

# Modular Multilevel Converters Operating Principles and Applications

Prof. Drazen Dujic, Dr. Stefan Milovanovic  
Power Electronics Laboratory  
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# MODULAR MULTILEVEL CONVERTERS - OPERATING PRINCIPLES AND APPLICATIONS - PART 1

**Prof. Dražen Dujčić, Dr. Stefan Milovanović**

École Polytechnique Fédérale de Lausanne (EPFL)  
Power Electronics Laboratory (PEL)  
Switzerland



## Before the virtual coffee break

### Part 1) Introduction and motivation

- ▶ MMC Applications
- ▶ MMC operating principles
- ▶ Modeling and control

### Part 2) MMC energy control

- ▶ Role of circulating currents
- ▶ Branch energy control methods
- ▶ Performance benchmark



## After the virtual coffee break

### Part 3) MMC power extension

- ▶ MMC scalability
- ▶ Branch paralleling
- ▶ Energy control

### Part 4) MMC research platform

- ▶ MMC system level design
- ▶ MMC Sub-module development
- ▶ MMC RT-HIL development

# INTRODUCTION

*Non technical one...*



## Prof. Drazen Dujic

### Experience:

2014 – today	École Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland
2013 – 2014	ABB Medium Voltage Drives, Turgi, Switzerland
2009 – 2013	ABB Corporate Research, Baden-Dättwil, Switzerland
2006 – 2009	Liverpool John Moores University, Liverpool, United Kingdom
2003 – 2006	University of Novi Sad, Novi Sad, Serbia

### Education:

2008	PhD, Liverpool John Moores University, Liverpool, United Kingdom
2005	M.Sc., University of Novi Sad, Novi Sad, Serbia
2002	Dipl. Ing., University of Novi Sad, Novi Sad, Serbia



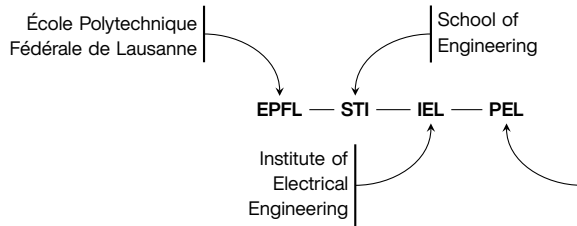
## Dr. Stefan Milovanovic

### Experience:

2020 – today	École Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland
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### Education:

2020	PhD, École Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland
2016	M.Sc., School of Electrical Engineering, University of Belgrade, Belgrade, Serbia



- ▶ Active since February 2014
- ▶ Currently: 14 PhD students, 4 Post Docs, 1 Administrative Ass.
- ▶ Funding CH: SNSF, SFOE, Innosuisse
- ▶ Funding EU: H2020, S2R JU, ERC CoG
- ▶ Funding: Industry OEMs
- ▶ [www.epfl.ch/labs/pel/](http://www.epfl.ch/labs/pel/)



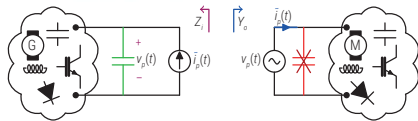
Competence Centre



▲ Power Electronics Laboratory

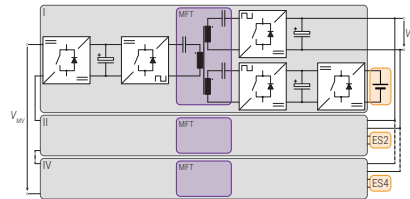
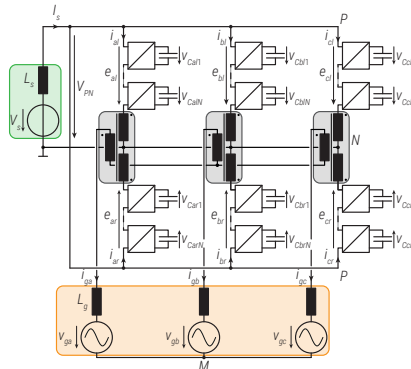
## MVDC Technologies and Systems

- ▶ System Stability
- ▶ Protection Coordination
- ▶ Power Electronic Converters



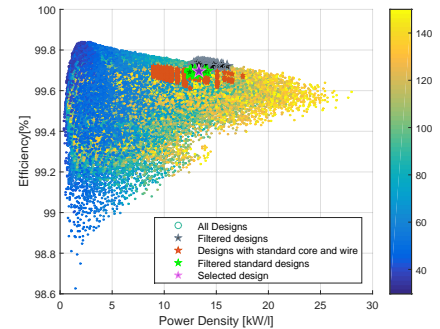
## High Power Electronics

- ▶ Multilevel Converters
- ▶ Solid State Transformers
- ▶ Medium Frequency Conversion



## Components

- ▶ Semiconductor devices
- ▶ Magnetics
- ▶ Modeling, Characterization



# MMC APPLICATIONS

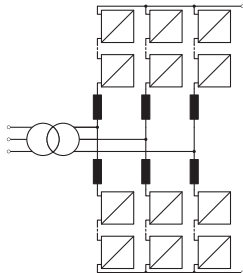
*Examples of applications where MMC is already commercialized*



# TREND TOWARDS HIGHLY MODULAR CONVERTER TOPOLOGIES

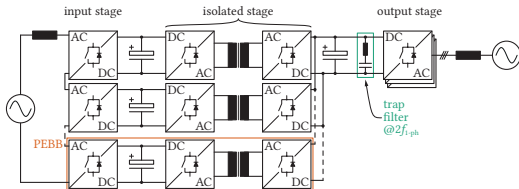
## HVDC

- ▶ Decoupled semiconductor switching frequency from converter apparent switching frequency
- ▶ Improved harmonic performance  $\Rightarrow$  less / no filters
- ▶ Series-connection of semiconductors still possible
- ▶ Fault blocking capability depending on cell type



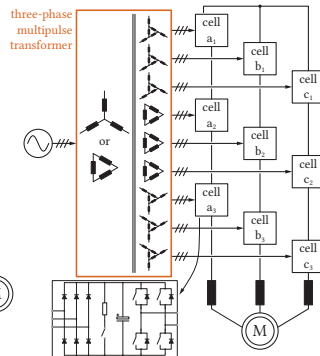
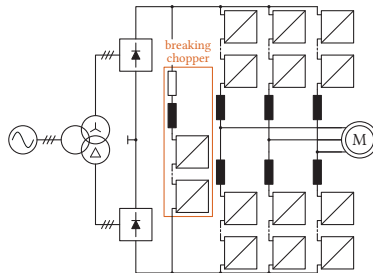
## Solid State Transformers (SSTs)

- ▶ Power density increase w/ conversion & isolation at higher frequency
- ▶ Grid applications / traction transformer w/ different optimization objectives
- ▶ MFT design / isolation are the bottlenecks



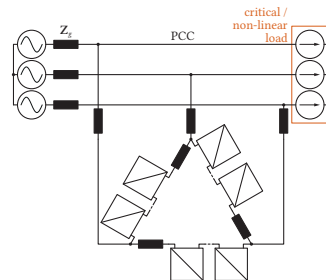
## MV Variable Speed Drives

- ▶ Monolithic ML topologies (NPC, NPP, FC, ANPC) are not scalable
- ▶ Robicon drive  $\rightarrow$  everyone offers it
- ▶ Siemens & Benschaw: MMC drive
- ▶ Low  $dv/dt \Rightarrow$  motor friendly



## FACTS

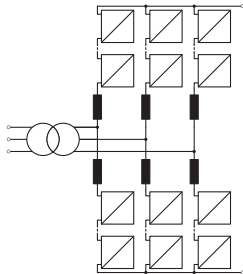
- ▶ SFC for railway interties (direct catenary connection)
- ▶ STATCOM
- ▶ BESS (split batteries)



# TREND TOWARDS HIGHLY MODULAR CONVERTER TOPOLOGIES

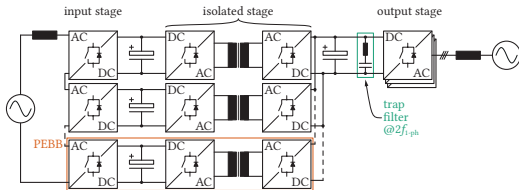
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## Solid State Transformers (SSTs)

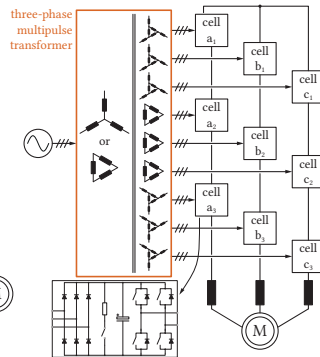
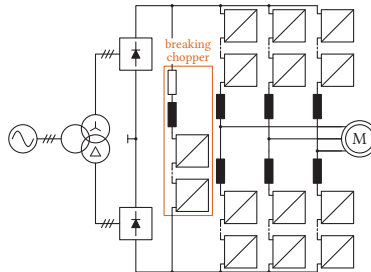
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- ▶ MFT design / isolation are the bottlenecks



$\Rightarrow$  Modularity provides obvious benefits in high power applications!

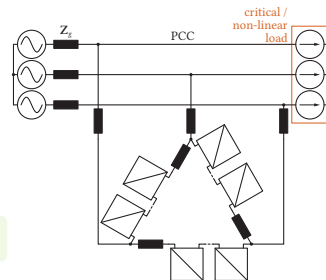
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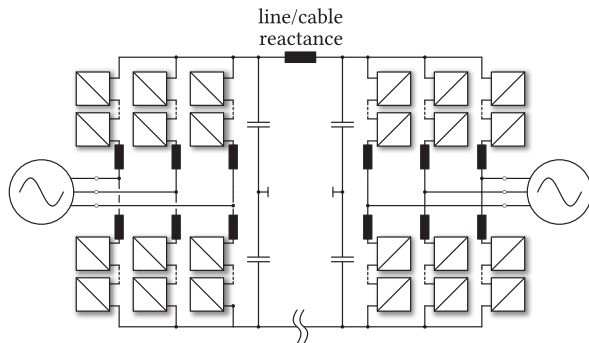
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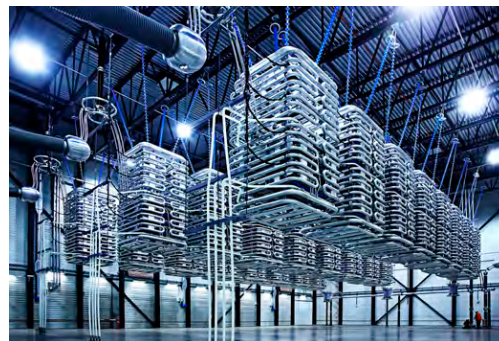




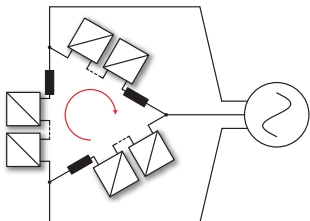
- ▲ MMC in HVDC (two substations at different locations)
- ▶ Modular design using basic sub-module
- ▶ Voltage scalability to very high voltage levels
- ▶ Low filtering needs on AC side
- ▶ Redundancy is easily implemented
- ▶ Half-bridge sub-modules are sufficient



▲ SIEMENS MMC-based HVDC PLUS



▲ ABB MMC-based HVDC LIGHT



▲ MMC as STATCOM (Delta configuration is shown)

- ▶ Transformerless solution
- ▶ Double star MMC solution is also possible
- ▶ Modular
- ▶ Easy voltage scalability (no need for transformer)
- ▶ Redundancy is easily implemented
- ▶ Full-bridge sub-modules

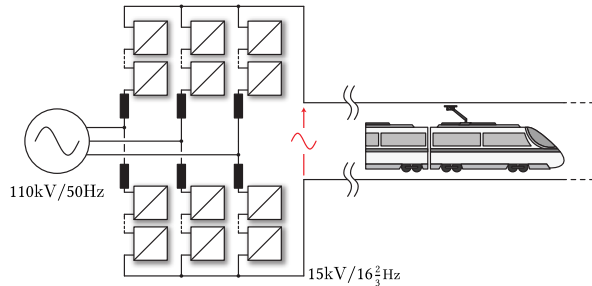


▲ ABB IGBT-based MMC STATCOM



▲ HYOSUNG (left) and LS (right) IGBT-based MMC STATCOMs

# MMC FOR RAIL INTERTIES



▲ MMC as SFC for Rail Interties (transformer not shown)

- ▶ 15kV, 16.7Hz or 25kV, 50Hz rail networks
- ▶ With or without transformer
- ▶ Fixed frequencies on both side
- ▶ Matrix alike principles of operation
- ▶ High efficiency
- ▶ Full-bridge sub-modules

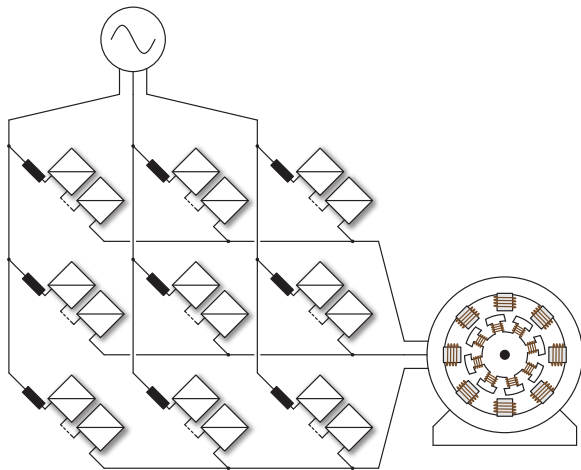


▲ SIEMENS IGBT-based MMC for railway interties (SITRAS PLUS)



▲ ABB IGCT-based MMC for railway interties [1]

# MMC FOR VARIABLE SPEED DRIVES



- ▲ Direct MMC for VSDs (e.g. hydro applications)
  - ▶ Indirect-MMC: DC-fed MMC inverter (HB SM)
  - ▶ Direct-MMC: AC-AC Matrix-like converter (FB SM)
  - ▶ Low-frequency operation was troublesome
  - ▶ Power density is an issue
  - ▶ Hydro applications based on DMMC



Figures: Courtesy of Siemens

▲ SIEMENS MMC VSD GH150

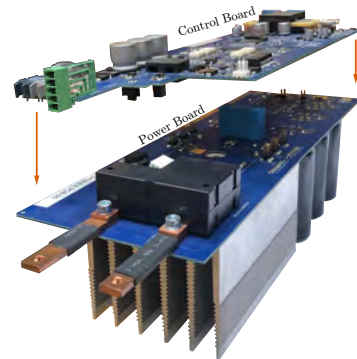
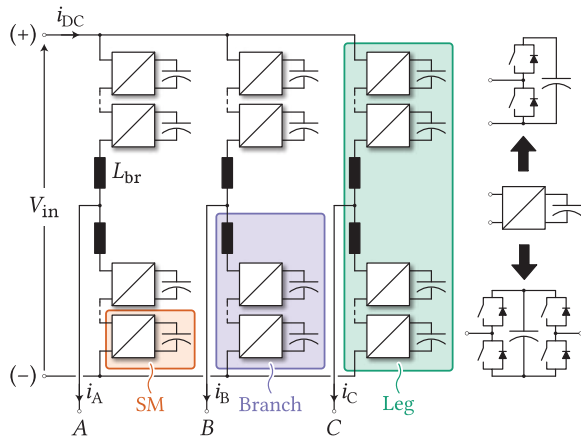


▲ ABB IGCT-based MMC for hydropower applications (one branch only) [2]

# MODULAR MULTILEVEL CONVERTER

*Modeling and basic operating principles...*

# MODULAR MULTILEVEL CONVERTER



▲ SM developed in PEL

▲ Modular Multilevel Converter

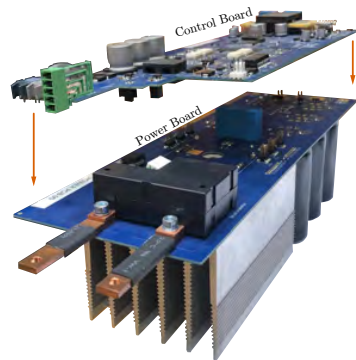
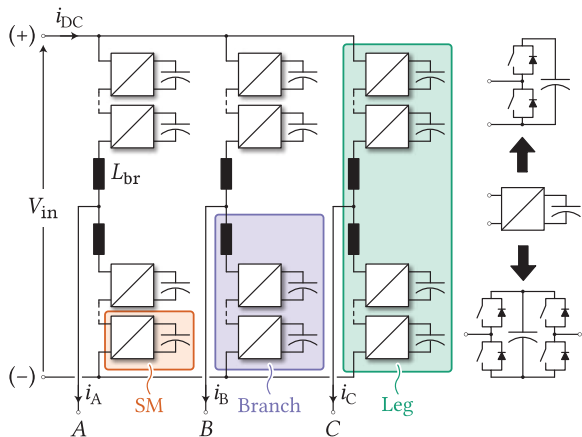
- ▶ Stacking of SMs  $\Rightarrow$  reaching high voltage easily
- ▶ Semiconductor devices of lower voltage rating
- ▶ High-quality waveforms
- ▶ Low or almost none filtering requirements
- ▶ Redundancy and effortless scalability



▲ MMC cabinet (hosting  $\pm 10kV$ , 0.5MW converter operating with 96 SMs)



# MODULAR MULTILEVEL CONVERTER



▲ SM developed in PEL

▲ Modular Multilevel Converter

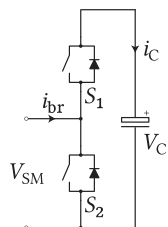
- ▶ Stacking of SMs ⇒ reaching high voltage easily
- ▶ Semiconductor devices of lower voltage rating
- ▶ High-quality waveforms
- ▶ Low or almost none filtering requirements
- ▶ Redundancy and effortless scalability

⇒ Benefits at the expense of a high number of switching devices and complex control structure



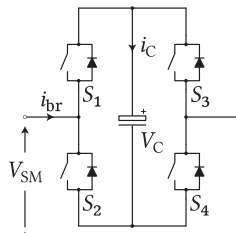
▲ MMC cabinet (hosting ±10kV, 0.5MW converter operating with 96 SMs)

