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Colloidal Nanocrystals as Electrocatalysts with Tunable Activity and Selectivity

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Abstract

Correlating the catalyst activity, selectivity and stability with its structure and composition is of the utmost importance in advancing the knowledge of heterogeneous electrocatalytic processes for chemical energy conversion. Well-defined colloidal nanocrystals with tunable monodisperse size and uniform shapes are ideal platforms to investigate the effect of these parameters on the catalytic performance. In addition to translate the knowledge from single crystal studies to more realistic conditions, the morphological and compositional complexity attainable by colloidal chemistry can provide access to active catalysts which cannot be produced by other synthetic approaches. The sample uniformity is also beneficial to investigate catalyst reconstruction processes via both ex-situ and operando techniques. Finally, colloidal nanocrystals are obtained as inks, a feature which facilitates their integration on different substrates and cell configurations to study the impact of interactions at the mesoscale and device-dependent reaction microenvironment on the catalytic outcome. In this Review, we discuss recent studies in selected electrochemical reactions and provide our outlook on future developments on the use of well-defined colloidal nanocrystals as an emerging class of electrocatalysts.

1. Introduction

As the production of renewable energy increases and electricity prices go down, energy storage becomes a must to move towards a sustainable society. While batteries are one of the best options for short-term energy storage, the possibility of storing energy in chemical bonds is appealing for long-term storage. In this context, electrolyzers and fuel cells have become the major technologies. Electrocatalysts are a key component of these devices as they facilitate the conversion of electrical energy into chemical energy and vice versa. Correlating the catalyst activity, selectivity and stability with its structure and composition is of the utmost importance in advancing the knowledge of chemical transformations and eventually improving device performance.

Towards this aim, one approach is to study industrial catalysts with the advanced characterization methods available nowadays, i.e. at synchrotron and microscopy facilities.¹ Generally, such catalysts consist of particles with large size distributions spanning from 1 nm to 100 nm and various morphologies, often mixed or embedded in a support, that can contain carbon, ceramic materials and/or polymeric binders. This heterogeneity makes it non-trivial to identify the catalyst features that determine its behavior.

A second approach is to prepare materials with well-defined and tunable composition, size and shape that serve as model systems to understand the effects of these parameters on the catalyst functionality.²⁻⁸ These materials span from single crystals, to clusters deposited using ultra-high vacuum techniques, to nanoparticles prepared via different chemical routes (i.e. sol-gel, dissolution-precipitation, impregnation).^{2-7,9} Among wet-chemistry techniques, colloidal methods stand out because of the superior control of nanocrystal composition and morphological features.²⁻⁴

In this review, we highlight the contribution of well-defined colloidal nanocrystals (NCs) in advancing the understanding of electrocatalytic reactions that are relevant for energy storage in chemical bonds. Before diving into the selected reactions, we briefly describe the synthesis of colloidal NCs. Then, we present various deposition techniques of the colloidally stable NC inks on the conductive substrates used for the electrocatalytic measurements. Only studies employing catalysts synthesized according to the described colloidal approach and obtained as dispersible NCs with monodispersed sizes and uniform shapes are reviewed later. While essential during the synthesis and to assure solvent dispersibility of the NCs, surface ligands are normally considered to poison the catalyst surface. We review various methods for their removal before moving on to describe the electrochemical cells most commonly used to

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3 evaluate the NC electrocatalytic performance. Following this first part, we focus on the
4 reactions of interest: the hydrogen evolution and oxidation reactions (HER/HOR), the oxygen
5 evolution and reduction reactions (OER/ORR), the CO₂ reduction reaction (CO₂RR), the
6 formic acid and various alcohol oxidation reactions (FAOR, methanol (MOR), ethylene glycol
7 (EGOR), ethanol (EOR) and glycerol (GOR)). Other electrochemical reactions, such as the
8 nitrogen reduction reaction, are not covered as the examples including colloidal NCs as the
9 catalysts are very few or none at all. When possible, we have prioritized representative case
10 studies (i.e. metal phosphide NCs for HER, nickel-platinum NCs for ORR, copper-based NCs
11 for CO₂RR) to provide more direct evidence of the progress made possible by the systematic
12 tuning of catalyst size, shape, and composition that is enabled by colloidal chemistry. A section
13 dedicated to interfacing NCs with building blocks of different chemical nature (organic ligands,
14 carbon materials, polymers, metal-organic frameworks) is included as these nanocomposites
15 have been shown to possess interesting synergistic properties in many instances. After, we
16 discuss selected examples which highlight how colloidal NCs, well-defined in size and shape,
17 have been used specifically to understand structural changes under/post reaction conditions
18 before providing an overview of the *in situ* and *operando* tools specific for colloidal NC
19 catalysts. Finally, we elaborate on some of the open challenges, before concluding with an
20 outlook on future opportunities offered by atomically-tunable colloidal NCs in electrocatalysis.
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38 **2. Colloidal synthesis of NC catalysts**

39 A typical colloidal synthesis involves the reduction or decomposition of metal precursors in
40 the presence of surfactants in either aqueous or organic media (**Figure 1**).^{10–17} Surfactants are
41 crucial for tuning the NC size and shape which they contribute to by modulating the free energy
42 landscape when taking part in the reaction, and/or by acting as surface passivating agents.^{10–17}
43 In addition to the ligands, many reaction parameters such as temperature, concentrations,
44 atmosphere, injection rates, and reactor volume need to be carefully tuned during the synthesis,
45 in order to tailor-make NCs at will.^{10–16}
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51 The synthesis of noble metal NCs is most commonly performed in aqueous environment.^{10,11}
52 Many procedures are based on the reduction of metal salts by a polyol, usually ethylene glycol
53 (EG) or diethylene glycol, which is also the solvent, in the presence of a capping agent, such
54 as polyvinylpyrrolidone (PVP), citrate or halogen ions. EG possesses three key features, which
55 explain its large use: it dissolves most of the metal salts; it has a high boiling point, which
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3 provides flexibility in varying the reaction temperature; its reducing power is temperature
4 dependent, so one can easily tune the monomer flux, which is an important parameter for shape
5 control.^{10,11} Other reducing agents used across the literature include L-ascorbic acid, citric acid
6 and PVP itself.^{10,11} Their different reduction power results in different reduction rates, thus,
7 different shapes can be obtained, accordingly.^{10,11}
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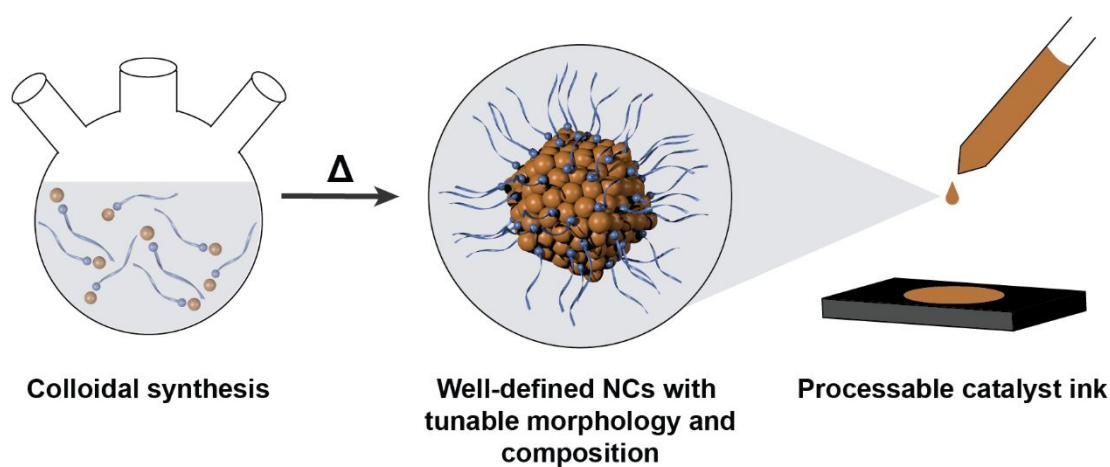
10 The synthesis of non-noble metal NCs is certainly less mature in terms of size and shape
11 control.¹⁷ One of the reasons behind this delayed development is the tendency of non-noble
12 metals to easily oxidize, restraining the choice only to strongly reducing agents when the
13 synthesis is carried out in aqueous environment. The use of a strong reducing results in the
14 formation of very small particles and reduces the diversity of sizes and shapes attainable.
15 Alternatively, the synthesis can be conducted in organic solvents, however in such environment
16 the knowledge about the formation mechanism of metal NCs is still in progress.^{15,16} With the
17 exception of Cu, non-noble metal NCs have been mostly obtained as nanospheres or
18 nanorods.¹⁷
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27 Binary metal oxide NCs, such as iron oxide, titanium dioxide, tungsten oxide, have been mostly
28 synthesized via decomposition of metal acetylacetonates, acetates, carboxylates precursors in
29 the presence of organic ligands, the most common being carboxylic acids, amines and diols.¹²
30 As discuss in the section dedicated to OER/ORR, the synthesis of colloidal multication metal
31 oxide NCs with controlled size and shape remains still an open challenge.
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36 The colloidal synthesis of metal phosphides NCs, including Co-P, Ni-P and Fe-P, has
37 developed substantially in the past 10 years.¹⁸ Most commonly, it involves the reaction of
38 organometallic precursors or metal nanoparticles with organophosphine reagents, such as
39 trioctylphosphine. The latter together with amines acts also as the surface ligand.
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43 II-VI NCs (i.e. CdSe, CdS, ZnSe) are the most developed and studied systems. They are
44 normally synthesized using what is referred to as “hot-injection techniques”. Here, a highly
45 reactive precursor of the anion (i.e. dimethyl cadmium) is injected in a high boiling point
46 solvent (i.e. octadecene or trioctylphosphine oxide) in the presence of a chalcogenide source
47 (i.e. trialkyl phosphine selenide.^{19–21} Phosphines and thiols are the most common ligands, but
48 there are many examples also with amines and carboxylates. It is noted that the colloidal
49 synthesis of well-defined and phase controlled 2D transition metal chalcogenides and
50 phosphides remains a challenge and only a few examples are reported in the literature.^{22,23}
51 Therefore, while interesting for some of the selected reactions, especially HER, these classes
52 of materials are not included in the following sections.
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3 For all the above classes of materials, the level of complexity further expands by using the
4 seed-mediated growth, wherein pre-synthesized NCs are utilized as nuclei for the deposition
5 of a second material with the same or different composition.^{10,11,14} The purpose of this method
6 is to utilize the seeds to direct the shape of the growing domain, thus enabling access to more
7 complex shapes. This principle has been utilized heavily for noble metal NCs.^{10,11} Another goal
8 might be to synthesized nanocrystalline heterostructures, where NC domains of different
9 composition are intimately linked by an interface.¹⁴ The morphology of such heterostructures
10 (i.e. core@shell, dimers, dumbbells) depends on various factors, including lattice mismatch,
11 precursor reactivity and miscibility of the two domains.^{14,24–28}
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36 **Figure 1.** Scheme illustrating the colloidal synthesis of well-defined nanocrystals (NCs).
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41 **3. Deposition techniques for colloidal NC catalysts**

42 One big advantage of NCs prepared via colloidal routes is that they usually come dispersed in
43 a solution of organic apolar solvents (i.e. hexane, toluene, octane) (**Figure 1**). This NC ink can
44 then be easily deposited on different substrates using drop-casting, spin-coating and spray-
45 coating.²⁹ While utilized in some studies, the addition of additives, such as Nafion or other
46 polymers, that is crucial for powder catalysts, is not needed for colloiddally synthesized NCs to
47 adhere on the electrodes.
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53 Drop-casting is the simplest technique, as it does not require sophisticated experimental setups
54 and is well suited for any type of substrate. Here, the catalyst ink is deposited onto the electrode
55 surface as a droplet and the solvent is left to evaporate.²⁹ On flat substrates, very uniform NC
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3 monolayers, where the spacing is tunable via the aliphatic chain of the ligands, can be obtained
4 by properly controlling the solvent properties and the evaporation environment.^{30,31}

5 Spin-coating is another method often used for the deposition of colloidal NC catalysts, although
6 it is limited to flat substrates, such as glassy carbon electrodes.²⁹ The latter are rotated in a spin-
7 coater which controls the process parameters (i.e. rotation speed, dwelling time). Spin-coating
8 provides more control over the uniformity of the NC films compared to drop-casting by tuning
9 the rotation speed, solution viscosity and NC concentration. Sparse or dense NC monolayers
10 can be obtained. It is also suitable for the preparation of thick multilayered films if needed. The
11 main issue with spin coating is that a large volume of solution is wasted during the process,
12 and uniform deposition over large areas is not possible.

13 Spray-coating, or air-brushing, is a very useful technique to deposit NC inks on large areas of
14 any substrate and it is particularly helpful when building gas diffusion electrodes (GDEs), as it
15 is not sensitive to the substrate surface morphology.³² Here, the NCs are deposited while the
16 solvent evaporates. By controlling the solution viscosity and concentration, spraying intensity
17 (droplets size and speed) and spraying geometry (tip geometry, distance between the tip and
18 the substrate), the process can be controlled to obtain samples with desired properties. With the
19 use of electro-spraying, the deposition can be controlled to even further extent.^{33,34} More
20 generally, having the variety of parameters to control the deposition is a benefit, as it allows
21 tunability, but also a drawback, as it can be challenging to control them between subsequent
22 depositions and can result in reproducibility issues. Thus, a fully automated system for spray
23 coating is advisable.

24 Generally, one can choose the method which is the most appropriate for the utilized substrate.
25 Furthermore, utilizing different deposition methods can be regarded as a valuable tool to
26 control the catalyst properties, including local reaction environment and mass transport
27 conditions or hydrophobicity when the catalyst is mixed with other components. To cite just
28 one example, Garcia de Arquer et al. were able to obtain both planar and bulk catalyst:ionomer
29 heterojunctions thanks to the precise control over the deposition procedures.³⁵ Indeed, while
30 only a planar heterojunction was formed when spray-coating the ionomer onto the magnetron
31 sputtered Cu catalyst layer, the authors were able to create the electrode with bulk
32 catalyst:ionomer heterojunction by spraying the mixture with carefully tuned ratio between
33 perfluorinated sulfonic acid ionomer (Nafion) and Cu NCs in methanol. As a result, thanks to
34 the presence of both hydrophilic and hydrophobic moieties in the ionomer, an electrode
35 architecture that decouples gas, ion, and electron transport was created, with the mass transport
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of CO₂ to the catalytically active sites being increased from tens of nm to the μm scale and state-of-the art ~1 A/cm² current densities obtained.

The interparticle distance is another important parameter which influences the catalyst performance.^{36–39} For example, Speder et al. systematically investigated the interparticle distance effect on the ORR performance of supported Pt NCs.³⁸ They concluded that the OH adsorption strength can be optimized by changing the interparticle distance in a similar way as by alloying Pt with another metal. In the study by Mistry et al., the interparticle distance of Cu NCs was demonstrated to regulate CO₂RR performance with the reaction being favored at smaller interparticle distances.³⁹ In this context, the freedom offered by the NC ink is beneficial to carefully control the interparticle distance on the electrode. Self-assembly techniques can be utilized to form NC monolayers, wherein the interparticle distance is controlled by the length of the ligands.³⁰ For example, the AuCu NC electrodes for CO₂RR discussed later were prepared by first depositing the NC solution in organic solvent onto the water surface and then transferred the film generated at the liquid/air interface to various substrates with the use of the Langmuir-Schaeffer method.⁴⁰

4. Removal of surface ligands

Organic ligands are critical to the synthesis of colloidal NCs, yet they are often viewed as problematic with regards to catalysis, as they might block active sites on the catalyst surface and hinder the approach of reactants. For this reason, ligands are often removed from the NC surface prior to catalysis.

As illustrated in **Figure 2**, a number of different approaches to remove ligands from NC surfaces have been developed, including thermal, plasma, chemical and electrochemical treatments.^{41–44}

Thermal methods decompose organic ligands and it is often assumed that the volatile residues from these ligands are swept away in the gas phase. Particular care should be taken in choosing the annealing conditions to ensure that they modify neither the original composition nor the original size and morphology of the NC catalysts. Generally, mild annealing is used with temperatures below 200 °C.^{45,46} Cargnello et al. have demonstrated that fast thermal treatments (700 °C for 30 s) can also efficiently remove the ligands while preserving the size and shape of oxide-supported metal NCs.⁴⁷ Calcination has proven effective in activating HER, OER/ORR, CO₂RR and MOR NC electrocatalysts.^{45,46,48–53} Lu et al. showed a direct correlation between ligand surface coverage of Au NCs and ORR performance.⁵⁴ Likewise, Li et al. demonstrated

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3 that mild annealing (185 °C), was more effective than other treatments, including UV-ozone
4 and acid treatments, to remove oleylamine ligands from Pt ORR catalysts.⁴⁶ However, high
5 temperatures can also cause sintering and restructuring of NC catalysts, as well as changes in
6 surface composition, which can depend on the environment used.⁵⁵ Work by Mohapatra et al.
7 also highlighted that calcination of NC assemblies can induce cracking in the film, and that
8 poorly defined carbonaceous residues remain.⁵⁶ The authors advocate for plasma cleaning to
9 be a much more effective method to remove carbon material without damaging the NC films
10 and in order to create a conductive network.

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12 Plasma-cleaning can make use of a variety of gases, with air, O₂, H₂, N₂, He or Ar being some
13 common examples.⁵⁶⁻⁶¹ O₂ plasma is particularly effective at removing ligands as they are
14 broken down into volatile oxygenated species. However, metallic NCs can also be oxidized
15 under such conditions, which will significantly alter the electrocatalyst properties. Work led by
16 Roldan Cuenya has demonstrated that this can be beneficial in CO₂RR catalysis, as the surface
17 and subsurface copper-oxygen species can boost C₂-selectivity.^{60,62} Gehl et al. showed that the
18 metallic state of Pt NCs can be reestablished with a subsequent reductive plasma treatment (N₂
19 or H₂), although Co NCs still retained some surface-oxygen species.⁵⁹ In our own studies, we
20 have opted for N₂ plasma to remove ligands while preserving cubic and octahedral Cu NC
21 morphologies and the metallic state of the CO₂RR catalyst.^{63,64} He plasma holds many benefits
22 in ligand removal in that it is chemically inert and is also non-ablative, and is therefore
23 compatible with soft materials.⁶¹ It should also be noted that plasma methods can still pose
24 structural issues, such as sintering and cracking of NC films, depending on the conditions
25 used.⁶⁵

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27 Chemical oxidation by ozone and UV/ozone treatments are similar to plasma methods in that
28 oxidative removal of ligands can be very effective, but can also leave the metal surface partially
29 oxidized.⁶⁶⁻⁶⁸

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31 Chemical treatments of NC surfaces are much milder compared to thermal or plasma
32 techniques, and can offer superior control over the surface composition. In the simplest case,
33 ligands can be removed by repeated solvent washing.⁶⁹⁻⁷¹ Similarly, vacuum can be enough to
34 remove ligands if the NC-ligand bond is not strong and if the ligand is sufficiently volatile.⁷²
35 Organic ligands can be displaced by small anions, such as hydroxide or hydrides, which impose
36 less steric restrictions on the activity.⁷³⁻⁷⁵ The result in this case is that the NCs are protected
37 by small anionic adsorbates.⁷⁶ Hydrazine is a common reagent used for ligand removal. For
38 example, Zhang et al. used this reagent to remove trioctylphosphine (TOP) ligands from Bi
39 particles, which both stripped the ligands from the surface and reduced the surface Bi³⁺ species,
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3 greatly improving the CO₂RR selectivity and activity.⁷⁷ In that case, the role of hydrazine is to
4 act as a Lewis base, displacing the native TOP ligands. In one recent example, Shi et al.
5 demonstrated that hydrazine in trace amounts effectively removes Br⁻ ions from the surface of
6 Pd nanocubes without modifying their surface structure and subsequently promotes their
7 activity towards the FAOR.⁷⁸

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11 The aim of many chemical stripping methods is to diminish the coordinating ability of the
12 ligand. For example, basic ligands such as amines detach from the surface after protonation by
13 a Brønsted acid.^{79,80} Fan et al. demonstrated how the activity of Pt ORR catalysts was greatly
14 improved by such a ligand-exchange and protonation strategy in comparison with simple
15 ethanol washing.⁷⁹ Lewis-basic ligands can also be detached by forming adducts with Lewis
16 acids such as BF₃.^{73,81} One particularly versatile method is to use alkylating agents, such as
17 Meerwein's salts, [R₃O][BF₄], which introduce a small alkyl group to the coordinating atom
18 on the ligand, which then detaches from the surface.^{73,82–84} To cite one example, Henckel et al.
19 used such an approach to remove amine ligands from WSe₂ NCs.⁸² They demonstrated that this
20 post-synthetic surface modification represents a simple approach to access electrocatalysts with
21 improved overpotential and intrinsic activity. Nitrosonium salts (e.g. [NO][BF₄]) have been
22 used in a similar way, where the reactive NO⁺ cation removes the ligands from the surface. In
23 work by Dong et al., nitrosonium-salt treatment of Fe-Pt NCs led to an improvement in the
24 FAOR current density.⁸⁵ In a comparative study by Nelson et al., a series of ligand removal
25 methods on Mn₃O₄ NCs was investigated, and the NC redox activity decreased following the
26 series: alkylation > Lewis acid > base > heat.⁷³ These findings indicate that alkylation methods
27 may be most suitable for activating electrocatalysts.

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Electrochemical stripping of the ligands is an attractive approach as it can be carried out post-
deposition, just before electrocatalysis takes place. Lu et al. showed that organic ligands can
be removed from Pt NCs by applying either anodic or cathodic potentials in water, leading to
improved ORR and MOR performance.⁸⁶ Ligand detachment from Cu and Au NCs has been
demonstrated to occur during the first few minutes of CO₂RR.^{63,87} Recently, Pankhurst et al.
correlated the potential at which ligands are stripped from the surface of Cu NCs with the
metal-ligand bond strength.⁸⁸ Such *in situ* ligand stripping is appealing as it negates the need
for explicit pre-treatment, and challenges the notion that ligands must be removed prior to
electrocatalysis in order to attain high current densities. However, further studies to determine
the fate of these ligands are needed and care must be taken when cycling the potential in these
pre-treatments, as strongly anodic potentials can modify the exposed NC facets.^{63,89}

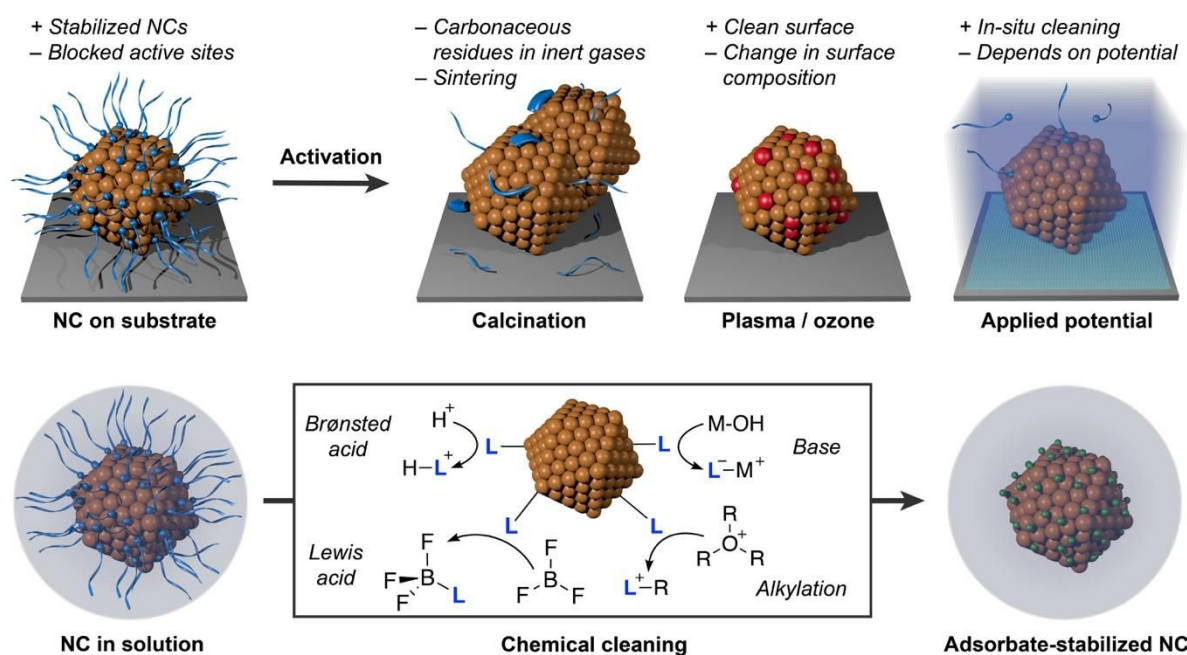


Figure 2. Overview of ligand-removal strategies from NPs deposited on solid supports and in solution; the latter are also applicable post-deposition on substrates. The main advantages and possible drawbacks are listed on top.

5. Cell types

Across the literature, different electrochemical cell configurations are employed depending on the aim of the study. In more fundamental studies, experimental setups are carefully designed to determine the intrinsic properties of the catalysts without interference from mass transport limitations. At the same time, industrial use normally implies the current densities to be in the range of 0.1–1 A/cm² and often to work in a mass transport limited regime, thus the direct transfer of information from fundamental studies to industrially relevant systems is challenging and a dedicated discussion will come later in the review. These different needs translate into the existence of several cell types, among which the rotating disk electrode (RDE) cells, H-cells, gas-fed flow cells based on GDEs and membrane electrode assembly (MEA) cells will be covered in this section.⁹⁰ However, it is worth noting that the cell configurations are not limited to these types only. For example, one can refer to differential electrochemical mass spectrometry (DEMS) or online cells, which are specialized microfluidic cells for *in situ* mass resolved determination of gaseous or volatile electrochemical reactants, reaction intermediates and products in real time.^{91–93}

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3 The RDE is normally implemented in a three-electrode flask setup and is used intensely,
4 particularly in fundamental studies (**Figure 3A**). Here, the catalyst is deposited by dropcasting
5 onto the flat surface of the electrode rod, typically made of glassy carbon or noble metals such
6 as Pt or Au. For this setup, the hydrodynamic equations and the convective-diffusion equation
7 have been solved rigorously for the steady state, thus making it possible to extract the intrinsic
8 performance metrics of the catalyst.⁹⁴ However, the current magnitude is limited to few
9 microamperes, due to the small electrode surface area (around 0.1 cm²) and to the low catalyst
10 loading. In general, the direct transfer of information from RDE studies to commercially-
11 relevant systems is not straightforward and will be discussed later.

