

Basic design considerations for a frequency step-tunable ECCD system to suppress NTMs in DEMO

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Abstract

An Electron Cyclotron Wave (ECW) system will be used in the European DEMO for the stabilization of Neoclassical Tearing Modes (NTMs). In order to avoid movable mirrors in the harsh environment close to the plasma and to simplify the NTM launcher integration, the tuning of the ECW deposition location can be achieved by launching frequency-tunable ECWs from fixed mirrors, where the frequency is tuned in discrete steps of 2–3 GHz. An overview of the frequency step-tunable ECW system for NTM stabilization is presented. The design considerations are discussed based on the current DEMO baseline parameters and the status of technologies. A simulation of NTM stabilization with an idealized frequency tunable ECW system on an analytical NTM model is shown. The simulation takes into account a realistic tuning speed based on the present technology and considers the current NTM launcher configurations in DEMO. A simple sweeping strategy is adapted for the control of frequency. Various uncertainties, which will affect the feasibility, need to be further investigated.

Keywords:

DEMO, neoclassical tearing mode, electron cyclotron wave, heating, current drive, frequency steering, frequency step-tunable gyrotron

1. Introduction

The deposition of the Electron Cyclotron Wave (ECW) power occurs at the EC resonance, which is capable of creating local heating and a local Current Drive (CD) in a small radial range. Using this property, an ECW System is applicable for the stabilization of Neoclassical Tearing Modes (NTMs). NTMs are magnetic islands driven by

the helical perturbation of (missing) bootstrap current on the rational flux surfaces. A seed magnetic island may be triggered by sawtooth crash or other mechanisms. If an island is large enough to flatten the pressure profile, the bootstrap current will be further reduced and thus, the mode becomes destabilized. On one hand, the threshold pressure ratio β for triggering the seed islands can be controlled [1]. On the other hand, the ECW system can

tune the local current and temperature profiles, as well as compensate the missing bootstrap current [2], to stabilize or suppress the mode.

To effectively stabilize and suppress the mode, the ECW deposition should be close to the flux surface where the island is located. The optimum stabilization is found by tuning the location of ECW deposition. One method for that is to adjust the plasma magnetic field like in DIII-D [3], which is not considered here. Typically, the injection angles of ECW beams are varied by tilting mirrors to tune the deposition location within a continuous range of flux surfaces, like in JT-60U [4] and ASDEX-Upgrade [5]. They are categorized as “angular steering” in this context. These approaches include:

- Front steering (e.g. ITER upper launcher [6, 7]) involves fast tilting of water-cooled heavy mirrors close to the plasma. The mechanics must tolerate high neutron loading.
- Remote steering, as in the Wendelstein 7-X stellarator [8], lacks the flexibility in terms of beam focusing and system integration, as the Talbot effect [9] requires for a given frequency a certain length (e.g. longer than 6 m in W7-X [10], 4 m in a ITER-like scenario [11]) of the straight antenna waveguide, where bending is only possible perpendicular to the plane of steering.
- Mid-steering is currently being investigated for the integration in DEMO. Compared to the front steering, the steering mechanics are recessed behind the breeding blanket. This is the fixed-frequency steering variant for DEMO [12].

Another method to move the deposition location across multiple flux surfaces is referred as “frequency step-tuning” [13], where the ECW frequency is tuned instead of the injection angle. This is the tunable-frequency variant currently investigated for DEMO as alternative solution. It is based on the principle that the dominating toroidal magnetic field $|B_t| \propto R^{-1}$ and the resonant (absorption) frequency of ECWs is a function of the plasma magnetic field

$$f = N \frac{|B e|}{\gamma m_0} + k_{\parallel} v_{\parallel} , \quad (1)$$

where e is the electron charge and m_0 the rest mass of electrons, γ is the Lorentz factor, $N = 1, 2, 3 \dots$ is

the harmonic EC frequency number, k_{\parallel} and v_{\parallel} are the wave number and electron velocity along the magnetic field. For this reason, different f of the wave corresponds to different R coordinate of the resonance. Since the avoidance of dynamically movable mirrors close to plasma and the simplification of launcher integration by having no actuators are significant advantages, it is worth to generally check the basic feasibility and usability of such a frequency step-tunable ECW system.