# BASIC SM STRUCTURES



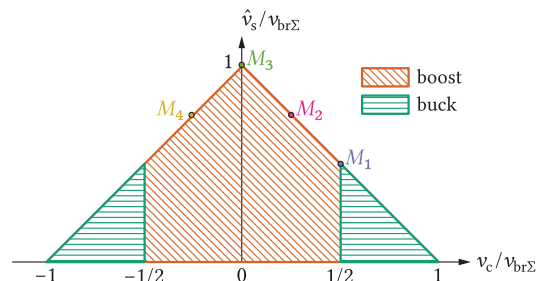
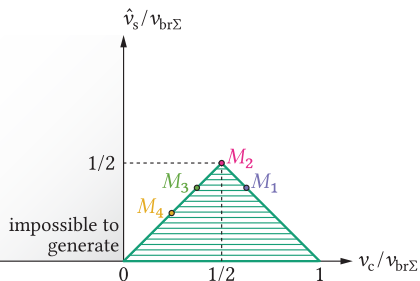
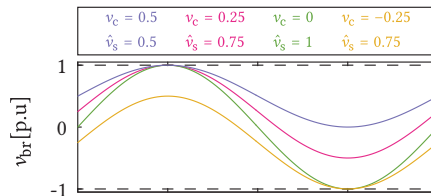
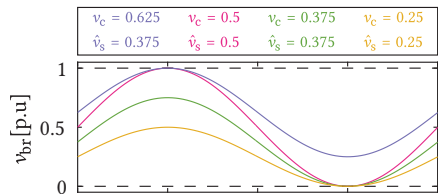
$S_1$	$S_2$	$V_{SM}$
1	0	$V_C$
0	1	0
0	0	$[1 + \text{sgn}(i_{br})]V_C/2$
1	1	forbidden

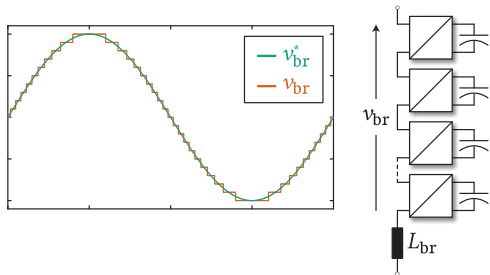
▲ HB SM



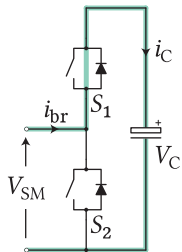
$S_1$	$S_2$	$S_3$	$S_4$	$V_{SM}$
0	0	0	0	$\text{sgn}(i_{br})V_C$
1	0	0	1	$V_C$
0	1	1	0	$-V_C$
1	0	1	0	0
0	1	0	1	0
1	1	0	0	
0	0	1	1	forbidden
1	1	1	1	

▲ FB SM

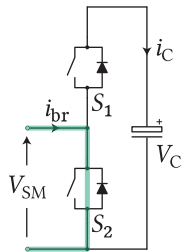




▲ MMC branch voltage example



▲ Inserted HB SM ( $n_{SM} = 1$ )



▲ Bypassed HB SM ( $n_{SM} = 0$ )

SM terminal voltages can be summed, leading to

$$v_{SM,i} = n_{SM} v_{C,i} \quad \left/ \quad \sum_{i=1}^N \right.$$

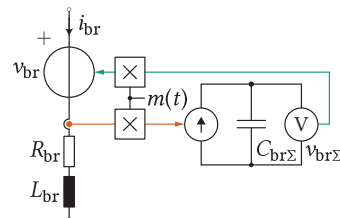
Assuming that  $v_{C,i} = v_{br\Sigma}/N$  yields

$$v_{br} = \sum_{i=1}^N n_{SM} \frac{v_{br\Sigma}}{N} = \underbrace{\frac{\sum_{i=1}^N n_{SM}}{N}}_{\text{insertion index } m(t)} v_{br\Sigma}$$

Summing the equations set for every individual SM capacitor results in

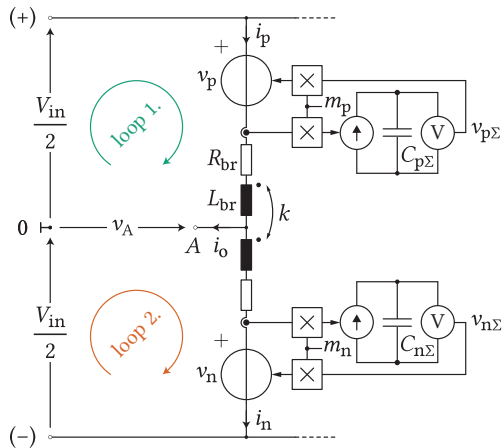
$$C_{SM} \frac{dv_{C,i}}{dt} = n_{SM} i_{br} \quad \left/ \quad \sum_{i=1}^N \right.$$

$$\underbrace{\frac{C_{SM}}{N}}_{C_{br\Sigma}} \frac{dv_{br\Sigma}}{dt} = \underbrace{\frac{\sum_{i=1}^N n_{SM}}{N}}_{m(t)} i_{br}$$



▲ Averaged model of an MMC branch

# DERIVATION OF EQUIVALENT CIRCUITS



▲ The MMC leg sufficient for basic modeling

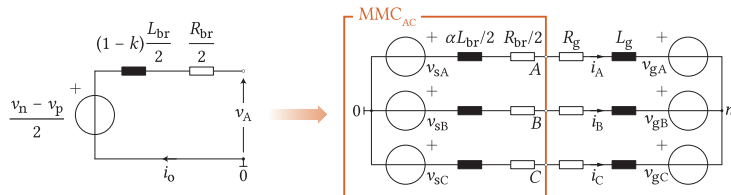
Two KVLs can be formed, yielding

$$KVL_1: \frac{V_{in}}{2} = v_p + L_{br} \frac{di_p}{dt} + R_{br} i_p + k L_{br} \frac{di_n}{dt} + v_A$$

$$KVL_2: \frac{V_{in}}{2} = v_n + L_{br} \frac{di_n}{dt} + R_{br} i_n + k L_{br} \frac{di_p}{dt} - v_A$$

$KVL_1 - KVL_2$ :

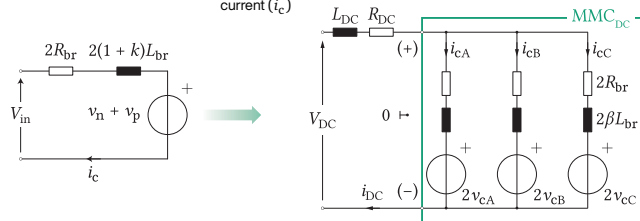
$$(1-k) \frac{L_{br}}{2} \frac{d}{dt} \underbrace{(i_p - i_n)}_{i_o} + \frac{R_{br}}{2} (i_p - i_n) = \underbrace{\frac{v_n - v_p}{2}}_{v_s} - v_A$$



▲ AC equivalent circuit of the observed leg (left); Model of an MMC seen from its AC terminals (right);

$KVL_1 + KVL_2$ :

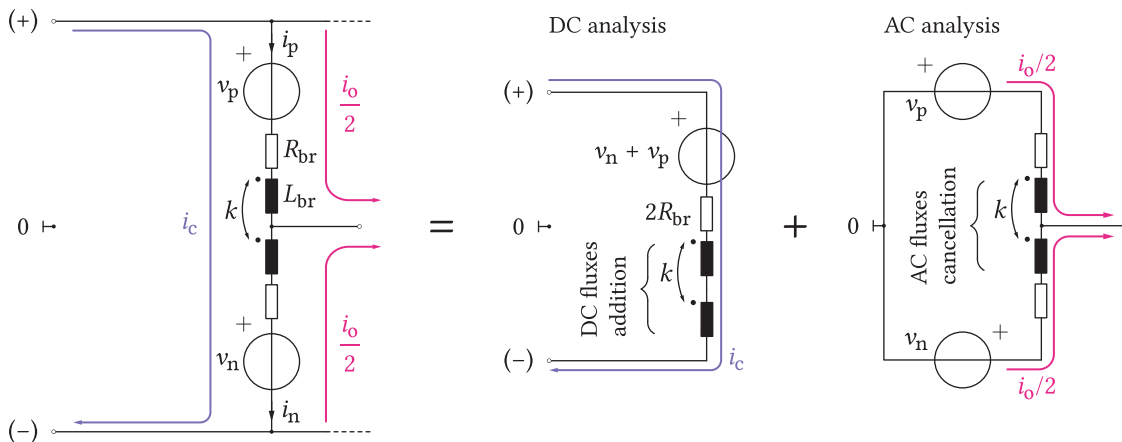
$$2(1+k)L_{br} \frac{d}{dt} \underbrace{\left(\frac{i_p + i_n}{2}\right)}_{\text{common-mode current } (i_c)} + 2R_{br} \frac{i_p + i_n}{2} = V_{in} - \underbrace{(v_p + v_n)}_{2v_c}$$



▲ DC equivalent circuit of the observed leg (left); Model of an MMC seen from its DC terminals (right);

# NATURE OF THE LEG CURRENT COMPONENTS

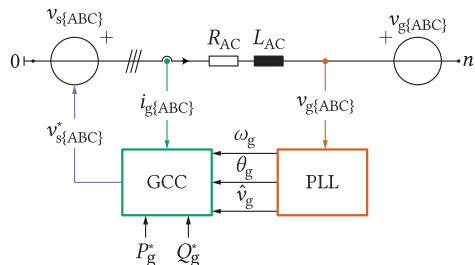
$$\left. \begin{aligned} \text{Leg AC current} \Rightarrow \quad i_o &= i_p - i_n \\ \text{Leg common-mode current} \Rightarrow \quad i_c &= (i_p + i_n)/2 \end{aligned} \right\} \begin{aligned} i_p &= i_c + i_s/2 \\ i_n &= i_c - i_s/2 \end{aligned}$$



▲ Illustration of the MMC leg current components

⇒ Seen from the DC terminal, two branches operate in series, while the two operate in parallel when observed from the AC terminal

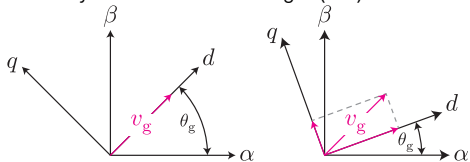
# AC TERMINAL CURRENT CONTROL (I)



▲ MMC AC side equivalent

## Requirements

- Perfect synchronization to the AC grid (PLL)



- Sufficiently high voltage reserve (total energy control)

## Power control in the dq frame

$$P_g = \frac{3}{2} \left( v_{gd} i_{gd} + \underbrace{v_{gq} i_{gq}}_{=0} \right) = \frac{3}{2} v_{gd} i_{gd}$$

$$Q_g = \frac{3}{2} \left( \underbrace{v_{gq} i_{gd}}_{=0} - v_{gd} i_{gq} \right) = -\frac{3}{2} v_{gd} i_{gq}$$

$dq$  transformation can be performed as

$$\begin{bmatrix} v_d \\ v_q \end{bmatrix} = \frac{2}{3} \underbrace{\begin{bmatrix} \cos(\theta_g) & \cos(\theta_g - 2\pi/3) & \cos(\theta_g - 4\pi/3) \\ -\sin(\theta_g) & -\sin(\theta_g - 2\pi/3) & -\sin(\theta_g - 4\pi/3) \end{bmatrix}}_K \begin{bmatrix} v_{gA} \\ v_{gB} \\ v_{gC} \end{bmatrix},$$

while the circuit from the left can be described with the following set of equations:

$$\begin{bmatrix} v_{sA} \\ v_{sB} \\ v_{sC} \end{bmatrix} = \begin{bmatrix} L_{AC} & 0 & 0 \\ 0 & L_{AC} & 0 \\ 0 & 0 & L_{AC} \end{bmatrix} \frac{d}{dt} \begin{bmatrix} i_{gA} \\ i_{gB} \\ i_{gC} \end{bmatrix} + \begin{bmatrix} R_{AC} & 0 & 0 \\ 0 & R_{AC} & 0 \\ 0 & 0 & R_{AC} \end{bmatrix} \begin{bmatrix} i_{gA} \\ i_{gB} \\ i_{gC} \end{bmatrix} + \begin{bmatrix} v_{gA} \\ v_{gB} \\ v_{gC} \end{bmatrix} + v_{n0} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix},$$

where  $L_{AC} = L_g + \alpha L_{br}/2$  and  $R_{AC} = R_g + R_{br}/2$ .

Multiplying both sides of the above expression with  $K$ , leads to

$$v_{sd} = L_{AC} \frac{di_{gd}}{dt} + R_{AC} i_{gd} - \underbrace{\omega_g L_{AC} i_{gq}}_{\text{cross-coupling}} + \underbrace{v_{gd}}_{=v_g}$$

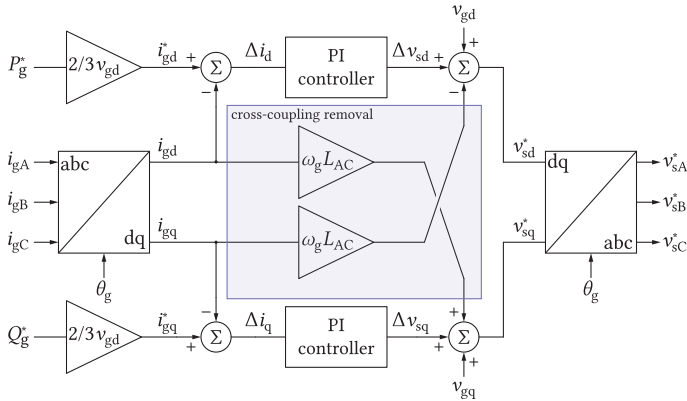
$$v_{sq} = L_{AC} \frac{di_{gq}}{dt} + R_{AC} i_{gq} + \underbrace{\omega_g L_{AC} i_{gd}}_{\text{cross-coupling}} + \underbrace{v_{gq}}_{=0}$$



To achieve decoupled control, cross-coupling terms should be removed

# AC TERMINAL CURRENT CONTROL (II)

- ▶  $dq$  quantities are essentially DC  $\Rightarrow$  PI controllers can be used
- ▶ The use feed-forward terms to avoid cross-coupling of the axes



▲ MMC AC current control block diagram

From the control diagram on the left, one can conclude that

$$v_{sd}^* = \Delta v_{sd} + \underbrace{v_{gd} - \omega_g L_{AC} i_{gq}}_{\text{feed-forward}}$$

$$v_{sq}^* = \Delta v_{sq} + \underbrace{v_{gq} + \omega_g L_{AC} i_{gd}}_{\text{feed-forward}}$$

$$\Delta v_{sd} = H_{PI}(i_{gd}^* - i_{gd}) = L_{AC} \frac{di_{gd}}{dt} + R_{AC} i_{gd}$$

$$\Delta v_{sq} = H_{PI}(i_{gq}^* - i_{gq}) = L_{AC} \frac{di_{gq}}{dt} + R_{AC} i_{gq},$$

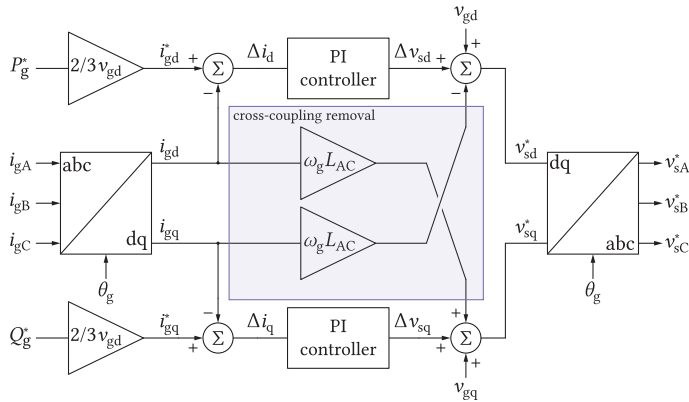
meaning that **decoupled control** of  $d$  and  $q$  currents is indeed obtained.

Obtaining the references in the  $ABC$  frame can be performed as

$$\begin{bmatrix} v_{sA}^* \\ v_{sB}^* \\ v_{sC}^* \end{bmatrix} = \begin{bmatrix} \cos(\theta_g) & \sin(\theta_g) \\ \cos(\theta_g - 2\pi/3) & \sin(\theta_g - 2\pi/3) \\ \cos(\theta_g + 2\pi/3) & \sin(\theta_g + 2\pi/3) \end{bmatrix} \begin{bmatrix} v_{sd}^* \\ v_{sq}^* \end{bmatrix}$$

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▲ MMC AC current control block diagram

From the control diagram on the left, one can conclude that

$$v_{sd}^* = \Delta v_{sd} + \underbrace{v_{gd} - \omega_g L_{AC} i_{gq}}_{\text{feed-forward}}$$

$$v_{sq}^* = \Delta v_{sq} + \underbrace{v_{gq} + \omega_g L_{AC} i_{gd}}_{\text{feed-forward}}$$

$$\Delta v_{sd} = H_{PI}(i_{gd}^* - i_{gd}) = L_{AC} \frac{di_{gd}}{dt} + R_{AC} i_{gd}$$

$$\Delta v_{sq} = H_{PI}(i_{gq}^* - i_{gq}) = L_{AC} \frac{di_{gq}}{dt} + R_{AC} i_{gq},$$

meaning that **decoupled control** of  $d$  and  $q$  currents is indeed obtained.