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13 The H-type cell is another common setup (**Figure 3B**). It contains two compartments (anodic
14 and cathodic) separated by an ion-exchange membrane. Here, the NC catalysts are typically
15 supported on glassy carbon or carbon cloth/paper, metallic electrodes (e.g. Ti) etc., being used
16 with geometric surface areas between 1 cm² and 2.5 cm². Information about the intrinsic
17 properties of NC catalysts can still be obtained in this configuration, and H-cells have been
18 used for most of the CO₂RR systems discussed below. Nevertheless, it is important to perform
19 the measurements in the absence of mass transport effects and without introducing artifacts of
20 any kind.⁹⁵ While H-cells have a less defined mass transport compared to RDEs, they afford
21 larger electrode areas which are more suitable for product detection, which is extremely
22 important to evaluate catalyst selectivity in CO₂RR. At the same time, the conditions under
23 which the catalysts operate (i.e. current density, local microenvironment, mass transport) are
24 still far from those of a commercial electrolyzer.^{96,97}

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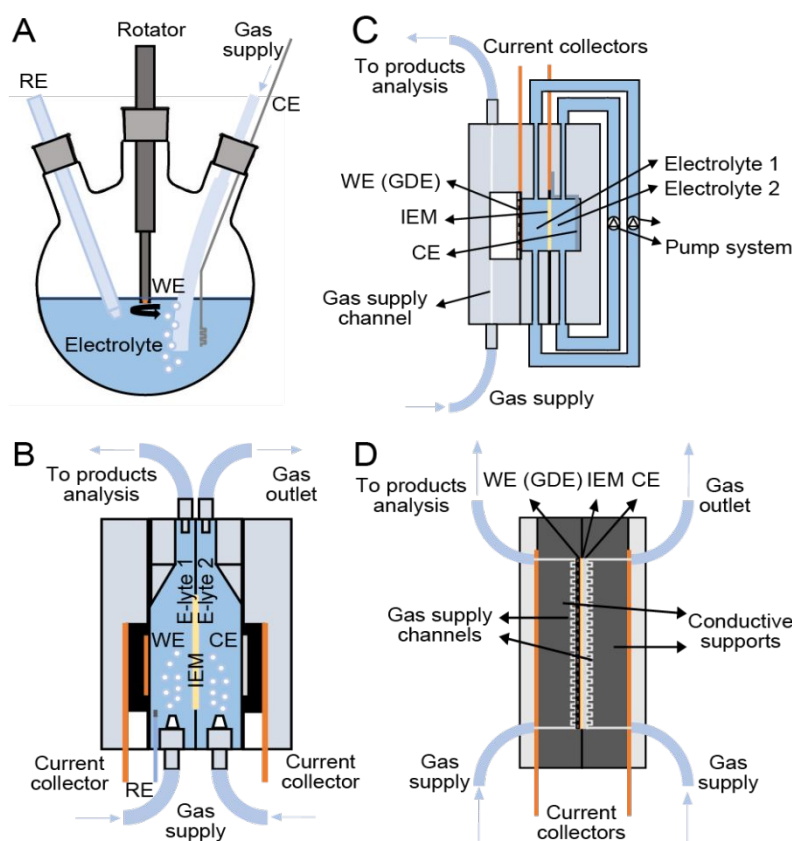


Figure 3. Representative schemes of (A) RDE, (B) H-cell, (C) gas-fed flow-cell including and (D) MEA cell setups.

Gas-diffusion electrodes (GDEs) consist of a porous layered carbon structure and are the substrates of choice for both gas-fed flow cells and MEA cells.^{96–101} In a gas-fed flow cell, a liquid electrolyte is still used (**Figure 3C**). Here, the GDE is backed by a gas chamber and faced to the corresponding electrolyte compartment (e.g., the catholyte for CO₂RR). The catholyte and anolyte chambers are separated by an ion-exchange membrane. The electrolyte is normally pumped through the system in a way similar to flow batteries, so that its composition is more constant throughout the measurements. All record efficiencies in CO₂RR (i.e. high current densities >1 A cm⁻² together with faradaic efficiencies of 45% towards C₂₊ products)³⁵ have been so far obtained using this type of cell. While testing of NC catalysts on GDEs is still rare, the existing studies reviewed later evidence that colloidal NC catalysts are suitable for implementation into a commercial device. Moreover, they emphasize the importance of exploiting synergisms between catalyst design and system engineering to explore activity, selectivity and stability of nanocatalysts.

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3 In a MEA cell, the GDE is fed with humidified gas on one side and faces directly the ion-
4 exchange membrane on the other side (**Figure 3D**). A device that operates completely in gas
5 phase enables the transition from single cells to cell stacks, which is beneficial for industrial
6 applications as it enables to achieve higher current densities.^{99,102,103} This technology is
7 especially mature in the field of proton exchange membrane (PEM) fuel cells and recent works
8 have been focusing on the implementation of Pt-based NC catalysts in such devices, a topic
9 which is reviewed in a later section.
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19 **6. HER/HOR**

20 Hydrogen is one of the cleanest alternatives to fossil fuels because of its zero-emissions when
21 reacted with oxygen. Water electrolysis is one valuable option to produce it in a sustainable
22 manner via the hydrogen evolution reaction (HER) at the cathode. The fundamentals of the
23 HER and strategies toward the fabrication of active HER electrocatalysts have been subjects
24 of intense study over the past decades.¹⁰⁴ The opposite reaction, namely the hydrogen oxidation
25 reaction (HOR), is also extremely important because crucial for the development of PEM fuel
26 cells, which are the best candidates to replace the current alkaline fuel cell technology.¹⁰⁵
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33 One of the main challenges for the HER/HOR is that platinum group metals (PGMs) possess
34 the best activities, in agreement with the theoretical volcano plot (**Figure 4**).¹⁰⁶ Therefore, most
35 research efforts have been directed towards the discovery of catalysts with lower PGM content
36 or based on non-noble metals. To note that in acidic conditions Pt is extremely active, much
37 more than in alkaline environment, which is due to pH effects on the H binding energy.¹⁰⁷ This
38 difference implies that finding alternative to PGM catalysts in acids is very challenging. On
39 the other side, if one considers that on the OER/ORR side non-noble metal catalysts are very
40 active in alkaline conditions, the discovery of active catalysts in such environment is critical
41 for a PEM technology based on non-noble metals.¹⁰⁷ Unfortunately, one problem that emerges
42 in non-noble metal catalysts is their stability.¹⁰⁸ Here, binary compositions including
43 phosphides, carbide and sulfides could help as it is also evident from some of the example
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53 Below we discuss studies from the literature, organized by classes of materials, which utilize
54 well-defined NCs as electrocatalysts for HER; studies for HOR are rare. The data are
55 summarized in **Table S1**. Overall, the main contribution of colloidal chemistry to HER is
56 provided by the systematic tuning of catalyst size, shape, and composition achieved in the metal
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phosphides. Here, the degree of structural and compositional control together with the consistency in the testing conditions under acidic conditions has enabled conclusions regarding the impact of these parameters on HER, which are detailed in the related section below. On the other hand, the reported heterostructures based on metal chalcogenides reveal new strategies to improve the catalytic performance of PGMs especially in alkaline conditions.

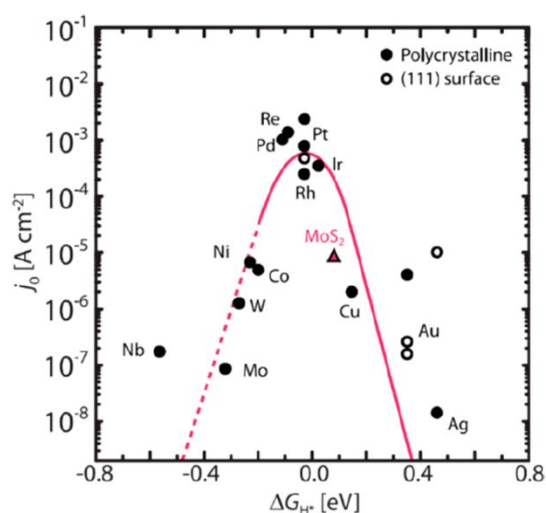


Figure 4. Volcano plot reporting the current density (j_0), as a measure of the HER/HOR catalyst activity, versus the Gibbs free energy for atomic hydrogen adsorption (ΔG_{H^*}) on the surface of various metals in acidic medium. Adapted with permission from reference 106. Copyright 2017, AAAS.

6.1 Metals

A few studies on colloiddally synthesized metallic NCs have been reported in the literature.^{109–115} While remaining a bit scattered, the data available on this class of materials do reveal the potential of well-defined NCs to explore various strategies in metal alloys directed towards reducing the overpotential of PGM-free catalysts or of catalysts with reduced Pt content in both acidic and alkaline conditions. Some of these strategies include increasing the active surface area, modifying the H binding energy through strain or exposing facets with higher catalytic activity.^{109–115} As mentioned above, to find HER catalysts active in alkaline conditions is critical, therefore we cite a couple of examples relative to this topic. In the first one, McEnaney et al. proposed colloiddally synthesized Co-Mo alloyed NCs of 3 nm as a promising Earth-abundant catalyst.¹¹⁴ The authors reported that the required overpotential of a Co-Mo/Ti electrode is around -75 mV at -10 mA/cm², which is among the lowest HER overpotentials in alkaline solutions obtained with non-noble metal electrocatalysts. Such results were possible

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3 thanks to the increase in active surface area due to the small particle size compared to
4 electrochemically deposited catalysts. Moreover, the Co-Mo/Ti catalysts were demonstrated to
5 be highly stable in 1 M KOH for 18 h. In a second example, Alinezhad et al. grew Pt islands
6 on branched Ni NCs.¹¹² They found the compressive strain arising at the interface, which
7 modifies the H binding energy, to be the reason for the improved HER activity in these
8 nanocatalysts compared to commercial Pt/C tested under the same conditions at pH 13 in 0.1
9 M KOH. These catalysts possessed a mass activity of around 8 mA/ μg_{Pt} , compared to around
10 1 mA/ μg_{Pt} for commercial Pt, and maintained structural stability for 100,000 cycles.
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19 6.2 Metal phosphides

20 Transition metal phosphides have emerged as a valid alternative to Pt and are interesting
21 especially in terms of stability.^{18,108} Their high activities have been attributed to the complex
22 role of phosphorus, which is responsible for both weak ligand effects on the metal center and
23 cooperative effects.¹¹⁶ R. Schaak and co-workers have significantly contributed to this field;
24 we refer to their review dedicated to the topic for a more comprehensive overview on metal
25 phosphide catalysts synthesized via different approaches.¹⁸ Those synthesized via colloidal
26 chemistry include Co, Ni, Fe, W and Mo phosphides. The systematic tuning of catalyst size,
27 shape, and composition together with the consistency in the testing conditions under acidic
28 conditions (**Table S1**) enables conclusions regarding the impact of these parameters on
29 HER.^{117–121} **Figure 5** provides an overview of some of the most significant results.
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38 Starting with nickel phosphide, multifaceted and hollow Ni₂P NCs were examined (**Figure**
39 **5A**). While still higher than Pt, a competitive overpotential of –116 mV was measured at –10
40 mA/cm² (**Figure 5D**).¹¹⁸ As the exposed facets can play an important role in catalysis, Ni₂P
41 nanospheres (NSs), which predominantly expose (001) facets on their surface, were compared
42 with Ni₂P nanorods (NRs), which possess a high density of exposed (210) surfaces.^{118,122} The
43 latter exhibited a larger overpotential (–270 mV) and around 10 times lower turnover frequency
44 when tested under the same conditions. Similar findings were reported by Zhou et al.¹²³ They
45 synthesized small Ni₂P NCs ranging from 2 nm to 10 nm with large accessible surface areas
46 and predominant (001) surfaces. Among these catalysts, 5.4 nm Ni₂P NCs showed superior
47 HER activity with a reduced Tafel slope and overpotential of –93 mV at –20 mA cm^{–2}. Such
48 results testify to the importance of tuning both shape and size to achieve optimal results.
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57 When investigating cobalt phosphide, multifaceted and hollow CoP and Co₂P NCs with
58 equivalent morphologies were synthesized and their HER activities were compared in order to
59 assess compositional effects (**Figure 5B**).¹¹⁷ CoP was found to be more active than pure Co
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3 and Co₂P with an overpotential of -85 mV at -20 mA/cm² (**Figure 5E**).¹²⁴ For this system,
4 interestingly, the comparison of CoP NCs with highly branched CoP NRs with predominant
5 (111) facets revealed that the performance of CoP toward the HER is intrinsic to the
6 composition and that the shape is not critical.¹²⁵ Following up with the same colloiddally
7 synthesized CoP NCs, Ha et al. performed a comprehensive study by employing DFT along
8 with experiments to investigate the stability and active sites during HER.¹²⁶ The authors
9 showed that the HER activity decreases with potentiostatic holding at potentials above 0.4
10 V_{RHE}, accompanied by the leaching of P and a decreased ratio of Co/P, which was supported
11 by energy-dispersive X-ray (EDX) spectroscopy results. X-ray absorption spectroscopy (XAS)
12 demonstrated the change of the signals for Co and P, suggesting the concomitant formation of
13 (oxy)phosphate as the activity reduces. DFT calculations identified the surface P as the HER
14 active site in the case of CoP, which contradicted some previous studies that indicated the
15 active site to be the metal.¹¹⁶

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17 Because of the Earth abundance and low cost of iron, FeP represents another promising
18 phosphide for the HER. Colloiddally synthesized FeP NCs of similar size and morphology to
19 the CoP NCs (**Figure 5C**) were shown to outperform the latter, with an overpotential of -50
20 mV in acidic conditions and -102 mV in neutral electrolyte required to reach a current density
21 of 10 mA/cm² (**Figure 5F**).¹¹⁹ The main challenge associated with FeP NCs remains to be the
22 long-term stability, which is poorer than for Ni₂P and CoP NCs.

23
24 In addition to crystalline phosphides, colloiddally synthesized amorphous WP and MoP
25 materials have also been reported as interesting electrocatalysts for the HER in acidic
26 solutions.^{120,121} 3.1 nm WP NCs were found to require overpotentials of -120 mV and -140
27 mV to produce operationally relevant current densities of -10 and -20 mA/cm² while 4.2 nm
28 MoP NCs needed even lower overpotentials (-90 mV and -105 mV) to generate the same
29 current densities, outperforming other MoP nanostructured electrocatalysts.¹⁸ These data
30 suggest that Mo might be more promising than Co and that the small particles attainable by
31 colloiddal chemistry might offer specific surface structuring which increases their intrinsic
32 activity.

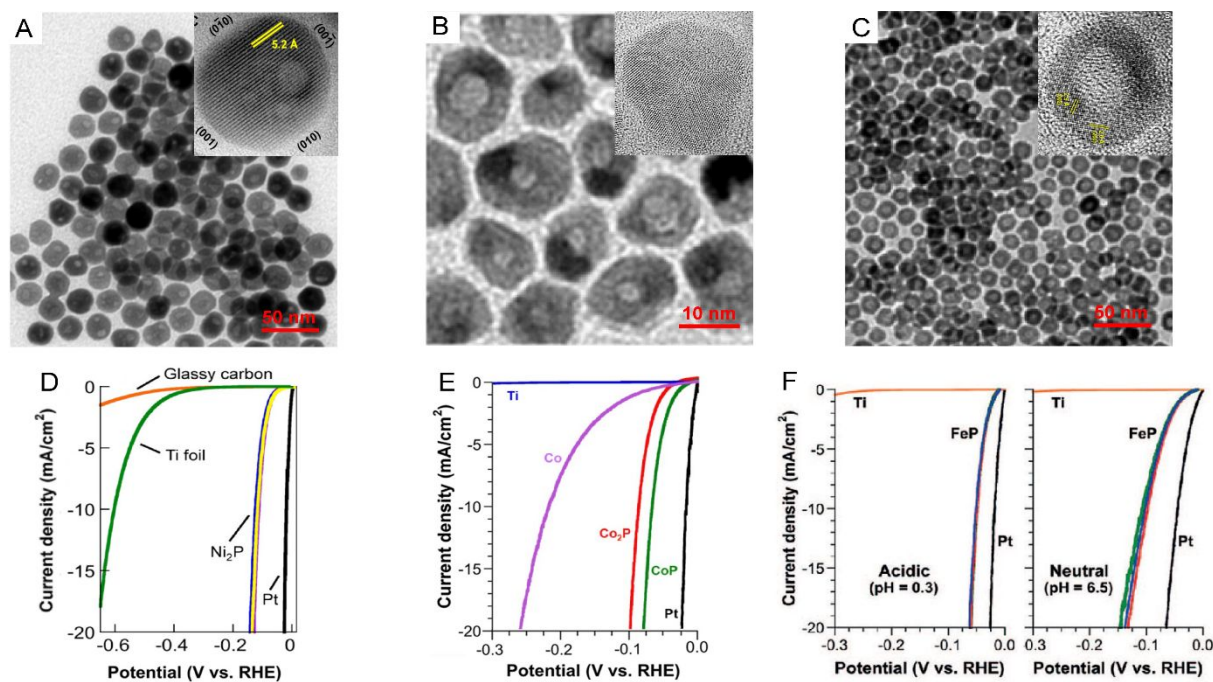


Figure 5. Metal phosphide NCs for HER. (A-C) Transmission electron microscopy (TEM) images of Ni₂P, CoP NCs and FeP NCs, respectively. (D-F) Linear-sweep voltammograms for (D) Ni₂P in 0.5 M H₂SO₄, (E) Co, CoP, and Co₂P NCs in 0.5 M H₂SO₄ and (F) FeP NCs in acidic solution (0.5 M H₂SO₄) and neutral solution (1.0 M phosphate buffered saline). All the NCs were deposited on Ti foil substrates and native ligands were removed by annealing at 450 °C in H₂(5%)/N₂(95%). (A,D) Adapted with permission from reference 118. Copyright 2013, American Chemical Society. (B,E) Adapted with permission from reference 117. Copyright 2015, American Chemical Society. (C,F) Adapted with permission from reference 119. Copyright 2014, American Chemical Society.

6.3 Metal chalcogenides

Turning to the transition metal chalcogenides, this class of materials has received attention from the colloidal NC community only more recently. While still few, the reports on heterostructures reveal the possibility to use them as pre-catalysts for materials with lower PMG content and performance similar or improved compared to commercial catalysts under alkaline conditions.^{127–129} As commented above, the colloidal synthesis of molybdenum sulphides is still a challenge, therefore while these chalcogenides have emerged as a great alternative to Pt, not much has been done with colloidal methods.^{130,131}

Interesting studies have been reported by L. Manna and co-workers and they have dedicated particular attention to the catalyst transformation during operation, something which is enabled by the sample uniformity attainable by colloidal chemistry. As the first example, they

synthesized CoSe NCs decorated with Ru clusters with the aim of improving the stability of PGMs under operational conditions.¹³² They showed that the Ru–CoSe system undergoes chemical and structural transformations during the HER; indeed, CoSe NCs with an initial hexagonal structure converted into CoSe₂ NCs with a cubic structure. Co that leached from the catalyst formed a Co oxide/hydroxide layer, which was proposed to help the H₂O adsorption and dissociation under high local pH environment (**Figure 6B**). In addition, the presence of Ru enhanced the electrocatalytic properties of CoSe NCs by improving the HER kinetics and reducing the charge-transfer resistance. All of these phenomena were suggested to trigger the increased HER activity of the final Ru–CoO_x/Co(OH)_x–CoSe₂ nanocomposite after 30 h of operation, which was comparable to or even higher than that of Pt/C at high overpotentials (**Figure 6A,C**).

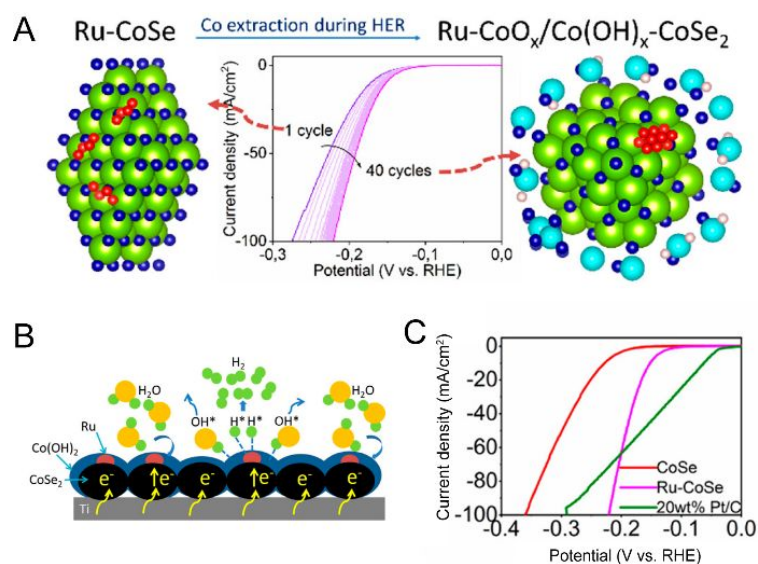


Figure 6. Metal chalcogenide NCs for HER. (A) Linear-sweep voltammograms from the first to the 40th cycle along with the demonstration of structural transformation in Ru-CoSe during these cycles. (B) Schematic illustration of the HER mechanism on the Ru-CoO_x/Co(OH)_x-CoSe₂ electrocatalyst. (C) Linear-sweep voltammograms for Co-Se, Ru-CoSe and Pt/C NCs. Adapted with permission from reference 132. Copyright 2019, American Chemical Society.

In another recent study, Najafi et al. have synthesized CdSe octapods (OCPs) by a colloidal seeded-growth approach and decorated their surface with Pt NCs.¹²⁷ The hierarchical Pt@CdSe-OCPs were assembled onto carbon-nanotube (CNT) bucky-paper and revealed a significant increase of the mass-normalized HER activity compared to that of Pt/C (13.4 times

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3 higher in 0.5 M H₂SO₄ and 21 times higher in 1 M KOH, at $-0.15 V_{\text{RHE}}$). Moreover, the catalyst
4 exhibited long-term stability (>20 h) in both of the electrolytes investigated, while the Pt/C
5 reference catalyst progressively degraded under alkaline conditions. The improved catalytic
6 performance were attributed to the optimal surface-to-bulk atomic ratio of Pt and the chemical
7 interaction between Pt and the CdSe surface that prevents Pt dissolution/deactivation effects,
8 often observed with low Pt mass loadings.
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11 In another example within the same class of materials, PtNi/NiS nanowires (NWs) with
12 different molar ratios of Pt to Ni (sulfurated in oleylamine) were shown to cooperate
13 synergistically towards HER in alkaline conditions.¹²⁸ Pt₃Ni₂ NWs-S/C delivered a maximum
14 current density of 37.2 mA/cm⁻² at an overpotential of 70 mV, which is around 10 times higher
15 than that of commercial Pt/C. Based on DFT calculations, the energy barrier for breaking the
16 HO–H bond in water on NiS (100) surface is reduced compared to Pt (111). Moreover, the
17 H binding energy on the Pt₃Ni₂ (111) surface is closer to the optimal value, revealing the Pt₃Ni₂
18 NWs-S/C as a superior HER electrocatalyst.
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31 7. OER/ORR

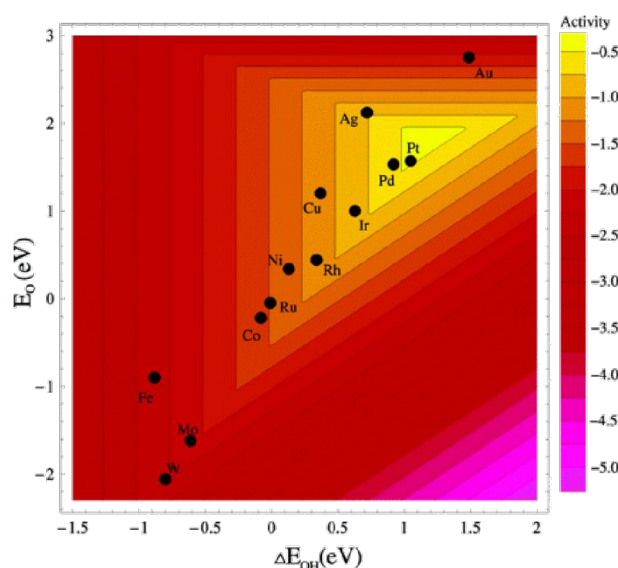
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33 The oxygen reduction (ORR) and oxygen evolution (OER) reactions are two key reactions
34 relevant in various energy-related applications.^{133–135} Rechargeable metal-air batteries, fuel
35 cells and electrolyzers, all rely on the oxygen electrocatalysis, which still suffers from sluggish
36 kinetics, making the search of effective Earth-abundant and reasonably priced catalysts
37 crucial.^{136–139} To date, the best known catalysts in acidic conditions is Pt for ORR (**Figure 7**)
38 and ruthenium and iridium oxides for OER. On the other hand, in alkaline environment oxides
39 are used for both reactions, i.e. manganese oxide for ORR and a combination of nickel-iron-
40 cobalt oxides for OER. In both cases, an overpotential of around 0.3-0.4 V at 10 mA/cm² is
41 still needed.^{133–135} Finding valid alternative to noble metal containing catalysts is one of the
42 main challenges in acidic media for both OER and ORR.^{140,141} Improving the reaction kinetics
43 of the oxides is instead the main issue in alkaline media.^{140,141} Enhancing the catalyst stability
44 is also crucial in any environment.
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53 Compared to metals, oxide NCs often suffer from a poorer control on size and shape because
54 their synthesis is still challenging, especially when it comes to multication oxides. Studies on
55 iridium oxide NCs for OER have been conducted, yet the advantage of using colloidal methods
56 over other techniques is not immediately clear as the size monodispersity and shape uniformity
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3 in these samples was often poor.^{142–146} Very limited examples have been reported for ORR in
4 alkaline conditions, with work on M(II)-substituted magnetite $M_xFe_{3-x}O_4$ ($M_xFe_{1-x}O \cdot Fe_2O_3$)
5 (M = Mn, Fe, Co, Cu) NCs as promising substitutes of Pt being one of these.¹⁴⁷ Significant
6 studies have been performed on non-stoichiometric mixed metal oxides, however here colloidal
7 chemistry could provide only the precursor to form the final catalysts upon annealing above
8 800 °C.^{148,149} While being a powerful and promising approach to obtain shaped-controlled
9 mixed metal oxides, these catalysts were not directly obtained via colloidal chemistry and were
10 finally in the form of powders, therefore are not included in this review.^{148,149}

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12 Overall, most of the research efforts which highlight the advantage of using well-defined
13 colloidal NCs have been directed towards metal-based ORR catalysts in acidic conditions.

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15 Below, we focus on three classes of materials which have been more widely investigated and
16 are therefore representative of the contribution of nanochemistry to the field up to now. Details
17 of this contribution are discussed below for each class of catalysts. A summary of all data is
18 reported in **Table S2**.



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47 **Figure 7.** Volcano plot reporting trends in ORR activity as a function of the O and the OH
48 binding energies as descriptors. Reproduced with permission from reference 150. Copyright
49 2004, American Chemical Society.