The basic design considerations and the principle feasibility of a frequency step-tunable system will be discussed. They are arranged in the following sections by components. The transmission system is excluded from the discussions since it is in principle sufficiently broadband and probably not the showstopper for the whole system. The Brewster-angle torus window with large diamond disk is another critical topic, which faces challenges in common with the gyrotron output window and will be briefly addressed there.

In section 2, the considerations of frequency step-tunable fusion gyrotrons will be summarized. Characteristic parameters will be assumed based on the current technological developments. Section 3 shows the considerations on the tuning of deposition profiles in the reference plasma scenario [14]. To consider the assumptions and parameters altogether, simulation cases for the envisaged NTM stabilization strategy are presented in section 4.

2. Considerations on frequency step-tunable gyrotrons

Gyrotrons are the Radio-Frequency (RF) sources of the ECW system. A recent comprehensive review of fusion gyrotrons is given in [15], while the state-of-the-art can be found in [16]. The discussions in this section will focus on the frequency step-tuning.

The frequency of the generated RF waves is determined by eq. (1). Since the interactions between the electron beam and the RF wave in a gyrotron take place slightly above the cut-off frequencies of the cavity modes, the Doppler term $k_{\parallel} v_{\parallel}$ of a gyrotron is insignificant. Thus, the wave frequency is approximately identical with the relativistic EC frequency

$$f \approx \frac{B_{\text{cavity}}}{T} \frac{28 \text{ GHz}}{\gamma} \quad (2)$$

for the fundamental oscillation. The Lorentz factor can be expressed using the accelerating voltage U in kV:

$$\gamma = 1 + \frac{U}{511 \text{ kV}} \quad (3)$$

where U of gyrotron electron beams is typically 80–90 kV. Very high order cavity modes guarantee manageable ohmic losses. Usually, a gyrotron is optimized only for a single operating frequency. There are also frequency-tunable gyrotrons, e.g. [17–21], which are capable of outputting multiple frequencies at high power. A frequency tunable ECW system for NTM stabilization will need the gyrotron operating frequencies to be dense and roughly equidistant, in order to achieve a fast sweeping of ECW deposition in a quasi-continuous range of flux surfaces.

Although the frequency could be changed by tuning the resonant structure mechanically [22], it is however more realizable to adjust the EC frequency by changing the magnetic field B and accelerating voltage U , as summarized in [23–25]. Only tuning U in eq. (3) is not practical, because it hardly affects γ for a gigahertz frequency variation, while the cavity modes have high resonant quality factors in discrete frequency steps of 2–3 GHz. Typically, the variation of frequency is accomplished mainly by tuning the cavity magnetic field. The gyrotron magnetic field is tuned via ramping the current in the (auxiliary) Normal-Conducting (NC) or Super-Conducting (SC) windings. The ramping speed of the magnet determines the frequency tuning speed.

A very fast tuning speed of 652 mT/s ($\doteq 15$ GHz/s) was achieved by a hybrid gyrotron magnet [17]. There, an NC coil was used for sweeping. However, this hybrid magnet works only in 1 ms pulses with a duty cycle of 0.1 %. This is not suitable for the application of NTM stabilization for the reason explained in section 4. In 2008, the 7 T cryogen-free magnet [26] by JASTEC was able to apply 40 mT/s tuning speed in a range of ± 200 mT, corresponding to 0.96 GHz/s for a total bandwidth of approximately 9.6 GHz. The technology of SC magnets might have advanced since 2008; but to the knowledge of authors, there were few discussions on the continuously fast-tunable magnets for gyrotrons.