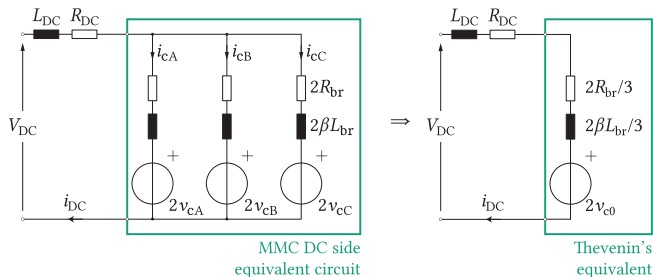
Obtaining the references in the  $ABC$  frame can be performed as

$$\begin{bmatrix} v_{sA}^* \\ v_{sB}^* \\ v_{sC}^* \end{bmatrix} = \begin{bmatrix} \cos(\theta_g) & \sin(\theta_g) \\ \cos(\theta_g - 2\pi/3) & \sin(\theta_g - 2\pi/3) \\ \cos(\theta_g + 2\pi/3) & \sin(\theta_g + 2\pi/3) \end{bmatrix} \begin{bmatrix} v_{sd}^* \\ v_{sq}^* \end{bmatrix}$$

$\Rightarrow$  From the AC current control standpoint, the MMC is not different to conventional 2LVL or other multilevel converters



# DC TERMINAL CURRENT CONTROL

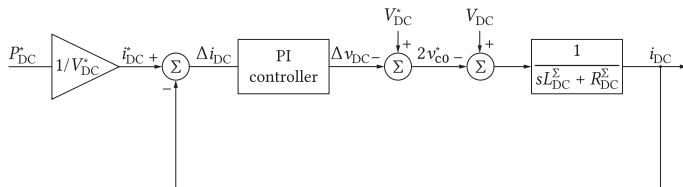


▲ MMC DC side equivalent

If the inverter operation is considered, then

$$L_{DC}^{\Sigma} \frac{di_{DC}}{dt} + R_{DC}^{\Sigma} i_{DC} = V_{DC} - 2 \underbrace{\frac{v_{cA} + v_{cB} + v_{cC}}{3}}_{v_{c0}}$$

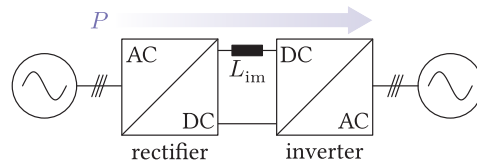
where  $L_{DC}^{\Sigma} = L_{DC} + 2\beta L_{br}/3$  and  $R_{DC}^{\Sigma} = R_{DC} + 2\beta L_{br}/3$ .



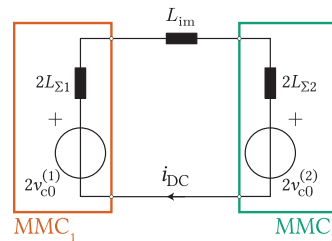
▲ MMC DC current control block diagram

## Rectifier operation

- ▶ MMC represents a current source
- ▶ Some other stage is controlling the current



▲ Back-to-Back connection power converters



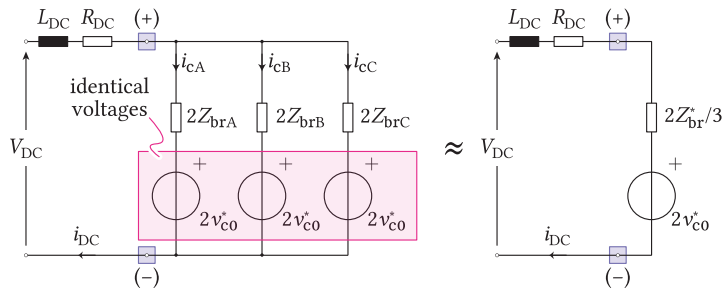
▲ Equivalent circuit describing two B2B connected converters

## Control strategy

- ▶ MMC<sub>2</sub> controls its current (inverter mode)
- ▶ MMC<sub>1</sub> ⇒  $2v_{c0}^{(1)} = V_{DC}^*$  followed the **energy control**

# THE CONCEPT OF CIRCULATING CURRENTS

Observe the MMC DC equivalent circuit, such that  $v_{c,i} = v_{c0}^*$



▲ DC equivalent circuit of a 3PH MMC in case  $v_{c,i} = v_{c0}^*$

## DC terminal current sharing!



$$Z_{brA} \neq Z_{brB} \neq Z_{brC} \Rightarrow i_{cA} \neq i_{cB} \neq i_{cC}$$

Ideally,  $i_{c,i} = \frac{i_{DC}}{3}$ , however, a more realistic approach implies

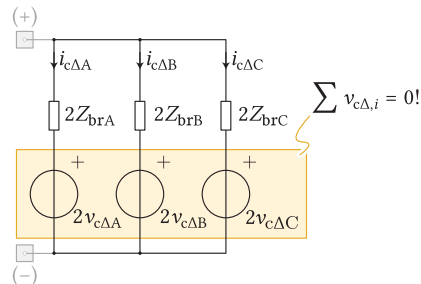
$$i_{c,i} = \frac{i_{DC}}{3} + i_{c\Delta,i}$$

where  $i_{c,i}$  is referred to as the **circulating current** since

$$\begin{aligned} i_{cA} + i_{cB} + i_{cC} &= i_{DC} \\ \Rightarrow i_{c\Delta A} + i_{c\Delta B} + i_{c\Delta C} &= 0 \end{aligned}$$

In case  $v_{c,i} = v_{c0}^* + v_{c\Delta,i}$ , the circulating currents can be controlled. Without the loss of generality, take phase A as an example:

$$\begin{aligned} L_{br} \frac{d}{dt} \left( \frac{i_{DC}}{3} \right) + v_{c0}^* &= L_{br} \frac{di_{cA}}{dt} + v_{c0}^* + v_{c\Delta A} \\ L_{br} \frac{d}{dt} \left( \underbrace{i_{c\Delta A} - \frac{i_{DC}}{3}}_{i_{c\Delta A}} \right) &= -v_{c\Delta A} \end{aligned}$$

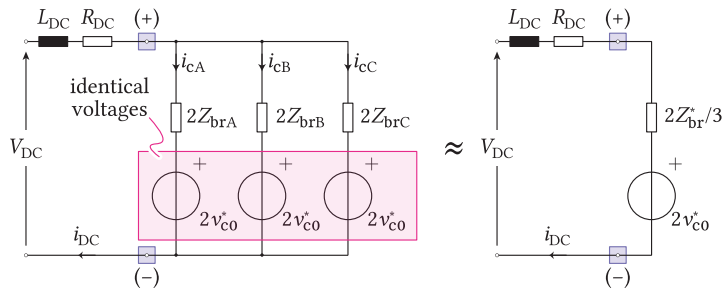


▲ The circuit relevant for circulating current control

$$v_{\pm} = 2v_{c0}^* + \frac{1}{3} \underbrace{\left\{ v_{c\Delta A} + v_{c\Delta B} + v_{c\Delta C} \right\}}_{\text{must be equal to 0}}$$

# THE CONCEPT OF CIRCULATING CURRENTS

Observe the MMC DC equivalent circuit, such that  $v_{c,i} = v_{c0}^*$



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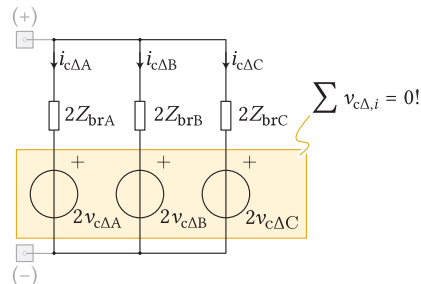
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▲ The circuit relevant for circulating current control

$$v_{\pm} = 2v_{c0}^* + \frac{1}{3} \underbrace{\left\{ v_{c\Delta A} + v_{c\Delta B} + v_{c\Delta C} \right\}}_{\text{must be equal to 0}}$$

## Decoupled control of circulating currents

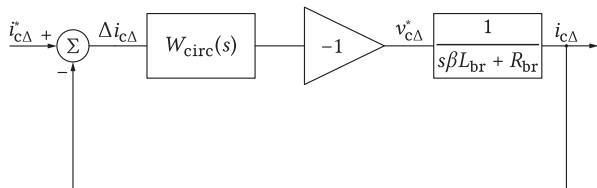
$$\Rightarrow \sum_{i=\{A,B,C\}} v_{c\Delta,i} = 0$$

# CIRCULATING CURRENTS CONTROL

According to the previous slide

$$\beta L_{br} \frac{di_{c\Delta}}{dt} = -v_{c\Delta A},$$

allowing for the derivation of control diagram from below.



▲ A leg circulating current control block diagram

$$v_{c\Delta A}^* + v_{c\Delta B}^* + v_{c\Delta C}^* = -W_{circ}(s) \left\{ (i_{c\Delta A}^* + i_{c\Delta B}^* + i_{c\Delta C}^*) - \underbrace{(i_{c\Delta A} + i_{c\Delta B} + i_{c\Delta C})}_{=0 \text{ according to the definition}} \right\}$$

## Decoupled control of circulating currents

The sum of circ. current references must be zero!

Other possible ways to control the circulating currents:

- ▶  $\alpha\beta$  domain (DC components)

$$\beta L_{br} \frac{di_{c\Delta}^{(\alpha\beta)}}{dt} = -v_{c\Delta}^{(\alpha\beta)}$$

- ▶  $dq$  frame with positive and negative sequences (as will be seen shortly)

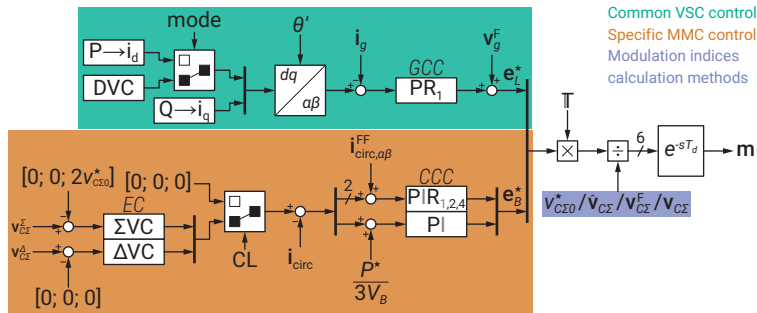
# MMC CONTROL LAYERS

## Two modes of operation:

1. Current source mode (also called inverter mode): transferring active power from the dc terminals to the ac terminals
2. Voltage source mode (also called rectifier mode): transferring active power from the ac terminals to the dc terminals

## Two sets of state variables:

1. **External** state variables (dc-link voltage, grid currents, etc.): knowledge from VSC control is reused
2. **Internal** state variables (capacitor voltages, circulating currents): specific MMC control



▲ Overall MMC control structure

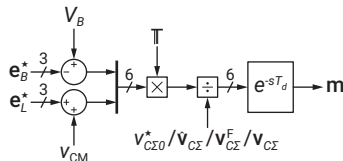
# MODULATION INDEX CALCULATION METHODS

## Direct modulation

- ▶ The modulation indices are calculated from the *desired* dc average value
- ▶ The energy controllers **are disabled**
- ▶ The odd harmonics and integrator on dc component in the CCC **are disabled**
- ▶ Rely on self balancing of the branch energies [3]

$$m_p = \frac{V_B/2 - e_B^*/2 - e_L^*}{v_{C\Sigma 0}^*}$$

$$m_n = \frac{V_B/2 - e_B^*/2 + e_L^*}{v_{C\Sigma 0}^*}$$



▲ Direct modulation principles

## Closed-loop control

- ▶ The modulation indices are calculated from the *actual measurements* of the summed branch capacitors
- ▶ The energy controllers **are enabled**
- ▶ The odd harmonics in the CCC **are enabled**

## Open-loop control

- ▶ The modulation indices are calculated from *estimates* of the summed branch capacitors in steady-state [4]
- ▶ The energy controllers **are disabled**
- ▶ The odd harmonics and integrator on dc component in the CCC **are disabled**
- ▶ Self energy balance achieved [5]

$$m_p = \frac{V_B/2 - e_B^*/2 - e_L^*}{\hat{v}_{C\Sigma p}}$$

$$m_n = \frac{V_B/2 - e_B^*/2 + e_L^*}{\hat{v}_{C\Sigma n}}$$

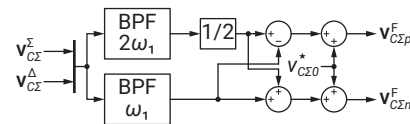
▲ Open-loop control

## Hybrid voltage control

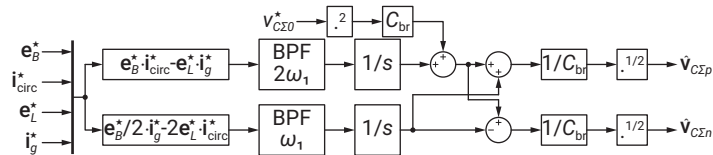
- ▶ The modulation indices are calculated from *filtered values* of the summed branch capacitors measurements
- ▶ The energy controllers **are disabled**
- ▶ The odd harmonics and integrator on dc component in the CCC **are disabled**
- ▶ Self energy balance achieved [6]

$$m_p = \frac{V_B/2 - e_B^*/2 - e_L^*}{v_{C\Sigma p}^F}$$

$$m_n = \frac{V_B/2 - e_B^*/2 + e_L^*}{v_{C\Sigma n}^F}$$

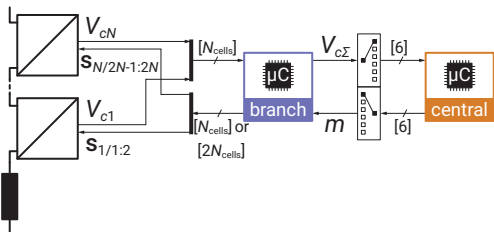


▲ Hybrid voltage control



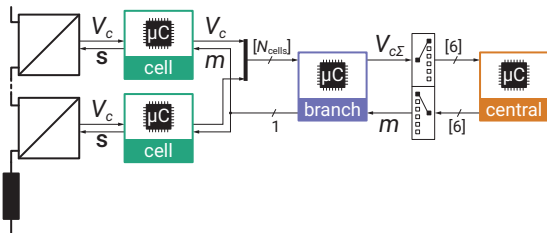
## Branch level modulation

- Each branch handled separately



## Cell level modulation

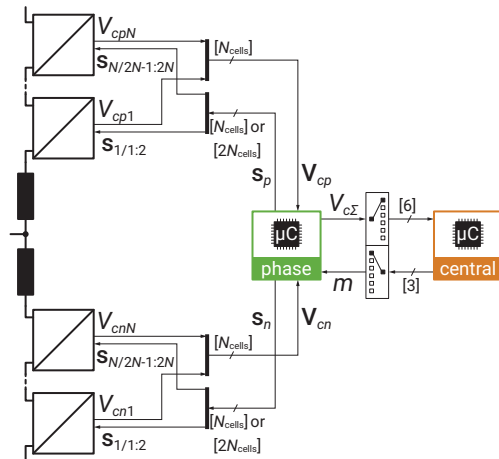
- Each cell has its own modulator



**Remark**  $\mu\text{C}$  denotes either a microcontroller, an FPGA, or a combination of both.

## Phase-leg level modulation

- Aim at improving ac-side spectrum and unlocking full modulation method harmonic performance
- Compromises in the circulating current control
- SHE / OPP / SVM with  $2N_{\text{cells}} + 1$  modulation

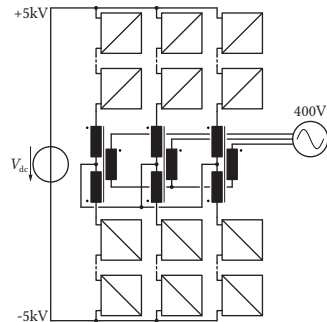


## Modular Multilevel Converter

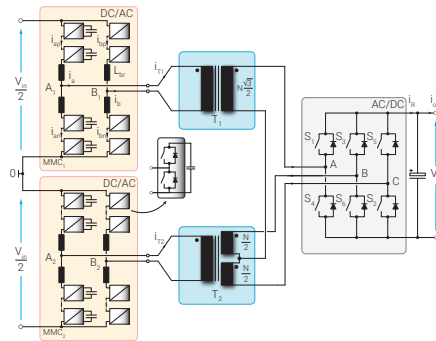
- ▶ Modular design easily scalable for higher voltages
- ▶ Flexible and adaptable for different conversion needs
- ▶ Efficient
- ▶ HVDC (early adopters)
- ▶ STATCOM, FACTS, RAIL INERTIES, MV DRIVES
- ▶ Can serve MV and HV applications!
- ▶ Unlimited research opportunities...[7], [8]



▲ HVDC Light valve hall from ABB.



▲ Galvanically Isolated Modular Converter [7]



▲ High Power DC-DC Converter Employing Scott Transformer Connection [8]



- [1] D. Weiss et al. "IGCT based Modular Multilevel Converter for an AC-AC Rail Power Supply." *PCIM Europe 2017; International Exhibition and Conference for Power Electronics, Intelligent Motion, Renewable Energy and Energy Management*. 2017, pp. 1–8.
- [2] M. Vasiladiotis et al. "IGCT-Based Direct AC/AC Modular Multilevel Converters for Pumped Hydro Storage Plants." *2018 IEEE Energy Conversion Congress and Exposition (ECCE)*. 2018, pp. 4837–4844.
- [3] S. Cui et al. "Principles and dynamics of natural arm capacitor voltage balancing of a direct modulated modular multilevel converter." *2015 9th International Conference on Power Electronics and ECCE Asia (ICPE-ECCE Asia)*. June 2015, pp. 259–267.
- [4] L. Angquist et al. "Open-Loop Control of Modular Multilevel Converters Using Estimation of Stored Energy." *IEEE Transactions on Industry Applications* 47:6 (Nov. 2011), pp. 2516–2524.
- [5] A. Antonopoulos et al. "Global Asymptotic Stability of Modular Multilevel Converters." *IEEE Transactions on Industrial Electronics* 61:2 (Feb. 2014), pp. 603–612.
- [6] L. Harnefors et al. "Global Asymptotic Stability of Current-Controlled Modular Multilevel Converters." *IEEE Transactions on Power Electronics* 30:1 (Jan. 2015), pp. 249–258.
- [7] A. Christe and D. Dujic. "Galvanically isolated modular converter." *IET Power Electronics* 9:12 (2016), pp. 2318–2328.
- [8] S. Milovanovic and D. Dujic. "MMC-Based High Power DC-DC Converter Employing Scott Transformer." *PCIM Europe 2018; International Exhibition and Conference for Power Electronics, Intelligent Motion, Renewable Energy and Energy Management*. June 2018, pp. 1–7.