50 51 52 53 54 **7.1 Size- and shape-controlled Pt and Pd NCs**

55 Pt is the most active monometallic catalysts for ORR in acidic media (**Figure 7**).¹⁵⁰ Theory and
56 experiments have evidenced a structure-dependent selectivity. In particular, it was reported that
57 the activity decreases as $Pt(110) > Pt(111) > Pt(100)$ in a nonadsorbing electrolyte (e.g. $HClO_4$),
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3 and as Pt(110) > Pt(100) > Pt(111) in an adsorbing electrolyte (e.g. H₂SO₄).^{151–153} Hence,
4 during the last decade, Pt NCs with a variety of sizes and shapes were synthesized and tested
5 towards ORR under similar conditions (**Table S2**) in the quest of translating the facet-
6 dependent activity of single crystals to “real world” catalysts.^{38,154–158} For example, Wang et
7 al. have compared monodispersed Pt NCs with sizes tunable from 3 nm to 7 nm and controlled
8 polyhedral, truncated cubic and regular cubic shapes.^{159,160} They demonstrated that 7 nm Pt
9 cubes, enclosed in (100) facets, were significantly more active than 3 nm polyhedral and 5 nm
10 truncated cubic NCs, both exposing (100) and (111) facets, when tested in H₂SO₄ as the
11 electrolyte, consistently with the single crystal studies (**Figure 8A–D**). Here, shape effects were
12 found to dominate over size-effects. Furthermore, the cubes were twice more active compared
13 to a commercial Pt catalyst tested in the same RDE setup. Later on, with more complex shapes,
14 even higher activities were obtained.^{80,161,162} Zhang et al. have synthesized Pt cubic and
15 octahedral nanocages enclosed by (100) and (111) facets, respectively, by depositing a few
16 atomic layers of Pt on Pd NCs with well-defined shapes and then etching away the Pd
17 templates.⁸⁰ The mass activity of the octahedral nanocages was found to be almost twice that
18 of the cubic nanocages and 8 times the one of commercial Pt/C (0.75 A/mg vs 0.4 A/mg vs
19 0.14 A/mg at 0.9 V_{RHE}). As octahedral nanocages are rich in (111) planes, the improved
20 catalytic performance is attributed to the higher activity of Pt(111) than Pt(100) toward ORR
21 in 0.1 M HClO₄. Referring to the same point of the high Pt(111) activity, He et al. and Wang
22 et al., reported about Pt icosahedral nanocages (**Figure 8E,F**) and demonstrated up to ~10 times
23 and 1.5 times increase in specific and mass activity over commercial Pt/C catalysts and
24 octahedral nanocages, respectively (reaching 3.50 mA/cm² and 1.28 A/mg at 0.9 V_{RHE}).^{161,162}
25 The comparison between the activity and stability of different facets was further expanded by
26 Li et al. who investigated the morphological evolution of Pt cubes, octahedra and cuboctahedra
27 by potential-induced Pt dissolution/re-deposition when cycling between 0.05 and 1.3 V_{RHE},
28 which was chosen as an accelerated catalyst degradation protocol.¹⁶³ In addition to assessing
29 that smaller particles are less stable than bigger particles, the authors highlighted the role of the
30 density of the low-coordinated atoms in the relationship between stability and morphology
31 changes. Based on scanning tunneling microscopy (STM) investigations on Pt(111) and
32 Pt(100), they proposed that the low-coordinated atoms along the step-edges are much less
33 stable than those on the facets, which might indicate that they are also more active. High-
34 resolution transmission electron microscopy (HRTEM) analysis of the NCs before and after
35 ORR suggested that the NC shapes are metastable, which leads to the observed change into
36 thermodynamically equilibrated size and shape and, finally, to a similar activity towards ORR.
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7 nm cuboctahedral Pt NCs were finally recommended as the trade-off between initial mass activity and durability performance.

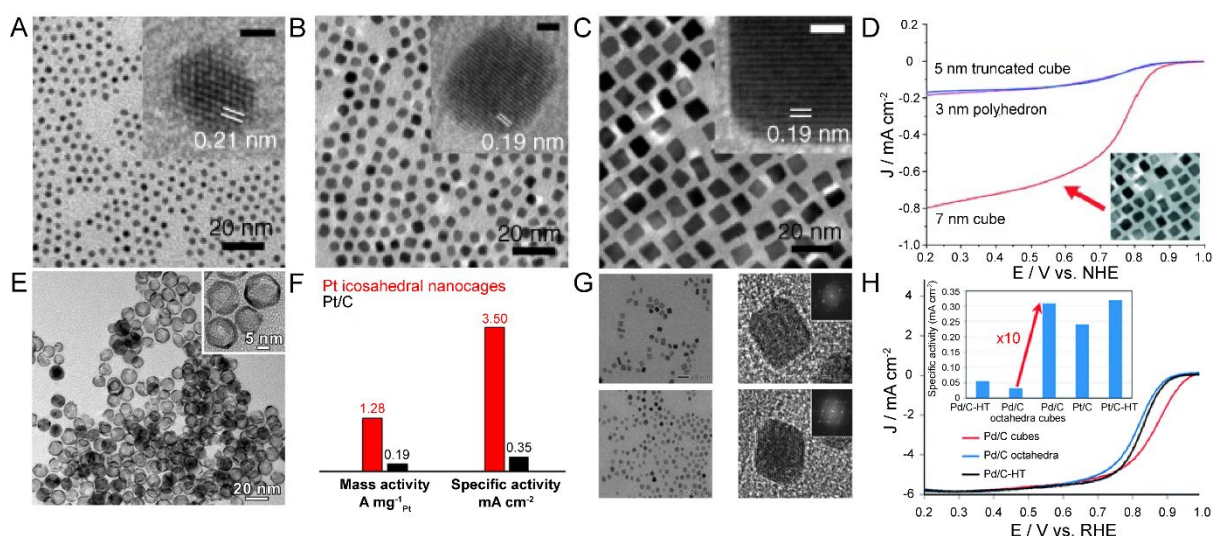


Figure 8. Size- and shape- controlled Pt and Pd NCs for ORR. Representative TEM images of (A) 3 nm polyhedral, (B) 5 nm truncated cubic and (C) 7 nm cubic Pt NCs. The insets are the representative HRTEM images of corresponding single particles, showing Pt(111), Pt(100), and Pt(100) lattice fringes, respectively (scale bars correspond to 1 nm). (D) Corresponding anodic polarization curves in oxygen saturated 0.5 M H₂SO₄ measured in an RDE set-up. Adapted with permission from reference 159. Copyright 2008, Wiley. (E) TEM image of the Pt icosahedral nanocages and (F) comparison of the mass and specific activity for the carbon-supported Pt icosahedral nanocages and a commercial Pt/C catalyst obtained at 0.9 V_{RHE} in 0.1 M HClO₄. Adapted with permission from reference 162. Copyright 2016, American Chemical Society. (G) TEM (left) and HRTEM images (right) of Pd cubes (top) and octahedral (bottom), respectively. (H) Anodic polarization curves for carbon-supported commercial Pd/C and Pd/C octahedra and cubes in 0.1 M HClO₄ in an RDE set-up. The inset shows the comparison of the specific activities at 0.9 V_{RHE}. Adapted with permission from reference 164. Copyright 2011, Royal Society of Chemistry.

According to the scaling relations (Figure 7), Pd is the metal next to Pt in terms of its intrinsic activity towards ORR. However, it has been generally less explored both in bulk and in colloidal NC form because of the lower stability.¹⁶⁵ A facet dependent activity has been reported also for Pd, which increase as Pd(110) < Pd(111) << Pd(100) in HClO₄ electrolyte.^{164,166} Therefore, similarly to Pt, the activity dependence on the size and shape of

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3 Pd NCs has been studied.^{166,167} For example, Shao et al. have synthesized 10 nm cubes and
4 octahedra and found that the specific activity experienced a 10 times increase from octahedra
5 with predominant (111) surfaces to cubes with mainly (100) surfaces, thus in agreement with
6 the trends predicting that (100) facets are more active, and reaching activity values comparable
7 with those of commercial Pt catalysts. (**Figure 8G and H**).¹⁶⁴
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10 To summarize this section, **Table S2** evidences that Pt octahedral nanocages exposing Pt (111)
11 surfaces are the most active compared to other catalysts tested under very similar conditions in
12 0.1M HClO₄, which is in line with the trends from single crystal studies. In addition to the
13 exposed facets, the shape control achievable by colloidal chemistry evidences that a hollow
14 structure is also beneficial, as it facilitates the O₂ accessibility to the active sites.
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22 **7.2. NC alloys of Pt and early transition metals as ORR catalysts**

23 In the quest of reducing the Pt content in the ORR catalyst while also improving the activity
24 compared to the commercial Pt/C, alloying Pt with early transition metals including Fe, Co, Ni
25 is a promising strategy.¹⁶⁸ Among these, Pt-Ni alloyed NCs have been the most explored
26 catalysts so far leading to quite impressive breakthroughs. Stamenkovic et al. initiated such
27 effort with DFT calculations and single crystal surface studies under ultra-high vacuum (UHV)
28 evidencing that the Pt₃Ni(111) surface is 10 times more active than the corresponding Pt(111)
29 surface and 90 times more active than Pt/C catalysts (**Figure 9A**).¹⁵³ This finding was attributed
30 to a combination of electronic effects (*d*-band center position) and geometric effects (i.e.
31 namely Pt-rich surface).
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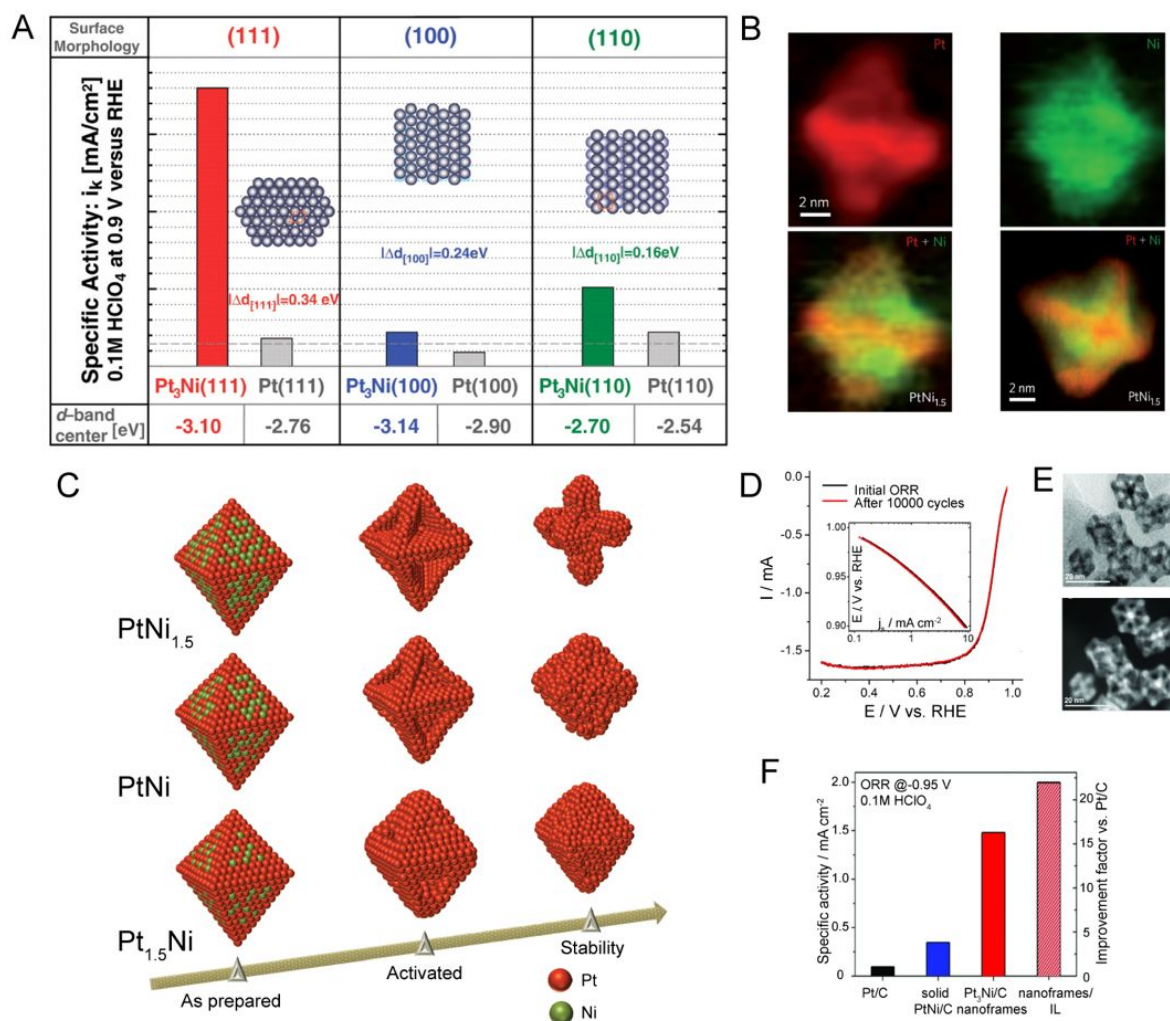


Figure 9. Pt-Ni alloyed NCs for ORR. (A) Influence of the surface morphology and electronic properties on the ORR performance. Rotating ring-disk electrode (RRDE) measurements for ORR in 0.1 M HClO₄ on Pt₃Ni(*hkl*) surfaces as compared to the corresponding Pt(*hkl*) surfaces (a horizontal dashed gray line marks specific activity of polycrystalline Pt) are shown. Specific activity is given as a kinetic current density i_k , measured at 0.9 V_{RHE}. Values of d -band center position obtained from ultra-violet photoemission spectroscopy (UPS) are listed for each surface morphology and compared between corresponding Pt₃Ni(*hkl*) and Pt(*hkl*) surfaces. Adapted with permission from reference 153. Copyright 2007, AAAS. (B) Electron energy loss spectroscopy (EELS) element maps of PtNi_{1.5} showing the segregated distribution of Pt (red) and Ni (green) in a particle close to the $\langle 110 \rangle$ zone axis (top and bottom left). Composite image of a high-angle annular dark-field (HAADF) image showing mainly Pt (red) and an EELS map showing Ni (green) in a particle close to the $\langle 100 \rangle$ zone axis (bottom right). (C) Schematic representation of the Pt_xNi_{1-x} NCs morphology and surface structure changes after electrochemical surface activation (25 cycles, 0.06 – 1.0 V_{RHE} potential range) and stability

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3 (4000 cycles, 0.6 – 1.0 V_{RHE} potential range) tests relative to as-synthesized NCs in 0.1 M
4 HClO_4 in a RDE set-up. Adapted with permission from reference 169. Copyright 2013, Nature.
5
6 (D) ORR polarization curves and (inset) corresponding Tafel plots of Pt_3Ni nanoframes before
7 and after 10,000 cycles in 0.1 M HClO_4 and 0.6 – 1.0 V_{RHE} potential range (E) Bright-field
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9 (top) and dark-field (bottom) scanning transmission electron microscopy (STEM) images of
10 carbon-supported Pt_3Ni nanoframes/C after cycling. (F) Specific ORR activity of Pt_3Ni
11 nanoframes compared to other state-of-the-art catalysts (IL – ionic liquid) at $-0.95 V_{\text{RHE}}$ in 0.1
12 M HClO_4 . Adapted with permission from reference 170. Copyright 2014, AAAS.
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19 In the following decade, these findings inspired a series of studies aiming at the synthesis of
20 PtNi_3 NCs exposing (111) facets on their surface. To start with, Choi et al. synthesized highly
21 active 9 nm $\text{Pt}_{2.5}\text{Ni}$ octahedra and demonstrated that they can be produced in a scalable way
22 with the use of a continuous-flow droplet reactor.^{171,172} These catalysts reached an outstanding
23 51-fold increase in ORR activity compared to Pt/C catalysts allowed by the predominant
24 exposure of $\text{Pt}_3\text{Ni}(111)$ surfaces. Wu et al. synthesized truncated Pt_3Ni octahedra and
25 demonstrated that the activity strongly depends on the (111) surface fraction.¹⁷³ Ni-Pt alloyed
26 NCs with different shapes and composition provided further insights into this system.^{174–177}
27 For example, Pt_3Ni icosahedra were shown to possess an additional 50% increase in specific
28 activity compared to octahedra.^{174–176} While both crystals expose (111) surfaces, DFT and
29 molecular dynamics simulations indicated that this improvement may arise from strain-induced
30 electronic effects.¹⁷⁶ Urchin-like PtNi , Pt_2Ni and Pt_3Ni were synthesized and their performance
31 compared by Choi et al. Here, the Pt_2Ni catalysts were demonstrated to have a similar
32 performance as Pt_3Ni reaching 20 times increase in specific activity compared to the Pt catalyst
33 as a result of combining structural factors and compositional effects. The authors note that, the
34 urchin-like structures are dominated by (100) rather than (111) facets. Better performance
35 compared to commercial Pt NCs were attributed to the alloying effect, together with a low
36 specific anion adsorption, which is desired for implementation into commercial hydrogen fuel
37 cells because of possible surface poisoning by sulfonic groups of Nafion. This is not the case
38 for the (111) surface, which is more susceptible to poisoning via specific anion adsorption.¹⁷⁸
39 An important set of follow-up studies focused on the morphological and compositional
40 evolution of the different shape-controlled Pt-Ni catalysts during ORR. Cui et al. studied
41 $\text{Pt}_{1.5}\text{Ni}$, PtNi , $\text{PtNi}_{1.5}$ octahedral NCs.¹⁶⁹ Via carefully executed electron microscopy studies,
42 they evidenced that the two elements are phase segregated in the as-prepared catalysts and that
43 Ni leaches out of the structure during the activation and reaction (**Figure 9B and C**). This
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3 dealloying process results in the generation of concave octahedral NCs, and the ORR activity
4 is ultimately determined by a balance between the thickness of the pure Pt shell, the subsurface
5 Ni content and the ratio of the remaining (111) facets.
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8 Later on, Huang et al. demonstrated that Ni leaching can be prevented by doping the Pt₃Ni
9 octahedra with molybdenum.¹⁷⁹ The Mo-doped catalysts showed 81-fold and 73-fold
10 enhancement in specific and mass activity compared to the commercial Pt/C catalyst and 4-
11 fold enhancement in specific activity compared to the undoped counterpart. Even more
12 importantly, a stable operation over 8000 cycles was observed. Based on DFT calculations, the
13 improved performance was attributed to the formation of surface Mo-oxide species, which stay
14 near the particle edges and may capture surface Ni, preventing its leaching.
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17 As an alternative, the dealloying processes and element-specific segregation in the Pt-Ni
18 catalysts have been exploited to construct more active catalysts. For example, Niu et al.
19 reported about the formation process of nanoframe catalysts from Pt-Ni rhombic dodecahedra
20 which relies on spontaneous corrosion upon air exposure without any harsh treatment with
21 chemicals or application of potential involved.⁴⁵ The outstanding performance was ascribed to
22 the effect of morphology on the coordination and strain of surface atoms, and to the overall
23 three-dimensional molecular accessibility.
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26 Chen et al. have demonstrated that, once formed, the Pt₃Ni nanoframes possess remarkable
27 stability under operation (the potential between 0.6 and 1.0 V for a duration of 10,000 potential
28 cycles at different sweep rates from 2 to 200 mV s⁻¹) (**Figure 9D and E**). Furthermore, they
29 show a 22-fold and 36-fold increase in specific and mass activity, respectively, compared to
30 state-of-the-art Pt/C catalysts, when infilled with protic ionic liquids that increase the O₂
31 concentration close to the catalyst surface (**Figure 9F**).^{170,180}
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34 Follow-up studies from the same group have then provided further insights into these
35 nanoframe catalysts. Becknell et al. focused on the compositional tunability.¹⁸¹ By playing with
36 the Pt/Ni ratio they obtained new architectures, referred to as excavated nanoframes (E-NF)
37 instead of the hollow nanoframes (H-NF). The E-NFs exhibited Pt-rich sheets extending inward
38 from Pt-rich edges, whereas the H-NFs had empty space inside Pt-rich edges, but higher Ni-
39 content in the near edge region. These differences resulted in remarkable improvement in the
40 ORR intrinsic activity for the E-NFs. The E-NFs possessed an increased intrinsic activity
41 compared to H-NFs, which was attributed to their extended surfaces that were more enriched
42 in Ni.¹⁸¹ In a very systematic study, Chen et al. compared different corrosion procedures for
43 the preparation of the nanoframes: the original ambient oxidation, a corrosion process
44 involving acetic acid and a corrosion process involving nitric acid.¹⁸² Eventually, stable
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3 operations over 30,000 cycles were observed when using the oxidative nitric acid which leads
4 to a lower Ni content and a less-defective surface. Instead, the ambient oxidation leads to the
5 highest initial activity but also the fastest performance decay because the surface reconstructive
6 process and the Ni leaching are continuing during catalysis. Overall, these results highlight that
7 a higher Ni content promotes activity but also instability, and thus a trade-off must be reached.
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13 Pt-Co NCs come after Ni-Pt in terms of dedicated research effort, which is lower probably
14 because the overall stability remains an issue. Lee et al. synthesized monodispersed bimetallic
15 NCs with a series of compositions (Pt_3Co , PtCo , PtCo_2 and PtCo_3) as structurally ordered L1_0
16 intermetallics.¹⁸³ The authors were able to correlate the composition to the prominence of the
17 L1_0 phase, which was then found to impact the ORR performance (higher Co content, higher
18 order, higher activity). All catalysts outperformed the commercial Pt/C in terms of activity,
19 which demonstrate the beneficial effects of alloying. While PtCo_3 showed the highest activity,
20 it was also the least stable due to Co leaching. PtCo was shown to be the best compromise
21 between activity and stability. Wang et al. have then demonstrated that a thin shell of Pt around
22 a structurally ordered PtCo_3 intermetallic core contributes both to enhanced performance and
23 durability.¹⁸⁴ Supported on carbon, these catalysts were compositionally and structurally stable
24 up to 5,000 potential cycles.^{184,185} Indeed, the Pt skin avoids leaching of the transition metals
25 into the acidic solution.¹⁸⁶ Pt-Co nanoframes were also synthesized from rhombic dodecahedra
26 in a similar manner to what was done for Pt-Ni and studied for ORR.¹⁸⁷ The $\text{Pt}_{82}\text{Co}_{18}$
27 nanoframes exhibited higher mass activity than commercial Pt/C and were stable up to 10,000
28 potential cycles. Similar to the Pt-Ni nanoframes, the improved durability was explained with
29 a reduced Co dissolution, probably achieved because the nanoframes are obtained via corrosion
30 of the dodecahedra using nitric acid, which results in the formation of surfaces with less defects
31 and, consequently, better stability while, in part, compromising activity.^{182,187}
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48 Turning to Pt-Fe compounds, very few examples have been reported in the literature compared
49 to Pt-Ni and Pt-Co. Similarly to Pt-Co, structural order was found to be an important parameter
50 for the ORR performance. Kim et al. were able to synthesize chemically disordered face
51 centered cubic (*fcc*) and chemically ordered face centered tetragonal (*ftt*) FePt NCs. The latter
52 were obtained by annealing *fcc*-FePt/MgO core/shell NCs at 750 °C for 6 h under forming gas,
53 followed by the shell removal by a dilute acid washing. It is important to note here that the
54 MgO shell is needed to avoid NC sintering during annealing. The *ftt*-FePt were more active
55 and stable than the *fcc*-FePt when tested for ORR, both with a higher activity than Pt/C
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3 catalysts, thus highlighting the importance of structure in addition to composition.^{188–191} Later,
4 Guo et al. prepared FePt and CoPt NWs with similar dimensions. Both were found to be more
5 active than commercial Pt/C and stable over 4,000 potential cycles, however FePt
6
7 outperformed CoPt in terms of specific activity.¹⁹²
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10 To summarize this section, **Table S2** showcases the substantial efforts dedicated to this class
11 of NCs as ORR catalysts. Similar to single metal Pt NCs, it is found that the exposure of (111)
12 surfaces combined with a hollow structure are beneficial for ORR, as the Pt-Ni octahedral
13 nanoframes demonstrate the highest specific and mass activities among bimetallic compounds,
14 all superior to the commercial Pt/C catalysts tested under the same conditions. Leaching of the
15 non-noble metal remains an issue, however the growth of a Pt skin or doping might be strategies
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17 to be pursued in the future.
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24 **7.3 NC alloys of Pt and noble metals as ORR catalysts**

25 Across the literature, there are also many examples where Pt is combined with other noble
26 metals. While this strategy does not contribute to decreased material costs, higher activity
27 and/or stability is still desirable, especially in alkaline media.
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30 Zhu et al. have shown that a shell of PtIr around facet-controlled Pd cores greatly improves
31 both catalyst activity and durability, thanks to the intrinsically high stability of Ir and activity
32 of Pt in the reaction media.¹⁹³ The same group has then demonstrated that Pt-Ir-Pd nanocages
33 with well-defined (100) facets are very good catalysts towards both ORR and OER in acidic
34 media (**Figure 10**).¹⁹⁴ These nanocages demonstrated a strong increase in ORR (4 and 2 times,
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36 respectively) and OER (17 and 3 times, respectively) activity compared to both Pt/C and PdPt
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38 nanocages, with significantly enhanced durability towards both processes.
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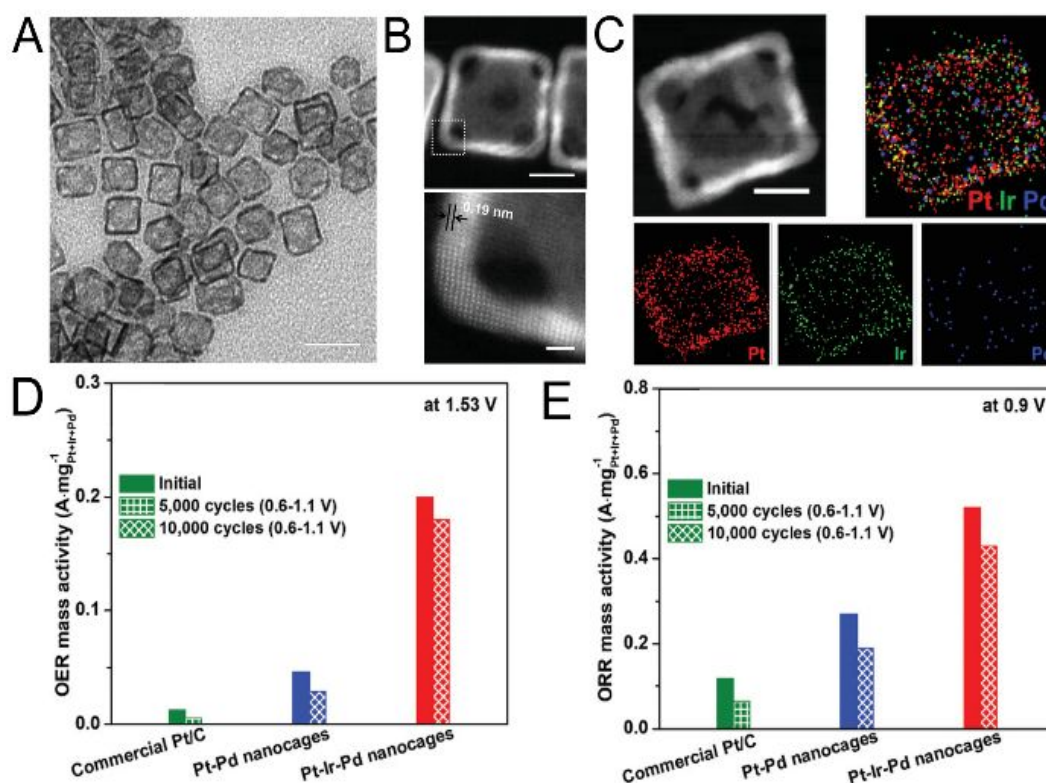


Figure 10. Pt-Ir-Pd as ORR and OER electrocatalysts in acidic conditions. (A) TEM (scale bar = 20 nm) and (B) HAADF-STEM images of Pt-Ir-Pd nanocages with single Pt-Ir-Pd particle (top, scale bar = 5 nm) and magnified region marked in the top image (bottom, scale bar = 1 nm). (C) HAADF-STEM image and EDX mapping of Pt, Ir and Pd for the Pt-Ir-Pd nanocages (scale bar = 5 nm). (D) OER and (E) ORR mass activity of Pt-Ir-Pd before and after the accelerated durability test in the ORR potential region (0.6 – 1.1 V_{RHE}) in 0.1 M HClO₄ in a RDE set-up. Adapted with permission from reference 194. Copyright, 2020 Wiley.

Similar materials design trends can be extended to other noble metals. For example, collooidally-synthesized *fct*-FePd/Pd,¹⁹⁵ PdCu¹⁹⁶ and five-fold twinned Pd₂NiAg¹⁹⁷ catalysts demonstrated higher ORR activity and durability comparable to that of commercial Pt/C catalysts.

In case of the *fct*-FePd/Pd, Pd lattice compression in the shell induced by the FePd core results in the formation of Pd(111) surfaces, which are similar to that of Pt(111). For PdCu, the authors speculate that the activity enhancement is attributed to changes in the electronic structure of the noble metal valence band due to the presence of non-noble metal, which modifies the binding energy of ORR intermediates. Then, in the case of five-fold twinned Pd₂NiAg, the higher activity originates from the increased availability of surface Ni sites and the features of twinned structural defects.