The 2 MW coaxial gyrotron in [27] is taken here as reference for the possible cavity modes and frequencies. Its operation at 170 GHz in the $\text{TE}_{34,19}$ mode has been experimentally validated. Since DEMO might need above

200 GHz (e.g. estimated in [28]) for a highly efficient ECCD at the plasma center, the feasibility to operate this reference gyrotron at 204 GHz in the $\text{TE}_{40,23}$ mode was theoretically studied [29]. The frequency tunability in the vicinity of 204 GHz was theoretically studied in [30]. For NTM stabilization, the frequency around 170 GHz is currently specified [12, 31]. At the time of writing, the tunability for 170 GHz is under investigation. Therefore, assumptions will be made based on the characteristics from [30]. The assumptions and simplifications for a 170 GHz tunable gyrotron are as follows:

- Since the choice of cavity modes for the tuning on the reference gyrotron was not finalized, totally 7 modes from $\text{TE}_{31,19}$ to $\text{TE}_{37,19}$ with the same radial index are assumed. It is possible that a few of them should be replaced by other modes with different radial indices. The operating frequencies are approximated by the cold cavity resonant frequencies, which are $f = 163.68, 165.79, 167.90, 170.02, 172.13, 174.24, \text{ and } 176.34$ GHz. This total bandwidth corresponds to a gyrotron magnetic field range of roughly ± 260 mT, which is larger but in the same order as the reference range.
- The optimization of the interaction power (here, the limitation is the ohmic loss) depends on the magnetic field. For simplification, the power is assumed to be independent of the magnetic field, as qualitatively visualized in fig. 1.
- A characteristic ramping speed of 40 mT/s is assumed according to [26], corresponding to scanning the total bandwidth in 13 s.
- The optimum operating magnetic field for each mode is assumed from eq. 2, where $\gamma \approx 1.16$. A gap of 40 mT is reserved between the neighboring modes. This number is qualitatively assumed based on the diagram in [30]. It is illustrated in figure 1. When the magnetic field being ramped lies inside these gaps, the gyrotron should be turned off. The ramping time of the gyrotron magnet field over one gap interval is 1 s under the assumed conditions. This becomes approximately the time scale for adjusting the polarizers and matching optical units, as well as tuning the disk distance if variable-spacing double-disk windows would be used.

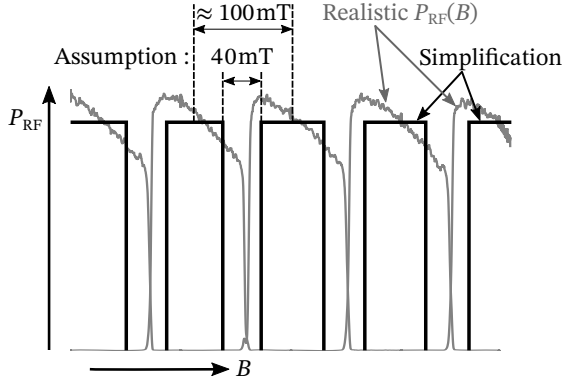


Fig. 1: Assumptions and simplifications of the gyrotron frequency tuning behavior for the simulation studies in section 4. The realistic curves are the ones published in [30]. Though it is based on the 204 GHz operation points, the general considerations are also applicable for 170 GHz.

Other considerations on the frequency step-tunable gyrotrons include the output Gaussian fundamental mode content and the broadband window. The internal quasi-optical mode converter converts the TE cavity mode to a linearly polarized fundamental Gaussian mode, which is transmitted through the output window. The mode content may not be always optimum for all designed cavity modes. Typical simulated values of the mode content in tunable gyrotrons can be found in e.g. [32, 33]. It is possible for some frequencies that the output Gaussian mode content could be lower than 92 %, which was specified in ITER. This is another trade-off for the frequency tuning.