# Modular Multilevel Converters Operating Principles and Applications

Prof. Drazen Dujic, Dr. Stefan Milovanovic  
Power Electronics Laboratory  
Ecole Polytechnique Fédérale de Lausanne

# MODULAR MULTILEVEL CONVERTERS - OPERATING PRINCIPLES AND APPLICATIONS - PART 2

**Prof. Dražen Dujčić, Dr. Stefan Milovanović**

École Polytechnique Fédérale de Lausanne (EPFL)  
Power Electronics Laboratory (PEL)  
Switzerland



## Before the virtual coffee break

### Part 1) Introduction and motivation

- ▶ MMC Applications
- ▶ MMC operating principles
- ▶ Modeling and control

### Part 2) MMC energy control

- ▶ Role of circulating currents
- ▶ Branch energy control methods
- ▶ Performance benchmark



## After the virtual coffee break

### Part 3) MMC power extension

- ▶ MMC scalability
- ▶ Branch paralleling
- ▶ Energy control

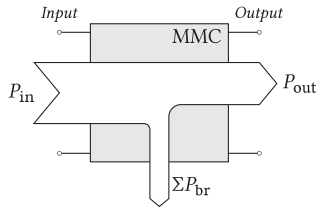
### Part 4) MMC research platform

- ▶ MMC system level design
- ▶ MMC Sub-module development
- ▶ MMC RT-HIL development

# CONTROL OF THE MMC INTERNAL ENERGY

*Different methods, properties, comparison...*

# THE BRANCH ENERGY CONTROL (I)

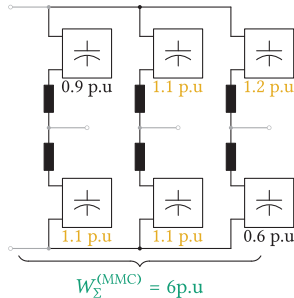


▲ MMC energy flow

**Total energy control:**

- ▶ Inverter ⇒ DC side
- ▶ Rectifier ⇒ AC side

? Is total energy control sufficient?



▲ Illustration of the need for additional energy ctrl.

Branch power analysis is conducted on the leg level [1], [2], [3], [4].

$$P_p = \frac{dW_p}{dt} = v_p i_p = (v_c - v_s) \left( i_c + \frac{i_o}{2} \right)$$

$$P_n = \frac{dW_n}{dt} = v_n i_n = (v_c + v_s) \left( i_c - \frac{i_o}{2} \right)$$

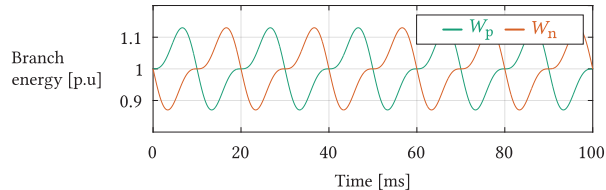
Coordinate transformation is performed as

$$\left. \begin{aligned} W_\Sigma &= W_p + W_n \\ W_\Delta &= W_p - W_n \end{aligned} \right\} \begin{aligned} \frac{dW_\Sigma}{dt} &= 2v_c i_c - v_o i_o = (v_{c0} + v_{c\Delta} - v_s) \left( \frac{i_{DC}}{3} + i_{c\Delta} + \frac{i_o}{2} \right) \\ \frac{dW_\Delta}{dt} &= v_c i_o - 2v_s i_c = (v_{c0} + v_{c\Delta} + v_s) \left( \frac{i_{DC}}{3} + i_{c\Delta} - \frac{i_o}{2} \right) \end{aligned}$$

Assuming that no circulating currents are generated, while  $v_s = \hat{v}_s \cos(\omega_g t - \gamma)$  and  $i_o = \hat{i}_o \cos(\omega_g t - \delta)$  yields

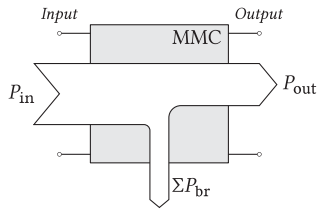
$$\left. \frac{dW_\Sigma}{dt} \right|_{\text{no circ.}} = 2v_{c0} \frac{i_{DC}}{3} - v_s i_o \approx \underbrace{V_{DC} \frac{i_{DC}}{3} - \frac{\hat{v}_s \hat{i}_o}{2} \cos(\gamma - \delta)}_{=0} - \underbrace{\frac{\hat{v}_s \hat{i}_o}{2} \cos(2\omega_g t - \gamma - \delta)}_{\text{oscillating @ } 2\omega_g}$$

$$\left. \frac{dW_\Delta}{dt} \right|_{\text{no circ.}} = \underbrace{-2\hat{v}_s \frac{i_{DC}}{3} \cos(\omega_g t - \gamma) + \hat{i}_o v_{c0} \cos(\omega_g t - \delta)}_{\text{oscillating @ } 1\omega_g}$$



▲ Steady state appearance of the upper and lower branch energies normalized with respect to the branch mean energy.

# THE BRANCH ENERGY CONTROL (I)

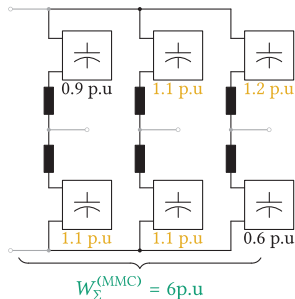


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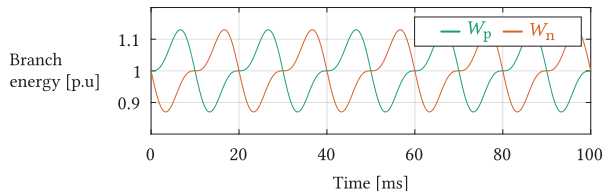
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Assuming that no circulating currents are needed,  $v_s = \hat{v}_s \cos(\omega_g t - \gamma)$  and  $i_o = \hat{i}_o \cos(\omega_g t - \delta)$  yields

In reality, additional energy controllers are needed

$$\frac{dW_\Sigma}{dt} \Big|_{\text{no circ.}} = \underbrace{\frac{v_{c0} i_{DC}}{3} - \frac{\hat{v}_s \hat{i}_o}{2} \cos(\gamma - \delta)}_{=0} - \underbrace{\frac{\hat{v}_s \hat{i}_o}{2} \cos(2\omega_g t - \gamma - \delta)}_{\text{oscillating @ } 2\omega_g}$$

$$\frac{dW_\Delta}{dt} \Big|_{\text{no circ.}} = \underbrace{-2\hat{v}_s \frac{i_{DC}}{3} \cos(\omega_g t - \gamma) + \hat{i}_o v_{c0} \cos(\omega_g t - \delta)}_{\text{oscillating @ } 1\omega_g}$$



▲ Steady state appearance of the upper and lower branch energies normalized with respect to the branch mean energy.

# THE BRANCH ENERGY CONTROL (II)

- ▶ Circulating currents can be used to maintain the internal energy balance
- ▶ Average values of energies are the only ones of interest

The leg common-mode current can be expressed as

$$i_c = \frac{i_{DC}}{3} + \underbrace{I_{c\Delta}}_{\text{circ. DC}} + \underbrace{\hat{i}_{c\Delta} \cos(\omega_g t - \zeta)}_{\text{circ. AC}}$$

which further leads to

$$\frac{d\overline{W}_\Sigma}{dt} \approx V_{DC} I_{c\Delta} + V_{DC} \underbrace{\frac{i_{DC}}{3} - \frac{\hat{v}_s \hat{i}_o}{2} \cos(\gamma - \delta)}_{=0} + \overbrace{2v_{c\Delta} \frac{i_{DC}}{3} + 2v_{c\Delta} i_{c\Delta}}^{\text{negligible}}$$

$$\frac{d\overline{W}_\Delta}{dt} \approx -\hat{v}_s \hat{i}_{c\Delta} \cos(\gamma - \zeta) + \underbrace{v_{c\Delta} \hat{i}_o}_{\text{negligible}}$$

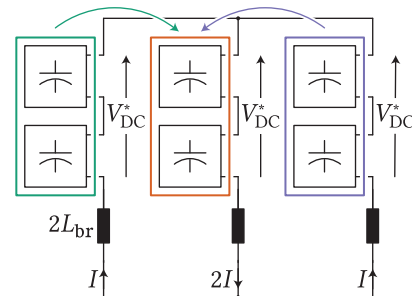
If  $\gamma = \zeta$  meaning that circ. current AC component is in phase with the leg AC voltage, then

$$\frac{d\overline{W}_\Sigma}{dt} \approx V_{DC} I_{c\Delta}$$

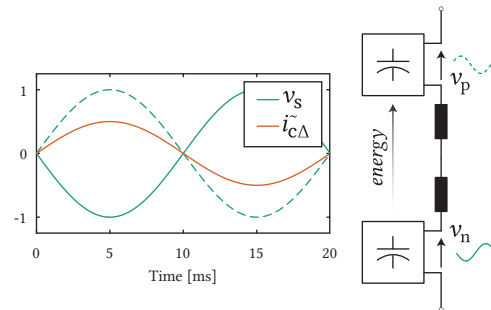
$$\frac{d\overline{W}_\Delta}{dt} \approx -\hat{v}_s \hat{i}_{c\Delta}$$

Two balancing directions can be identified

- ▶ **Horizontal direction** (total energy stored in the leg)
- ▶ **Vertical direction** (difference of branch energies)



▶ Illustration of the horiz. balancing principle



▶ Illustration of the vert. balancing principle



# THE BRANCH ENERGY CONTROL (II)

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which further leads to

$$\frac{d\overline{W}_{\Sigma}}{dt} \approx V_{DC} I_{c\Delta} + V_{DC} \underbrace{\frac{i_{DC}}{3} - \frac{\hat{v}_s \hat{i}_o}{2} \cos(\gamma - \delta)}_{=0} + \overbrace{2v_{c\Delta} \frac{i_{DC}}{3} + 2v_{c\Delta} i_{c\Delta}}^{\text{negligible}}$$

$$\frac{d\overline{W}_{\Delta}}{dt} \approx -\hat{v}_s \hat{i}_{c\Delta} \cos(\gamma - \zeta) + \underbrace{v_{c\Delta} \hat{i}_o}_{\text{negligible}}$$

If  $\gamma = \zeta$  meaning that circ. current AC component is in phase with the leg AC voltage, then

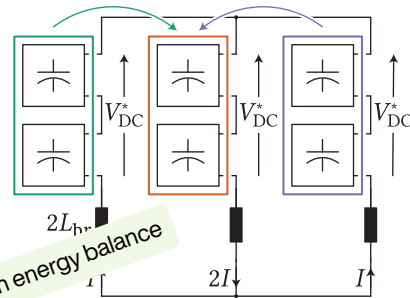
⇒ Circulating currents can be effectively used to obtain the branch energy balance

$$\frac{d\overline{W}_{\Sigma}}{dt} \approx V_{DC} I_{c\Delta}$$

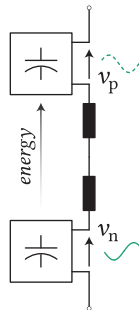
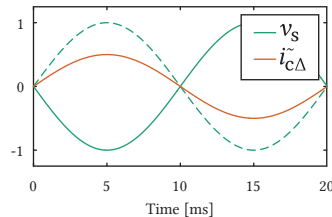
$$\frac{d\overline{W}_{\Delta}}{dt} \approx -\hat{v}_s \hat{i}_{c\Delta}$$

Two balancing directions can be identified

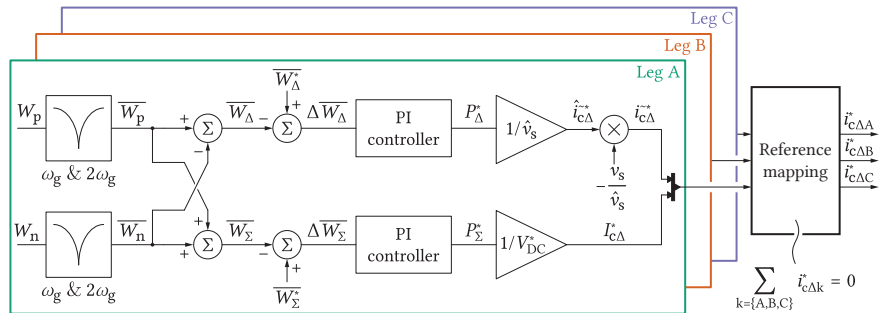
- ▶ **Horizontal direction** (total energy stored in the leg)
- ▶ **Vertical direction** (difference of branch energies)



▲ Illustration of the horiz. balancing principle



▲ Illustration of the vert. balancing principle



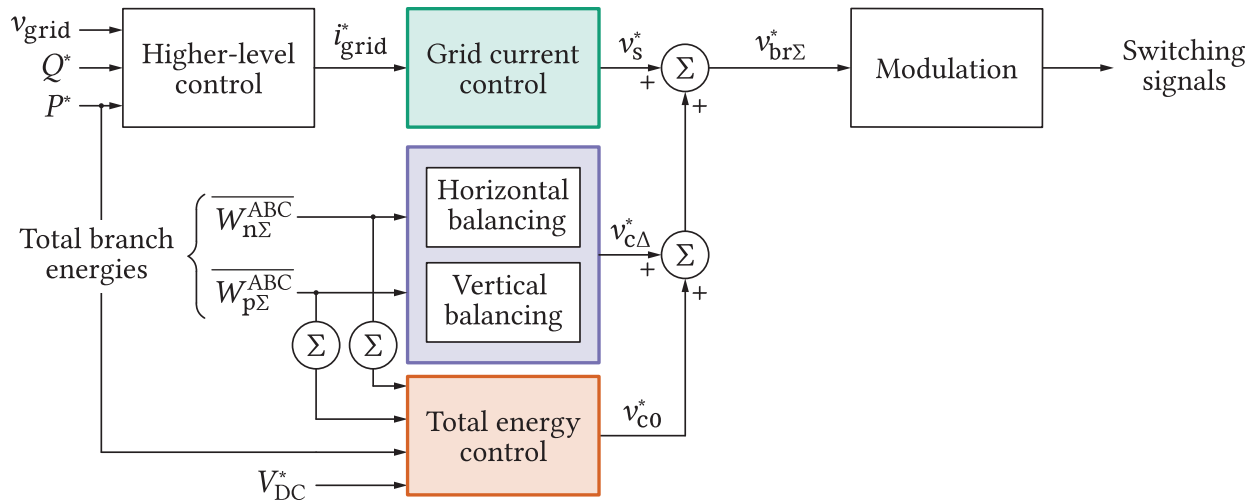
▲ Control block diagram of the MMC energy balancing [4]

## An important detail

$$\sum \Delta v_{c,i}^* = 0 \text{ must hold at all times!}$$

In other words, an appropriate circulating current reference mapping must be performed, otherwise, the DC link current control becomes influenced by the branch energy balancing.

# MMC CONTROL SCHEME SUMMARY



⇒ Suitable choice of variables leads to a complete decoupling among the control layers

# COMPARISON OF DIFFERENT ENERGY BALANCING METHODS

*What are the approaches reported so far and what do they have in common?*

## SUMMARY OF THE METHODS ANALYZED HEREWITH

	Method 1 <sup>[2]</sup>	Method 2 <sup>[5]</sup>	Method 3 <sup>[6]</sup>
Horizontal balancing	SVD-based approach	Circ. currents ctrl. in the $\alpha\beta$ - domain	Circ. currents ctrl. in the $\alpha\beta$ - domain
Vertical balancing	SVD-based approach	Injection of reactive components into circ. currents	Circ. currents +/— sequence control

# REFERENCE MAPPING AND THE NULL-SPACE CONCEPT (I)

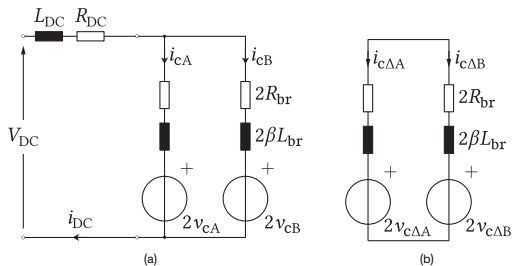
## Important considerations:

- ▶ Leg energy balancing is initially done in "per leg" fashion
- ▶ Energy unbalances can take any arbitrary values

⇒ The expression  $\sum_{i=\{A,B,C\}} i_{c\Delta,i}^* = 0$  is **not necessarily true!**

For the moment, observe an exemplary 1PH MMC, where

$$i_{c\Delta A}^* + i_{c\Delta B}^* \neq 0$$



▲ Equivalent circuit of a 1PH-MMC seen from the DC terminals

## ▶ Vector notation

$$I^* = \begin{bmatrix} i_{c\Delta A}^* \\ i_{c\Delta B}^* \end{bmatrix}$$

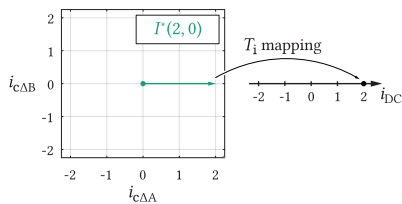
In the observed case, the mathematical formulation of the problem can be expressed as

$$\underbrace{\begin{bmatrix} 1 & 1 \end{bmatrix}}_{T_i} \underbrace{\begin{bmatrix} i_{c\Delta A}^* \\ i_{c\Delta B}^* \end{bmatrix}}_{I_M} = 0$$

All the vectors  $I_M$ , satisfying the above requirement, reside in the null-space (kernel) of matrix  $T_i$ .