Furthermore, Huang et al. have demonstrated that ordered PdCu, PdCuNi and PdCuCo NCs synthesized colloiddally in a scalable way exhibit bifunctional activity towards ORR in alkaline conditions, which make them particularly interesting.¹⁹⁸ The PdCuCo mass activity towards ORR is slightly higher than that of Pt/C for ORR and the stability is significantly increased. According to DFT calculations, the improved ORR activity originates from the ordered material structure and presence of catalytically active hollow sites of the (111) surface, which arise from the ligand effect and the compressive strain on the Pd surface owing to the smaller atomic size of Cu, Co, and Ni atoms.

While promising results have been obtained, the data for this family of NCs as catalysts for ORR are still scarce (**Table S2**), therefore clear trends and “lessons learned” are not clearly identifiable.

8. CO₂RR

Electrochemical CO₂RR into value-added chemicals is an attractive method to mitigate the rising atmospheric CO₂ levels while storing renewable energy in a dispatchable manner.^{199,200} Developing catalysts that achieve unit selectivity towards one of the possible reduction products (**Table 1**) with sustained high rates of formation is among the current challenges in this field that must be addressed at a fundamental level.^{199,200}

Table 1. Electrochemical reactions with equilibrium potentials.

Reaction	$E^0/[V_{RHE}]$	(Product) Name, abbreviation
$2H_2O \rightarrow O_2 + 4H^+ + 4e^-$	1.23	Oxygen evolution reaction, OER
$2H^+ + 2e^- \rightarrow H_2$	0	Hydrogen evolution reaction, HER
$xCO_2 + nH^+ + ne^- \rightarrow product + yH_2O$		CO ₂ Reduction Reaction, CO ₂ RR
$CO_2 + 2H^+ + 2e^- \rightarrow HCOOH_{(aq)}$	-0.12	Formic acid
$CO_2 + 2H^+ + 2e^- \rightarrow CO_{(g)} + H_2O$	-0.10	Carbon monoxide
$CO_2 + 6H^+ + 6e^- \rightarrow CH_3OH_{(aq)} + 6e^-$	0.03	Methanol, MeOH
$CO_2 + 4H^+ + 4e^- \rightarrow C_{(s)} + 2H_2O$	0.21	Graphite
$CO_2 + 8H^+ + 8e^- \rightarrow CH_4_{(g)} + H_2O$	0.17	Methane
$2CO_2 + 2H^+ + 2e^- \rightarrow (COOH)_{2(s)}$	-0.47	Oxalic Acid
$2CO_2 + 8H^+ + 8e^- \rightarrow CH_3COOH_{(aq)} + 2H_2O$	0.11	Acetic Acid
$2CO_2 + 10H^+ + 10e^- \rightarrow CH_3CHO_{(aq)} + 3H_2O$	0.06	Acetaldehyde
$2CO_2 + 12H^+ + 12e^- \rightarrow C_2H_5COH_{(aq)} + 3H_2O$	0.09	Ethanol, EtOH
$2CO_2 + 12H^+ + 12e^- \rightarrow C_2H_4_{(g)} + 4H_2O$	0.08	Ethylene
$2CO_2 + 14H^+ + 14e^- \rightarrow C_2H_6_{(g)} + 4H_2O$	0.14	Ethane
$3CO_2 + 16H^+ + 16e^- \rightarrow C_2H_5CHO_{(aq)} + 5H_2O$	0.09	Propionaldehyde
$3CO_2 + 18H^+ + 18e^- \rightarrow C_3H_7COH_{(aq)} + 5H_2O$	0.10	Propanol, PrOH
$xCO + nH^+ + ne^- \rightarrow product + yH_2O$		CO Reduction, COR

$\text{CO} + 6\text{H}^+ + 6\text{e}^- \rightarrow \text{CH}_{4(\text{g})} + \text{H}_2\text{O}$	0.26	Methane
$2\text{CO} + 8\text{H}^+ + 8\text{e}^- \rightarrow \text{CH}_3\text{CH}_2\text{OH}_{(\text{aq})} + \text{H}_2\text{O}$	0.19	Ethanol, EtOH
$2\text{CO} + 8\text{H}^+ + 8\text{e}^- \rightarrow \text{C}_2\text{H}_{4(\text{g})} + 2\text{H}_2\text{O}$	0.17	Ethylene

Adapted with permission from reference 199. Copyright 2019, American Chemical Society.

In a seminal contribution uncovering performance trends, Nørskov and co-workers proposed the 2D volcano plot reported in **Figure 11**.²⁰¹ Most of the CO₂RR reaction intermediates bind to metals via carbon atoms. The result is the existence of a linear scaling relation wherein any attempt to increase the reaction rate by stabilizing H–CO* stabilizes also CO* and therefore poisons the catalyst surface. Having the weaker binding energy, Au and Ag are very efficient in producing CO. Cu is special as it possesses an optimal intermediate binding energy towards the key CO₂RR intermediates reported in the plot, resulting in “beyond CO” products.^{199,200} Nevertheless, polycrystalline Cu produces over 16 different products, therefore selectivity must be addressed.^{199,200}

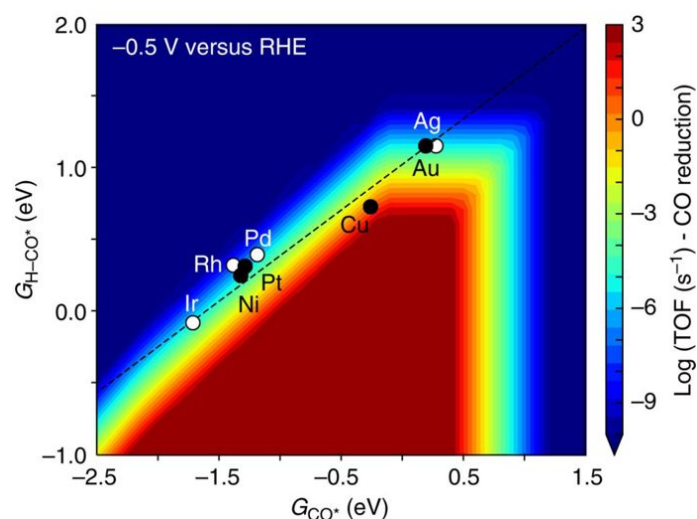


Figure 11. 2D map of the CO reduction rate as a function of H–CO transition state energy and CO binding energy on transition metal surfaces. Adapted with permission from reference 201. Copyright 2017, Nature.

Studies on single crystals have revealed the structural sensitivity of the CO₂RR with the (100) surface being more selective for ethylene and (111) for methane.^{199,200,202,203} One more recent study has highlighted (751) as a facet promoting C–C coupling and oxygenates in particular.²⁰⁴ The wide spectrum of CO₂RR products and the dependence of performance (selectivity, activity and stability) on structure and composition requires careful investigations and tuning of nano-scale surface features. Major facet, facet-ratios, coordination number of active sites, composition, relative distribution of chemically diverse sites and electronic structure influence

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3 catalytic performance and stability.⁴ Achieving uniformity in these nano-scale features coupled
4 with the possibility of synthesis scale-up to facilitate macro-scale electrode preparation and
5 performance evaluation is exactly the strength of colloidal synthesis.
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10 As discussed for ORR, taking advantage of the tunability offered by colloidal chemistry,
11 monometallic NCs have been exploited to reveal size- and shape-dependent behavior of
12 catalysts in CO₂RR, with most of the studies focused on Au and Cu.⁴ Building on this
13 knowledge, a few studies on bimetallic NCs have been reported in the literature. Here, the
14 combined effect of multiple binding sites, geometric and electronic effects can result in a
15 deviation from the scaling relations on single metal surfaces.^{205,206} Below, we focus on these
16 two classes of materials and we provide a summary of all the data in **Table S3**. Compared to
17 HER/HOR and ORR/OER, CO₂RR has boomed more recently. At the same time, colloidal
18 chemistry has already provided a substantial contribution to the field with facet-controlled Cu
19 nanocatalysts highly selective towards ethylene and methane. More opportunities open up with
20 the multi-metallic systems, which are still far from being exhaustively explored.
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30 31 **8.1 Single metal NCs**

32 While sparse examples have been reported for Pd, Sn and Zn NCs synthesized by wet-
33 chemistry routes,^{207–210} most of the studies have focused on size- and shape-controlled Au and
34 Cu NCs.
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37 In one of the early studies on the size dependence of metal NCs for CO₂RR, Zhu et al. studied
38 the CO₂RR performance of colloiddally synthesized 4, 6, 8 and 10 nm Au NCs in CO₂ saturated
39 0.5 M KHCO₃ (**Figure 12**).²¹¹ The authors found a non-monotonic trend with the 8 nm catalysts
40 reaching a maximum faradaic efficiency (FE) towards CO up to 90%, which further increased
41 to 97% by adding an ionic liquid (IL) to the catalyst ink at $-0.67 V_{RHE}$. This behavior was
42 attributed to the stabilization of the *COOH intermediate due to the optimal ratio between edge
43 (active for CO₂) and corner (active for H₂) sites for this size. The same group showed that
44 increasing the number of edge sites by synthesizing ultrathin Au NWs enhances the
45 performance of Au nanostructures while also lowering the overpotentials required.²¹² A
46 remarkable CO FE of 95% at only $-0.35 V_{RHE}$ on 2 nm wide Au NWs was indeed achieved via
47 the stabilized *COOH and weakened *CO binding energy. Later on, Mistry et al. exploited the
48 size-dependent behavior of Au NCs in the 1–8 nm range to tune the composition of the syngas
49 product.²¹³ The larger 8 nm NCs produced a H₂:CO ratio of ~1:1, whereas a H₂:CO ratio of
50 ~3:1 was measured for NCs below 5 nm. DFT calculations showed that the drastic increase in
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HER activity for the smaller NCs is due to possible CO poisoning on the low-coordinated sites that are also active for HER.

A more recent study on Au single crystals has pointed at a 20-fold increase in activity for CO₂RR to CO on undercoordinated sites, such as those present on Au(110) and Au(211), compare to Au(100) for example.²¹⁴ These findings have inspired researchers to explore Au NCs with different shapes. In one example, Li et al. have synthesized concave rhombic dodecahedron (RD) Au NCs, which are enclosed by many high-index facets, including (331), (221) and (553).²¹⁵ Their CO₂RR performance in 0.5 M KHCO₃ revealed a striking trend where the concave RDs are superior to regular RDs and cubes in terms of CO FE and current density. The authors hypothesized that the presence of eight different types of high-index facets on the concave RD contributes to this high CO FE of 93% at $-0.57 V_{RHE}$. In a second example, Liu et al. obtained triangular Ag nanoplatelets exhibiting an ultralow onset potential of $-0.206 V_{RHE}$ in 0.1 M KHCO₃.²¹⁶ DFT calculations showed that the stabilization of the *COOH on the Ag(100) facets on the nanoplatelets along with the optimal ratio of edge-to-corners can explain this behavior.

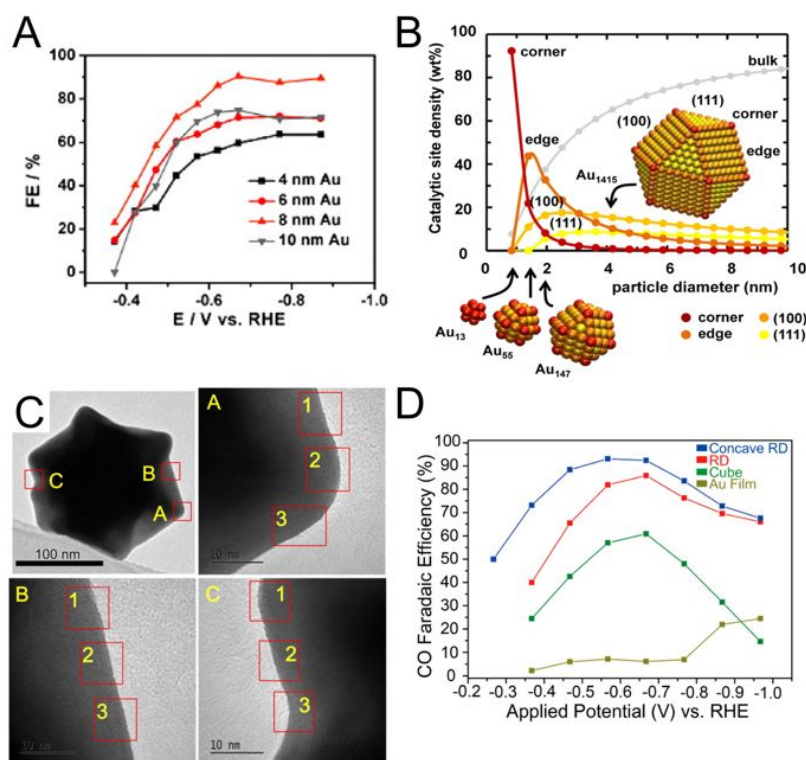


Figure 12. Au NCs as CO₂RR electrocatalysts. (A) FEs towards CO for Au NCs of different sizes measured in 0.5 M KHCO₃. (B) Density of adsorption sites (yellow, light orange, dark orange, or red symbols for (111), (001), edge, or corner on-top sites, respectively) on closed-shell cuboctahedral Au clusters vs the cluster diameter. The weight fraction of Au bulk atoms

is marked with gray dots. Adapted with permission from reference 211. Copyright 2013, American Chemical Society. (C) TEM image of an individual concave RD and magnified images of (A) vertex, (B) edge and (C) bump sections of the same view along the [110] direction. (D) FEs towards CO for Au film and Au NC electrodes in 0.5 M KHCO₃ solution. Adapted with permission from reference 215. Copyright 2015, American Chemical Society.

The number of studies increases substantially when turning to Cu NCs. As for the size-dependence of the reaction, in one of the early works, 7 nm Cu spheres were demonstrated to generate almost 80% FE towards methane at $-1.3 V_{\text{RHE}}$ while evolving into 25 nm particles in 0.1 M NaHCO₃ (Figure 13A,B).²¹⁷ Reske et al. investigated Cu spheres in the size range of 1.9 nm to 15.1 nm and observed that these catalysts produce mostly hydrogen (Figure 13C).²¹⁸ Such behavior was explained by attributing HER to the low-coordinate sites which are abundant on the surface of small particles (Figure 13D). This study clearly shows the importance of avoiding very small Cu NCs as CO₂RR catalysts, something which was confirmed also by later investigations.²¹⁹ Possible explanation of the disagreement between the results of these two works might reside in the particle coverage on the electrodes. Indeed, interparticle distance was later on demonstrated to be an important parameter due to the desorption and readsorption of intermediates on neighboring NCs.³⁹ The fact that the two catalysts were synthesized by different chemical approaches might also play a role.

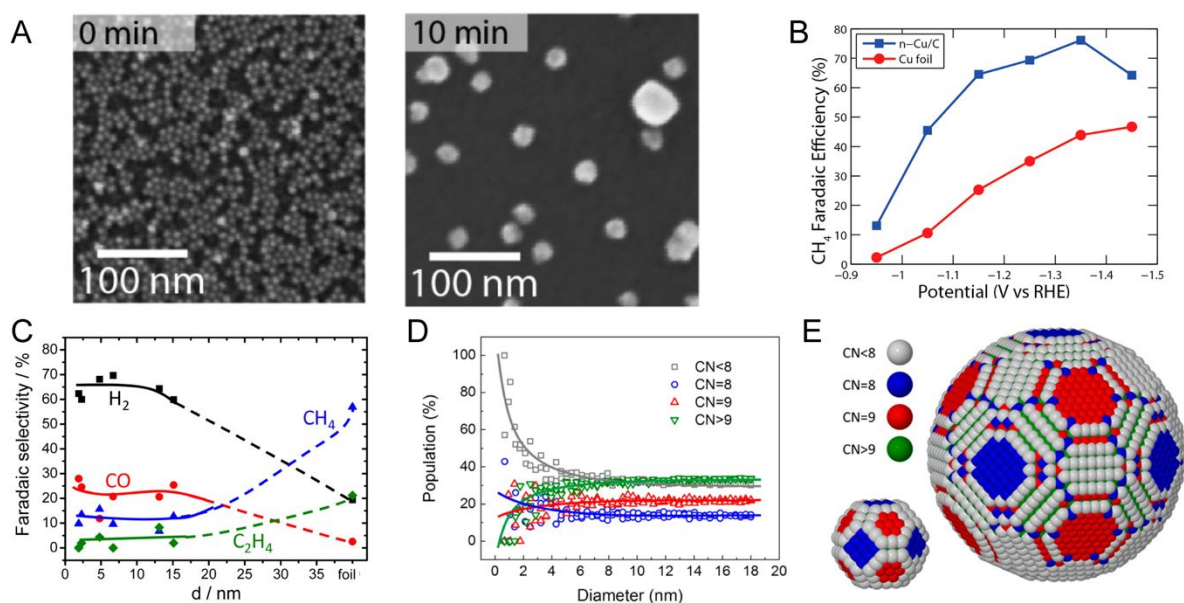


Figure 13. Size-controlled spherical Cu NCs for CO₂RR. (A) Scanning electron microscopy (SEM) images of the spherical Cu NCs supported on glassy carbon and the same after 10 min

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3 of electroreduction at $-1.25 V_{\text{RHE}}$ in 0.1 M NaHCO_3 . (B) FEs towards CH_4 on glassy carbon
4 supported Cu NCs and Cu foil electrodes in 0.1 M NaHCO_3 . Adapted with permission from
5 reference 217. Copyright 2014, American Chemical Society. (C) FEs of Cu NCs of different
6 sizes and Cu foil electrodes measured at $-1.1 V_{\text{RHE}}$ in 0.1 M KHCO_3 . (D) Relative ratio of
7 surface atoms having a specific CN as a function of particle diameter. (E) Ball models of
8 spherical Cu NCs with 2.2 and 6.9 nm diameters. Surface atoms are color-coded according to
9 their first neighbor coordination number (CN), $\text{CN} < 8$ (gray), $\text{CN} = 8$ (blue), $\text{CN} = 9$ (red),
10 $\text{CN} > 9$ (green). Adapted with permission from reference 218. Copyright 2014, American
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21 As mentioned above, studies on Cu single crystals have evidenced the structure-dependence of
22 the CO_2RR selectivity.^{202,203} Consequently, tuning the Cu NC shapes is an appealing strategy
23 to reduce the number of products obtained on Cu catalysts. Loiudice et al. have synthesized Cu
24 nanocubes with edge lengths of 24 nm, 44 nm and 63 nm (**Figure 14A**).²¹⁹ In addition to the
25 promotion of ethylene, which was expected from single crystal studies, an interesting size-
26 dependent behavior was observed. Indeed, the 44 nm Cu cubes exhibited the best performance
27 by achieving a maximum FE towards ethylene of 41% at $-1.1 V_{\text{RHE}}$ (**Figure 14B**). This
28 behavior was explained with the optimal ratio between (100) facets and (110) edges. Indeed,
29 DFT calculations confirmed a dual facet mechanism wherein the C–C coupling reaction
30 leading to ethylene formation occurs at the (100)/(110) interface (**Figure 14C**).²²⁰ Interestingly,
31 cube-like Cu particles in a similar size range (10–40 nm) but forming during the first stages of
32 CO_2RR from 8 nm Cu spheres when loaded at high concentration on the electrodes, exhibited
33 a higher selectivity for C_2+C_3 products compared to the as-synthesized Cu nanocubes.²²¹ Such
34 result indicates that unique catalytic sites might be forming during *in situ* transformations.
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37 In another study, Iyengar et al. studied the size-dependent behavior of Cu octahedra as CO_2RR
38 catalysts (**Figure 14D**).⁶⁴ A striking HER suppression and CO_2RR promotion was observed as
39 the size of the octahedra decreased from 310 nm to 75 nm (**Figure 14E**). Indeed, the latter were
40 the most selective for CO_2RR and achieved a maximum CH_4 FE of 55% at $-1.25 V_{\text{RHE}}$. The
41 higher contribution of corners and edges to the overall surface area in the smaller Cu octahedra,
42 as quantified by the (100)/(111) and (110)/(111) ratios, can be identified as the reason for this
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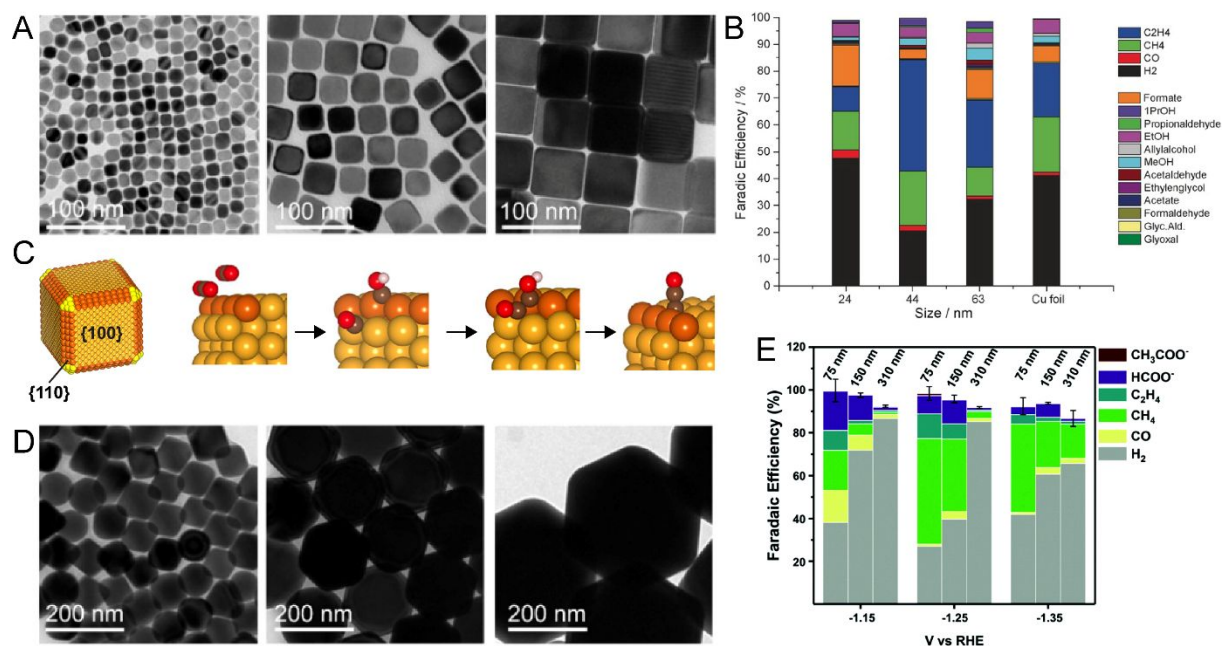


Figure 14. Shape-controlled Cu NCs for CO₂RR. (A) Representative TEM images of cubic Cu NCs with three sizes, namely 24 nm, 44 nm and 60 nm. (B) FEs for reaction products at $-1.1 V_{RHE}$ in 0.1 M KHCO₃ from the same NCs and Cu foil for comparison. Adapted with permission from ref 219. Copyright 2016, Wiley. (C) Schematic illustrating the dual facet mechanism for CO₂RR on cubic Cu NCs. Adapted with permission from reference 220. Copyright 2019, American Chemical Society. (D) TEM images of 75 nm, 150 nm and 310 nm Octahedral Cu NCs. (E) Potential dependent FEs for reaction products from the same in 0.1 M KHCO₃. All samples were deposited on glassy carbon substrates. Adapted with permission from reference 64. Copyright 2019, Royal Society of Chemistry.

A similar FE for methane (55% at $-1.25 V_{RHE}$ in 0.1 M KHCO₃) was obtained by Lin et al. using thin pentatwinned Cu NWs with a diameter of 20 nm.²²² The highly strained edges at the twinning boundaries of the pentatwinned NWs were proposed to be the catalytically active sites. Finally, Chen et al. observed a remarkably high selectivity for ethanol (FE of 25% at $-1.2 V_{RHE}$) on the hitherto unexplored morphology of hexarhombic dodecahedral Cu NCs.²²³ The unexpected C₂H₅OH selectivity was attributed to the larger proportion of Cu(110) edge sites and DFT calculations revealed that the mechanistic bifurcation between the C₂H₄ and C₂H₅OH pathways may be caused by the lower binding energy of adsorbed *O on these sites.

To summarize, mono-metallic colloidal NC catalysts studied so far for CO₂RR uncovered important general trends and we refer to **Table S3** for details. Firstly, metal catalysts smaller than 15 nm favor the HER over CO₂RR, which is explained as they have a large number of

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3 low-coordinated sites, as seen by studies on Au and Cu NCs. An optimal size appears to be
4 around in the range 40-80 nm. Secondly, in agreement with single crystal studies, the shape,
5 which controls the dominant surfaces exposed, governs selectivity (i.e. cubes are highly
6 selective for ethylene, octahedra for methane). For NCs with well-defined shape, the size is
7 also important as it controls the facet ratio, a property shown to have a profound impact on the
8 performance and stability of NCs. An optimum in the terrace vs step-edge sites on NCs is an
9 unanimously agreed upon strategy to suppress the competing HER (i.e. around 40 nm for
10 cubes). It is also interesting to note that generally no ligand removal treatment is applied for
11 these catalysts, which is related to the observation of electro-stripping occurring in many
12 cases.⁸⁸ Nevertheless, more attention to this ligand effects should be given in the future.
13 Commenting on intrinsic activities is not trivial as a common method to determine the active
14 surface area is still lacking at this point.
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26 **8.2. Cu-based bimetallic NCs**

27 To date, most of the studies employing colloidal chemistry as tool for catalyst design have
28 focused on the coupling of two metals on top of the volcano plot in **Figure 11**, that is Cu with
29 the CO-evolving Au and Ag.²²⁴
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31 By using a co-reduction method, Kim et al. have synthesized spherical 11 nm AuCu alloys of
32 varying composition (Au₃Cu, AuCu, AuCu₃) and tested them as monolayers on glassy carbon
33 electrodes (**Figure 15A**).⁴⁰ Here, Au₃Cu was found as the most active toward CO production
34 (**Figure 15B**). The obtained activity trend was explained as a result from the combination of
35 structural and electronic effects enabling an optimized COOH* intermediate binding energy.
36 In a follow-up study, the same group investigated the impact of the atomic ordering on the
37 CO₂RR performance by synthesizing AuCu disordered alloys, progressively ordered alloys and
38 an intermetallic species (**Figure 15C**).²²⁵ The catalysts were progressively more CO selective
39 with increasing degree of ordering reaching the highest intrinsic activity for the intermetallic
40 o_AuCu (**Figure 15D**). Eventually, HRTEM investigations showed that a three-atom thick
41 overlayer of Au was present on the surface of these particles. DFT calculations confirmed that
42 the compressive strain induced in the Au overlayer by the core boosted the intrinsic activity of
43 Au and was therefore the origin of the enhanced intrinsic activity. A different approach to
44 varying the composition of Au-Cu NCs was implemented by Zhu et al.²²⁶ The authors
45 investigated the dealloying effect in Au₃Cu NCs. The selective etching of surface Cu atoms by
46 nitric acid treatment was found to increase the FE and intrinsic activity towards CO. DFT
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calculations pointed towards a more facile COOH* formation on vacancy adjacent Au atoms explaining the enhanced performance.

A very interesting study was reported by Tao et al.²²⁷ Here, nanocomposite electrodes consisting of Au NCs embedded in a Cu matrix were prepared by electrochemically reducing a physical mixture of Au and CuO NCs at different ratios. While most studies report Au-Cu catalysts to be selective towards CO, these catalysts were capable to produce formate with a FE as high as 79% at a total current density of ~ 11 mA/cm² for 4 h. Metal-metal interactions were proposed to induce the disappearance of Au's characteristic electrocatalytic activity for reducing CO₂ to CO and to stabilize Cu⁺ species on the Cu surface at CO₂ reduction potentials, associated with formate production. While the selectivity towards formate remained unexplained, surely this study highlights the importance of the metal distribution within the Au-Cu bimetallic catalysts to direct selectivity.