Brewster-angle windows made from various materials, such as SiN, BN or Chemical Vapor Deposition (CVD) diamond, have been experimentally validated in megawatt-class step-tunable gyrotrons [34–36] up to 3 ms pulses. However, targeting Continuous Wave (CW) high power gyrotron operations, CVD diamond is the only possible choice as window material for the disk, thanks to its unique combination of extraordinary thermal, mechanical and optical properties. Unfortunately, differently from the standard window configuration (perpendicular to the beam direction), for the same window aperture, large area diamond disks are required in the Brewster-angle configuration (tilted by 67.2°). Currently, in close contact with industrial partners, several diamond growth experiments are ongoing with very promising results aiming at a 180 mm diameter, crack-free, optical grade diamond disk [37, 38] with approximately 2 mm thickness. This target represents the first challenge to solve in the

Brewster-angle window development. Then, looking at the window manufacturing, the next challenge refers to the asymmetric brazing between such disks and the copper boundary. From the RF perspective, a challenge to face is the potential arcing in CW gyrotrons operation [15, 39]. In case of showstoppers for this development path, a fallback solution would be the variable-spacing double-disk window, like in the multi-frequency system of ASDEX Upgrade [40], but optimized for the DEMO frequency band and tuning speed.

3. Considerations on the discrete tunable ECW depositions for NTM control

The current baseline parameters of the European DEMO are summarized in [14]. The NTMs with $(m, n) = (3, 2)$ and $(2, 1)$ are critical, where m and n are poloidal and toroidal mode number, respectively. Based on the ECW system at the time of writing [12], there will be dedicated NTM launcher antennas. Moreover, two sets of launchers aim individually at the rational surfaces of the $(3, 2)$ and $(2, 1)$ modes. Therefore, the demanded steering range for NTMs in DEMO might not be so wide as in an experimental machine like ITER.

In this part of the present study, TORBEAM [41, 42] is used to calculate the achievable CD profiles, steering range and steering resolution of a frequency tunable setup in the nominal plasma scenario [14]. The current NTM launcher parameters [12] are taken as reference in the following way. To simplify the setup, a single ECW beam will represent the aggregated beams targeting one NTM flux surface. The same launcher positions as in the current mid-steering configuration are adopted. However, the launching angles need to be modified, in order to achieve a nearly uniform radial coverage with the discrete deposition profiles. The beams are focused using mirrors, which are compatible to the current mid-steering design.

The poloidal projections of the frequency-tunable beams are shown in fig. 2. The red dots mark the locations of the EC resonances at the center frequency (170.02 GHz) including the Doppler up-shift. When the ECW frequency is tuned in steps, the resonance jumps discretely along the beam path within a local interval. In order to deposit the ECW onto different flux surfaces, the beam should

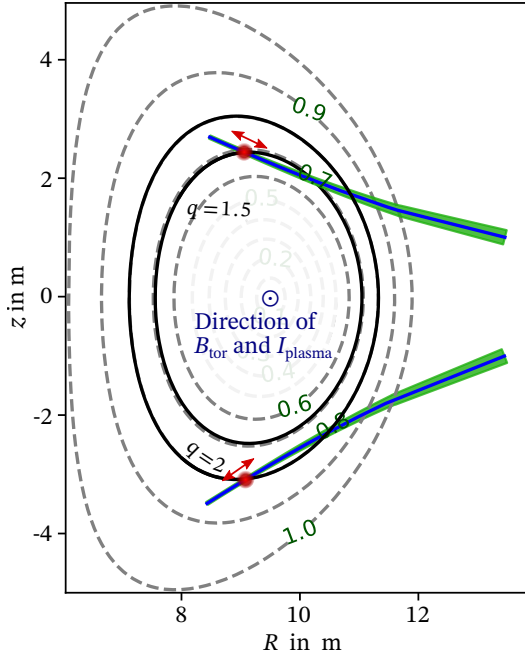


Fig. 2: The ECW beams for stabilization of NTMs. The upper beam aims to the $(3, 2)$ mode, the lower to the $(2, 1)$ mode. Both fractional surfaces are marked in solid black curves. The flux labels are ρ_{pol} .

cross multiple surfaces within the interval of resonances. For this reason, the beams cannot be too tangential to the flux surfaces. Therefore, the deposition width is broader and the CD may be less efficient than the optimized angular steering setups, which can be seen in fig. 3.

