity sub-pressure  $\Delta p/(\rho gh_o)$  as compared to the standard tests (Table 2). This was due to a higher turbulence that enhanced the jet spread.

**Table 2.** Jet characteristics of standard, grid and pre-aerated aerator tests.

Test	Type	$F_o$ (-)	$L$ (m)	$\beta$ (-)	$\Delta p/(\rho gh_o)$ (-)
57	standard	5.49	1.22	0.112	0.00
64	grid	5.52	1.00	0.216	0.04
67	pre-aerated	5.54	0.95	0.149	0.01
58	standard	7.51	1.93	0.207	0.07
65	grid	7.29	1.56	0.297	0.16
68	pre-aerated	7.47	1.66	0.244	0.09

The average air concentration  $C_a$  was significantly increased in the jet for the grid and pre-aerated tests as compared to the standard tests, which lead to a higher jet maximum  $C_{a1}$  (Figure 14a). The impact minimum  $C_{a2}$  was, however, not proportionally increased. The spray maximum  $C_{a3}$  was reduced for the pre-aerated and grid tests, suggesting a dampening of the jet impact and the resulting spray by a higher air concentration in the jet. In the far-field zone, the grid tests were similar to the standard tests, whereas the pre-aerated flow tests had a higher  $C_a$ .



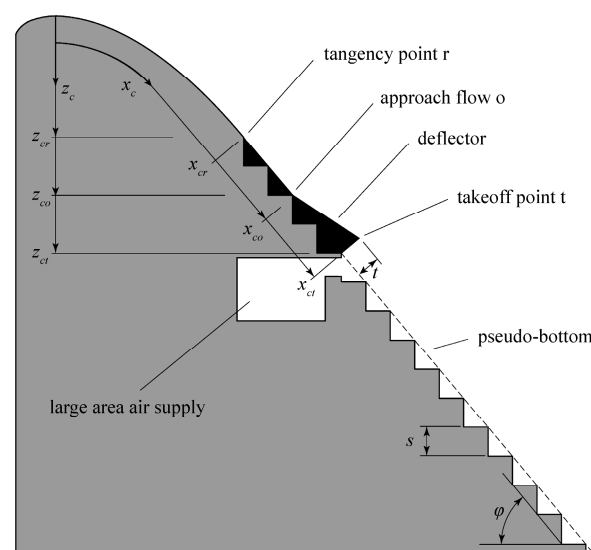
**Figure 14.** Development of (a) the average air concentration  $C_a$ , and (b) the bottom air concentration  $C_b$  along the stream-wise coordinate  $x$  (m) for the standard, the grid and the pre-aerated tests. Continues lines (—) are tests at  $F_o = 7.3-7.5$  and dashed lines (---) are tests at  $F_o = 5.5$ .

The bottom air concentration  $C_b$  was decreased at the minimum  $C_{b4}$  and maximum  $C_{b5}$  compared to the standard tests (Figure 14b). For the pre-aerated tests,  $C_b$  was increased at the minimum  $C_{b4}$  and there was no clear trend for the maximum  $C_{b5}$  (decrease for  $F_o = 5.5$  and increase for  $F_o = 7.5$ ).

In the far-field zone, the grid tests did not show a significant difference as compared to the standard tests while the pre-aerated tests appeared to converge faster to quasi-uniform flow conditions.

#### 4. Design Example

An example is given to demonstrate the application of the results obtained. An aerator is planned on a stepped chute with a unit design discharge  $q_D = 60 \text{ m}^2/\text{s}$ , a chute angle  $\varphi = 50^\circ$  and a step height  $s = 1.5 \text{ m}$ . The spillway includes first a smooth standard ogee crest [73] (Figure 15). The ogee design head is  $H_D = 9.09 \text{ m}$  and the natural air self-inception point would be located at a distance  $L_i = 70.8 \text{ m}$  from the crest according to [60].



**Figure 15.** Definition scheme of the design example showing the upper part of the stepped chute in the vicinity of the aerator.

The tangency point is located at  $x_{cr} = 14.97 \text{ m}$  and  $z_{cr} = 7.89 \text{ m}$ , which is far upstream of the inception point. Based on [73], at the tangency point the flow depth is  $h_{cr} = 3.49 \text{ m}$

and the bottom pressure  $p_r/(\rho g) = 0.50$  m. This leads to a mean flow velocity  $u_r = q/h_r = 17.2$  m/s, a Froude number  $F_r = 2.94$  and a head  $H_r = 7.67$  m. Considering an atmospheric pressure  $p_{atm} = 101$  kPa and a vapor pressure  $p_v = 1.23$  kPa, the cavitation index is  $\sigma_r = 0.71$ .