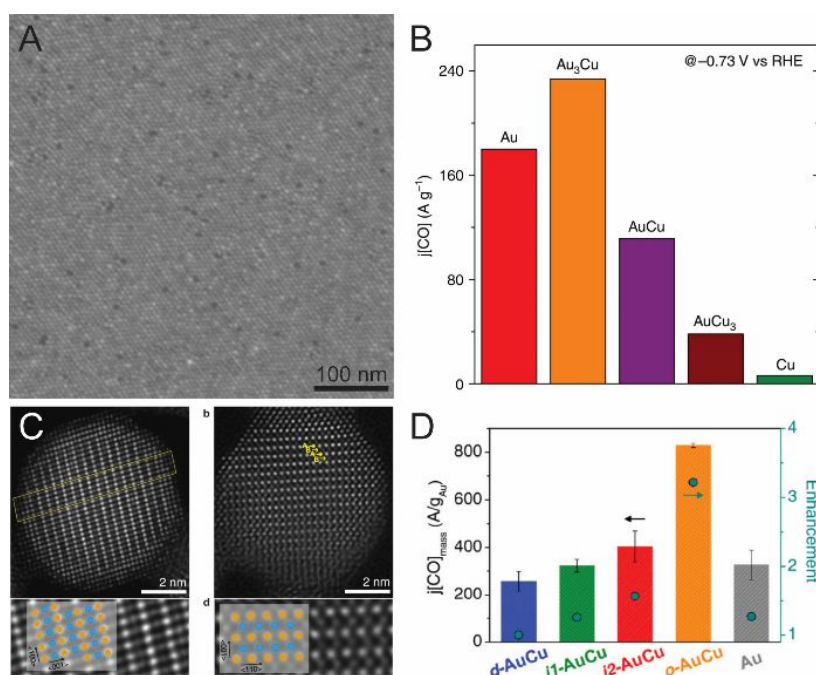


Figure 15. Au-Cu NCs for CO₂RR. (A) SEM image of AuCu₃ NCs monolayer and (B) specific mass activities at -0.73 V_{RHE} in CO₂ saturated 0.1 M KHCO₃ electrolyte of Au-Cu NCs with various compositions. Adapted with permission from reference 40. Copyright 2014, Nature. (C) HRTEM analysis of intermetallic AuCu NCs. (D) CO mass activities at -0.77 V_{RHE} in CO₂ saturated 0.1 M KHCO₃ electrolyte of increasingly ordered AuCu alloys: d-AuCu, i1-AuCu, i2-AuCu and o-AuCu. Adapted with permission from reference 225. Copyright 2017, American Chemical Society.

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3 Differently than Au-Cu, Ag-Cu are immiscible over the entire range of their binary phase
4 diagram. For this reason, Huang et al. could synthesize Ag-Cu nanodimers with different Cu
5 domain sizes, without alloying occurring (**Figure 16A**).²⁷ The Ag₁Cu_{1.1} dimers, possessing the
6 largest interface between Ag and Cu, were found to be the best in promoting C–C coupling
7 when compared to the dimers with different compositions, to the Cu and Ag NCs as stand-
8 alone catalysts and also to the physical mixture of Ag and Cu NCs, both in terms of FE and
9 mass activity (**Figure 16B**). This behavior was attributed to the combination of tandem
10 catalysis (Ag producing CO and Cu converting it further) and modified electronic structure due
11 to the observed charge-transfer from the Cu to the Ag domain (**Figure 16C**). Interestingly, the
12 comparison of these results with those obtained from surface alloyed Cu-Ag thin films, that
13 promote multi-carbon oxygenated products, or Cu-Ag microelectrodes, which promote C₂/C₃
14 products but not one in particular, suggest that this system also is sensitive to the metal
15 distribution within the catalysts and perhaps to the length scale at which the metals mix.^{228,229}
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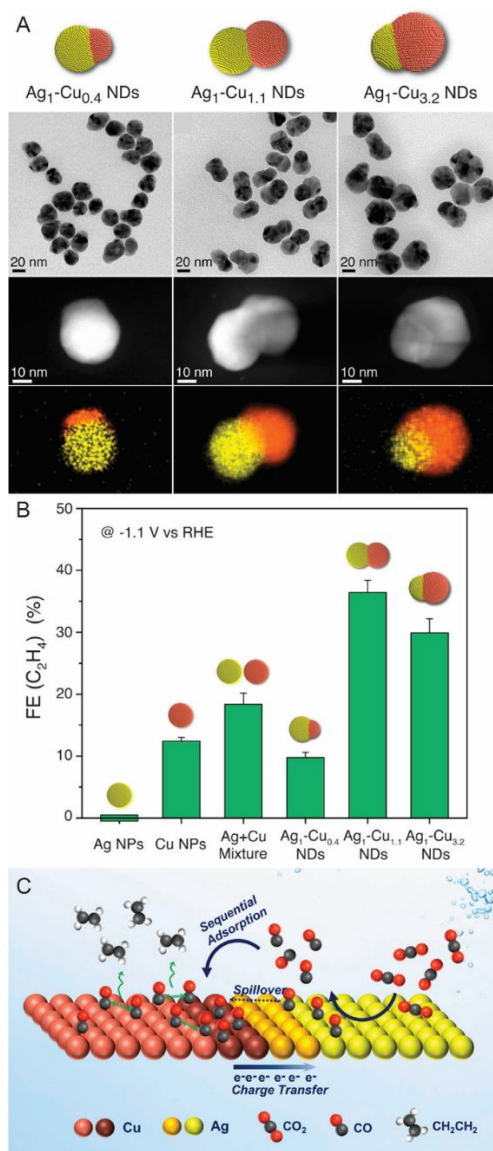


Figure 16. Ag-Cu NCs for CO₂RR. (A) Schemes, TEM and HAADF-STEM images along with EDX elemental maps of Ag₁Cu_{0.4}, Ag₁Cu_{1.1} and Ag₁Cu_{3.2} nanodimers. (B) FE towards ethylene at $-1.1 V_{\text{RHE}}$ in 0.1 M KHCO₃. (C) Sketch of the proposed mechanism for the enhanced C–C coupling. Adapted with permission from reference 27. Copyright 2019, American Chemical Society.

Finally, one bimetallic system colloiddally prepared for which more than one example have been reported in the literature is Cu-Pd. Wang et al. synthesized Pd@Cu core@shell NCs with different shapes exposing high energy facets, i.e. regular cubes, truncated cubes and rhombic dodecahedrons (**Figure 17A**).²³⁰ They found that the increased density of highly-stepped (110) facets on the rhombic dodecahedrons led to a significantly increased CO₂RR activity (33.6 mA/cm² compared to 14.2 mA/cm² for the as-synthesized Pd@Cu cubes, at

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$-1.4 \text{ V}_{\text{Ag}/\text{AgCl}}$) with a high FE towards methane up to nearly 60% (**Figure 17B**). In another example on Cu-Pd NCs, Ma et al. prepared phase separated, disordered and ordered catalysts (**Figure 17C**) and studied the effect of phase and order on the electrocatalytic properties.²³¹ A substantial promotion of CO₂RR and particularly of ethylene production was found in the phase segregated catalysts (**Figure 17D**). The authors proposed that the increased number of Cu-Pd bonds in the intermetallic and disordered species reduces the C-C coupling rate and thereby enhances the selectivity for CO. Instead, preserving the Cu identity in the phase segregated catalysts helps to promote the C-C coupling, though probably through different reaction pathways due to the presence of an interface with an element with a higher oxygen affinity. Although colloidal methods were not specifically used by Ma et al. for the synthesis, the comparison of the two studies on Cu-Pd NCs as catalysts for CO₂RR is interesting as it reveals once again that the synthetic approach and distribution of metals within the catalysts are both extremely important.

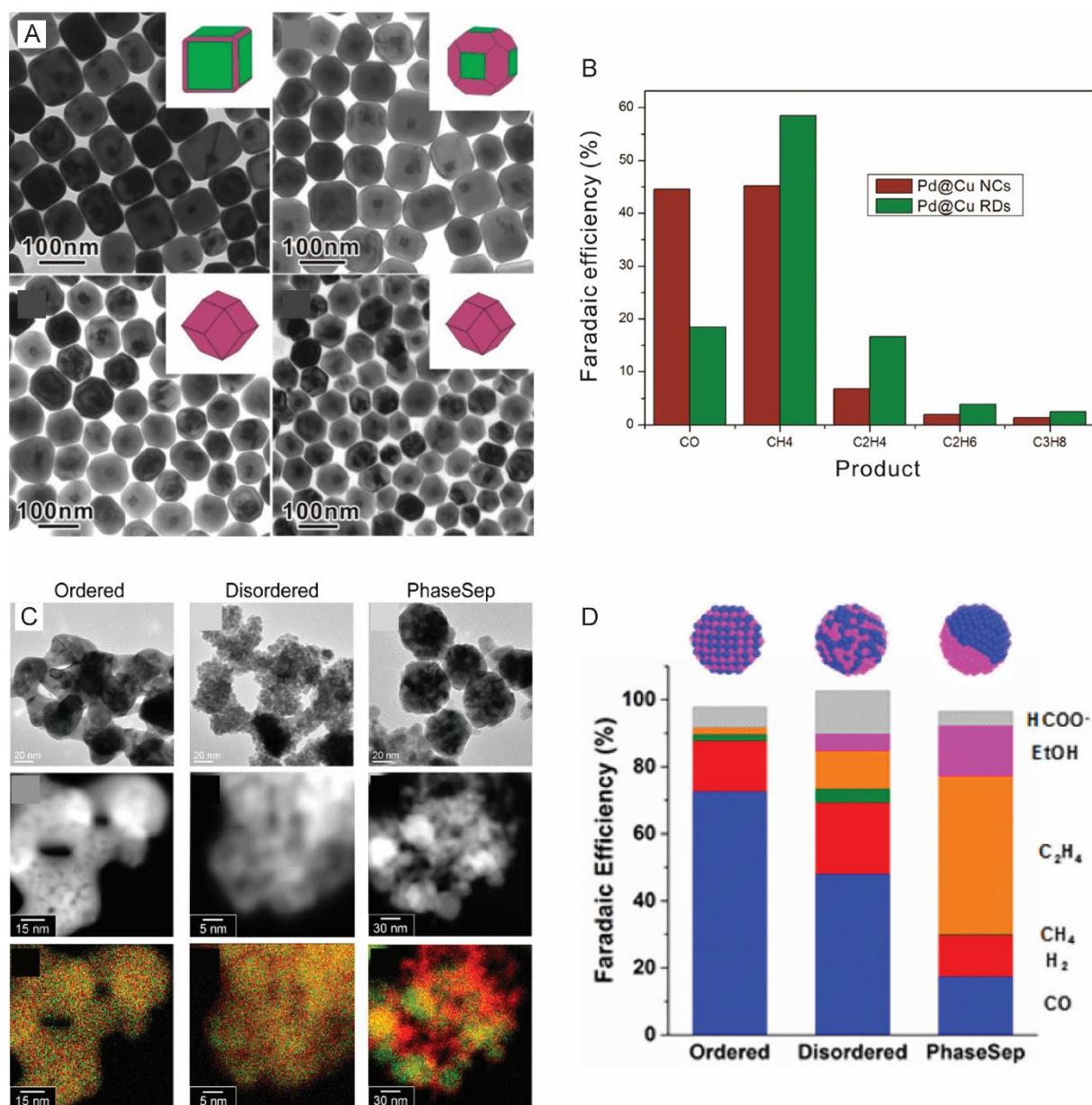
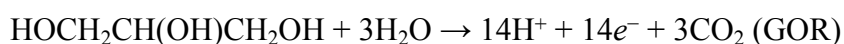
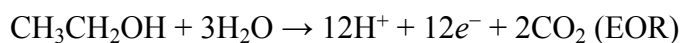
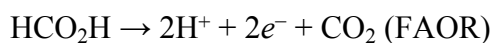


Figure 17. Pd-Cu NCs for CO₂RR. (A) TEM images of the as-synthesized core@shell Pd@Cu nanocubes, truncated cubes, rhombic dodecahedrons and size reduced rhombic dodecahedrons. (B) FEs of the same measured at $-1.4 V_{Ag/AgCl}$. Adapted with permission from reference 230. Copyright 2016, American Chemical Society. (C) TEM images, HAADF-STEM and EDX elemental maps of ordered-Cu-Pd, disordered-Cu-Pd and phase-segregated Cu-Pd NCs. The solid-solution type and phase-segregated catalysts were synthesized using a co-reduction method. The ordered intermetallic species was obtained through the annealing of the disordered system at $300^{\circ}C$ for 3h in 0.1 MPa H₂. (D) FEs at $-0.76 V_{RHE}$ in 1 M KOH electrolyte. Adapted with permission from reference 231. Copyright 2017, American Chemical Society.

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3 In summary, while the overall number of studies on colloidal bimetallic NCs for CO₂RR is still
4 limited (**Table S3**), it is evident that in addition to composition, the catalytic outcome is
5 dictated also by the relative size and spatial arrangement of the constituent metals. This effect
6 is evident in CO₂RR more than in other reactions because, in addition to electronic effects
7 discussed in the case of Ni-Pt for ORR, bimetallic systems display the capability of hosting
8 tandem schemes, where intermediates from one surface (i.e. CO on Au, Ag, Pd) moves on the
9 neighboring chemically distinct domain (Cu) to react further. Therefore, having an alloyed,
10 intermetallic or phase segregated bimetallic NCs can play a big role in favoring one mechanism
11 over the other, thus impacting the product distribution. Future studies to systematically
12 investigate these relations would be helpful. Additionally, all these phenomena could possibly
13 be leveraged vis-à-vis the structure-property relations shown by mono-metallic NCs (i.e. shape
14 dependence) to uncover useful information in future studies.
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28 **9. Anodic fuel-cell reactions**

29 Whilst H₂ can be used in the anodic reaction process of fuel cells, liquid fuels hold many
30 benefits as they are more energy dense and easier to transport. Developing the oxidation
31 reactions of formic acid (FAOR), methanol (MOR), ethylene glycol (EGOR), ethanol (EOR)
32 and glycerol (GOR) is therefore an important challenge.²³² The anodic half-reactions for all of
33 these processes are given below. Ethanol and glycerol are particularly appealing fuels, as they
34 can be obtained from biomass and are less toxic, volatile, flammable and corrosive than
35 methanol or formic acid. For energy-based applications, complete oxidation to CO₂ is
36 desirable.
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55 Noble metals such as Pt and Pd show the highest activities in these reactions and have been
56 studied extensively.^{8,233} However, *CO is a common intermediate during the oxidation
57 pathway, and its strong binding to PGMs (**Figure 11**) is a frequent cause of poisoning and
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3 deactivation. Manipulating the reaction mechanism to avoid this intermediate is therefore a
4 major challenge for catalyst design, together with substituting Pt and Pd with more Earth-
5 abundant elements. In reactions involving C₂₊ alcohols, the complete oxidation involves C–C
6 bond cleavage, representing an additional challenge.^{8,234} A structure sensitivity has been shown
7 for many of these anodic reactions with the ad-hoc combination of dominant surface facet and
8 high-index planes and defect sites leading to high activities.⁸ It is also well documented that
9 CO intermediates can be avoided by using alloys.⁸ Below, we discuss the different studies
10 across the literature organized by reaction as the compositional diversity of the investigated
11 NCs did not allow to follow the same organization of the previous sections. All the relative
12 data are summarized in **Tables S4-S7**. The results discussed in this part especially highlight
13 that the morphological complexity achievable by colloidal chemistry has a huge potential in
14 the discovery of new electrocatalysts in addition to the more trivial translation of knowledge
15 from single crystal studies to more realistic conditions.
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27 **9.1 Formic acid oxidation.**

28 While the reaction mechanisms are less clear, one of the advantages of Pd over Pt in the FAOR
29 is that it seems more tolerant to CO poisoning.²³⁵ As such, well-defined colloidal Pd NCs have
30 been employed extensively in the FAOR.²³⁶⁻²⁴⁵ A wide variety of shapes have been studied,
31 including cubes,²⁴¹⁻²⁴³ octahedra^{241,243}, wires,^{238,239} decahedra,²⁴³ right bipyramids,^{237,243}
32 tetrahedra²⁴³ and icosahedra,^{240,243} which has consequently permitted important insight into the
33 dependence of the FAOR performance on particular surface sites. Comparing shapes
34 dominated by low-index facets, Choi et al. showed that FAOR activity is generally higher on
35 (100) facets than on (111) facets (e.g. Pd cubes are more active than Pd octahedra).²⁴³ In a
36 different study from the same group, the FAOR activity was shown to be proportional to the
37 amount of exposed (100) facets. A series of Pd NC catalysts were prepared, ranging from cubes,
38 cuboctahedra and octahedra, representing decreasing amounts of exposed (100) facets (**Figure**
39 **18A**). Linear correlations were identified, where (100) facets promoted the highest FAOR
40 activities, but (111) facets exhibited the lowest peak potentials (**Figure 18B**).²⁴¹ Zheng et al.
41 identified that Pd(100) facets can accommodate two bridge-formate intermediates, explaining
42 the higher activity on these facets.²⁴⁶
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55 The presence of higher-index (211) twin-defect sites on low-index Pd NCs further improves
56 their activity by altering the energetics of reaction intermediates such that the poisoning CO
57 intermediate is avoided. DFT calculations revealed COOH and HCOO are isoenergetic on the
58 (211) sites, meaning that these sites remain free of CO during the reaction, leading to higher
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3 activities.²⁴³ Therefore, right-bipyramids, containing (100) facets and (211) defect sites, are
4 much more active than cubes, which contain only (100) facets. Similarly, icosahedra,
5 containing many (111) and (211) facets, are much more active than (111)-containing
6 tetrahedra, and are also more active than the (100)-containing cubes. Such twin-defect sites and
7 other high-index facets are highly active in the FAOR, which has been exploited through the
8 rational synthesis of concave NCs, including tetrahedra,²⁴⁷ trigonal bipyramids,²⁴⁷
9 decahedra,²⁴⁸ rods,²⁴⁹ right-bipyramids²⁴⁹ and cubes.^{242,249} In the case of high-index facets on
10 concave faces, improved catalytic performance is often rationalized by the presence of atomic
11 kink and step sites. With a high degree of control, Wang et al. showed that both FAOR activity
12 and stability increased in the series: Pd/C < Pd nanocubes < Pd twinned NCs < concave Pd
13 nanocubes < concave Pd twinned NCs, illustrating the strength of combining twin-defects and
14 high-index facets.²⁴⁹

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26 Multimetallic nanocatalysts have proven to be highly active in the FAOR. Bearing in mind the
27 importance of high-index facets emerged from studies on Pd NCs, Lee et al. intricately
28 prepared a series of polyhedral Au@Pd NCs possessing high-index facets, including
29 trisoctahedra (TOH), tetrahexahedra (THH) and hexoctahedra (HOH). In FAOR studies, the
30 order of activity at 0 V_{Ag/AgCl} followed: cubes₍₁₀₀₎ < octahedra₍₁₁₁₎ < TOH < HOH < THH₍₇₂₀₎ <
31 THH₍₂₁₀₎ < THH₍₅₂₀₎. In that study, the activity was solely correlated to the facets presented by
32 the NCs, rather than any electronic or strain effects brought about by the core-shell structure.²⁵⁰
33 Tripodal Pd-Cu alloy NCs were almost 8 times more active for the FAOR compared with Pd-
34 black, which was mainly attributed to the exposed (211) facets, although in this case the surface
35 Cu atoms were also suggested to facilitate FAOR oxidation, indicating that doping could hold
36 unique advantages in catalyst design but again facet dependence seems to be more important.²⁵¹
37 In one report by Sun et al., Pd-Cu and Pd-Co were both reported to be more active than Pd,
38 with Pd-Cu being superior.²⁵² The less electropositive Cu atoms in the surface were postulated
39 to promote the adsorption and dehydrogenation of formic acid.²⁵² However, in another study,
40 Pd-Co alloys were found to be highly active for the FAOR, whilst Pd-Cu alloys were even less
41 active than Pd alone.²⁵³ Clearly, further studies are required to ascertain the specific role of
42 dopants in these reactions, which will enable more targeted synthesis. Wang et al. have
43 combined specific structural and compositional catalyst design, synthesizing tetrahedral
44 nanocages of Pt₃Ni alloy that achieve high mass activities.²⁵⁴ The nanocage morphology
45 maximizes the surface/volume ratio and also presents reactive high-energy facets, while the
46 inclusion of Ni makes the catalyst more CO-tolerant. Other work by Li et al. has displayed high
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control over NC catalyst composition and structure through complex alloying. For example, ternary Co-Pt-Au NCs form an ordered Co-Pt intermetallic core with a Pt-Au surface alloy, resulting in a non-CO FAOR pathway.²⁵⁵ The presence of Au in the Fe-Pt-Au ternary alloy causes a structure transformation during annealing at 600 °C, from disordered *fcc*-Fe-Pt to ordered *fcc*-Fe-Pt-Au, which exhibits superior FAOR activity and stability (**Figure 18C–E**). The segregation of surface Au from the *fcc*-Fe-Pt core makes these catalysts particularly stable against CO-poisoning.²⁵⁶ Ternary alloys are generally well-suited to form intermetallic alloys, can tune *d*-band structures and tend to be more resistant to metal leaching during electrocatalysis.^{257,258}

To summarize this section, the fine tunability of shapes and compositions accessible by colloidal chemistry has shown that the catalyst structure is crucially important in FAOR and dominates also over electronic effects in bimetallic catalysts. Specifically, for Pd-based catalysts, which are the most studied across the literature (**Table S4**), the presence of (100) and (211) facets results into the highest activities.

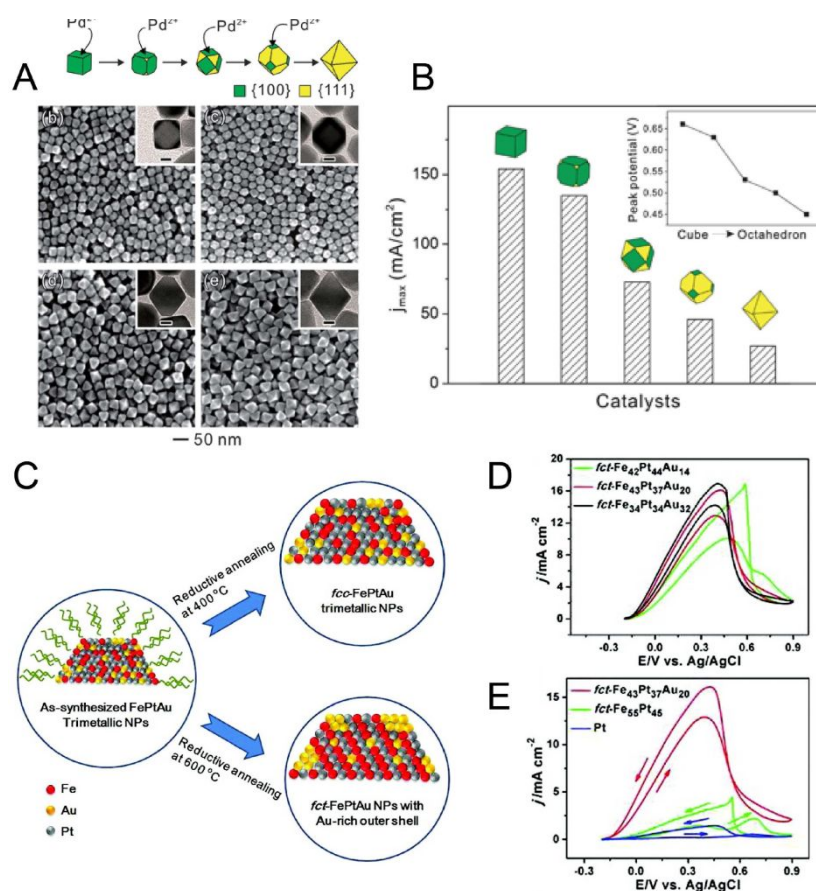


Figure 18. Pd NCs as electrocatalysts for FAOR. (A) Schematic illustrating the transformation of a Pd nanocube to an octahedron by continuous growth on the (100) planes,

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3 and SEM images of the polyhedra. (B) Maximum current densities in the FAOR over Pd NCs
4 enclosed by different proportions of (100) and (111) facets; the inset plot shows the
5 corresponding trend of the FAOR peak potential. Adapted with permission from reference 241,
6 copyright 2012 Royal Society of Chemistry. (C) Schematic illustration of the structural change
7 of Fe-Pt-Au NCs upon annealing, where the particles form a disordered *fcc* structure at 400 °C,
8 whilst Au segregation is observed at 600 °C, resulting in an *fcc* structure. (D) Cyclic
9 voltammograms showing the dependence of the FAOR activity on the *fcc*-Fe-Pt-Au
10 composition. (E) Cyclic voltammograms highlighting the positive role of Fe and Au in the *fcc*-
11 Fe-Pt-Au ternary alloy. Adapted with permission from reference 256. Copyright 2012,
12 American Chemical Society.
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22 9.2 Methanol oxidation.

23 Pt is one of the most active single-metal catalysts in the MOR (**Figure 19**).²⁵⁹ However, one of
24 the major challenges associated with the MOR is the propensity of Pt to be poisoned by the CO
25 intermediate. The pH of the electrolyte plays an important role in influencing the facet-
26 dependence of the reaction on Pt, where Pt(100) often excels in acidic media²⁶⁰ and Pt(111) in
27 alkaline media.²⁶¹ At the same time, the presence of low coordinated sites has been shown to
28 activate the (111) Pt surface even in acid media by making it less susceptible to CO
29 poisoning.²⁶² The presence of additional metals can also weaken the Pt–CO binding strength
30 and also increase the rate of CO oxidation;²⁶³ the extent of poisoning can therefore be reduced
31 while the complete oxidation to CO₂ can be promoted with alloy catalysts.
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34 Combining structural elements with alloying has been the main focus of the studies across
35 literature involving colloiddally synthesized MOR catalysts (**Table S5**). Wires, rods, dendrites,
36 tetrahedra and spheres account for many of the most promising systems as they maximize the
37 exposure of (111) facet on the surface.^{264–275}
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39 For example, Yin et al. made use of tetrahedral and cubic Pd-Pt NCs in the MOR, showing that
40 the (100) facets were more active, while the (111) facets were more durable, thus preferred for
41 long term operations.²⁷² Conversely, Huang et al. showed that Pt-Ru nanowires with (111)
42 facets were both more durable and more active than (100)-capped cubes, showing that different
43 compositions can alter the facet-dependence in the reaction.²⁶⁹
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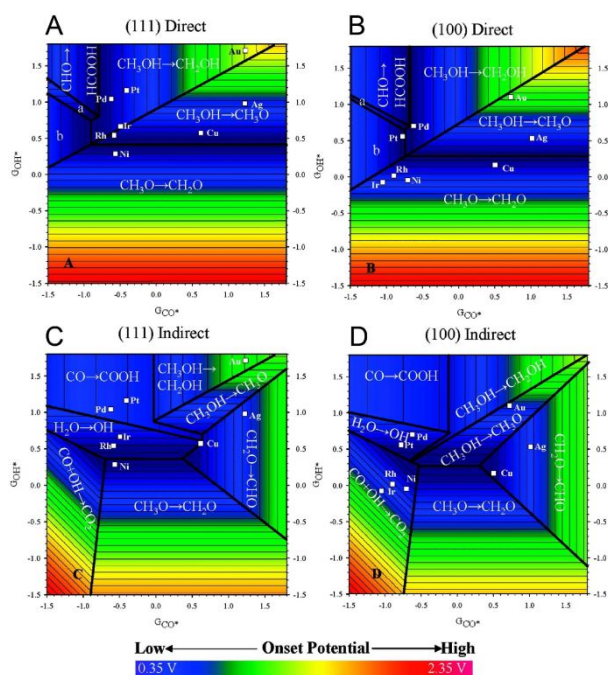


Figure 19. Volcano plots for the MOR on (111) and (100) facets of different transition metals, for both (A,B) the indirect and (C,D) direct pathways. The adsorption free energies of CO* (G_{CO^*}) and OH* (G_{OH^*}) are used as descriptors to determine the potential-limiting steps. The potential-limiting steps are written in each region. Adapted with permission from reference 259. Copyright 2009, American Chemical Society.