Figure 3 shows the CD density of each frequency, normalized to 1 MW of injected ECW power. Taking the more critical $(2, 1)$ NTM for example, the average distance between the peaks of two neighboring deposition profiles is 0.0083 in ρ_{pol} , while the profile full width at $1/e$ of amplitude is 0.011. The deposition width projected on the equatorial axis (≈ 3 cm) in this case is more localized than the width (6 cm) specified by the current DEMO guideline, in absence of beam broadening. At a different launching position and with another injection angle, the steering range can be doubled, at the expense of doubling the profile widths from 3 to 6 cm (thus, lower peak CD) and doubling the distance between the profile peaks. To keep the compatibility with the other works, the following simulation studies will still be based on fig. 3 at this stage.

There are two main uncertainties in this assumed configuration. First, the scenario presented here is only for a general check, as DEMO is still under an early phase

of development. Second, the beam might be up to a factor of 4 broader due to the fluctuation of electron density [43]. This broadening effect is not considered since the simulation results of beam broadening are still to be verified in medium size experimental tokamaks and properly extrapolated in view to ITER and DEMO.

4. Simulation study of NTM control model with step-tunable ECW system

To demonstrate the properties of the frequency tunable ECW system, the control of the $(m, n) = (2, 1)$ NTM is simulated based on the nominal DEMO plasma scenario [14] using ASTRA. An ideal ECW beam targeting the $q = 2$ surface is taken for example, as explained in section 3. Since the ECW power is assumed constant during one simulation, the inputs of the NTM stabilization system are the magnetic field of gyrotrons and the ECW power on/off switch. The schematic of the simulation is shown in fig. 4, which re-uses a part of the code base from [44]. A seed island is triggered artificially by adding a truncated Gaussian profile to the electron perpendicular heat diffusivity χ_{\perp} . The width of the truncated profile represents the seed island size. A high χ_{\perp} will remove the local gradient of the pressure. With the assumed plasma parameters, the mode is destabilized in the absence of ECCD for $w_{\text{seed}} \gtrsim 3$ cm. In each iteration of the loop on the upper-right corner, the island evolution is updated. The slow-varying MHD equilibrium is solved (in axisymmetric approximation) once after a few transport steps during the loop.

The NTM growth and stabilization have been modeled in numerous works e.g. [5, 45–51]. In this study, the mode growing speed ($d w / d t$) is formulated as

$$\mathcal{I}_1 \frac{\mu_0 r_s}{\eta} \frac{d w}{d t} = r_s (\Delta' + \Delta'_{\text{BS}} + \Delta'_{\text{CD}} + \Delta'_{\text{H}}) \quad (4)$$

where the dimensionless factor \mathcal{I}_1 is the integral from eq. (112) of [47], η is the electrical resistivity and r_s is the radius of the rational surface, which is approximated in this study by $r_s \approx a \rho_{\text{tor}}$, where a is the equatorial minor radius. The formulation of the bootstrap term is