The bottom pressure is reduced on the ogee due to convex curvilinear flow but is assumed to be hydrostatic downstream of the tangency point. This leads to a discontinuity at the tangency point: the bottom pressure increases from  $p_r/(\rho g) = 0.50$  m to 2.43 m, the flow depth from  $h_r = 3.49$  m to 3.79 m, and the cavitation index from  $\sigma_r = 0.71$  to 0.98.

The first two steps are filled to have a smooth bottom and accelerate the flow to provoke a better aerator performance as well as flow conditions inside the parameter range of the present study. The drawdown curve is calculated until the second filled step edge ( $x_{co} = 18.90$  m and  $z_{co} = 10.89$  m) using a Strickler coefficient  $K = 70$  m<sup>1/3</sup>/s. The approach flow values obtained are  $F_o = 3.13$  and  $h_o = 3.13$  m.

A deflector with an angle  $\alpha = 9.46^\circ$  (slope of 1:6) and height  $t = 0.65$  m (relative height  $t/h_o = 0.19$ ) is chosen. The deflector takes the length of two steps and its downstream end is aligned with a step edge ( $x_{ct} = 22.80$  m and  $z_{ct} = 13.89$  m), resulting in the configuration shown in Figure 15.

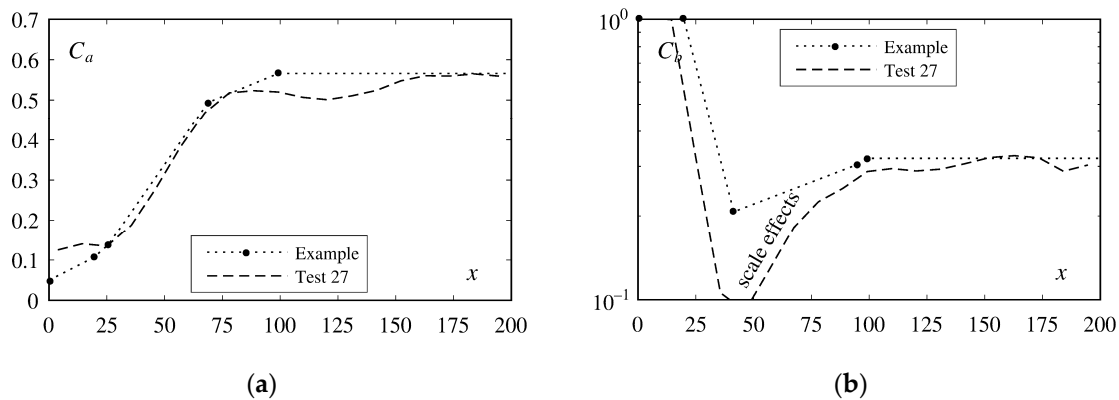
The aerator parameters as well as the jet characteristics and the air concentration extrema obtained with the relationships presented in this article are shown in Table 3. The results are compared with test 27 using a geometrical scale factor  $\lambda = 45$ . The six base parameters are nearly identical except for the step height  $s$ , which only has a minor overall influence. The calculated jet length is shorter than the scaled test 27. The  $C_a$  development is well described by the three extrema (Figure 16a) whereas the  $C_b$  development cannot be compared due to scale effects of the model test ( $R_o = 2.03 \times 10^5$  and  $W_o = 87$ , Figure 16b). Quasi-uniform flow is reached 91.2 m after the aerator or 114 m downstream from the crest. Finally, it is important to design the air supply system in a way to limit the cavity sub-pressure to  $\Delta p/(\rho g h_o) \leq 0.1$  to obtain the aerator performance described above, and to include an efficient drainage system.

**Table 3.** Design example characteristics compared to test 27 using a scale factor  $\lambda = 45$ .

Characteristic	Equation	Example	Test 27	Unit
Chute angle $\varphi$		50	50	°
Step height $s$		1.5	2.7	m
Approach flow Froude number $F_o$		3.13	3.16	-
Approach flow depth $h_o$		3.35	3.38	m
Deflector angle $\alpha$		9.46	9.46	°
Deflector height $t$		0.65	0.68	m
Unit discharge $q$		60	61.3	m <sup>2</sup> /s
Relative step height $s/h_o$		0.46	0.80	-
Relative deflector height $t/h_o$		0.19	0.20	-
Air entrainment coefficient $\beta$	(3)	0.056	0.050	-
Jet length $L$	(4)	24.8	28.3	m
Blackwater core length $L_{bwc}$	(5)	85.8 <sup>1</sup>	-	m
Jet maximum coordinate $x_1$		19.3	14.4	m
Jet maximum average air concentration $C_{a1}$	(6)	0.11	0.14	-
Impact minimum coordinate $x_2$		25.5	25.0	m
Impact minimum average air concentration $C_{a2}$	(7)	0.14	0.13	-
Spray maximum coordinate $x_3$	(8)	68.9	78.0	m
Spray maximum average air concentration $C_{a3}$	(9)	0.49	0.52	-
Minimum coordinate $x_4$	(10)	41.4	- <sup>2</sup>	m
Minimum bottom air concentration $C_{b4}$	(11)	0.21	- <sup>2</sup>	-
Maximum coordinate $x_5$	(12)	94.8	- <sup>2</sup>	m
Minimum bottom air concentration $C_{b5}$	(13)	0.30	- <sup>2</sup>	-
Quasi-uniform flow conditions $x_u$		99.1	-	m