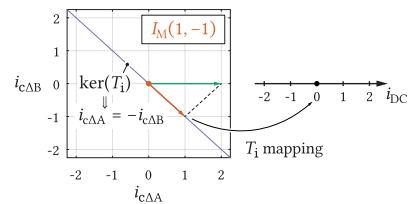
## Two core steps:

- ▶ Identify the null-space of  $T_i$
- ▶ Project the vector  $I^*$  onto the  $\ker(T_i)$  to obtain  $I_M$



(a) Inappropriately generated circulating current reference vector

## ▲ Circulating current reference mapping procedure



(b) Mapping of the vector  $I^*$  onto the null-space of  $T_i$  to obtain  $I_M$

# REFERENCE MAPPING AND THE NULL-SPACE CONCEPT (I)

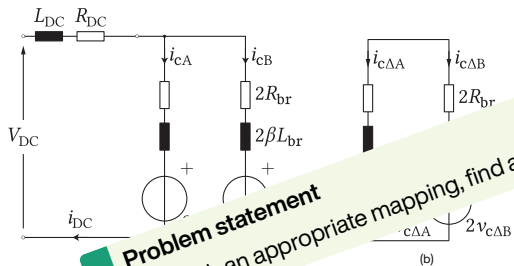
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- ▶ Equivalent circuit seen from the DC terminals
- ▶ Vector notation

$$I^* = \begin{bmatrix} i_{c\Delta A}^* \\ i_{c\Delta B}^* \end{bmatrix}$$

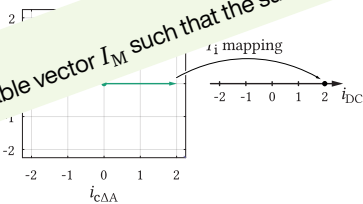
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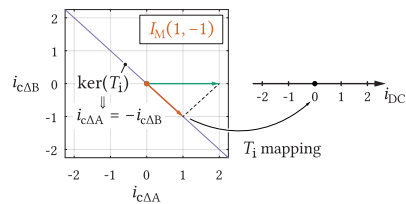
All the vectors  $I_M$ , satisfying the above requirement, reside in the null-space of matrix  $T_i$ .

Two core steps:

- ▶ Identify the null-space of  $T_i$
- ▶ Project the vector  $I^*$  onto the null-space

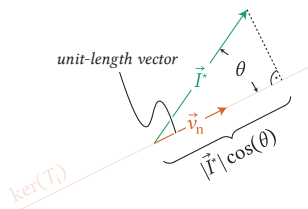


- ▶ (a) Inappropriately generated circulating current reference vector
- ▶ Circulating current reference mapping procedure



- ▶ (b) Mapping of the vector  $I^*$  onto the null-space of  $T_i$  to obtain  $I_M$

# REFERENCE MAPPING AND THE NULL-SPACE CONCEPT (II)



▲ Illustration of the reference mapping procedure (2-D problem)

- ▶ Vector  $v_N$  is referred to as the null-space basis
- ▶ Scalar product  $\Rightarrow$  projection

In the observed case, it is easy to identify the basis of  $\ker(T_i)$  as

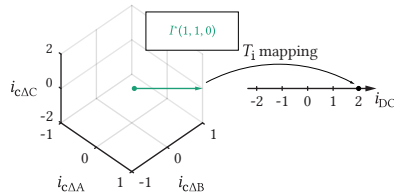
$$v_N = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$$

Subsequently, projection of  $I^*$  onto  $\ker(T_i)$  is obtained as

$$|I_M| = v_N^T I^* = \frac{1}{\sqrt{2}} [1 \quad -1] \begin{bmatrix} 2 \\ 0 \end{bmatrix} = \sqrt{2}$$

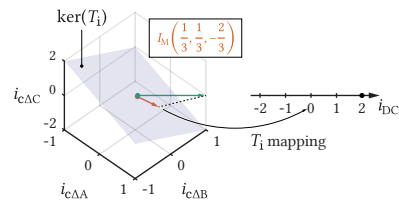
In the final step, assign the direction to the calculated projection

$$I_M = v_N \underbrace{v_N^T I^*}_{|I_M|} = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$$



(a) Inappropriately generated circulating current reference vector

▲ Illustration of the reference mapping procedure (3-D problem)

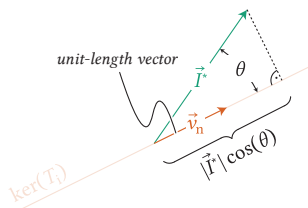


(b) Mapping of the vector  $I^*$  onto the null-space of  $T_i$  to obtain  $I_M$

For the 3PH-MMC, the mapping matrix is  $T_i = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix}$  and  $\ker(T_i)$  is a plane.



# REFERENCE MAPPING AND THE NULL-SPACE CONCEPT (II)



▲ Illustration of the reference mapping procedure (2-D problem)

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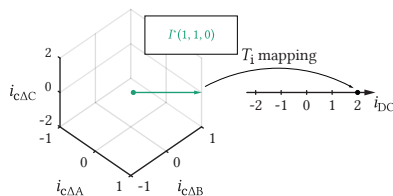
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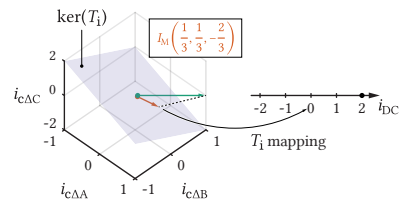
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In the final step, assign the direction to the calculated projection

$$I_M = v_N \underbrace{v_N^T I^*}_{|I_M|} = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$$



(a) Inappropriately generated circulating current reference vector



(b) Mapping of the vector  $I^*$  onto the null-space of  $T_i$  to obtain  $I_M$

▲ Illustration of the reference mapping procedure (3-D problem)

For the 3PH-MMC, the mapping matrix is  $T_i = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix}$  and  $\ker(T_i)$  is a plane.

## Observation

$\Rightarrow$  If  $T_i$  is a  $1 \times q$  matrix, where  $q$  is the number of MMC phase legs, then  $\dim(\ker(T_i)) = q - 1$ .

However, it is reasonable to wonder

? How to generalize the reference mapping procedure?

# SINGULAR VALUE DECOMPOSITION

- ▶ Descriptions in [7], [8]
- ▶ Diagonalization of a **non-square** matrix as

$$T_i = \underbrace{\begin{bmatrix} U_R & U_N \end{bmatrix}}_{(m \times m)} \begin{bmatrix} \Sigma & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} V_R^T \\ V_N^T \end{bmatrix}$$

$(r \times r)$        $(n \times n)$

A few important remarks:

- ▶ All the vectors from  $U$  are linearly independent (orthogonal)
- ▶ All the vectors from  $V$  are linearly independent (orthogonal)
- ▶ All the entries of  $\Sigma$  are real

Let one look for the product

$$T_i v_{N,i} = U_R \Sigma \underbrace{V_R^T v_{N,i}}_{\text{orthogonal vectors}} = 0$$

⇒ Matrix  $V_N$  comprises a set of orthonormal bases of  $\ker(T_i)$

Relying on the previously presented logic, the reference mapping can be obtained as

$$I_M = V_N V_N^T I^*$$

For the case of the 3PH MMC

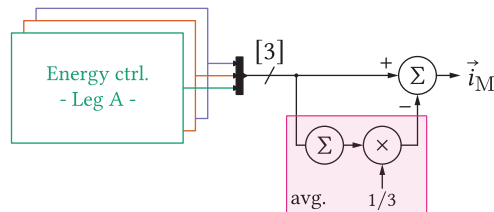
$$V_N^T = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -1/2 & -1/2 \\ 0 & \sqrt{3}/2 & -\sqrt{3}/2 \end{bmatrix}$$

Since  $T_i = \begin{bmatrix} 1 \dots 1 \end{bmatrix}_{1 \times q}$ , it can be shown (detailed description in [4]) that

$$V_N V_N^T = \underbrace{\begin{bmatrix} 1 & & & \\ & \ddots & & \\ & & & 1 \end{bmatrix}}_{\text{identity } q \times q \text{ matrix}} - \frac{1}{q} \begin{bmatrix} 1 & \dots & 1 \\ \vdots & & \vdots \\ 1 & \dots & 1 \end{bmatrix}_{q \times q},$$

no matter how  $V_N$  is chosen. Consequently:

$$I_M = I^* - \underbrace{\frac{1}{q} \sum_{i=1}^q I_{i,1}^*}_{\text{average value}}$$



▲ Reference mapping in the 3PH MMC [2], [9]

# SINGULAR VALUE DECOMPOSITION

- ▶ Descriptions in [7], [8]
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$$T_i = \underbrace{\begin{bmatrix} U_R & U_N \end{bmatrix}}_{\substack{U \\ (m \times m)}} \begin{bmatrix} \Sigma & 0 \\ \begin{matrix} (r \times r) \\ 0 \end{matrix} & 0 \end{bmatrix} \underbrace{\begin{bmatrix} V_R^T \\ V_N^T \end{bmatrix}}_{\substack{V^T \\ (n \times n)}}$$

A few important remarks:

- ▶ All the vectors from  $U$  are linearly independent (orthogonal)
- ▶ All the vectors from  $V$  are linearly independent (orthogonal)
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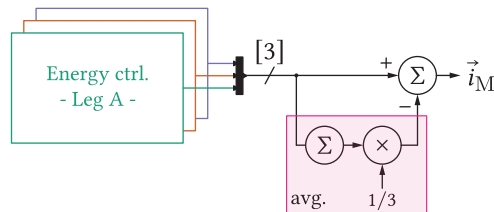
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no matter how  $V_N$  is chosen identically:

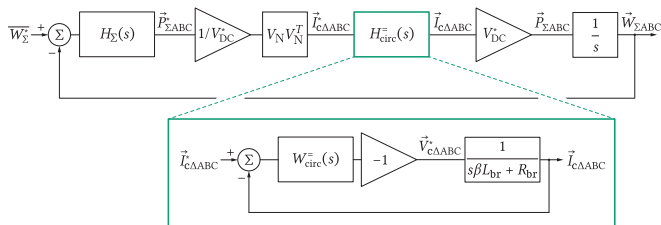
$$I_M = I^* - \underbrace{\frac{1}{q} \sum_{i=1}^q I_{i,1}^*}_{\text{average value}}$$

Irrespective of the balancing direction, identical principle can be employed!



▲ Reference mapping in the 3PH MMC [2], [9]

# APPLICATION OF SVD TO THE VERTICAL BALANCING PROBLEM - METHOD 1



▲ Horizontal balancing control block diagram (SVD method)

Interestingly,  $V_N^T$  actually performs the Clarke transformation!

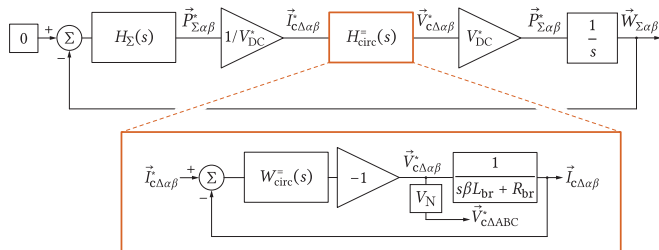
$$V_N^T = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -1/2 & -1/2 \\ 0 & \sqrt{3}/2 & -\sqrt{3}/2 \end{bmatrix}$$

From here, it is straightforward to show that

$$\vec{V}_{c\Delta}^* = W_{circ}^-(s) \left( V_N \frac{H_{\Sigma}(s)}{V_{DC}^*} (\vec{W}_{\Sigma\alpha\beta}^* - \vec{W}_{\Sigma\alpha\beta}) - \vec{I}_{c\Delta} \right)$$

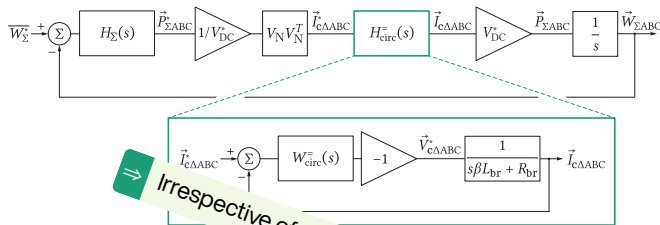
Multiplying with  $V_N^T$  from the left yields

$$\vec{V}_{c\Delta\alpha\beta}^* = W_{circ}^-(s) \left( \frac{H_{\Sigma}(s)}{V_{DC}^*} (\vec{W}_{\Sigma\alpha\beta}^* - \vec{W}_{\Sigma\alpha\beta}) - \vec{I}_{c\Delta\alpha\beta} \right).$$

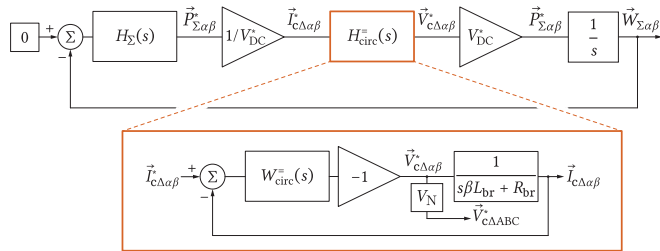


▲ Horizontal balancing control block diagram ( $\alpha\beta$  transformation based) [5], [6]

# APPLICATION OF SVD TO THE VERTICAL BALANCING PROBLEM - METHOD 1



▲ Horizontal balancing control block diagram (N transformation based)



▲ Horizontal balancing control block diagram ( $\alpha\beta$  transformation based) [5], [6]

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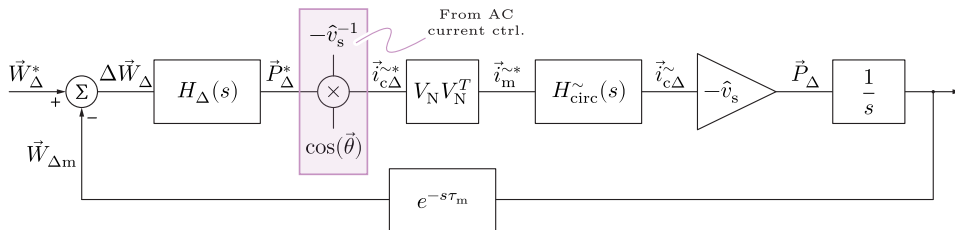
$$\vec{V}_{c\Delta}^* = W_{circ}^*(s) \left( V_N \frac{H_{\Sigma}(s)}{V_{DC}^*} (\vec{W}_{\Sigma\alpha\beta}^* - \vec{W}_{\Sigma\alpha\beta}) - \vec{I}_{c\Delta} \right)$$

Multiplying with  $V_N^T$  from the left yields

$$\vec{V}_{c\Delta\alpha\beta}^* = W_{circ}^*(s) \left( \frac{H_{\Sigma}(s)}{V_{DC}^*} (\vec{W}_{\Sigma\alpha\beta}^* - \vec{W}_{\Sigma\alpha\beta}) - \vec{I}_{c\Delta\alpha\beta} \right).$$

Irrespective of the reference frame, the same behavior is experienced in terms of horizontal balancing dynamics!

# APPLICATION OF SVD TO THE VERTICAL BALANCING PROBLEM - METHOD 1



▲ Control block diagram concerning energy balancing in vertical direction (ABC frame)

## Method properties:

- ▶ Control conducted per every leg individually
- ▶ Mapping matrix generated through the SVD utilization
- ▶  $H_{\Delta}(s)$  can be either P- or PI- controller
- ▶ Information on voltage  $v_s$  is always available in the controller

Observation in the complex domain, leads to

$$\underline{\tilde{i}}_M^* = V_N V_N^T \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \underbrace{\begin{bmatrix} 1 & 0 & 0 \\ 0 & a^2 & 0 \\ 0 & 0 & a \end{bmatrix}}_A \begin{bmatrix} W_{\Delta A} \\ W_{\Delta B} \\ W_{\Delta C} \end{bmatrix},$$

where  $a = e^{j\frac{2\pi}{3}}$ . Moreover,

$$V_N V_N^T = \frac{1}{3} \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix}.$$

Fortescue transformation of  $\tilde{i}_M^*$  should output only positive and negative sequences.

$$F_{pn0} = \frac{1}{3} \begin{bmatrix} 1 & a & a^2 \\ 1 & a^2 & a \\ 1 & 1 & 1 \end{bmatrix}$$

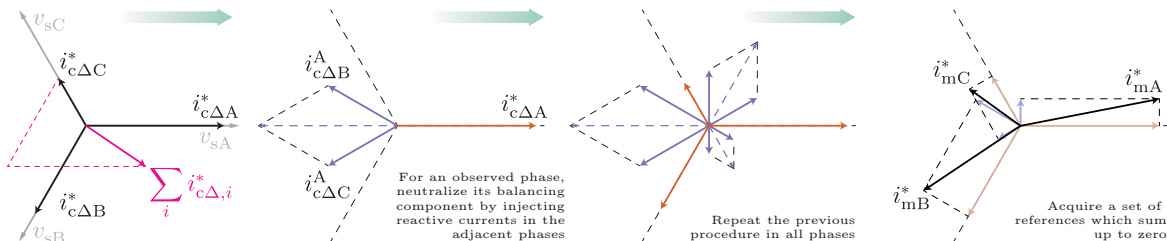
If  $W_{\Delta\{A/B/C\}}^* = 0$ , whereas  $\tau_m \approx 0$ , then

$$\begin{bmatrix} \tilde{i}_{m+} \\ \tilde{i}_{m-} \\ \tilde{i}_{m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{3}} W_{\Delta 0} \\ \frac{1}{\sqrt{6}} (W_{\Delta\alpha} + jW_{\Delta\beta}) \\ 0 \end{bmatrix},$$

while  $\alpha\beta 0$  quantities were obtained by means of the matrix from below.

$$K_{\alpha\beta 0} = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

# VERTICAL BALANCING - METHOD 2



▲ Vert. bal. procedure based on the injection of orthogonal components

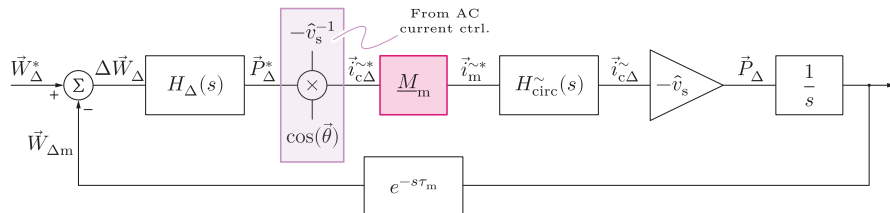
- ▶ Injection of **reactive** currents
- ▶ Sum of circ. current references equal to zero
- ▶ Control structure similar to Method 1

If  $W_{\Delta\{A/B/C\}}^* = 0$ , whereas  $\tau_m \approx 0$ , then

$$\tilde{\underline{i}}_m = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \underline{M}_m \begin{bmatrix} 1 & 0 & 0 \\ 0 & a^2 & 0 \\ 0 & 0 & a \end{bmatrix} \begin{bmatrix} W_{\Delta A} \\ W_{\Delta B} \\ W_{\Delta C} \end{bmatrix} \xrightarrow[\text{K}_{\alpha\beta 0}]{F_{pn0}} \begin{bmatrix} \tilde{i}_{-m+} \\ \tilde{i}_{-m-} \\ \tilde{i}_{-m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{3}} W_{\Delta 0} \\ \frac{2}{\sqrt{6}} (W_{\Delta\alpha} + jW_{\Delta\beta}) \\ 0 \end{bmatrix}$$

Mapping matrix is changed with respect to Method 1.