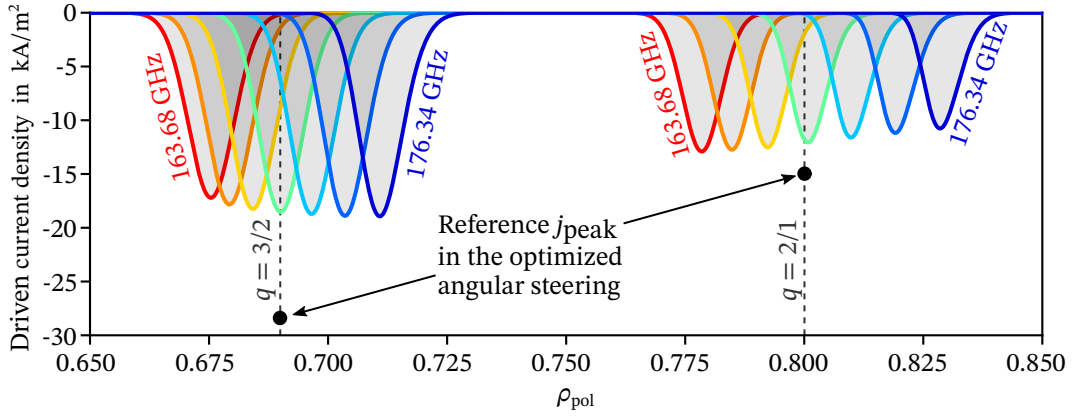


Fig. 3: Current drive density using the frequency steering configuration for dedicated NTM ports, calculated by TORBEAM. Negative sign of CD in the diagram means co-ECCD. The injected ECW power is normalized to 1 MW for each frequency step. Colors of curves correspond to frequencies in the mode series given in section 2.

adapted from [47, 49, 50, 52], but the polarization part is not included at this stage:

$$\Delta'_{BS} \propto f_{GGJ} \beta_p \sqrt{\frac{r_s}{R_0}} \frac{L_q}{L_p} \frac{w}{w^2 + w_d^2} \quad (5)$$

where $f_{GGJ} \lesssim 1$ is given in eq. (12.4) of [52], β_p is the pressure normalized to poloidal magnetic field pressure at the rational surface, $L_q = q/(dq/dr)$ and L_p is defined in the same way. The last fraction of eq. (5) has a maximum when the island width $w = w_d$, where w_d is derived in [47]. Substituting with the assumed plasma parameters results in $w_d \approx 4\text{--}5\text{ cm}$. Since there is no experimental data to calibrate the model; following the calculations in [51], a guess for the saturated island size is 40–50 cm. This assumption is used to determine the proportionality coefficient of eq.(5).

The Δ'_{CD} term of eq. (4) is given in [48, 50]:

$$\Delta'_{CD} = -\frac{16 \mu_0}{\pi s B_{pol}} \frac{I_{CD}}{w_{depo}^2} F_{CD} \quad (6)$$

where $s = r_s/L_q$ is the shear; I_{CD} and w_{depo} are evaluated from the profiles calculated by TORBEAM. The fit term F_{CD} for a CW ECCD is taken from [50]. The Δ'_H term is also taken from [50]:

$$\Delta'_H = -\frac{16 \mu_0}{\pi s B_{pol}} \frac{I_H}{w_{depo}^2} F_H \quad (7)$$

considering the fit term F_H for CW from [53], where the current I_H is caused by the perturbation of electron temperature profile. The effect of Δ'_H is negligible compared to Δ'_{CD} in the given plasma scenario.

The strategy of NTM control considered in this study does not assume an accurate equilibrium reconstruction nor an in-line-ECE [54], such that the optimum ECW frequency cannot be directly obtained from diagnostics. Instead, the optimum is found by “search and suppress” like in [55]. An adapted “search and suppress” method for frequency step-tuning is shown in fig. 5. Basically, it is a sweeping of the frequency. The decision of sweeping direction is based on whether the island size has decreased. The reason for such a strategy is that, due to the conservative assumptions of uncertainties in diagnostics and NTM models, an adequate dwell time of ECW deposition with sufficient power is necessary to ensure a correct searching decision. Therefore, the pulsed frequency tuning [17] mentioned in section 2 is not suitable. The typical dwell time is in the order of 100 ms [55] to 1 s. Here, a variable duration (variable because of the