Several works have focused on combining the benefits of alloying and reactive high index facets, including Pt-Cu NWs²⁶⁴, Pt-Co NWs²⁶⁵ and excavated nanocubes²⁷⁶, Pt-Sn nanocubes²⁷⁷ and these NCs have generally shown superior performance compared to the spherical counterparts. Another useful approach that can be made with NCs is to maximize the catalyst surface active area using unique morphologies.^{271,278,279} For example, Gu et al. synthesized Pt-Ru dendritic structures that showed improved activities over unshaped NCs of the same composition.²⁷¹ Lie et al. developed Pd-P-B mesoporous spheres that exhibited much higher activities than Pd-P-B spherical NCs (**Figure 20A,B**).²⁷⁸

A few dedicated and systematic examples have also highlighted how subtle tuning of alloy compositions while keeping the same NC shape (i.e. dominant exposed facets) can greatly impact the MOR. For example, the tunable composition of tetrahedral core-shell Cu/Pt-Ni NCs enabled exceptional MOR activity, where the role of Ni was attributed to increasing the amount of adsorbed OH that in turn promoting the oxidation of adsorbed CO on Pt sites (**Figure 20C,D**).²⁸⁰ However, higher amounts of Ni resulted in a decrease in the activity, which could be attributed to the decreased number of Pt sites.

Lavender-like dendritic NWs of Ga-doped Pt₃Co have been reported by Li et al.; the NW cores are composed of Pt-Ga while the ‘leaves’ are composed of Pt-Co-Ga (**Figure 20E,F**).²⁶⁸ By doping with Ga, the surface energy was reduced and the catalytic stability was improved, such that the NWs with the highest Ga content (8%) were the most stable. However, the catalyst with intermediate Ga content (4%) was appreciably more active, demonstrating that catalytic properties are delicately balanced by the composition.

Dendritic nanowheels with tunable Pd-Ag-Pt composition also exhibited peak activity with intermediate compositions.²⁷⁹ Cheng et al. showed that the ternary alloy outperformed the relevant binary alloys, and that as the Pd and Ag content increased, the activity also increased up to a point. However, the alloy with the highest Pd-Ag content showed a dramatic decrease in activity. The roles of the different elements in the alloy in this study were assigned to the modification of the electronic structure, and so the precise reasons why the alloy with intermediate composition achieved the highest activity remain unclear. The role of Ag was specifically assigned to forming adsorbed OH species that aid the MOR. Similarly, P and B are known to promote the decomposition of oxygen-containing intermediates on Pd surfaces, as was demonstrated in the example of the mesoporous Pd-P-B nanospheres cited above.²⁷⁸

Finally, ultrathin Fe-Pt-Pd NWs with varying composition highlight how alloy composition also influences the catalyst overpotential. Sun et al. demonstrated that decreasing the Fe content in these NCs shifted the MOR peak potentials to more negative values.²⁷³

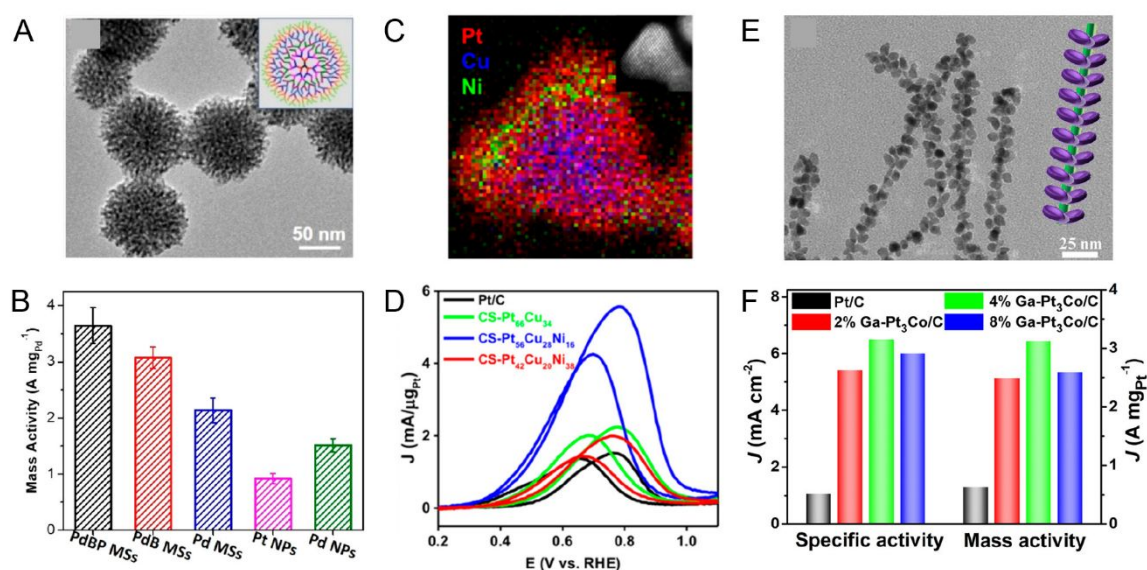


Figure 20. Colloidal NCs as electrocatalysts for MOR. (A) TEM image of Pd-P-B mesoporous nanospheres and (B) their MOR mass activities in comparison with related

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3 catalysts. Adapted with permission from reference 278. Copyright 2019, American Chemical
4 Society. (C) EDS map of a Cu/Pt-Ni core-shell tetrahedron and (D) their MOR mass activities
5 in comparison with related catalysts. Adapted with permission from reference 280. Copyright
6 2019, American Chemical Society. (E) TEM image of lavender-like dendritic NWs of Ga-
7 doped Pt₃Co (4% Ga) and (F) the MOR specific and mass activities in comparison with NWs
8 of different Ga content. Adapted with permission from reference 268. Copyright 2020,
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17 **9.3. Ethanol, ethylene glycol and glycerol oxidation.**

18 As for the MOR, one of the great challenges of the higher alcohol oxidation reactions is that
19 CO is a possible reaction intermediate that can lead to catalyst deactivation. However, a unique
20 challenge for C₂₊ alcohol oxidations is that the C–C bond must be broken to achieve full
21 oxidation. These two challenges taken together make the design of alcohol oxidation catalysts
22 difficult, as the 100 facet is generally the best at cleaving the C–C bond, yet is also the most
23 prone to CO poisoning.
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29 One way of approaching this issue is to make use of NC shapes bearing 111 facets, which are
30 more stable, and then maximize the number of active sites through morphological control in
31 order to achieve higher activities. In this theme we can see wires, tubes, cages, mesoporous
32 spheres, sheets and dendritic structures that seem to yield improvements in activity in
33 comparison with simple spheres.^{264,265,268,278,281–288}
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37 For example, Pd-Rh-Te nanotubes combine cooperative electronic effects in the ternary alloy
38 with a hollow nanostructure that maximizes surface reaction sites.²⁸⁵ Zheng et al. synthesized
39 Pd-Cu nanosheets and demonstrated their benefits in the EOR, where large reductions in the
40 overpotential and large increases in activity were observed, in comparison with Pd-Cu NPs and
41 Pd nanosheets.²⁸⁹ Although nanosheets are a 2D NC morphology, these Pd-Cu nanosheets were
42 described as having an overall 3D morphology due to wrinkling in the sheets. The improvement
43 in their activity was attributed to the abundance of active sites, improved electrical conductivity
44 and mass transport, and the synergistic effect between Cu and Pd. The Pd-P-B mesoporous
45 nanospheres that were discussed in the MOR section also showed advantages in the EOR and
46 GOR reactions.²⁷⁸
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55 This approach is of course not limited to NCs bearing (111) facets. Xia et al. have also shown
56 that Pd-Ru nanocages, which mainly present the more active (100) facets, are exceptional GOR
57 and EGOR catalysts.²⁹⁰ The ultrathin, porous and hollow cage structure greatly increases the
58 number of available surface sites, whilst the intrinsic activity increases due to the ligand effect
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of alloying Cu and Pd. Whilst (100) facets are more prone to CO poisoning, the presence of Ru in the alloy mitigate this issue by lowering the CO adsorption energy.

In the case of higher alcohol oxidations, the presence of high-index facets is clearly advantageous, as the high surface energies can promote the breaking of the C–C bond. For example, Han et al. made use of a series of Au@Pd NCs to illustrate the facet dependence of the EOR activity, where the activity increased in the order octahedra (111) < cubes (100) < hexoctahedral (431) < convex polyhedral (12 5 3).²⁹¹

Making use of the cooperative electronic effects of multiple metals in binary and tertiary NCs is also extremely common in alcohol oxidation catalysis.^{253,262,266,277–279,281,283–285,286,287,289–296}

In the given examples, Pt and Pd have been alloyed with a wide selection of transition metals, and it could be said that additional metals are chosen to some extent by a phenomenological approach rather than judicious design at this point. That being said, there are a few examples that clearly demonstrate the benefit of fine-tuning catalyst composition. To highlight one example, Strasser et al. show how octahedral Rh-Pt-Sn catalysts improve in EOR performance as the Rh content is increased to a certain point, but after a full Rh shell is formed, the activity worsens as the cooperative electronic effect of all three metals is lost.²⁹³ In a second example, Pal et al. studied a series of Au-Pt-Pd NCs and showed that the ternary core-shell structures (i.e. Pd NPs and Pt NPs on Au nanorods) were far more active than the related Au@Pt or Au@Pd catalysts. Specifically, the Au@Pt@Pd catalyst was the most stable, where the formation of PdO helps to oxidize adsorbed CO and CH₃CHO intermediates. Whilst Au in this case is situated at the core of the core-shell NC, Au-free catalysts did not perform nearly as well as the ternary NCs, indicating cooperativity between the metals.²⁹⁸

10. Nanocrystal-based composites

Combining NC catalysts with chemically diverse building blocks is another possible strategy to tackle the challenges of electrocatalytic reactions by decoupling some of the different limiting parameters discussed above. Embedding the catalyst in thin, porous and conductive skins can prevent morphological degradation of colloidal NCs, which is often observed under applied potentials.^{63,299} In addition, porous matrices can also positively affect mass-transport limitations and/or act as a hydrophobic layer on the catalyst surface and thus impact the product selectivity.³⁰⁰ Domains of different chemical nature may undergo synergistic interactions with

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3 the metallic NCs and thus modify their electronic structure, leading to improved catalytic
4 activity and selectivity.⁵³ Moreover, the bifunctionality in composite catalysts may help to
5 stabilize specific reaction intermediates and thus break the linear scaling relationships present
6 in transition metals.^{201,301,302} Indeed, different materials, including the surface ligands
7 themselves, carbon-based supports, polymers and metal-organic frameworks (MOFs), have
8 been shown to positively impact the catalytic environment of colloidal NCs and their
9 performance for the electrochemical conversion of CO₂, water, oxygen and hydrogen.

10.1 Surface ligands as co-catalysts

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18 Surface ligands can be designed in a way that they do not impede catalysis, but rather improve
19 it, either in terms of activity or selectivity or both.^{41,44} Ligand effects can be grouped into two
20 categories: inner-sphere and outer-sphere effects. The former involves how the ligands
21 influence the catalyst itself, including its electronic structure or its surface charge. The latter
22 describes how they influence the local environment at the interface between the surface and
23 the reaction medium.

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25 Modification of the surface electronic structure via ligand binding has proven to be important
26 in HER electrocatalysis. For example, Benson et al. demonstrated that the performance of
27 ligand-decorated MoS₂ nanosheets for HER was highly sensitive to the Hammett parameter
28 (**Figure 21A**).³⁰³ Specifically, the most electron-donating ligand induced the lowest
29 overpotentials, smallest Tafel slopes and charge-transfer resistances; the overpotential was
30 regulated by 0.5 V just by tuning the ligands, thus demonstrating the huge potential of such
31 approaches. As a second example, Tappan et al. recently made use of an NHC ligand to greatly
32 improve the HER catalysis of Cu_{3-x}P NCs, where the strong electron donation from the ligand
33 reduced the electrostatic repulsion between Cu⁺ and H⁺.³⁰⁴ In another study, the HER activity
34 of Ru NCs was demonstrated to increase in the presence of phenylpyridine ligands, in both
35 acidic and basic media.³⁰⁵ This result was attributed to the moderate metal-ligand binding
36 strength, which permitted a larger number of H-atoms to adsorb to the surface. A variety of
37 inner-sphere effects have been observed in ORR electrocatalysis. To cite one example, Zhou
38 et al. showed that ligands on very small Pt NCs (2 nm) can control the electronic structure of
39 the metal surface and in turn, the activity towards the ORR (**Figure 21B**).³⁰⁶ Here, more
40 electronegative ligands induce weaker binding of *O intermediates, leading to higher activities.
41 Alkyne ligands have also been suggested to modulate the electronic behavior of the surfaces of
42 Au-Pd and Au-Ag NCs.^{307,308} After functionalization of Pt NCs with chlorophenyl ligands, the
43 mass activity for the ORR was reported to increase by a factor of 2.8, although the mechanism
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3 by which this occurs is unknown.³⁰⁹ Alba-Molina et al. showed that citrate surprisingly
4 promotes both the ORR and HER activity of Au NCs, which was attributed to the small size of
5 the ligand as well as the high overall negative charge-density at the surface, which promotes
6 the binding of O₂ and H₃O⁺ intermediates.³¹⁰
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10 In addition to inner-sphere effects, outer-sphere effects of ligands on ORR NC catalysts have
11 also been observed. For example, Pt@Au NCs decorated with a perfluorosulfonic acid (PFSA)
12 ligand show enhanced ORR activity.³¹¹ Much of the improvement in that case was attributed
13 to the ligand and strain effects of the core-shell structure; however, the SO₃⁻ groups from the
14 acid were also suggested to participate in the transfer of reactive species. Mirkhalaf et al.
15 showed that hydrophobic decylphenyl ligands on Au NCs promote the 2-electron reduction of
16 O₂ to H₂O₂, as the non-aqueous interface stabilizes superoxo and peroxy intermediates.³¹²
17 Similar effects were proposed by Miyabayashi et al., where Pt NCs were functionalized by a
18 mixture of OLAM and a pyrene-containing amine.³¹³ The increased activity was attributed to
19 ligand-induced changes in intermediate adsorption energies, as well as the non-polar ligand
20 sphere that might increase local O₂ concentration near the surface.
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29 The use of ligands as promoters has been attracting much interest in the CO₂RR, especially
30 with the aim of improving selectivity. Probably due to the intrinsic complexity of this reaction,
31 most of the studies to date have been carried out on foil electrodes, which will also be included
32 here for brief discussion. The modulation of electron density has been identified as a main
33 inner-sphere effect of ligands on CO₂RR catalysts, which impacts both the electron-transfer
34 kinetics and the binding of intermediates.^{53,314–318} Cao et al. showed that NHC ligands (strong
35 σ-donors) on Au NCs were highly effective at improving the activity, selectivity and
36 overpotential of the CO₂RR (**Figure 21C**).⁵³ Kim et al. identified that cysteamine interactions
37 with Ag NCs localize unpaired spin density at the surface that stabilizes the *COOH
38 intermediate, thereby improving the intrinsic activity.³¹⁸
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46 Introducing more elaborate functional groups has proved to be a powerful approach to stabilize
47 reaction intermediates.³¹⁴ For example, the N–H bonds of cysteamine have been shown to
48 stabilize CO₂ and related intermediates on the surface of Ag and Au NCs (**Figure 21D**).^{316,319}
49 Pendant functional groups (i.e. those not binding to the surface) can also play a role; for
50 example, acting as proton shuttles. For instance, Fang et al. showed that pendant bases can act
51 in this way, encouraging the protonation of *COOH intermediates.³²⁰
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56 In terms of outer-sphere ligand effects in the CO₂RR, Pankhurst et al. have recently used
57 imidazolium ligands as promoters for the reduction of CO₂ to CO on Ag NCs (**Figure 21E**
58 **and F**).³²¹ While electronic effects related to the ligand Hammett parameter were identified,
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the hydrocarbon tail of the ligand played a more important role in tuning the selectivity and activity. Specifically, intermediate lengths were the best, as their hydrophobicity suppresses the competing HER while imposing minimum kinetic penalties on the diffusion of reactants to the surface. Hydrophobicity has indeed emerged as a key parameter in the CO₂RR in related work on Cu electrodes.^{322,323} Superhydrophobic surfaces can lead to triple-phase boundaries, increasing local CO₂ mass transport.³²² The hydrophobicity of the ligand coating also influences the water concentration profile at the surface, which alters the proton binding energetics of *H at Cu and the resulting product distribution.³²³

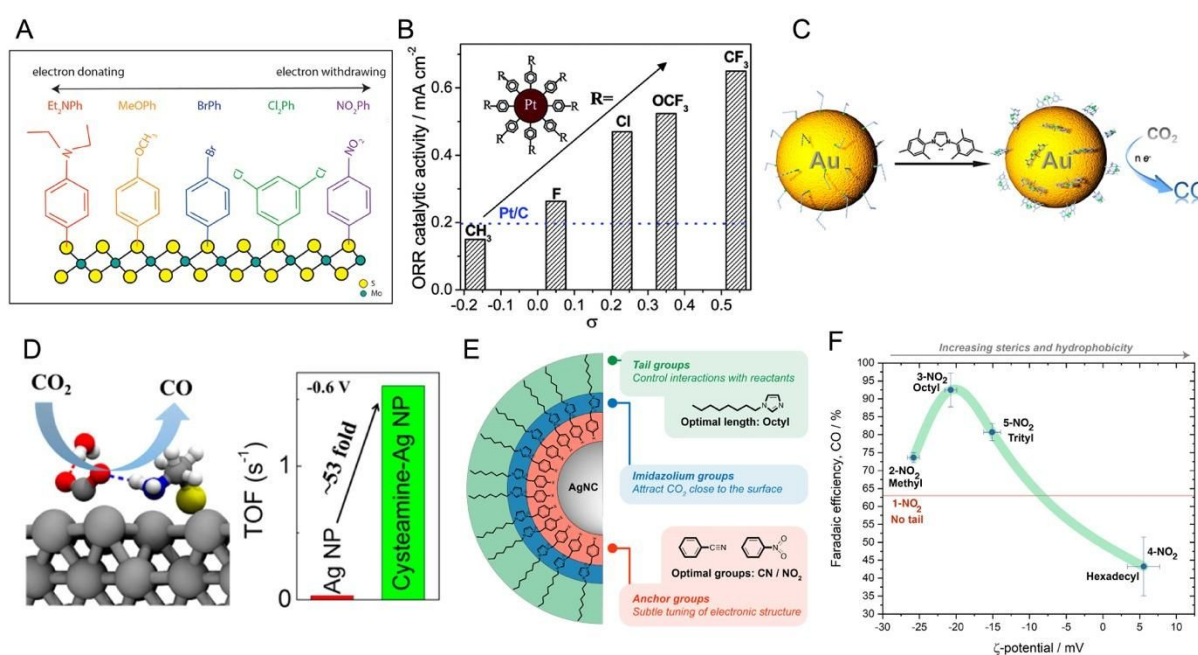


Figure 21. NC ligands as co-catalysts. (A) Ligand Hammett parameters tune the electronic structure of MoS₂ nanosheets and regulate the activity and overpotential. Adapted with permission from reference 303. Copyright 2018, American Chemical Society. (B) Ligand Hammett parameters influence the ORR catalytic activity of Pt NCs. Adapted with permission from reference 306. Copyright 2012, American Chemical Society. (C) N-heterocyclic carbene ligands are strong σ-donors and improve the overpotential, activity and selectivity of Au NC CO₂RR catalysts. Adapted with permission from reference 53. Copyright 2016, American Chemical Society. (D) Pendant N-H groups in cysteamine ligands stabilize approaching CO₂ molecules on the surface of Ag NC CO₂RR catalysts. Adapted with permission from reference 316. Copyright 2018, American Chemical Society. (E) Imidazolium ligands on Ag NCs improve CO₂RR catalysis primarily by tuning the hydrophobicity of the NC / electrolyte interface. (F) Peak CO selectivity is achieved with Ag NC / imidazolium catalysts when the

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3 hydrocarbon tail of the ligand introduces hydrophobicity to the surface, while imposing
4 minimum steric and kinetic penalties on the approaching reactants. Adapted with permission
5 from reference 321. Copyright 2019, Royal Society of Chemistry.
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10 11 **10.2. Carbon-based materials**

12 Carbon-based materials used in HER/HOR, OER/ORR and CO₂RR include nanotubes,
13 graphene and graphene oxide, carbon black (C_{black}) and carbon nitride.^{324,325} Their role spans
14 from improving charge transport to inferring increased stability and to synergistically
15 interacting with the NC catalysts to steer selectivity.
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20 One common approach across the literature is to embed NCs in carbon materials to improve
21 their stability. For example, Chung et al. developed a method to form an N-doped carbon shell
22 *in situ* from a dopamine coating (**Figure 22A,B**).¹⁹⁰ This shell prevented sintering of the
23 ordered intermetallic FePt NCs which were then stable over a 100 h test in a membrane
24 electrode assembly (MEA) fuel cell (**Figure 22C**). Similarly, reduced graphene oxide wrapped
25 around Cu NWs has been shown to block their clustering during CO₂RR, thus preserving
26 morphology and product selectivity.²²² In addition to improved stability, Rogers et al. have
27 demonstrated that the overall electrocatalytic CO₂RR performance of 8 nm Au NCs increases
28 when they are embedded in bottom-up synthesized graphene nanoribbons (GNRs) (**Figure 22D**
29 **and E**).³²⁶ The catalytic environment created by the GNRs dramatically increased both the FE
30 and the intrinsic activity toward CO in comparison to a Au/C_{black} reference (**Figure 22F**).
31 Electrodes prepared with Au/GNR composites showed consistent catalytic performance during
32 24 h of electrolysis due to the effective immobilization of the NCs through strong dispersion
33 interactions with the GNR matrix. A unique advantage to other graphitic support materials is
34 that GNRs can be precisely tuned at the molecular level. Here, GNRs were functionalized with
35 methyl carboxylates and a significantly higher reaction rate (**Figure 23G**) was observed for the
36 resulting Au/GNR catalyst indicating that the introduced ester groups interact with the CO₂
37 molecule and change the reaction mechanism on the Au NC surface.
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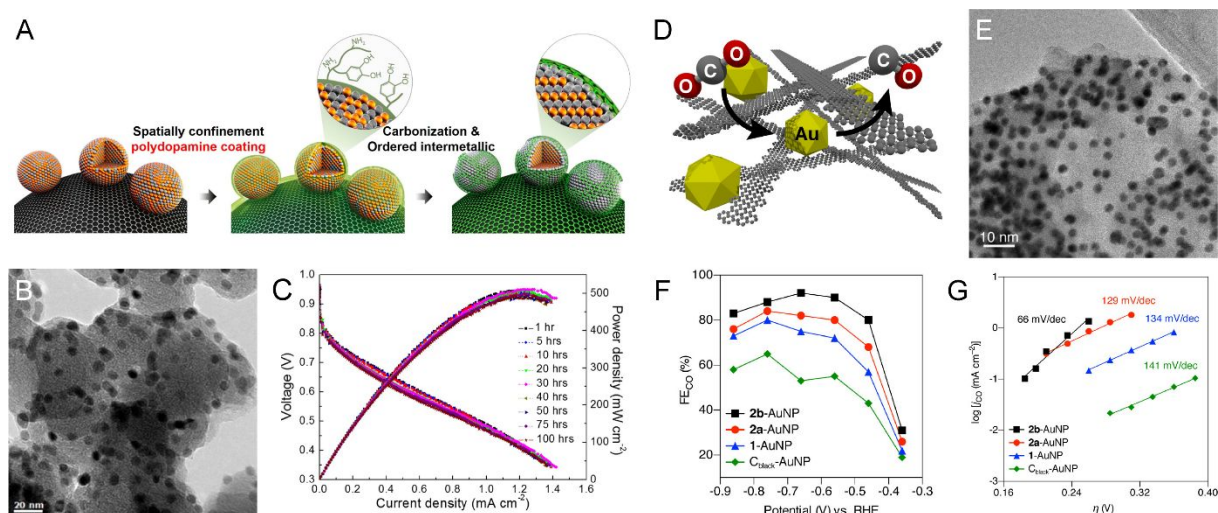


Figure 22. NC/carbon-materials composites as electrocatalysts. (A) Scheme illustrating the synthesis of carbon supported and N-doped carbon coated ordered PtFe NCs. (B) TEM image of dopamine coated NCs after annealing at 700 °C. (C) Continuous operation for 100 h of the PtFe NCs catalyst in a membrane electrode assembly (MEA) revealing its long-term stability. Adapted with permission from reference 190. Copyright 2015, American Chemical Society. (D) Schematic illustration of Au/GNR composites, which improve the stability of Au NCs and increase the CO₂RR toward CO. (E) TEM image of Au/GNR composite. (F) FE towards CO for different Au/GNR composites and the Au/C_{black} reference measured at different potentials in 0.5 M KHCO₃. (G) Tafel slopes of the CO partial current density (j_{CO}) indicating that the functionalization of GNR (2b-AuNP) changed the CO₂RR mechanism. Adapted with permission from reference 326. Copyright 2017, American Chemical Society.

Instead of embedding the as-synthesized NCs in a carbon matrix, they can also be directly synthesized on a broad variety of carbonaceous supports to further enhance the synergistic effects via strong metal-support interactions.³²⁷ For example, FePt NCs have been directly synthesized and assembled on graphene and demonstrated to be stable up to 10,000 cycles during ORR in acidic electrolyte, which is quite an impressive achievement for this material.³²⁸ Likewise, Co₃O₄ and MnCo₂O₄ NCs prepared by direct nucleation and growth on graphene oxide were also shown to possess increased stability in alkaline conditions.^{329,330} Huang et al. have synthesized a composite catalyst comprising partially oxidized Co NCs (5 nm) dispersed on single-layer nitrogen-doped graphene (SL-NG), which showed highly selective CO₂RR into CH₃OH (FE of 71.4% at -0.9 V_{SCE}) with decent stabilities up to 10 h.³³¹ The authors speculate synergistic interaction promoting the multiple proton-electron transfer steps involved in the reaction mechanism toward CH₃OH.