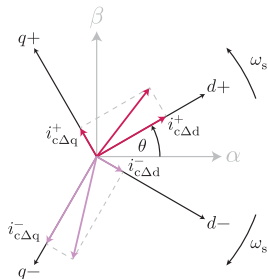
$$\underline{M}_m = \begin{bmatrix} 1 & j\frac{a}{\sqrt{3}} & -j\frac{a^2}{\sqrt{3}} \\ -j\frac{a^2}{\sqrt{3}} & 1 & j\frac{a}{\sqrt{3}} \\ j\frac{a}{\sqrt{3}} & -j\frac{a^2}{\sqrt{3}} & 1 \end{bmatrix}$$



▲ Control block associated to the balancing method described above

# VERTICAL BALANCING - METHOD 3

- ▶ Direct control of the energy unbalances in the  $\alpha\beta 0$  domain ( $V_N^T = K_{\alpha\beta}$ )
- ▶ The use of  $+/-$  circ. current sequences (similar approach followed in [10], [11])



▲ Positive and negative seq.

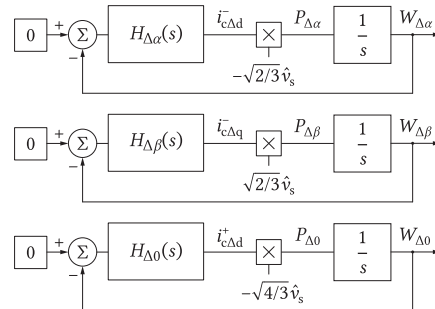
Circ. currents in the  $ABC$  frame can be obtained as

$$\begin{bmatrix} i_{c\Delta A} \\ i_{c\Delta B} \\ i_{c\Delta C} \end{bmatrix} = K_{\alpha\beta}^T \underbrace{\begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}}_{\text{counterclockwise rotation}} \begin{bmatrix} i_{c\Delta d}^+ \\ i_{c\Delta q}^+ \end{bmatrix} + K_{\alpha\beta}^T \underbrace{\begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}}_{\text{clockwise rotation}} \begin{bmatrix} i_{c\Delta d}^- \\ i_{c\Delta q}^- \end{bmatrix}$$

According to [6], the following expressions can be established:

$$P_{\Delta\alpha} = -\frac{2}{\sqrt{6}} \hat{v}_s i_{c\Delta d}^- \quad P_{\Delta\beta} = +\frac{2}{\sqrt{6}} \hat{v}_s i_{c\Delta q}^- \quad P_{\Delta 0} = -\frac{2}{\sqrt{3}} \hat{v}_s i_{c\Delta d}^+$$

⇒ Decoupled control of relevant energy components



▲ Block diagram derived according to the equations on the left

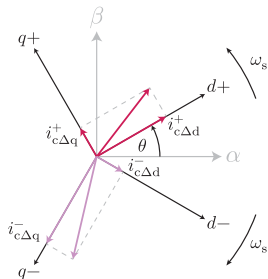
- ▶ Controllers  $H_{\Delta\{\alpha/\beta/0\}}(s)$  can be tuned independently!
- ▶  $i_{c\Delta q}^+$  can be controlled to zero
- ▶ For simplicity reasons assume that  $H_{\Delta\{\alpha/\beta/0\}}(s) = H_{\Delta}(s)$

$$F_{pn0} \begin{bmatrix} i_{c\Delta A} \\ i_{c\Delta B} \\ i_{c\Delta C} \end{bmatrix} = \begin{bmatrix} \tilde{i}_{m+} \\ \tilde{i}_{m-} \\ \tilde{i}_{m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{2}} W_{\Delta 0} \\ W_{\Delta\alpha} + jW_{\Delta\beta} \\ 0 \end{bmatrix}$$



# VERTICAL BALANCING - METHOD 3

- ▶ Direct control of the energy unbalances in the  $\alpha\beta 0$  domain ( $V_N^T = K_{\alpha\beta}$ )
- ▶ The use of  $+/-$  circ. current sequences (similar approach followed in [10], [11])



- ▲ Positive and negative seq.

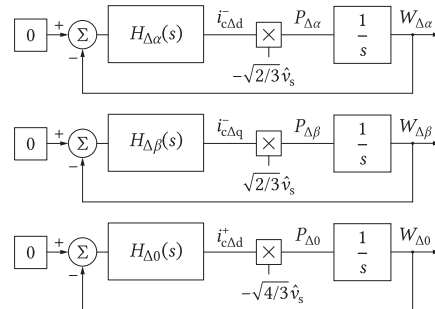
Circ. currents in the  $ABC$  frame can be obtained as

$$\begin{bmatrix} i_{c\Delta A} \\ i_{c\Delta B} \\ i_{c\Delta C} \end{bmatrix} = K_{\alpha\beta}^T \underbrace{\begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}}_{\text{counterclockwise rotation}} \begin{bmatrix} i_{c\Delta d}^+ \\ i_{c\Delta q}^+ \end{bmatrix} + K_{\alpha\beta}^T \underbrace{\begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}}_{\text{clockwise rotation}} \begin{bmatrix} i_{c\Delta d}^- \\ i_{c\Delta q}^- \end{bmatrix}$$

According to [6], the following expressions can be established:

$$P_{\Delta\alpha} = -\frac{2}{\sqrt{6}} \hat{v}_s i_{c\Delta d}^- \quad P_{\Delta\beta} = +\frac{2}{\sqrt{6}} \hat{v}_s i_{c\Delta q}^- \quad P_{\Delta 0} = -\frac{2}{\sqrt{3}} \hat{v}_s i_{c\Delta d}^+$$

⇒ Decoupled control of relevant energy components



- ▲ Block diagram derived according to the equations on the left

- ▶ Controllers  $H_{\Delta\{\alpha/\beta/0\}}(s)$  can be tuned independently!
- ▶  $i_{c\Delta q}^+$  can be controlled to zero
- ▶ For simplicity reasons assume that  $H_{\Delta\{\alpha/\beta/0\}}(s) = H_{\Delta}(s)$

$$F_{pn0} \begin{bmatrix} i_{c\Delta A} \\ i_{c\Delta B} \\ i_{c\Delta C} \end{bmatrix} = \begin{bmatrix} \tilde{i}_{m+} \\ \tilde{i}_{m-} \\ \tilde{i}_{m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{2}} W_{\Delta 0} \\ W_{\Delta\alpha} + jW_{\Delta\beta} \\ 0 \end{bmatrix}$$

## Problem statement

- ? How to compare the vertical balancing methods presented so far?

# VERTICAL BALANCING METHODS COMPARISON (I)

## Method 1

$$\begin{bmatrix} \tilde{i}_{m+} \\ \tilde{i}_{m-} \\ \tilde{i}_{m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{3}} W_{\Delta 0} \\ \frac{1}{\sqrt{6}} (W_{\Delta\alpha} + jW_{\Delta\beta}) \\ 0 \end{bmatrix}$$

## Method 2

$$\begin{bmatrix} \tilde{i}_{m+} \\ \tilde{i}_{m-} \\ \tilde{i}_{m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{3}} W_{\Delta 0} \\ \frac{2}{\sqrt{6}} (W_{\Delta\alpha} + jW_{\Delta\beta}) \\ 0 \end{bmatrix}$$

## Method 3

$$\begin{bmatrix} \tilde{i}_{m+} \\ \tilde{i}_{m-} \\ \tilde{i}_{m0} \end{bmatrix} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times \begin{bmatrix} \frac{1}{\sqrt{2}} W_{\Delta 0} \\ 1(W_{\Delta\alpha} + jW_{\Delta\beta}) \\ 0 \end{bmatrix}$$

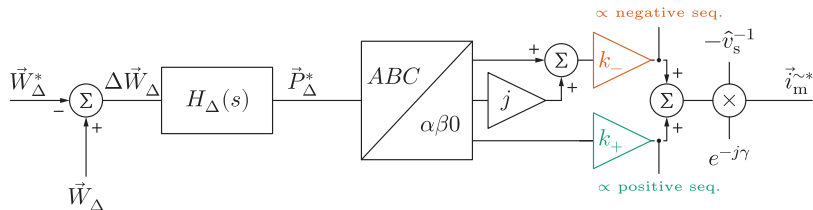
Circ. current +/– sequences can be expressed as

$$\tilde{i}_{m+} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times k_+ W_{\Delta 0}$$

$$\tilde{i}_{m-} = \frac{H_{\Delta}(s)}{\hat{v}_s} e^{-j\gamma} \times k_- (W_{\Delta\alpha} + jW_{\Delta\beta}),$$

allowing for the representation in a tabular form

	Method 1	Method 2	Method 3
$k_+$	$\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{2}}$
$k_-$	$\frac{1}{\sqrt{6}}$	$\frac{2}{\sqrt{6}}$	1



▲ An alternative way of generating circulating current references achieving the energy balance in vertical direction

In general, the expressions

$$i_{c\Delta d}^+ = \Re\left(\sqrt{\frac{3}{2}} e^{j\gamma} \tilde{i}_{m+}^-\right) \quad i_{c\Delta d}^- = \Im\left(\sqrt{\frac{3}{2}} e^{j\gamma} \tilde{i}_{m+}^-\right) \quad i_{c\Delta d}^- = \Re\left(\sqrt{\frac{3}{2}} e^{j\gamma} \tilde{i}_{m-}^-\right) \quad i_{c\Delta d}^+ = -\Im\left(\sqrt{\frac{3}{2}} e^{j\gamma} \tilde{i}_{m-}^-\right)$$

hold, while  $i_{c\Delta q}^+ = 0$ . From here, one can obtain system of equations provided below.

$$i_{c\Delta d}^+ = \sqrt{\frac{3}{2}} k_+ \frac{H_{\Delta}}{\hat{v}_s} W_{\Delta 0} \quad i_{c\Delta d}^- = \sqrt{\frac{3}{2}} k_- \frac{H_{\Delta}}{\hat{v}_s} W_{\Delta\alpha} \quad i_{c\Delta q}^- = -\sqrt{\frac{3}{2}} k_- \frac{H_{\Delta}}{\hat{v}_s} W_{\Delta\beta}.$$

Combining the above system with

$$P_{\Delta\alpha} = -\frac{2}{\sqrt{6}} \hat{v}_s i_{c\Delta d}^- \quad P_{\Delta\beta} = +\frac{2}{\sqrt{6}} \hat{v}_s i_{c\Delta d}^- \quad P_{\Delta 0} = -\frac{2}{\sqrt{3}} \hat{v}_s i_{c\Delta d}^+$$

yields

$$P_{\Delta\alpha} = -k_- H_{\Delta} W_{\Delta\alpha} = -k_{1\alpha} H_{\Delta} W_{\Delta\alpha}$$

$$P_{\Delta\beta} = -k_- H_{\Delta} W_{\Delta\beta} = -k_{1\beta} H_{\Delta} W_{\Delta\beta}$$

$$P_{\Delta 0} = -\sqrt{2} k_+ H_{\Delta} W_{\Delta 0} = -k_{10} H_{\Delta} W_{\Delta 0}.$$

# VERTICAL BALANCING METHODS COMPARISON (II)

According to previous derivations, the expression

$$P_{\Delta\{\alpha/\beta/0\}} = -k_{1\{\alpha/\beta/0\}} H_{\Delta} W_{\Delta\{\alpha/\beta/0\}}$$

can be established, whereas

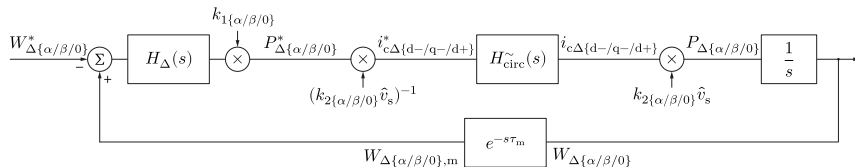
Coefficient	Method 1	Method 2	Method 3
$k_{1\alpha}$	$\frac{1}{2} \sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{1\beta}$	$\frac{1}{2} \sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{10}$	$\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1

Furthermore, the relationship from below can be obtained.

$$P_{\Delta\{\alpha/\beta/0\}} = k_{2\{\alpha/\beta/0\}} \hat{v}_s i_{c\Delta\{d^-/q^-/d^+\}}$$

$$k_{20} = -2/\sqrt{3} \text{ and } k_{2\{\alpha/\beta\}} = \mp 2/\sqrt{6}$$

→ Generalized control block diagram



▲ A general control block diagram concerning vertical balancing of the MMC energies.

To commence the comparison, once can assume that

$$H_{circ}^{\sim}(s) = \frac{1}{1 + s\tau_c} \quad H_{mf}(s) = e^{-s\tau_m} \approx \frac{1 - s\frac{\tau_m}{2}}{1 + s\frac{\tau_m}{2}} \quad H_{\Delta}(s) = k_{p\Delta}$$

Establishing the function  $G(s)$  allows for a straightforward analysis through the root-locus method.

$$G(s) = \frac{H_{circ}^{\sim}(s)H_{mf}(s)}{s} = \frac{N(s)}{D(s)} \xrightarrow{\text{All the poles can be identified by solving}} D(s) + k_{p\Delta}k_{1\{\alpha/\beta/0\}}N(s) = 0.$$

For the moment, assume the  $W_{\Delta 0}$  component is analyzed. Hence,  $k_{10} = 1$ .

If  $k_{p\Delta} \rightarrow 0$ , zeros $[D(s)] \Rightarrow$  poles $[W_{\Delta 0}/W_{\Delta 0}^*]$

If  $k_{p\Delta} \rightarrow \infty$ , zeros $[N(s)] \Rightarrow$  poles $[W_{\Delta 0}/W_{\Delta 0}^*]$

$$\sigma_1 = 0$$

$$\sigma_2 = -\frac{2}{\tau_m}$$

$$\sigma_3 = -\frac{1}{\tau_c}$$

$$n_1 = \frac{2}{\tau_m}$$

# VERTICAL BALANCING METHODS COMPARISON (II)

According to previous derivations, the expression

$$P_{\Delta\{\alpha/\beta/0\}} = -k_{1\{\alpha/\beta/0\}} H_{\Delta} W_{\Delta\{\alpha/\beta/0\}}$$

can be established, whereas

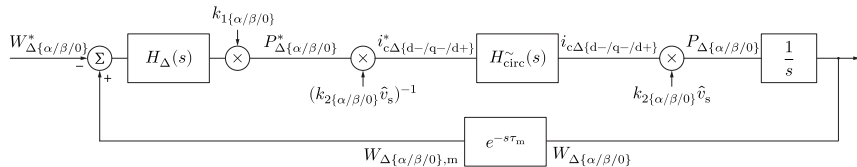
Coefficient	Method 1	Method 2	Method 3
$k_{1\alpha}$	$\frac{1}{2} \sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{1\beta}$	$\frac{1}{2} \sqrt{\frac{2}{3}}$		1
$k_{10}$	$\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	

Furthermore, the relationship from below can be obtained

$$P_{\Delta\{\alpha/\beta/0\}} = k_{2\{\alpha/\beta/0\}} \hat{v}_s i_{c\Delta\{d^-/q^-/d^+\}}$$

$$k_{20} = -2/\sqrt{3} \text{ and } k_{2\{\alpha/\beta\}} = \mp 2/\sqrt{6}$$

→ Generalized control block diagram



▲ A general control block diagram concerning vertical balancing of the MMC energies.

To commence the comparison, once can assume that

$$H_{\text{circ}}^{\sim}(s) = \frac{1}{1 + s\tau_c}$$

$$H_{\text{mf}}(s) = e^{-s\tau_m} \approx \frac{1 - s\frac{\tau_m}{2}}{1 + s\frac{\tau_m}{2}}$$

$$H_{\Delta}(s) = k_{p\Delta}$$

Especially, the transfer function  $G(s)$  allows for a straightforward analysis through the root-locus method.

$$G(s) = \frac{H_{\text{circ}}^{\sim}(s) H_{\text{mf}}(s) H_{\Delta}(s) P_{\Delta\{\alpha/\beta/0\}}^* (k_{2\{\alpha/\beta/0\}} \hat{v}_s)^{-1} i_{c\Delta\{d^-/q^-/d^+\}}^* i_{c\Delta\{d^-/q^-/d^+\}} P_{\Delta\{\alpha/\beta/0\}}}{s} \xrightarrow{\text{All the poles can be identified by solving}} D(s) + k_{p\Delta} k_{1\{\alpha/\beta/0\}} N(s) = 0.$$

For the moment, assume the  $W_{\Delta 0}$  controller is a first-order system. Hence,  $k_{10} = 1$ .

If  $k_{p\Delta} \rightarrow 0$ ,  $\text{zeros}[D(s)] \Rightarrow \text{poles}[W_{\Delta 0}/W_{\Delta 0}^*]$

If  $k_{p\Delta} \rightarrow \infty$ ,  $\text{zeros}[D(s)] \Rightarrow \text{poles}[W_{\Delta 0}/W_{\Delta 0}^*]$

$$\sigma_1 = 0$$

$$\sigma_2 = -\frac{2}{\tau_m}$$

$$\sigma_3 = -\frac{1}{\tau_c}$$

$$n_1 = \frac{2}{\tau_m}$$

# VERTICAL BALANCING METHODS COMPARISON (III)

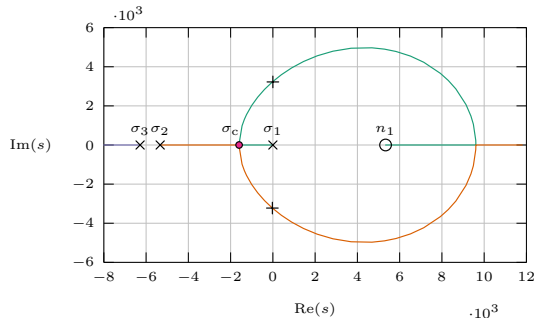
▲ Parameters of the converter used for further analyses

Rated power ( $S^*$ )	Output voltage ( $V_{DC}$ )	Grid voltage ( $v_g$ )	Number of SMs per branch ( $N$ )	Nominal SM voltage ( $V_{SM}$ )	SM capacitance ( $C_{SM}$ )	Branch inductance ( $L_{br}$ )	Branch resistance ( $R_{br}$ )	PWM carrier frequency ( $f_c$ )	Fundamental frequency ( $f_o$ )
1.25MVA	5kV	3.3kV	6	1kV	3.36mF	2.5mH	60m $\Omega$	1kHz	60Hz

In the setup used to verify the results presented henceforward

$$\tau_m \approx 375\mu s \quad \text{and} \quad \tau_c \approx \frac{1}{f_{bw}^{circ}} = 1ms,$$

resulting in the diagram presented bellow.