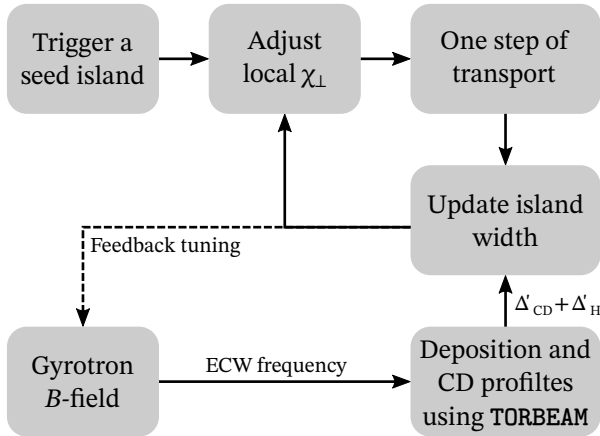


Fig. 4: Schematic of the NTM control simulation in ASTRA

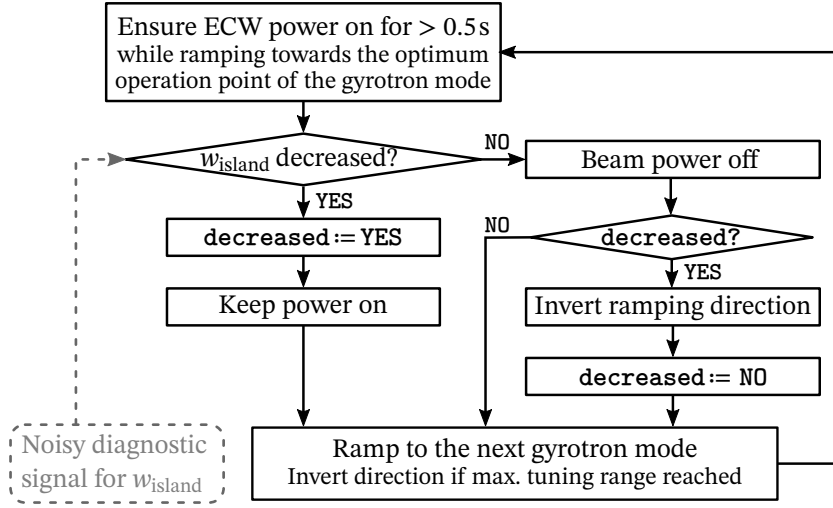


Fig. 5: A simple sweeping strategy for the NTM control. The sweeping of gyrotron magnetic field starts from one boundary of the sweeping range and does not stop. The sweeping direction is reversed when the step is not effective, or the sweeping boundary is reached.

different distances between gyrotron modes) of at least 0.5s should be considered. Besides, the magnitude of the stabilization terms in eq. (4) is also crucial for the decision. In other words, if the power is not enough to drive a sufficient current, the island does not shrink; in this case, a correct searching decision cannot be made either. Therefore, it is considered in the strategy that the entire ECW power for an NTM should be applied on one profile. On the other hand, if the total power could be split into multiple depositions simultaneously by controlling each beam frequency individually, it might be useful for advanced control strategy, but this is not applied here due to the uncertainties. Another compromise in this control strategy is that the sweeping of frequency is continuing, although the island already shrinks. This could be improved by using an adaptive sweeping amplitude, as shown in [44].

The evolutions of the (2,1) NTM and ECW depositions are shown in fig. 6. In order to consider an imperfect alignment of deposition profile and the rational surface, a small deviation is added to the launching angle on purpose, so that the $q = 2$ surface is not at the center of any profile. The sweeping of the frequency starts from one boundary of the frequency band when $w_{\text{island}} > 6$ cm. The island width $w \ll 3$ cm at 47s such that the NTM is considered as completely suppressed. It can be seen in the figure that the sweeping strategy shown in fig. 5 should be further improved, especially for the following reasons