<sup>1</sup> Theoretical value as the jet impact ends the blackwater core. <sup>2</sup> No values due to scale effects.





**Figure 16.** Comparison of the air concentration development between the example and test 27 (a) Average air concentration  $C_a$ ; (b) Bottom air concentration  $C_b$ . Note that test 27 showed scale effects. The dotted segments between the extrema for the example are only indicative.

For lower specific discharges than  $q_D$ , the aerator approach Froude number  $F_o$  would increase, leading to a higher air entrainment coefficient  $\beta$  and a similar jet length  $L$ . The average air concentration extrema  $C_{a1}$ ,  $C_{a2}$  and  $C_{a3}$  would increase. The minimum bottom air concentration  $C_{b4}$  would be approximately stable as the increase of the  $F_o$  and  $t/h_o$  terms is compensated by a decrease of the  $s/h_o$  term. The maximum bottom air concentration  $C_{b5}$  would increase.

Without the aerator and filled steps, the critical cavitation index  $\sigma_c = 0.70$  of stepped chutes would be reached shortly downstream of the third step edge considering a friction factor  $f = 0.1$  for the stepped bottom drawdown.

## 5. Conclusions

The performance of a stepped spillway aerator using a deflector was for the first time systematically investigated by varying the governing parameters on a large-scale physical model. The following conclusions were drawn from aerator tests:

- Three zones can be identified as a function of the jet length  $L$ : (i) jet zone for  $0 \leq x/L \leq 1$ , (ii) spray and reattachment zone for  $1 \leq x/L \leq 3$  and (iii) far-field zone for  $x/L \geq 3$ . They are similar to the zones observed on smooth chutes;
- The air entrainment coefficient  $\beta$  is mainly influenced by the chute angle  $\varphi$ , the approach flow Froude number  $F_o$  and the deflector angle  $\alpha$ . For  $\varphi = 50^\circ$  and  $\alpha = 10^\circ$ , air entrainment may start at values of  $F_o = 2.0$ ;
- Relationships are presented for the jet length  $L$ ;
- Relationships are presented for the three identified average air concentration  $C_a$  extrema and two bottom air concentration  $C_b$  extrema, which allow to characterize the flow development downstream of the aerator. Unlike smooth chutes, there appears to be no significant air detrainment in the far-field zone as the flow rapidly ( $x/L \geq 4$ ) converges to quasi-uniform conditions. One aerator seems thus sufficient to aerate the entire chute;
- The lowest  $C_b$  measured at the step edges in all tests is higher than the air concentration recommended by literature to protect against cavitation damages. A deflector aerator is sufficient to provide protection of a stepped spillway when the parameter ranges given below are respected;
- Increased turbulence in the approach flow increases  $\beta$  and has a minor effect on  $C_a$  and  $C_b$ ;
- Scale effects are observed on the bottom air concentration  $C_b$  for tests with  $F_o = 3.2$  and  $W_o \approx 88$ , while no distinct effects are observed for the tests with  $F_o = 5.5$  and  $W_o \approx 106$ . An approach flow Weber number  $W_o \geq 100$  is therefore recommended to limit scale effects.

Due to potential cavitation damages on stepped spillways, an aerator is required for high specific discharges ( $q \geq 30 \text{ m}^3/\text{s}/\text{m}$ ) as one of the most efficient mitigation measures. The results of the present study allow for the design of a stepped spillways aerator and an example was provided. The results of the study apply within the following parameter ranges:  $30^\circ \leq \varphi \leq 50^\circ$ ,  $0.32 \leq s/h_0 \leq 1.16$ ,  $3.2 \leq F_o \leq 7.5$ ,  $5.7^\circ \leq \alpha \leq 14^\circ$ ,  $0.16 \leq t/h_0 \leq 0.60$ ,  $\Delta p/(\rho gh_0) \leq 0.1$  and  $L/h_0 \geq 8$ .

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