▲ Root locus constructed based on the function  $G(s)$

Apparently, there exists an optimal gain  $k_{p\Delta}^*$  guaranteeing the fastest and strictly aperiodic response! To calculate  $k_{p\Delta}^*$ , one should substitute the solution of

$$\frac{dD(s)}{ds}N(s) - \frac{dN(s)}{ds}D(s) = 0,$$

which is actually  $s = \sigma_c$ , into

$$k_{p\Delta}^* = -\frac{D(\sigma_c)}{N(\sigma_c)}.$$

In the analyzed example,  $k_{p\Delta}^* \approx 642!$

# VERTICAL BALANCING METHODS COMPARISON (III)

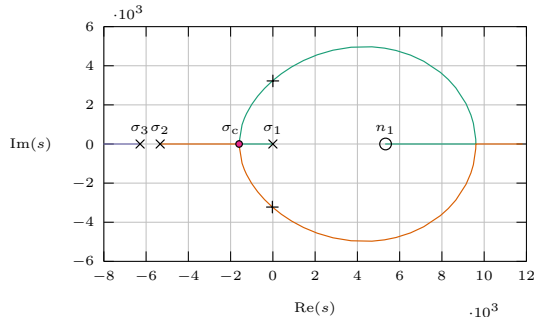
▲ Parameters of the converter used for further analyses

Rated power ( $S^*$ )	Output voltage ( $V_{DC}$ )	Grid voltage ( $v_g$ )	Number of SMs per branch ( $N$ )	Nominal SM voltage ( $V_{SM}$ )	SM capacitance ( $C_{SM}$ )	Branch inductance ( $L_{br}$ )	Branch resistance ( $R_{br}$ )	PWM carrier frequency ( $f_c$ )	Fundamental frequency ( $f_o$ )
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which is actually  $s = \sigma_c$ , into

$$k_{p\Delta}^* = -\frac{D(\sigma_c)}{N(\sigma_c)}.$$

In the analyzed example,  $k_{p\Delta}^* \approx 642!$

? Is this gain realistic?

Assuming that  $\Delta W_0 = 0.1 W_{br}^*$ , where  $W_{br}^* \approx C_{SM} V_{br\Sigma}^{*2} / (2N)$ , one can realize that

$$\hat{i}_{c\Delta 0} = k_{p\Delta} \frac{0.1\sqrt{3}W_{br}^*}{2\hat{v}_s} \approx 210A,$$

which is approximately 70% of the converter nominal AC current amplitude!

# VERTICAL BALANCING METHODS COMPARISON (III)

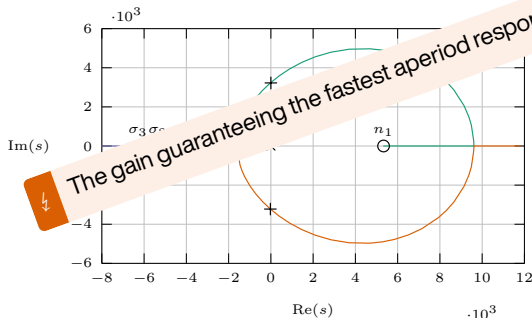
▲ Parameters of the converter used for further analyses

Rated power ( $S^*$ )	Output voltage ( $V_{DC}$ )	Grid voltage ( $v_g$ )	Number of SMs per branch ( $N$ )	Nominal SM voltage ( $V_{SM}$ )	SM capacitance ( $C_{SM}$ )	Branch inductance ( $L_{br}$ )	Branch resistance ( $R_{br}$ )	PWM carrier frequency ( $f_c$ )	Fundamental frequency ( $f_o$ )
1.25MVA	5kV	3.3kV	6	1kV	3.36mF	2.5mH	60m $\Omega$	1kHz	60Hz

In the setup used to verify the results presented henceforward

$$\tau_m \approx 375\mu s \quad \text{and} \quad \tau_c \approx \frac{1}{f_{bw}^{circ}} = 1ms,$$

resulting in the diagram presented bellow.



▲ Root locus constructed based on the function  $G(s)$

Apparently, there exists an optimal gain  $k_{p\Delta}^*$  guaranteeing a strictly aperiodic response! To calculate  $k_{p\Delta}^*$ , one should substitute  $\sigma = -\zeta\omega_n$  in the characteristic equation of

which is  $N(s) - \frac{dN(s)}{ds}D(s) = 0$ ,

$$k_{p\Delta}^* = -\frac{D(\sigma_c)}{N(\sigma_c)}.$$

In the analyzed example,  $k_{p\Delta}^* \approx 642!$

? Is this gain realistic?

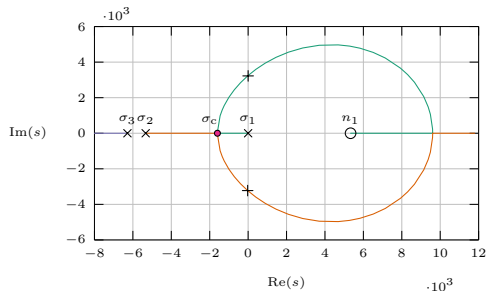
Assuming that  $\Delta W_0 = 0.1 W_{br}^*$ , where  $W_{br}^* \approx C_{SM} V_{br\Sigma}^2 / (2N)$ , one can realize that

$$\hat{i}_{c\Delta 0} = k_{p\Delta} \frac{0.1 \sqrt{3} W_{br}^*}{2 \hat{v}_s} \approx 210A,$$

which is approximately 70% of the converter nominal AC current amplitude!

The gain guaranteeing the fastest aperiod response is not practical from neither hardware nor control viewpoint. Hence  $k_{p\Delta} \ll k_{p\Delta}^*$

# VERTICAL BALANCING METHODS COMPARISON (IV)



▲ Root locus constructed based on the function  $G(s)$

Since  $k_{p\Delta} \ll k_{p\Delta}^*$  one can conclude that  $\sigma_1 \gg \sigma_c$ . From the equation

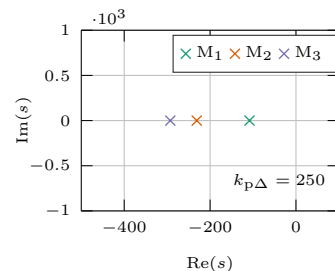
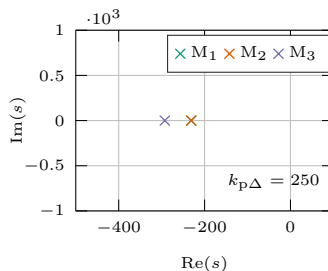
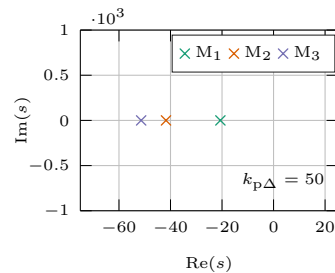
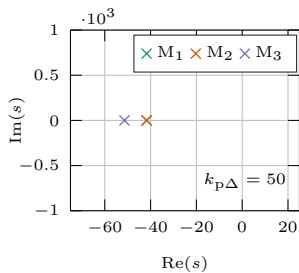
$$D(s) + \underbrace{k_{p\Delta} k_{1\{\alpha/\beta/0\}}}_{k_p} N(s) = 0,$$

the following observations can be made

- ▶ The higher  $k_p'$  the further the pole  $\sigma_1$  from the imaginary axis
- ▶ For fixed  $k_{p\Delta}$ , the system dynamics depends on  $k_{1\{\alpha/\beta/0\}}$

▲ Reminder - values of coefficients determining the balancing dynamics of energy components  $W_{\Delta\alpha}$ ,  $W_{\Delta\beta}$  and  $W_{\Delta 0}$ , respectively.

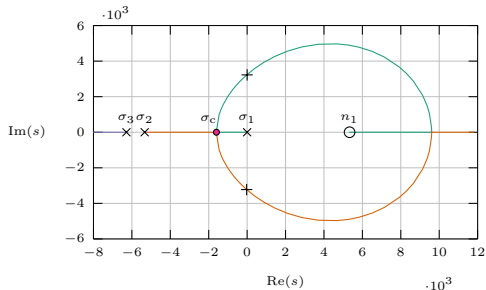
Coefficient	Method 1	Method 2	Method 3
$k_{1\alpha}$	$\frac{1}{2} \sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{1\beta}$	$\frac{1}{2} \sqrt{\frac{3}{2}}$	$\sqrt{\frac{3}{2}}$	1
$k_{10}$	$\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1



▲ Position of poles in the closed loop function  $W_{\Delta\{\alpha/\beta/0\}} / W_{\Delta\{\alpha/\beta/0\}}^*$  for two different gains  $k_{p\Delta}$



# VERTICAL BALANCING METHODS COMPARISON (IV)



▲ Root locus constructed based on the function  $G(s)$

Since  $k_{p\Delta} \ll k_{p\Delta}^*$  one can conclude that  $\sigma_1 \gg \sigma_c$ . From the equation

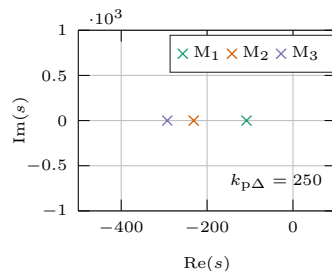
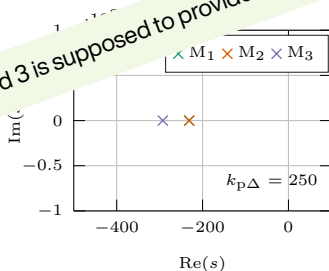
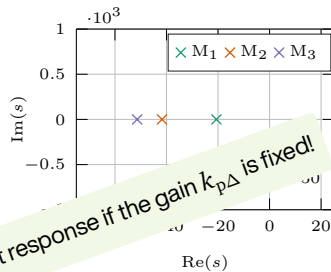
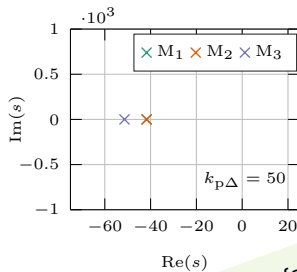
$$D(s) + \underbrace{k_{p\Delta} k_1}_{k_p} \{ \alpha/\beta/0 \} N(s) = 0,$$

the following observations can be made:

- ▶ The higher  $k_p$  the faster the response from the imaginary axis
- ▶ For five different balancing methods the balancing dynamics depends on  $k_1\{\alpha/\beta/0\}$

▶ Referred to the values of coefficients determining the balancing dynamics of energy components  $W_{\Delta\alpha}$ ,  $W_{\Delta\beta}$  and  $W_{\Delta 0}$ , respectively.

Coefficient	Method 1	Method 2	Method 3
$k_{1\alpha}$	$\frac{1}{2} \sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{1\beta}$	$\frac{1}{2} \sqrt{\frac{3}{2}}$	$\sqrt{\frac{3}{2}}$	1
$k_{10}$	$\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1



▲ Position of poles in the closed loop function  $W_{\Delta\{\alpha/\beta/0\}}/W_{\Delta\{\alpha/\beta/0\}}^*$  for two different gains  $k_{p\Delta}$

Moving from Method 1 to 3 increases the gain  $k'_p \Rightarrow$  Method 3 is supposed to provide the fastest response if the gain  $k_{p\Delta}$  is fixed!

# VERTICAL BALANCING METHODS COMPARISON - IMPORTANT REMARKS

- ▶ Controllers in the  $\alpha\beta 0$  domain (Method 3) do not have to be identically tuned
- ▶ For Methods 1 and 2, every leg has its own controller, however, controllers are tuned identically
- ▶ The gain  $k_{p\Delta}$  does not have to be fixed
- ▶ Methods 1 and 2 can be derived from Method 3 if

$$H_{c\Delta 0}^{(\text{method 3})} = H_{\Delta}^{(\text{method 1/2})} \times \frac{k_{10}^{(\text{method 1/2})}}{k_{10}^{(\text{method 3})}}$$

$$H_{c\Delta\{\alpha/\beta\}}^{(\text{method 3})} = H_{\Delta}^{(\text{method 1/2})} \times \frac{k_{1\{\alpha/\beta\}}^{(\text{method 1/2})}}{k_{1\{\alpha/\beta\}}^{(\text{method 3})}}$$

- ▶ Method 3 can be derived from Method 2 if the gains are increased by the factor  $\sqrt{\frac{3}{2}}$  (if  $H_{\Delta\{\alpha/\beta/0\}}(s) = H_{\Delta}(s)$ ).
- ▶ Method 3 cannot be derived from Method 1
- ▶ Average energies response was considered (for branch voltage ripple optimization, please refer to [12], [10], [1], [13])

▲ Reminder - values of coefficients determining the balancing dynamics of energy components  $W_{\Delta\alpha}$ ,  $W_{\Delta\beta}$  and  $W_{\Delta 0}$ , respectively.

Coefficient	Method 1	Method 2	Method 3
$k_{1\alpha}$	$\frac{1}{2}\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{1\beta}$	$\frac{1}{2}\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1
$k_{10}$	$\sqrt{\frac{2}{3}}$	$\sqrt{\frac{2}{3}}$	1

▲ Parameters of the converter used for further analyses

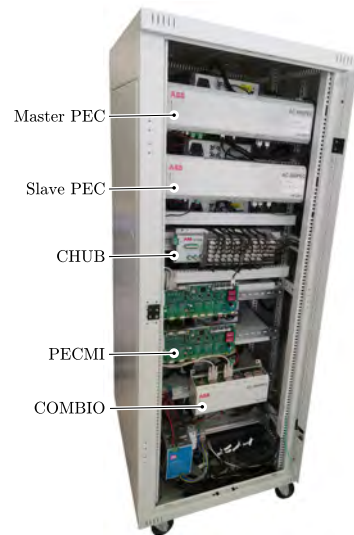
Rated power ( $S^*$ )	Output voltage ( $V_{DC}$ )	Grid voltage ( $v_g$ )	Number of SMs per branch ( $N$ )	Nominal SM voltage ( $V_{SM}$ )	SM capacitance ( $C_{SM}$ )	Branch inductance ( $L_{br}$ )	Branch resistance ( $R_{br}$ )	PWM carrier frequency ( $f_c$ )	Fundamental frequency ( $f_o$ )
1.25MVA	5kV	3.3kV	6	1kV	3.36mF	2.5mH	60m $\Omega$	1kHz	60Hz

- ▶ Converter with parameters provided above (identical to [14])
- ▶ Real industrial ABB PEC800 controller
  - ▶ Master & Slave PECs (flexibility in reconfiguration)
  - ▶ PECMI ( $v/i$  measurements)
  - ▶ Control HUB (SM signals aggregation and reference processing)
  - ▶ COMBIO (Relays/Switches/Monitoring)
  - ▶ **More details in Part 4.**
- ▶ Identical gains  $k_{p\Sigma} = k_{p\Delta} = 50$

⇒ Control structure identical to the real prototype



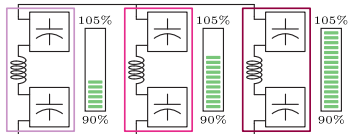
(a) Front view



(b) Rear view

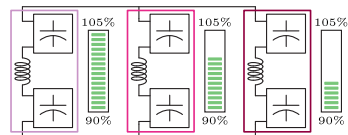
▲ HIL system used for result verification purposes  
November, 16-18, 2020

# HIL VERIFICATION - HORIZONTAL BALANCING



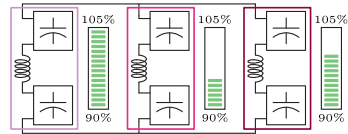
$W_{\Sigma A} = 95\%$     $W_{\Sigma B} = 100\%$     $W_{\Sigma C} = 105\%$

(a) Scenario 1



$W_{\Sigma A} = 105\%$     $W_{\Sigma B} = 100\%$     $W_{\Sigma C} = 95\%$

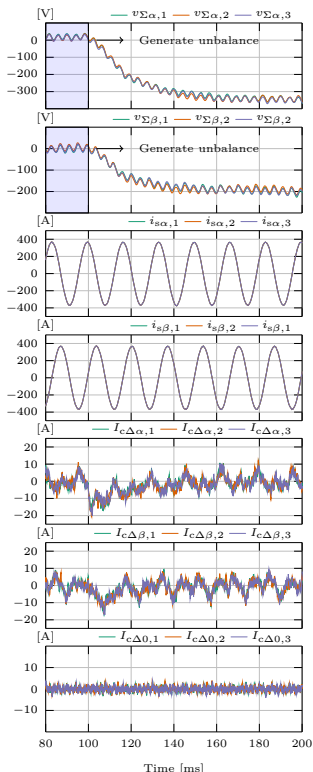
(b) Scenario 2



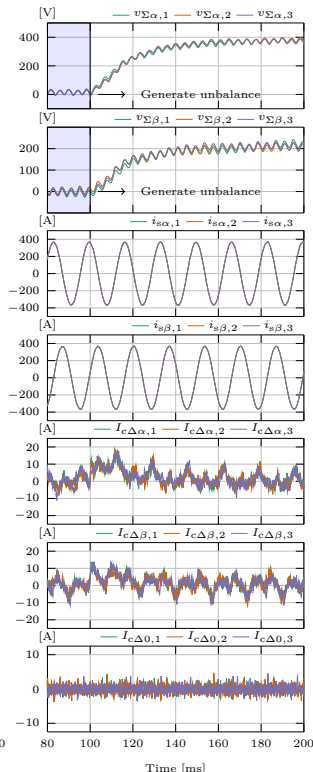
$W_{\Sigma A} = 105\%$     $W_{\Sigma B} = 95\%$     $W_{\Sigma C} = 100\%$