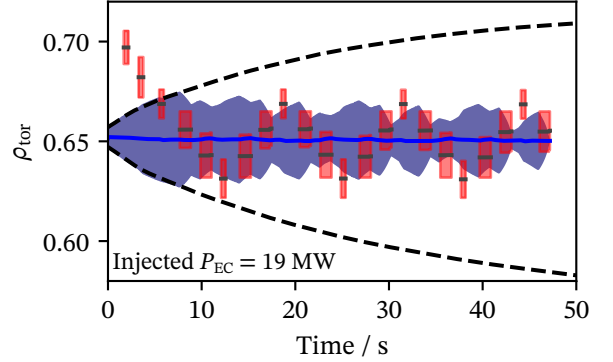


Fig. 6: Simulation of NTM stabilization using frequency step-tuning. The blue region shows the island evolution, while the dashed curves represent the one without stabilization. The red strips are the ECW depositions. In addition, the centers of the island and depositions are marked by solid lines. Compared to fig. 3, the flux label $\rho_{\text{tor}} = 0.65$ has $\rho_{\text{pol}} = 0.8$. The launching angle is deviated from fig. 3, in order to avoid a perfect alignment.

- Once the sweeping passes through the island, the driven current is just outside of the island separatrix and the mode grows faster.
- Within the assumed 40 mT gap in fig. 1, the ECW power is off and the mode starts again to grow. The gap makes the overall suppression less effective.
- The continuous ramping of gyrotron magnetic field in the assumed speed would require back-and-forth pumping of stored magnetic energy in a speed of 10–20 kW. This power is roughly estimated from the

variation of stored energy in the (not fast-tunable) magnet of [27] as if there was only the main SC coil. No resistive loss or inducted current in other coils is considered.

Based on the assumptions, there will be no concrete improvement of the control strategy at this stage. For the assumed NTM model and launcher configuration, with on-and-off switching an injected ECW power of 19 MW and a minimum dwell time of 0.5 s, it is sufficient to overcome the maximum island growth when $w \approx w_d$. At the operation point of fig. 6, increasing the ECW power in steps of 2 MW will reduce the time requirement for the suppression by 8 s in average.

Since the model is based on the currently available assumptions and approximations, the ECW power and the time needed for the suppression are only qualitative expectations. Besides, there are effects like mode locking and beam broadening, which are not considered here.

5. Conclusion

A frequency step-tunable ECW system can avoid mechanics for dynamically tilting the ECW launcher mirrors close to plasma. However, the estimation of its feasibility needs to match the technical possibilities and the physics requirement.

This study considers a reasonable generalization and extrapolation of the current frequency step-tunable gyrotron technology. The realizable ramping speed of the gyrotron magnetic field is a limiting factor of the system. In order to operate in multiple frequencies, the gyrotron optimizations have to tolerate the trade-offs for the output RF power per tube and the fundamental Gaussian mode content, which further decreases the effective ECW power.

The optimization of ECW launching angles and launcher positions depends on the plasma scenario. A technical design for the EC launcher is under study for the mid-steering antenna solution and therefore not needed to be studied further here except for a simplification to remove the actuators and to exchange movable to fixed mirrors. From the current reference launching positions, a steering range of $\rho \gtrsim 0.05$ could be achievable with 7 frequency steps. A broader steering range may also be possible with

the same number of steps, by optimizing the launching positions. However, there are trade-offs between the steering resolution, steering range, CD intensity and susceptibility to the deviation of plasma scenarios.

The NTM stabilization using frequency step-tunable ECW is demonstrated by simulations. The simulation takes into account the previous assumptions of gyrotrons and launching parameters. A simple sweeping strategy of ECW frequency is applied. Simulations show that, the stabilization might be in principle feasible, and the optimization of the control algorithm is a future goal. Also, the uncertainties in the real NTM and in the control system behavior, due to noise and tolerances in the diagnostics, beam broadening as well as the deviation of the targeted plasma scenario have to be further investigated.

Acknowledgement

This work has been carried out within the framework of the EUROfusion consortium and has received funding from the Euratom research and training programme 2014–2018 and 2019–2020 under grant agreement No 633053. The views and opinions expressed herein do not necessarily reflect those of the European commission.

A. Snicker was supported by the Academy of Finland (Grant No. 324759).

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