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3 Along similar lines, Zhang et al. reported a strong interaction between metal NC catalysts and
4 a carbon nitride support (C_3N_4) which boosts the CO_2RR .³³² The Au/C_3N_4 composite exhibited
5 a higher CO_2RR performance compared to Au NCs supported on C_{black} reaching a FE towards
6 CO of 90% at $-0.45 V_{RHE}$, which is a quite low potential for this CO selectivity. Moreover,
7 CO_2 electrolysis for 15 h at $-0.7 V_{RHE}$ revealed an excellent stability for the Au/C_3N_4 catalyst.
8 X-ray photoelectron spectroscopy (XPS) and X-ray absorption near edge structure (XANES)
9 measurements indicated an electron transfer from the C_3N_4 to the Au NC surface, which
10 facilitates CO_2RR by stabilizing the key intermediate $*COOH$ on the negatively charged Au
11 surface. A similar increase in CO_2RR performance was also observed for Ag NCs, which were
12 synthesized on the same C_3N_4 support.
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22 10.3. Polymers

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24 Polymeric binders, such as Nafion, are very often used to stabilize and disperse NCs in catalyst
25 inks for deposition onto electrodes. Normally, it is assumed that this polymer does not interfere
26 with the catalytic activity. However, a recent study by Lee et al. has focused on understanding
27 the role of polymeric binders in CO_2RR and highlighted that some effects are possible.³³³ The
28 authors prepared working electrodes by mixing Au NCs (5.5 nm) on C_{black} with five different
29 polymeric binders, namely Nafion, polyvinyl alcohol (PVA), polyacrylic acid (PAA),
30 polyvinylidene difluoride (PVDF) and polytetrafluoroethylene (PTFE). The resulting catalyst
31 inks selectively produced CO with the highest FE of 94.7% for the Au/PTFE composite at
32 $-0.7 V_{RHE}$. In general, the F-containing binders (including Nafion) showed a higher CO
33 selectivity, which was attributed to the weakening of the proton adsorption ($*H$) on the Au
34 surface and concomitant suppression of the HER.
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43 Thus, polymers can be utilized or properly engineered in order to improve the catalytic
44 performance. In one example, colloidally synthesized Cu NWs (diameter ~ 100 nm) were
45 wrapped with polydopamine (PDA) and tested for the CO_2RR by Liu et al.³³⁴ Similarly as for
46 the graphene oxide-wrapped NWs discussed above,²²² the PDA shell strongly enhanced the
47 morphological and catalytic stability of the Cu NWs. Moreover, the Cu@PDA hybrid catalysts
48 showed a more than double increase in the selectivity for methane compared to pristine Cu
49 NWs, which was attributed to the synergistic interaction of the $-NH_2$ and $-OH$ groups in PDA
50 at the intimate contact with the Cu surface. The authors suggested that the $-NH_2$ groups
51 promote proton capture and delivery to the active sites where the $-OH$ groups stabilize the CO^*
52 intermediate, allowing for further protonation and CH_4 formation.
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3 In a second example, Zhang et al. have investigated the effect of modifying metal nanocatalysts
4 for CO₂RR with polymeric N-heterocyclic carbenes (NHC) (**Figure 23**).³³⁵ Au and Pd NCs
5 were capped with two different polymeric NHCs (polydentate (P1) and monodentate (P2))
6 through a ligand exchange approach. The electrocatalytic performance of the composite
7 catalysts were compared to other traditional ligands as thiol-terminated polystyrene (PS₅₀-SH),
8 oleylamine (OLAM) and 1-dodecanethiol (DDT) and revealed substantial improvements in
9 activity and selectivity. Both polymers were shown to prevent the nanoclustering of the metal
10 NCs under reductive potentials and thus improve their long-term stability. The increased
11 selectivity toward CO for both Au NCs (FE ~90%) and Pd NCs (FE ~65%) was attributed to
12 the strong σ -donation of the NHC polymers to the metal surface. Moreover, the polymer chains
13 form a hydrophobic passive layer, which suppresses proton reduction and promotes CO₂RR.
14 Recent studies have shown that N-aryl pyridinium compounds in the electrolyte reductively
15 dimerize or polymerize *in situ*, forming a layer of substituted tetrahydropyridines on Cu.^{336–}
16 ³³⁸ These dimeric or oligomeric hydroxyridines act as promoters for the conversion of CO₂ to
17 C₂H₄. It was discovered that the electronic properties of the organic film alter the preferred
18 binding modes of *CO intermediates on Cu; peak C₂H₄ selectivity was achieved at optimal
19 ratios of CO_{bridge} to CO_{atop} binding modes.³³⁸
20
21 Polymeric ligands have also been used to improve HER activities by regulating the local proton
22 concentration. For example, work by Xu et al. demonstrated that poly(allylamine) on tripodal
23 Pt NCs improves their HER activity as the pendant amino groups in the polymer behave as
24 proton relays between the solvent and the surface, where the ammonium RNH₃⁺ cations
25 increase the surface proton concentration.³³⁹
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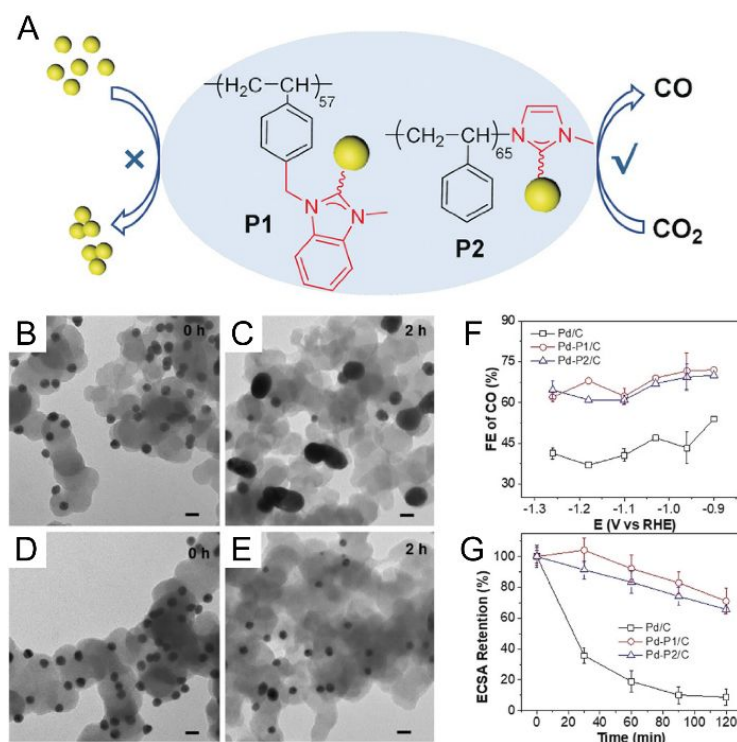


Figure 23. NC/polymers composites as electrocatalysts. (A) Schematic illustration of NC/polymeric NHC composites, which prevent NC clustering and increase the CO₂RR selectivity toward CO. TEM images of (B,C) unmodified Au NCs and (D,E) Au/polymeric NHC composites on C_{black} before (left) and after (right) CO₂RR at $-0.9 V_{RHE}$ in 0.1 M KHCO₃ for 2 h. (All scale bars are 20 nm). (F) CO FE and (G) electrochemically active surface area (ECSA) retention over time (at $-1.26 V_{RHE}$) of unmodified Pd NCs (Pd/C) and Pd/polymeric NHC composites (Pd-P1/C, Pd-P2/C). Adapted with permission from reference 335. Copyright 2019, Wiley.

10.4. Metal-organic frameworks

A significant number of studies on NC/MOF hybrids have demonstrated their promising synergistic effects to enhance a variety of organic and photocatalytic reactions.³⁴⁰

During recent years, a few attempts have been made to combine nanostructured metals with porous MOFs as electrocatalysts for the CO₂RR in the search of strategies to steer the selectivity, one of the biggest challenges for this reaction. Kung et al. electrochemically reduced Cu(II) into a thin film of NU-1000 to generate small metallic Cu NCs inside the MOF pores.³⁴¹ Despite Cu NCs below 10 nm are mostly selective for hydrogen, the Cu@NU-1000 hybrids showed electrocatalytic activity for CO₂RR with a maximum FE of 28% for formate (at $-0.82 V_{RHE}$). Guntern et al. functionalized colloidal Ag NCs (10.5 nm) with a thin shell of Al₂O₃ by atomic layer deposition (ALD), which then served as a localized precursor for the

subsequent synthesis of Al-PMOF (**Figure 24**).³⁴² The Ag@Al-PMOF hybrids showed a more than double increase in the selectivity toward CO (55.8% FE at -1.1 V_{RHE}) and a drastic decrease of the HER compared to the bare Ag NCs. Similarly to the carbon nitride and NHC polymer composites described above, this enhanced CO₂RR selectivity was mostly attributed to electron donation from the MOF to the NCs across a pristine interface between the two materials. A minor contribution of mass-transport effects was detected in particular at higher potentials. Furthermore, the pronounced sintering of the bare Ag NCs during CO₂ electrolysis was strongly inhibited when they are embedded in the MOF matrix. The same synthetic approach was extended to form hybrids with Cu and Au NCs, thereby revealing a new tool for the preparation of composite catalysts for the CO₂RR. In a recent study, Heidary et al. investigated a hybrid metal-organic catalysts comprising a mesoporous film of 20–30 nm TiO₂ NCs which were coated with a ~ 2 – 3 nm shell of an electrocatalytic Mn–porphyrin containing MOF.³⁴³ The authors observed a fast electrochemical reduction and slow oxidation of the Mn–porphyrin together with a reversible restructuring of the MOF. Moreover, they were able to detect the CO₂ reduction intermediates for the first time in a MOF electrocatalyst and used DFT modeling to propose a mechanism for the formation of the main product CO. By replacing the Mn–porphyrin with Fe– and Co–porphyrins, the turnover frequencies of CO and CH₄ formation were increased up to 5- and 10-fold, respectively.

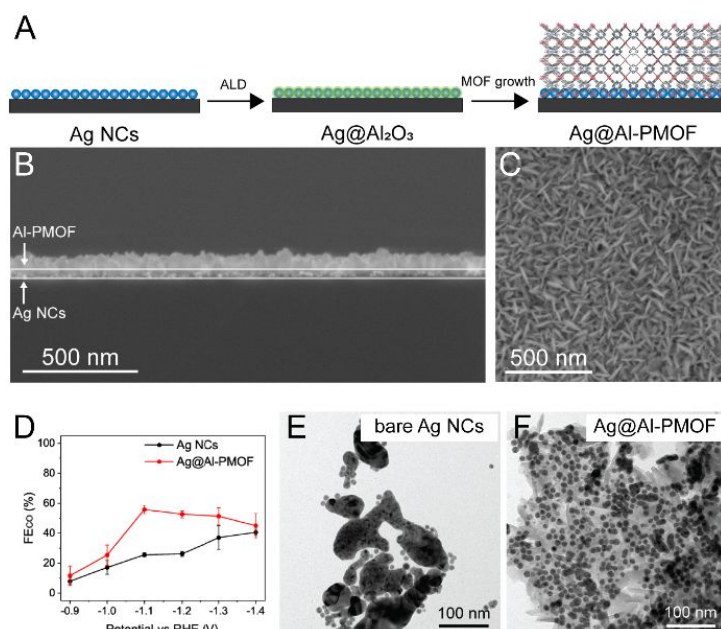


Figure 24. NC/MOF composites as electrocatalysts (A) Scheme illustrating the synthesis of Ag@Al-PMOF hybrids. (B) Cross-section SEM and (C) top-down SEM image of Ag@Al-PMOF thin films. (D) CO FE for Ag NCs (black) and Ag@Al-PMOF hybrids (red) measured

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3 at different potentials in 0.1 M KHCO_3 . TEM images after 75 min of CO_2 electrolysis of (E)
4 bare Ag NCs measured and (F) Ag@Al-PMOF. Adapted with permission from reference 342.
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6 Copyright 2019, Wiley.
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11. Colloidal NC catalysts to understand reconstruction processes

11 Well-defined size and shape of NC catalysts, where one particle looks like the other serve as a
12 good model to study catalyst reconstruction. Electrocatalyst activity and stability often go one
13 against the other and it is a matter of finding an acceptable compromise between the two metrics
14 in the quest to identify the most suitable electrocatalysts.³⁴⁴ The control over nanoparticle shape
15 and hence the surface crystallographic facet has contributed to identify the optimal Pt catalyst
16 for HER, balancing its stability and mass activity¹⁶³ and increase of the stability of Pt catalyst
17 by favorable catalyst-support interactions.¹²⁷ Similar principles are exploited in the search of a
18 reasonably stable earth abundant element catalyst for HER,^{119,126,132} The non-noble metal
19 leaching during operation was identified, eventually leading to a new class of dealloyed Pt-Ni
20 and Pt-Co NCs with greatly improved mass activity stability in ORR compared to
21 monometallic Pt NCs.^{45,169,179,182,183,186,187,345} From a library of alloyed ORR catalyst, it was
22 identified, that NC surface distortions can rationalize activity in ORR as surface reconstruction
23 inevitably occurs under simulated PEMFC cathode operation.³⁴⁶ The principle of dealloyed
24 catalyst was successfully transferred to a Pt-Sn octahedral catalyst for EOR.²⁸⁶
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40 In general, identical location TEM can provide detailed information on a single particle level,
41 if the supporting electrode is suitable for both electrochemical testing and TEM imaging (i.e.
42 electron transparent substrate). Stability of hollow Pt nanocatalyst was investigated with this
43 technique at a single particle level. The nanoporosity was found to be metastable and subject
44 to reorganization. Rate of the degradation processes was strongly dependent on the upper
45 potential limit during the accelerated stress testing.³⁴⁷ Steps and corners of Pt-Ni ORR catalyst
46 were identified as preferred sites for dissolution and interestingly, smaller particles were
47 preferred for redeposition, contrary to conventional understanding of Ostwald ripening.³⁴⁸ High
48 activity topologically complex NiPt alloy nanoparticles are especially susceptible to
49 morphological evolution by electrochemically enhanced surface diffusion leading to
50 coarsening and decrease in ECSA. It was demonstrated that decorating the catalyst surface with
51 Ir, leads to pinning of surface steps, preventing their movement and limits the coarsening of
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the catalyst and stabilizes the nanoporous morphology (**Figure 25A**).³⁴⁵ Alternatively, the electron transparent electrode requirement can be circumvented with the use of identical location SEM, though this implies compromising the imaging resolution.³⁴⁹

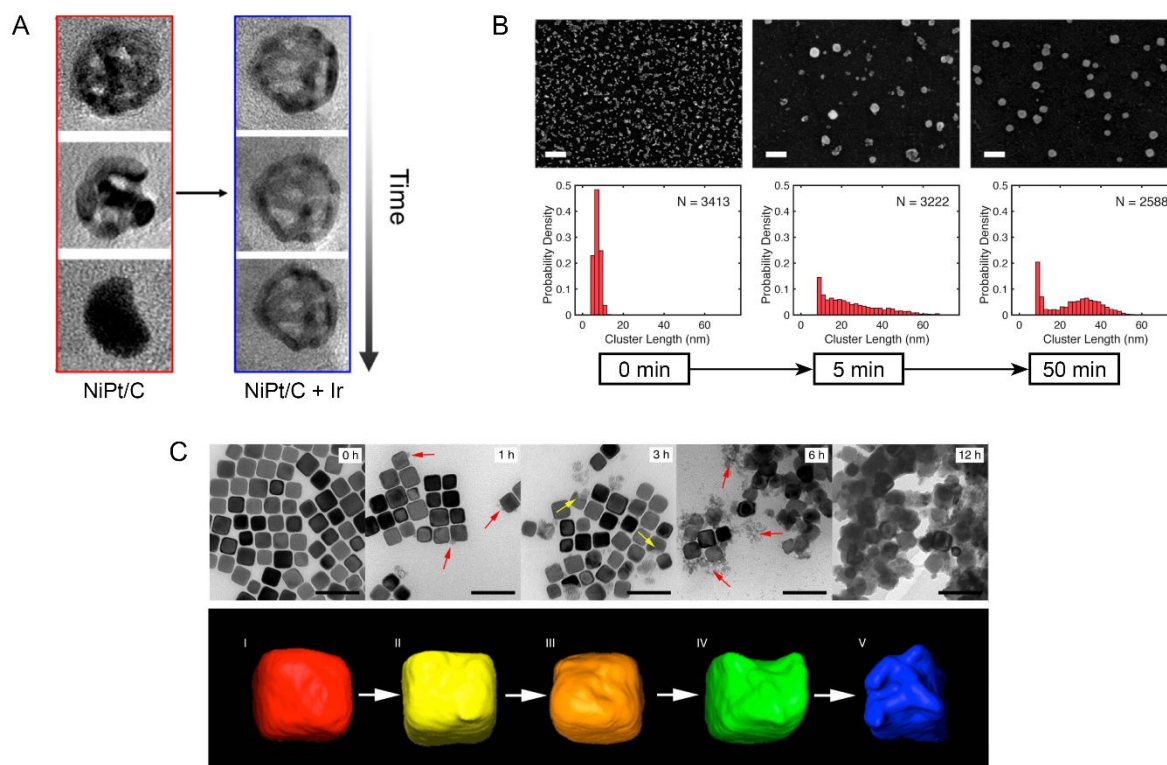


Figure 25. (A) Identical location TEM of dealloyed nanoporous NiPt and stabilized NiPt +Ir NCs during accelerated stress testing. Adapted with permission from reference ³⁴⁵. Copyright 2017, American Chemical Society. (B) SEM documenting evolution of initially 7nm Cu spheres during CO₂RR with corresponding particle size distributions. Adapted with permission from reference 350. Copyright 2019, American Chemical Society. (C) TEM images showing morphological evolution of initially well-defined 41 nm Cu nanocubes during CO₂RR. Below are TEM tomography reconstructions of the Cu nanocubes at the corresponding stages. Adapted with permission from reference 63. Copyright 2018, Nature.

The interpretation of catalyst changes becomes difficult when spontaneous surface oxidation obstructs the fine changes on catalyst surface, which is the case of Cu in CO₂RR.³⁵¹ Yet, good control over the initial size and shape of the catalyst still enables the interpretation of general trends in the catalyst changes. Small Cu nanospheres (< 15nm) are especially susceptible to reconstruction.^{217,221} The catalyst morphology evolves during CO₂RR and, if the initial loading is high, leads to activation of the catalyst for C-C coupling and production of ethylene.²²¹ Identification of the active form of the catalyst was obscured by its susceptibility to rapid

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3 spontaneous oxidation, yet passivating the metallic Cu immediately after its activation lead to
4 the identification of closely packed small (<5nm) Cu crystallites , which are the active catalyst
5 selective for ethylene.³⁵² With careful statistical analysis of particle size distribution on the
6 electrode, two separate reconstruction phenomena were identified. Initial sintering within the
7 first 5 min leads to catalyst activation and fragmentation of the catalyst in later stages is
8 connected to its deactivation (**Figure 25B**).^{350,352} These phenomena seem to have wider
9 implications in Cu based catalysts for CO₂RR. Similar activation process was observed, when
10 the initial catalyst consisted of Cu₂O cubes,³⁵³ and loss of selectivity for ethylene production
11 was connected to fragmentation of initially well-defined Cu cube shaped catalyst (**Figure**
12 **25C**).^{63,352} In this study, electron tomography revealed that fragmentation starts at the interface
13 of the (100) facets and (110) edges, which was identified as the active sites in favoring C-C
14 coupling.²²⁰ This finding is thus in line with the more active sites being also the faster degrading
15 ones.
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17 While the examples above highlight how ex-situ techniques combined with well-defined NCs
18 helps to elucidate reconstruction processes, the catalytic sites are often generated
19 electrochemically and only exist under reaction conditions. Therefore characterization of the
20 catalyst before and after operation should be complemented with operando/in-situ
21 measurements, if the goal is to understand these processes in full detail.
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12. *In situ* and *operando* characterization

The development of *in situ* and *operando* tools to study catalysts under relevant working conditions (i.e. high temperatures, high pressures, flow chemistry, applied potential) has enabled significant progress towards understanding the underlying chemistry of catalytic processes at the atomistic level and towards the establishment of relationships between the often evolving structure of a catalyst material and its corresponding catalytic performance. In fact, *in situ* and *operando* tools can both identify transient (i.e. short-living) intermediates otherwise undetectable by using common *ex situ* experiments. However, their exact definition is often at the center of debate in the community. This review refers to *in situ* for measurements performed under reaction conditions that closely resemble those of the bulk electrolysis cells and *operando* for those measurements performed in bulk electrolysis cells and thus allowing for simultaneous measurement of the catalytic activity. Nowadays, the most well-established tools for catalyst characterization under *in situ* or *operando* conditions are based on high-energy synchrotron radiation. Simultaneously, increasing efforts are dedicated to *in situ* and *operando* studies using electron microscopy, those being challenged by the downsizing of the electrochemical cell to fit in a microchip. There are numerous insightful examples employing *in situ* or *operando* tools for the characterization of NC catalysts for CO₂RR, HER or OER and we refer to comprehensive reviews already published on this topic.^{62,225,354–367,367–369} In the following sections, our aim is to provide a simple description of the techniques and cells along with a few specific examples related to colloiddally prepared NC catalysts.

12.1. X-ray absorption and scattering

Among different X-ray based tools, X-ray scattering and X-ray spectroscopy techniques are widely used due to their complementarity and non-destructive character. Briefly, X-ray scattering can be divided into elastic scattering (e.g. X-ray diffraction, XRD), where the wavelength and energy of the scattered wave do not change, and inelastic scattering (e.g. resonant/non-resonant Raman scattering – information on the electronic structures of the catalysts). On the other hand, X-ray absorption/emission spectroscopy (XAS and XES, respectively) rely on soft (below ca. 10 keV) and hard (from 10 to 120 keV) X-rays. **Table 2** summarizes the most important scattering and spectroscopic techniques for *in situ* and *operando* studies in catalysis, including colloidal NC catalysts, along with the main information that can be extracted from them.

Table 2. Summary of the X-ray spectroscopic and scattering tools employed to study NC catalysts.*

Type	Technique	Key information extracted	Other observations
X-ray spectroscopy	X-ray photoelectron spectroscopy (XPS)	Elemental composition and oxidation state	Surface sensitive
	X-ray absorption spectroscopy (XAS)	Oxidation state/coordination environment	Info on unoccupied density of states
	X-ray emission spectroscopy (XES)	Electronic structure and ligand environment	Info on partially occupied density of states
X-ray scattering	Small-angle X-ray scattering (SAXS)	Particles size and/or shape	Assembly periodicity
	Wide-angle X-ray scattering (WAXS)/XRD	Crystal structure and phase identification	
	Pair distribution function - total scattering (PDF)	Local structure determination in NCs	Suitable for crystalline and amorphous materials

* To note that XAS includes XANES (X-ray absorption near edge structure) and EXAFS (extended X-ray absorption fine structure).

Eventually, a combination of all these experiments is needed to monitor simultaneously the structural and the chemical speciation changes occurring at the solid/liquid (electrode/electrolyte) interface during electrocatalysis. For example, the combination of XRD (long-range order; crystalline materials) and XAS (short-range order; crystalline/amorphous materials) provides beautiful insights into the dynamic nature of a catalyst lifetime i.e. reconstruction and/or reconfiguration, poisoning and death.³⁷⁰ Vibrational spectroscopies are then highly useful to study the surface adsorbed molecules thus providing a complementary mechanistic investigation.^{300,371,372} It must be said that XRD and vibrational spectroscopies require rather complex setups (i.e. thin-layer design or the droplet geometry/free-hanging meniscus in the XRD case) that, together with the low catalyst loading, provide low current densities, making it challenging to have simultaneous product detection.^{370,373–377} Furthermore, the broad peaks associated with the NCs represent an additional complication for XRD studies. Therefore, XAS is definitely the “go-to” technique for many researchers in the field to gain electronic and structural information about NC catalysts.

Most of the XAS setups reported in the literature for *in situ* and *operando* studies during electrocatalysis are based on a fluorescence geometry (a one-compartment cell for HER/OER, and a batch or a two-compartment sandwich-type cell with a proton conducting membrane for

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3 CO₂RR) with the beam penetrating through the back-side *via* an X-ray transparent window
4 made of silicon nitride (Si₃N₄), amorphous carbon or Kapton[®] foil.^{363–368} However, there are
5 also examples of XAS electrochemical experiments performed using the more traditional
6 transmission geometry,³⁷⁸ where the thickness of the electrolyte layer becomes a limiting
7 factor. Conductive layers such as ITO (indium-doped tin oxide), FTO (fluorine-doped tin
8 oxide), carbon cloth, or Au are commonly used as working electrodes (WE). The reference
9 electrode (RE) is placed close to the working electrode, and the counter electrode (CE) is
10 positioned further away. On the other hand, in the soft X-rays cells, typically a SiN/C
11 membrane is employed to separate the cell environment from the UHV needed for the soft X-
12 rays measurements.^{379,380}

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15 **Figure 26** reports two representative examples of *operando* XANES and EXAFS for colloidal
16 NCs utilized as CO₂RR electrocatalysts. In the first example a two-compartment cell (**Figure**
17 **26A**) was utilized to monitor the oxidation state of Cu/CeO₂ heterodimers which were found
18 to promote CO₂RR *versus* HER and to be highly selective towards the production of
19 methane.³⁵⁴ XANES measurements highlighted that a partial reduction from Ce⁴⁺ to Ce³⁺ takes
20 place under CO₂RR conditions (**Figure 26B**) accompanied by the formation of oxygen
21 vacancies which was demonstrated by other complementary techniques (XPS, Raman, UV-
22 Vis). Thanks to these data, DFT calculations could assess the important role of such oxygen
23 vacancies in the stabilization of the CHO* intermediate, thus explaining the CO₂RR
24 enhancement and methane production. In the second example, the compositional and structural
25 evolution of Cu_{100-x}Zn_x NCs were monitored using XANES and EXAFS.³⁶⁹ The authors
26 observed methane production for Zn contents lower than 50%, while CO became the dominant
27 product for higher Zn percentages. The composition and time dependent *operando* results
28 (**Figure 26C and D**) identified Cu/ZnO as the active interface for methane production and
29 show that there is an optimum of 30% Zn in the material. At Zn concentrations higher than
30 70% the catalyst tends to form the CuZn alloy faster and drive the selectivity away from CH₄
31 and mostly towards the CO.

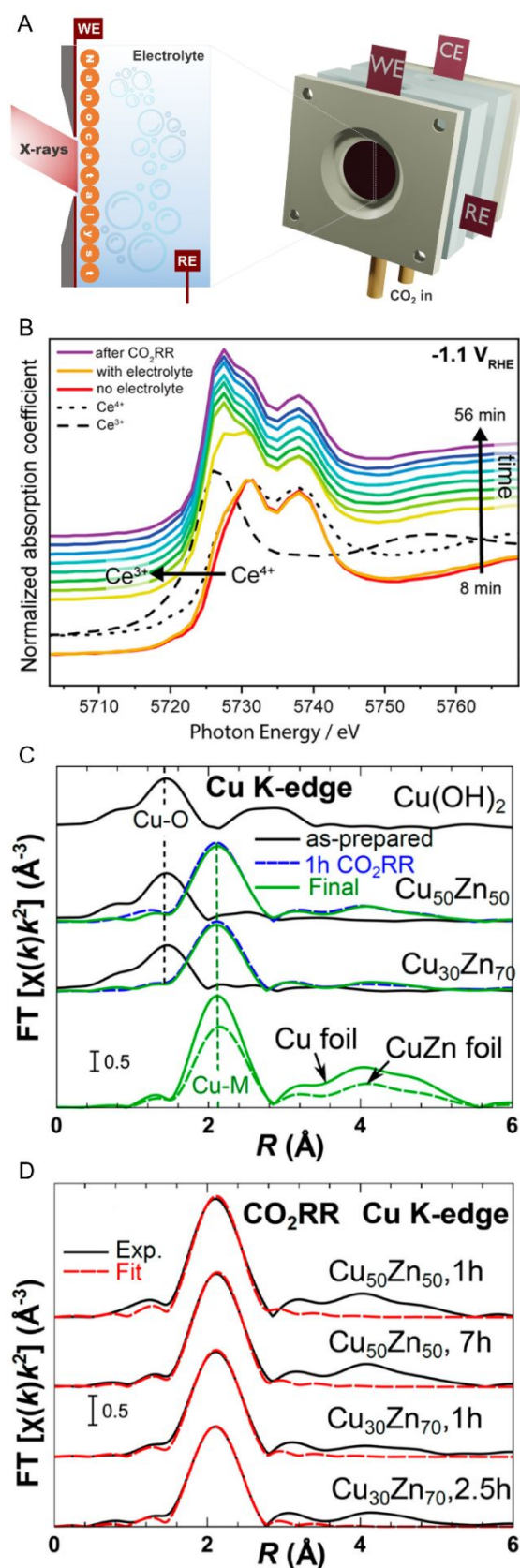


Figure 26. *Operando* XAS studies on NC electrocatalyst. (A) Schematic of the *operando* XAS cell (fluorescence geometry) utilized by Varandili et al.³⁵⁴ (B) Ce L₃-edge of Cu/CeO₂ evolving during CO₂RR. Adapted with permission from reference 354. Copyright 2019,

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3 American Chemical Society. (C) Cu EXAFS analysis during CO₂RR of Cu_{100-x}Zn_x
4 nanocatalysts in the study by Jeon et al.³⁶⁹ and (D) EXAFS fitting for CuZn 50/50 and 30/70
5 displaying the evolution of the Cu–M bond in longer CO₂RR runs. Adapted with permission
6 from reference 369. Copyright 2019, American Chemical Society.
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13 While demonstrated to be a very powerful tool to monitor compositional changes of catalysts
14 during operation, we would like highlight the importance of assuring that the X-Ray probe
15 itself does not contribute to such changes. With the new generation of more brilliant
16 synchrotron sources, which has tremendously improved signal and time resolution, a great deal
17 of attention must be placed with this regard.³⁸¹ While beam damage by X-Rays is more frequent
18 in biological samples rather than in inorganic samples, systematic studies of how X-ray
19 brilliance and photon flux affect the apparent behavior of the catalysts are always
20 recommended. A non-focused beam might offer a solution in case of samples suffering from
21 beam damage as recently suggest by Newton et al.³⁸¹
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30 31 **12.2. Electron microscopy**

32 Electron microscopy and predominantly TEM is an indispensable tool in characterizing
33 colloidal NCs. High-resolution imaging and numerous diffraction techniques provide structural
34 information about the as-synthesized NCs. Analytical capabilities of modern microscopes can
35 provide elemental maps with EDX and EELS spectroscopy down to atomic spatial resolution.
36 The specimen is typically exposed to the high vacuum of the microscope column during the
37 analysis. However, thanks to recent improvements for the *in situ* instrumentation, the high
38 vacuum around the specimen is no longer required and processes such as colloidal NC
39 synthesis and their catalytic performance can be visualized in real-time under reaction
40 conditions that closely resemble those of their bulk reaction cells. Two technologies to
41 overcome the high vacuum limitation are commercialized: environmental TEM (E-TEM) and
42 liquid cell TEM (LC-TEM).³⁸²
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51 The specimen chamber in E-TEM systems is supplied with a gas of interest, differential
52 pumping and apertures are then used to maintain the high vacuum in other parts of the column.
53 The maximum pressure allowed in such systems is on the order of 10 mBar, which allows for
54 measurements in gas atmosphere and low vapor pressure liquids. E-TEM allows for atomic
55 resolution imaging and can provide valuable insights in gas-phase catalysis, especially
56 combined with a heating stage.^{383–385} Its application for electrocatalysis is limited by the low-
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3 pressure requirements, yet a few examples exist. A model Pt/C catalyst under conditions
4 relevant to fuel cell operation has been studied with E-TEM. Yoshida et al. identified the
5 migration of Pt on the C support followed by coalescence to be the major coarsening
6 mechanism, where the carbon support, once hydrated in the atmosphere of water vapor,
7 facilitates the Pt NC migration.^{386,387} It was further established by Luo et al., that the
8 coalescence step is preceded by particle alignment to match the lattice orientation.³⁸⁸
9 Manganite catalysts for OER in perovskite and Ruddlesden-Popper phases have been studied
10 by Mildner et al. with high-resolution E-TEM, where the electron beam in water vapor was
11 used to induce positive local potentials on the electrode.³⁸⁹ By careful comparison of observed
12 stability trends in E-TEM with details about the O and Mn oxidation state, provided by *in situ*
13 EELS spectroscopy and results obtained in a reference electrochemical cell, they propose that
14 the more ionic character of the Mn–O bond is responsible for the increased stability in this type
15 of catalyst.³⁹⁰ While studying stability in water vapor and driving electrochemistry with the
16 electron beam of the microscope might seem rather disconnected from the conditions
17 experienced by the catalyst under operation, this technique can still provide valuable insight
18 into electrocatalyst stability.

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20 It is worthwhile to notice that the solvent radiolysis driven by the electron irradiation is
21 unavoidable; for example neat water produces a plethora of reactive species,³⁹¹ among the most
22 prominent are H_3O^+ , OH , e^-_{aq} , H^* , OH^- , H_2O_2 and H_2 (in descending order by the rate of
23 generation). However, as the concentration of these species can be controlled (i.e. by the
24 electron dose rate, accelerating voltage and the solution chemistry) and radical scavengers may
25 be added to react preferentially with oxidizing or reducing species^{392,393}, the beam-induced
26 reductive environment can then be used to reduce metal ions, synthesize core-shell NCs or
27 assist galvanic replacements^{394,395}. Similarly, the beam-induced oxidative environment may be
28 used to drive dissolution of colloidal NCs or to simulate the conditions of OER.^{389,390} With a
29 deeper understanding of the underlying chemistry, the scope of this technique has the potential
30 to become broader and broader. A neat example is a study by Liu et al. on the self-assembly of
31 Au NCs where the hydrated electrons reduce the surface charge provided by the capping
32 ligands and cause the self-assembly of the NCs.³⁹⁶ On the other side, a different strategy is to
33 minimize the electron beam effect by using low electron doses, highly sensitive cameras and
34 performing relevant control experiments, to ensure that the observed process is not influenced
35 by the electron irradiation.³⁹⁷ Working in the dose limiting regime limits the use of analytical

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3 techniques such as EDX and core-loss EELS, that typically require orders of magnitude larger
4 doses than required for imaging.

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6 The low operating pressures of open E-TEM are incompatible with the liquid phase of most
7 common solvents and electrolytes. To overcome this limitation, closed cell systems are
8 employed, where the specimen is separated by a thin membrane. The thinnest membranes, that
9 can withstand the pressure gradient are made of graphene, providing the ultimate resolution in
10 LC-TEM.^{398,399} Such level of detail has not been achieved yet in the context of monitoring NCs
11 during electrocatalysis, where a more complex experimental setup is required.

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13 Generally, patterned electrodes are incorporated in microfabricated SiN_x liquid cells,⁴⁰⁰
14 providing a platform to study electrocatalysts under working conditions. With increased
15 complexity of the liquid cell, one can simulate electrocatalyst operation directly at the cost of
16 decreased resolution coming from the larger thickness of the liquid enclosure. Atomically
17 resolved imaging in an electrochemical cell has not been demonstrated yet, as the achievable
18 resolution in these thicker cells is limited by chromatic aberration and strong electron
19 scattering.³⁸²

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21 With this technique, migration of Pt NCs on a C support and subsequent coarsening of the
22 catalyst was imaged in real time in a reactor simulating a fuel cell.⁴⁰¹ Zhu et al. evaluated the
23 morphology evolution of a Pt-Fe catalyst for ORR at various stages during potential cycling,
24 which led to a better understanding of the potential-induced catalyst degradation.⁴⁰² Moreover,
25 Beermann et al. observed carbon support corrosion, particle migration and coalescence for
26 octahedral Pt-Ni NCs under simulated ORR conditions.⁴⁰³ By correlating the applied potential
27 to the catalyst morphology, a threshold potential for NC stability was established. Gradual and
28 irreversible surface amorphization of a model Co₃O₄ catalyst during OER was observed by
29 Ortiz Pena et al., confirming the oxy-hydroxide layer as the active form of catalyst (**Figure**
30 **27A**).⁴⁰⁴ Recently, several studies have focused on the transformation of Cu NCs under CO₂RR
31 conditions. Arán-Ais et al. observed the formation of cubic Cu₂O particles from copper sulfate
32 during electrochemical synthesis and their subsequent changes in a CO₂-saturated aqueous
33 electrolyte under reducing potential.⁴⁰⁵ In particular, they noticed dissolution of non-cubic
34 particles while the cubic ones remained more stable (**Figure 27B**). *In situ* TEM has also been
35 utilized by Li et al. to provide supporting evidence on the transformation of spherical Cu NCs
36 into “scrambled” NCs during CO₂RR. These disordered structures were demonstrated to be the
37 active catalyst favoring C–C coupling.³⁵² Finally, the underlying mechanism of this
38 transformation was revealed by Vavra et al. by resolving individual particles throughout the
39 process.³⁵¹ The Cu NCs were shown to initially oxidize and partially dissolve in the electrolyte
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at open circuit voltage (OCV), followed by their re-deposition as larger Cu NCs. In a second stage, during CO₂RR, the remaining spherical NCs continued to dissolve and feed the growth of larger NCs in a process similar to Ostwald ripening (**Figure 27C–E**). These new insights were possible thanks to the development of a microfabricated electrochemical chip with glassy carbon working electrode specifically for *in situ* TEM under CO₂RR conditions.

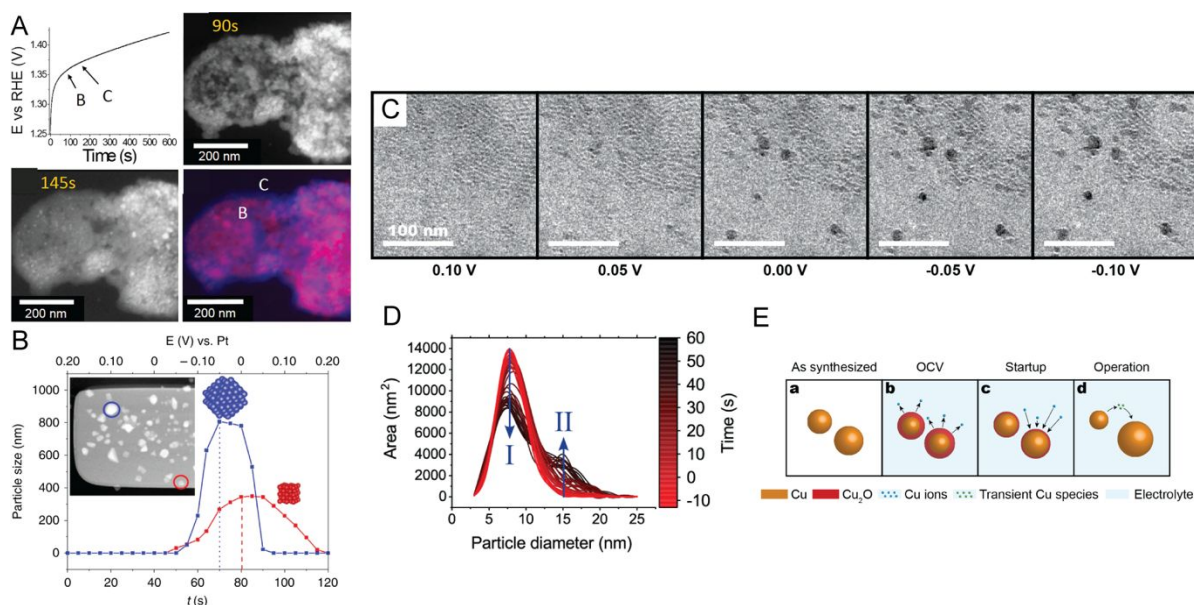


Figure 27. Electrochemical *in situ* TEM to study catalyst transformation during operation. (A) *In situ* electrochemical TEM chronopotentiometry OER experiment at 10 mA cm⁻² in aqueous 0.1 M KOH electrolyte for 10 min, where amorphization of the initial Co₃O₄ catalyst yields surface oxyhydroxide-like phase. STEM-HAADF images recorded at 90s and 145 s and superposition of both images evidencing the evolution of the area of the agglomerate (bottom right). Adapted with permission from reference 404. Copyright 2019, American Chemical Society. (B) Electrodeposition of CO₂RR catalyst documenting increased stability of cube-shaped Cu₂O particles. Adapted with permission from reference 405. Copyright 2020, Nature. (C) Snapshots from *in situ* TEM monitoring the transformation of Cu nanocatalysts into their active form during the startup phase of CO₂RR. (D) Particle size distribution of the same as a function of time at -0.25V_{RHE}: some particles are growing (arrow II) while others shrink and eventually disappear (arrow I). (E) Schematic illustration of the solution-mediated Ostwald ripening process of Cu nanocatalysts during the startup phase of CO₂RR. Adapted with permission from reference 351. Copyright, 2020 Wiley.

13. Translation of fundamental studies on colloidal NC catalysts to industrially relevant conditions and devices

One important aspect with respect to the testing of well-defined NCs is the transfer of the knowledge collected under more ideal conditions, far from mass transport limitations (i.e. in RDE setups and H-cells), to devices which are more industrially relevant. Efforts in this direction have been recently increasing, especially with respect to ORR and CO₂RR.

In ORR, MEA-based setups are the ultimate testing station, as proton-exchange membrane fuel cells (PEMFC) rely on this configuration. Some of the promising Pt-based NCs (i.e. Pt-Co, Pt-Ni and Ga-doped Pt-Ni) have been tested in a MEA cell (**Table S2**).^{406–410} While most of them were found to exhibit a superior activity compared to commercial Pt/C, the enhancement factor was much lower compared the one evaluated in a RDE setup. For example, the dealloyed Pt-Ni nanoframes demonstrated only 3.5 and 6 times increase in mass and specific activity at 0.9 V_{RHE} compared to the commercial catalyst, respectively.^{407,410} Instead, the increase in mass (36 times) and specific (22 times) activity in the RDE setup were 36 and 22 times, respectively. Similar observations were more recently made for Mo-doped Pt₃Ni octahedra which showed 1.6 times mass activity enhancement vs. Pt/C at 0.9 V_{RHE} in the MEA setup compared to an outstanding 73 times enhancement in RDE setup.⁴⁰⁸

To be able to address this issue, one needs to understand what are the reasons behind this change of the catalyst performance in MEA compared to RDE setup. First of all, at high current density under H₂/Air flow and low Pt loading (<0.1 mg_{Pt}/cm²), prominent voltage and power density losses emerge.⁴¹⁰ Sulfonate groups from Nafion may also poison the Pt surface.⁴¹¹ Kongkanand et al. have shown that losses mainly arise from local O₂ mass transport resistance near the surface of Pt.^{412,413} This becomes critical at electrochemically active surface areas (ECSA) lower than 40 m²/g_{Pt}, as inverse scaling between local mass transport resistance and surface roughness factor exists. This was further confirmed and expanded in a study by Schuler et al., where they used both multiscale modeling and MEA-based testing protocols to deconvolute various contributions to the local mass transport resistance origin.⁴¹⁴ They conclude that the catalyst layer resistance is composed of two components: transport and interfacial, where the transport resistance through the ionomer thin-film or very local to the reaction site dominates. This is not the case for RDE studies, as mass transport conditions are intrinsically different between these two, serving as a key reason for difference in activity of well-structured catalysts in these devices.

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3 Finally, to further address the transfer from RDE to MEA cells, Martens et al. report on the
4 protocol to establish a better correlation between testing in RDE and MEA setup.⁴¹⁵ Using Pt/C
5 catalyst as a benchmark, they obtain a strict RDE protocol which is reproducible over different
6 labs and demonstrates the same trends as with the use of MEA setup. Moreover, another cell
7 geometry called floating electrode technique is proposed as an intermediate step between RDE
8 and MEA, combining benefits of both, namely low catalyst loading and high current densities.
9
10 With this in mind, we can conclude that, even though MEA implementation is challenging and
11 it is probably not possible to get the record activities obtained in RDE, colloidal NCs are among
12 the most active and durable materials towards ORR in PEMFC. More advances in the field are
13 expected in upcoming years resulting from ongoing research devoted to their implementation
14 in MEA setup.⁴¹⁰

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17 In CO₂RR, studies aimed at translating the results of fundamental studies in a H-cell to gas-
18 fed reactors capable of sustaining high current densities, which are relevant for industrial
19 conditions,⁹⁶ have recently started to populate the literature (**Table S3**).

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22 In a recent work, De Gregorio et al. demonstrated that the facet-dependent selectivity of Cu
23 nanocubes (Cu_{cub}) and octahedra (Cu_{oct}) is retained when the NCs are loaded onto a GDE and
24 measured in a gas flow cell (**Figure 28**).⁴¹⁶ The Cu_{cub} exhibit higher selectivity a much higher
25 selectivity towards ethylene compared to the spheres (Cu_{sph}) across all the potentials. This
26 result points at the fact that the exposed (100) facets do play a role in directing selectivity, even
27 under these more extreme conditions. Specifically, the conversion of CO₂ to C₂H₄ ranged from
28 55% at 100 mA/cm² and -0.65V vs RHE to around 60% at 200 mA/cm² and -0.70V vs RHE,
29 which is even superior to the maximum obtained in the H-cell for the same sample (44% at -
30 1.1V_{RHE}, **Table S3**). As for the Cu_{oh}'s, methane is the main hydrocarbon product, in line with
31 the presence of the exposed (111) facets. This result strongly corroborates the importance of
32 facet-control, as this product is normally suppressed at high pH.⁴¹⁷ The highest FE of ~ 53% is
33 obtained at 100 mA/cm² and -0.91 V vs RHE, with the corresponding lowest H₂ production
34 (FE ~ 22%), which is again even higher than the maximum obtained in the H-cell for the sample
35 with same size (34% at -1.25 V_{RHE}, **Table S3**).

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38 While a truly quantitative comparison between H-cell and gas-fed flow cell is not possible
39 because many differences between the two (i.e. electrolytes, mass and electron transport, pH
40 gradients, applied potentials, etc.), these results confirm the importance of catalyst
41 morphology even at such high current densities. They also highlight synergism between reactor
42 and catalyst performance. Furthermore, the availability of the NCs as an ink also provides the
43 opportunity to perform future studies focused on impact of the catalyst distribution within the
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GDE on the overall performance, something which is not accessible by other techniques such as thermal deposition.⁴¹⁸

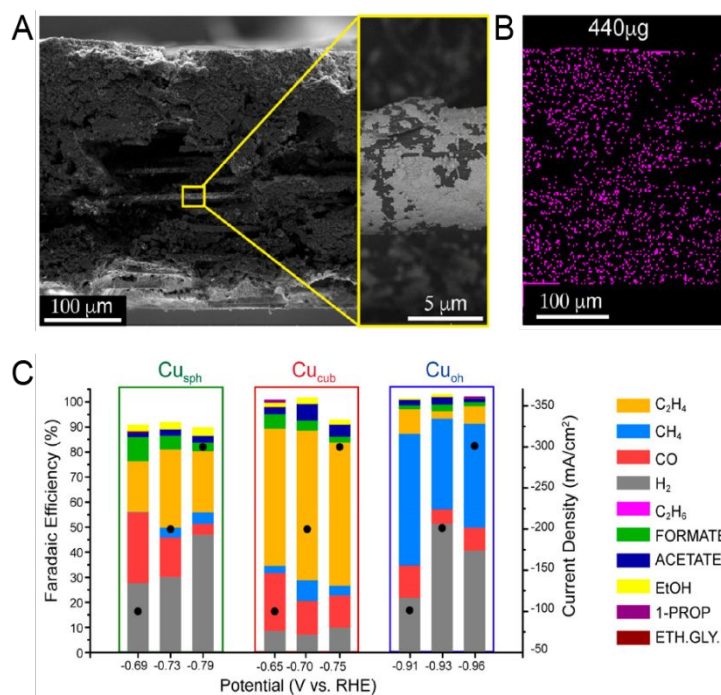


Figure 28. Cross-sectional (A) SEM images (with a magnification) and (B) EDX colored map of $440 \mu\text{g}/\text{cm}^2$ Cu_{cub} NCs deposited on the GDL by spray coating directly from their solution in hexane. (C) FEs vs potential for Cu_{sph} ($200 \mu\text{g}/\text{cm}^2$), Cu_{cub} ($250 \mu\text{g}/\text{cm}^2$), and Cu_{oh} ($50 \mu\text{g}/\text{cm}^2$) deposited on a GDL and measured in the gas-fed flow cell in 1 M KOH. Similar selectivities were obtained in 1.2 M KHCO_3 . Adapted with permission from reference 416. Copyright 2020, American Chemical Society.

The conclusions of Wang et al. also supported C_2H_4 formation with higher FE on Cu nanocubes (C_2H_4 FE of 60%) than on Cu nanospheres (C_2H_4 FE of 38%) in a gas-fed flow cell.⁴¹⁹ In their recent work, Möller et al. compared the performance of cubic Cu_2O NCs in the H-cell and gas-fed flow cell. Concurring with earlier findings, they recorded higher FE and activity for C_2+ products (59% at $300 \text{ mA}/\text{cm}^2$ current density) in the flow cell than the H-cell (48% at $15 \text{ mA}/\text{cm}^2$ current density).⁴²⁰

The next steps are now to work on improving the overall cell voltage and conversion efficiencies and to investigate catalyst stability and reconstruction processes in the GDE. X-ray microscopy (XRM) might be interesting to be explored as an *operando* tool to study electrocatalysts in such configuration.^{421–423} XRM-based methods have mostly been utilized for batteries so far, with fewer examples in fuel cells.^{423–435} Some studies have applied XRM

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3 to characterize NCs.^{436–438} The big advantage of XRM is that different acquisition modes can
4 be used to obtain compositional and structural information from tens of nanometers up to the
5 centimeter scale.^{439–443} Therefore, such a tool would be highly complementary to *in situ*
6 electron microscopy, which provides insight at the atomic scale. Another issue that needs to be
7 addressed is the overall device stability and GDE flooding.⁴⁴⁴ The introduction of
8 hydrophobic/hydrophilic block copolymers might be helpful towards this direction.³⁵
9 Furthermore, switching towards MEA-based devices might be generally beneficial also in
10 CO₂RR as the absence of a liquid electrolyte could be beneficial both for catalyst and device
11 stability.
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22 **14. Conclusions and outlook**

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24 The activity and selectivity of electrocatalysts strongly depends on their composition, size and
25 shape. Therefore, controlling and tuning such parameters is of the utmost importance to
26 establish mechanistic understanding, as well as to discover new catalysts. Additionally, the
27 uniformity of these parameters across the entire batch of synthesized NCs is crucial when
28 investigating catalyst reconstruction during operation.
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31 In this review, we have highlighted the contribution of colloidal chemistry as a synthetic tool
32 to access well-controlled and tunable electrocatalysts to drive HER/HOR, OER/ORR, CO₂RR,
33 the formic acid and various alcohol oxidation reactions. The systematic variation of size and
34 shape has revealed interesting correlations that would not have been otherwise discovered. For
35 example, synergistic effects between size and shape have emerged such that the shape dictates
36 the exposed facets and the size governs the facet ratio, thus providing an additional parameter
37 to optimize reaction selectivity. Changing the composition or structural order while keeping
38 the same size and morphology has facilitated the identification of the most active catalyst to
39 drive a certain reaction. Tailoring interfaces between two or more metals and between metals
40 and other supports has been demonstrated to be a powerful tool to discover unpredicted
41 synergistic effects.
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44 One major advantage of colloidal NCs is that they may be formulated as dispensable inks, and
45 therefore can be easily integrated into different device configurations, from RDE and H-cells
46 to GDE-based cells. Generally, together with the overall device architecture, it is clear that the
47 utilized deposition method and electrode preparation might also impact the performance of the
48 catalytic system.^{29,38,39,445–448} While the deposition should be performed in a way that the
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3 catalyst layer is as uniform as possible, intrinsic differences between various methods should
4 also be taken into account, especially in the case of rough electrode surfaces. As a result,
5 changing and comparing different deposition methods, which is feasible with NC inks, may
6 serve as another way to tune the catalytic performance without changing the catalyst material
7 or support itself.
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11 To perform testing under both ideal and technologically relevant conditions has a double
12 purpose. On one side, exposing identical catalysts to different reaction microenvironments can
13 help to elucidate the effects of these environment on the reaction outcome.^{103,449} Additionally,
14 testing under industrially relevant conditions can address the question of whether colloidal NCs
15 serve only as model systems or if they also offer a technological solution. A few examples in
16 the CO₂RR field showing facet-dependent selectivity of colloidal NCs retained at high current
17 density and the demonstrated synthesis scale-ups suggest that their use as commercial catalysts
18 represents a concrete possibility.^{416,419,450–452} Considering these encouraging results, more
19 studies to implement colloidal NC catalysts in industrial electrolyzers should be pursued.
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23 As for the characterization, much can be learned by studying the catalyst as-synthesized and
24 its changes after the reaction. Yet *in situ* techniques are the key to understand the catalyst
25 synthesis and its function. Although *in situ* TEM is hindered by many practical limitations, the
26 wealth of information provided by the technique makes it well worth the effort of finding a
27 suitable approach to simulate conditions of the process in question. *In situ* TEM has assisted
28 the mechanistic understanding of colloidal NC synthesis by providing real-time high-resolution
29 images of individual particles in the early stages of their formation. The implementation of *in*
30 *situ* electron microscopy as a technique for electrocatalyst characterization under operating
31 conditions is very much at its infancy, yet the promise of understanding electrocatalyst stability
32 and its active form is highly motivating. Among the X-ray based techniques, XAS and EXAFS
33 are certainly the most common to study electrocatalysts under operation. In the future, XRM
34 might be interesting to be explored as an *operando* tool to study electrocatalysts in GDE-based
35 cells at high current densities.^{421–423} In the future, studies comparing results from *in-situ* TEM
36 and *operando* X-Ray measurements will be helpful to further assess if any effect of the probing
37 source is taking place.³⁵¹
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53 Regarding the surface ligands, investigations of their role and fate during electrocatalysis
54 should certainly continue. New catalytic schemes where ligands play an active role are
55 attracting increasing attention and merging the homogeneous and heterogeneous catalysis
56 communities. While one can rely on the aforementioned *in situ* and *operando* measurements
57 to study changes in the inorganic NC core, changes in the organic shell are more challenging
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3 to monitor due to the low concentration. *In situ* near ambient pressure X-ray photoemission
4 spectroscopy (NAP-XPS) is a powerful tool for surface studies, yet deconvoluting the signal
5 of the ligands in the presence of the electrolyte might be challenging. Electroanalytical
6 methods, such as the quasi *in situ* impedance measurements utilized by Pankhurst et al. to
7 investigate the fate of ligands during CO₂RR, and *in situ* vibrational spectroscopies (IR and
8 Raman) might be more promising.^{88,300,453} The development of *in situ* solid-state NMR, in a
9 similar fashion to what has been achieved in the battery field to study inorganic materials,
10 might also offer great opportunities in the field of electrocatalysis with colloidal NCs.^{454–456}
11 Finally, synthetic development will be crucial to exploit the full potential of colloidal NCs as
12 electrocatalysts in the future. While a huge library of noble metal NCs with a large variety of
13 structures and a continuously increasing compositional complexity is available in the literature,
14 non-noble metal NCs are far less investigated and the level of shape control attainable for this
15 class of NCs is still considerably scarce.¹⁷ For example, stabilization of high-index facets on
16 NC surfaces (i.e. (751) for Cu)²⁰⁴ or of metastable crystal structures, both of which are often
17 desirable for activity and selectivity, is still limited to only a few systems, which are mostly
18 based on noble metals.^{10,457} The synthesis of new NCs still proceeds via trial-and-error,
19 therefore targeting compositions or shapes predicted by theory as well as tuning the distribution
20 of each component within multimetallic NCs represent open challenges. Building a more
21 fundamental understanding of the NC formation processes is therefore mandatory and *in situ*
22 studies during the synthesis can accelerate progress in this direction.^{15,16,458,459} A “synthesis-
23 by-design” approach, where the reaction pathway and conditions to target the desired NC
24 products can be easily identified, will render colloidal chemistry an even more powerful tool
25 for catalyst investigation and discovery beyond the reactions discussed above. For example,
26 the utilization of colloidal NC catalysts for emerging electrochemical reactions, including the
27 nitrogen reduction reaction and organic transformations, where selectivity is an important
28 challenge, can be anticipated in the near future.^{460,461}

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