(c) Scenario 3

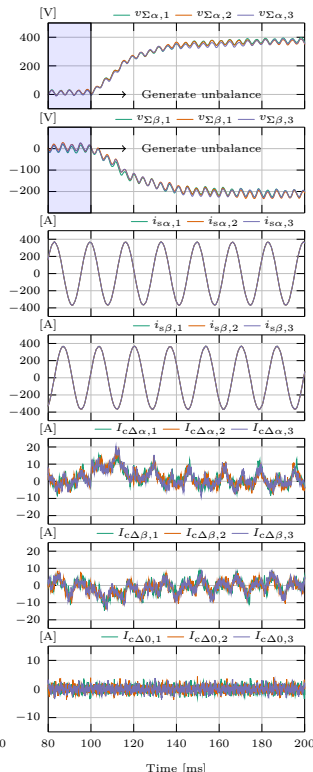
▲ Unbalance scenarios used for results verification purpose



▲ Response under the unbalance scenario 1

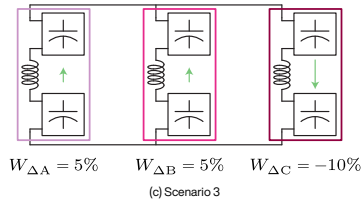
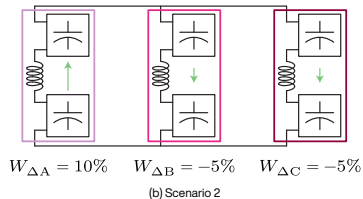
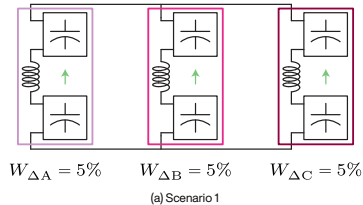


▲ Response under the unbalance scenario 2

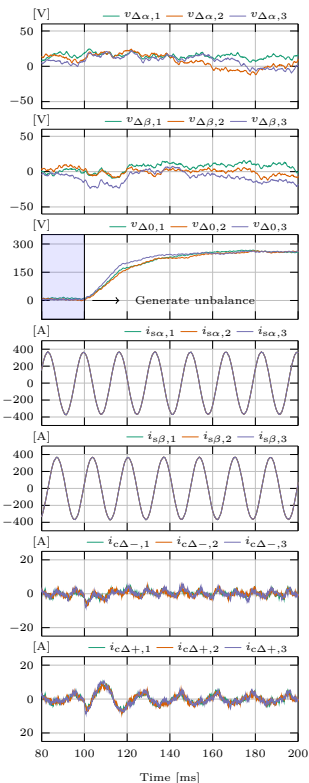


▲ Response under the unbalance scenario 2

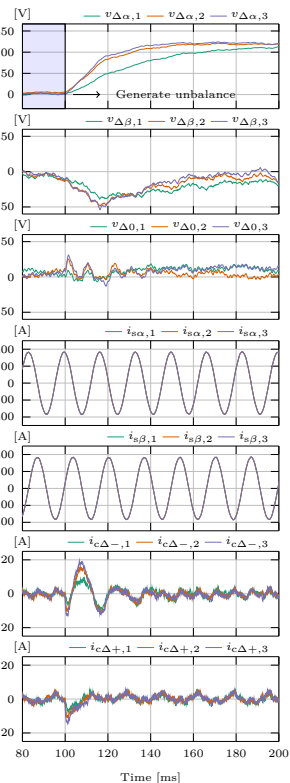
# HIL VERIFICATION - VERTICAL BALANCING



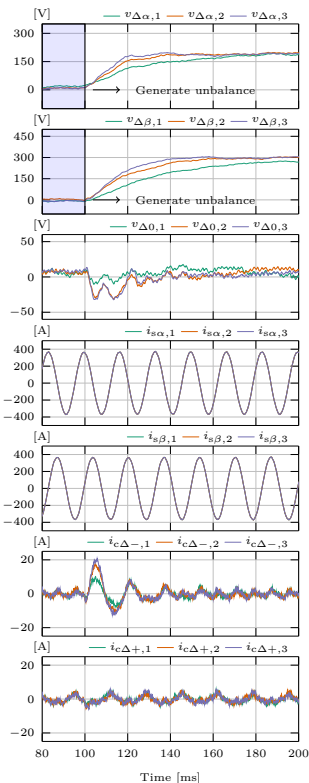
▲ Unbalance scenarios used for results verification purpose



▲ Response under the unbalance scenario 1



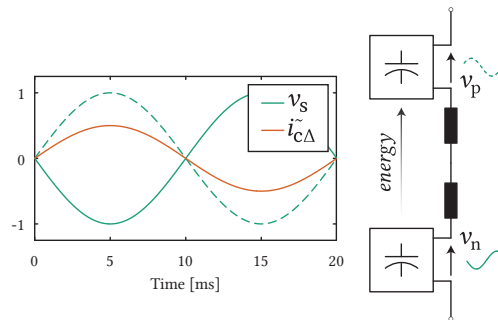
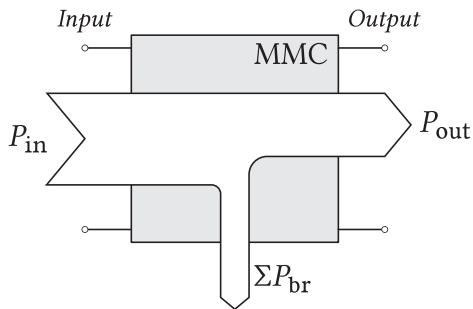
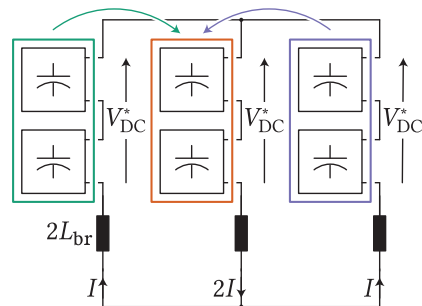
▲ Response under the unbalance scenario 2



▲ Response under the unbalance scenario 3

# SUMMARY

- ▶ Control of average energies
- ▶ Three **decoupled** layers of balancing
  - ▶ Total energy control
  - ▶ Horizontal balancing
  - ▶ Vertical balancing
- ▶ Different options with regards to the choice of bal. methods
- ▶ Chosen approach **affects the energy balancing dynamics**



- [1] A. J. Korn, M. Winkelnkemper, and P. Steimer. "Low output frequency operation of the Modular Multi-Level Converter." *2010 IEEE Energy Conversion Congress and Exposition*. 2010, pp. 3993–3997.
- [2] A. J. Korn et al. "Capacitor voltage balancing in modular multilevel converters." *6th IET Int. Conf. on Power Electronics, Machines and Drives (PEMD 2012)*. Mar. 2012, pp. 1–5.
- [3] Kamran Sharifabadi et al. *Design, control, and application of modular multilevel converters for HVDC transmission systems*. John Wiley & Sons, 2016.
- [4] Stefan Milanovic. "MMC-based conversion for MVDC applications." (2020), p. 268. URL: <http://infoscience.epfl.ch/record/277121>.
- [5] P. Münch et al. "Integrated current control, energy control and energy balancing of Modular Multilevel Converters." *IECON 2010 - 36th Annual Conf. on IEEE Industrial Electronics Society*. Nov. 2010, pp. 150–155.
- [6] J. Kolb et al. "Cascaded Control System of the Modular Multilevel Converter for Feeding Variable-Speed Drives." 30.1 (2015), pp. 349–357.
- [7] Gilbert Strang. "Linear algebra and its applications, Thomson Learning." *Inc., London* (1988).
- [8] M. Basić, S. Milanović, and D. Dujčić. "Comparison of two Modular Multilevel Converter Internal Energy Balancing Methods." *2019 20th International Symposium on Power Electronics (Ee)*. 2019, pp. 1–8.
- [9] Kosei Shinoda et al. "Energy difference controllers for MMC without DC current perturbations." *The 2nd International Conference on HVDC (HVDC2016)*. 2016.
- [10] G. Bergna et al. "An Energy-Based Controller for HVDC Modular Multilevel Converter in Decoupled Double Synchronous Reference Frame for Voltage Oscillation Reduction." 60.6 (2013), pp. 2360–2371.
- [11] S. Cui et al. "A comprehensive cell capacitor energy control strategy of a modular multilevel converter (MMC) without a stiff DC bus voltage source." *2014 IEEE Applied Power Electronics Conference and Exposition - APEC 2014*. 2014, pp. 602–609.
- [12] A. Rasic et al. "Optimization of the modular multilevel converters performance using the second harmonic of the module current." *2009 13th European Conf. on Power Electronics and Appl.* Sept. 2009, pp. 1–10.
- [13] J. Pou et al. "Circulating Current Injection Methods Based on Instantaneous Information for the Modular Multilevel Converter." 62.2 (2015), pp. 777–788.
- [14] M. M. Steurer et al. "Multifunctional Megawatt-Scale Medium Voltage DC Test Bed Based on Modular Multilevel Converter Technology." 2.4 (Dec. 2016), pp. 597–606.

# Modular Multilevel Converters Operating Principles and Applications

Prof. Drazen Dujic, Dr. Stefan Milovanovic  
Power Electronics Laboratory  
Ecole Polytechnique Fédérale de Lausanne



# MODULAR MULTILEVEL CONVERTERS - OPERATING PRINCIPLES AND APPLICATIONS - PART 3

**Prof. Dražen Dujčić, Dr. Stefan Milovanović**

École Polytechnique Fédérale de Lausanne (EPFL)  
Power Electronics Laboratory (PEL)  
Switzerland



## Before the virtual coffee break

### Part 1) Introduction and motivation

- ▶ MMC Applications
- ▶ MMC operating principles
- ▶ Modeling and control

### Part 2) MMC energy control

- ▶ Role of circulating currents
- ▶ Branch energy control methods
- ▶ Performance benchmark



## After the virtual coffee break

### Part 3) MMC power extension

- ▶ MMC scalability
- ▶ Branch paralleling
- ▶ Energy control

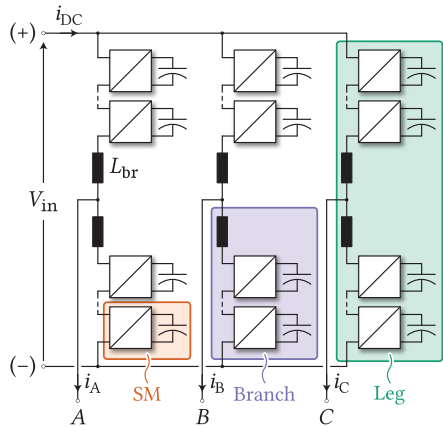
### Part 4) MMC research platform

- ▶ MMC system level design
- ▶ MMC Sub-module development
- ▶ MMC RT-HIL development

# MMC POWER CAPACITY EXTENSION

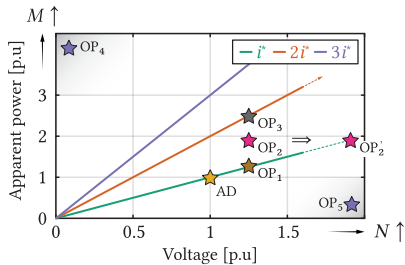
*Boosting the power through branch paralleling...*

# MODULAR MULTILEVEL CONVERTER POWER SCALING



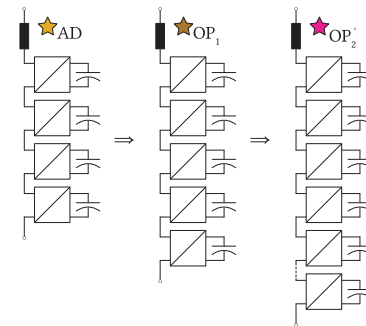
▲ Conventional 3PH MMC

- ▶ Series connection of SMs
- ▶ Extremely flexible in terms of voltage scaling
- ▶ Convenient if application voltage is freely selected



▲ MMC power scaling [1], [2], [3]

- ▶ Existing SM design is assumed
- ▶ **Linear  $S = f(V)$  change for a given current rating**
- ▶ Current capacity  $\uparrow \Rightarrow$  new characteristics

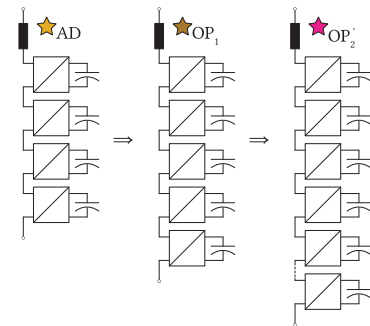
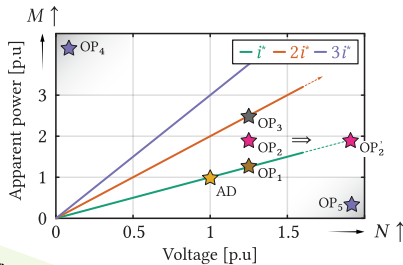
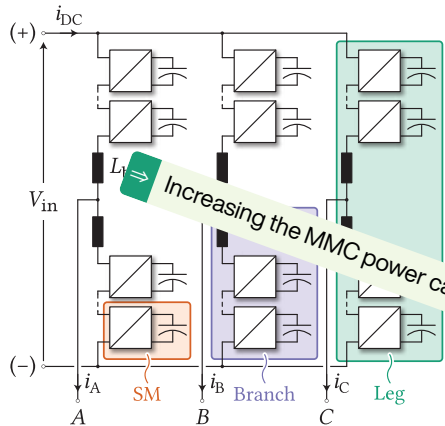


▲ MMC branch voltage scaling



▲ SM designed at PEL

# MODULAR MULTILEVEL CONVERTER POWER SCALING



▲ Conventional 3PH MMC

- ▶ Series connection of SMs
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▲ MMC power...

- ▶ Existing SM design is assu...
- ▶ **Linear  $S = f(V)$  change for a given...**
- ▶ Current capacity  $\uparrow \Rightarrow$  new characteristics

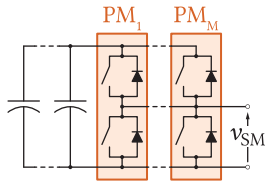
▲ MMC branch voltage scaling



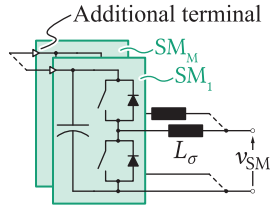
▲ SM designed at PEL

Increasing the MMC power capacity at fixed operating voltage requires its current handling capabilities boost!

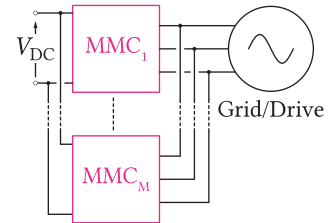
# COMMON MMC CURRENT CAPACITY INCREASE METHODS



▲ Paralleling semiconductor modules [4], [5]

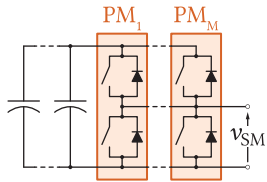


▲ Paralleling SMs [6], [7]

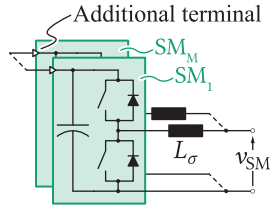


▲ Paralleling converters [8], [9], [10]

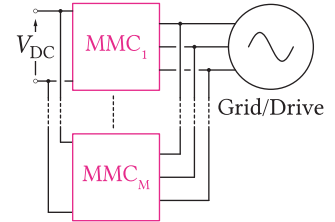
# COMMON MMC CURRENT CAPACITY INCREASE METHODS



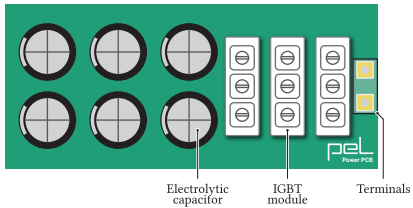
▲ Paralleling semiconductor modules [4], [5]



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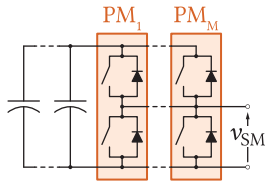


▲ Paralleling converters [8], [9], [10]

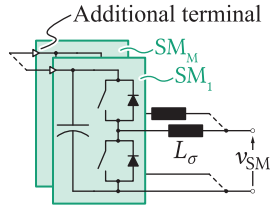


▲ Exemplary cell design; Current capacity -  $3I_{rated}$

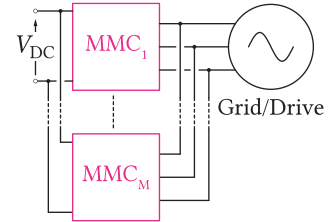
# COMMON MMC CURRENT CAPACITY INCREASE METHODS



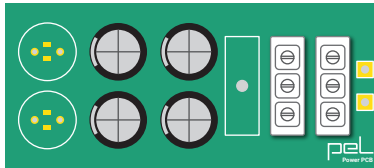
▲ Paralleling semiconductor modules [4], [5]



▲ Paralleling SMs [6], [7]



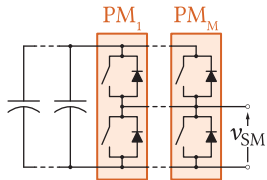
▲ Paralleling converters [8], [9], [10]



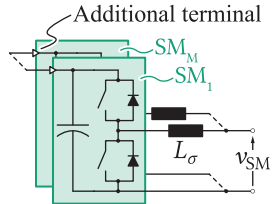
▲ Exemplary cell design; Current capacity -  $2I_{rated}$



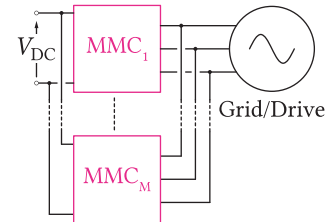
# COMMON MMC CURRENT CAPACITY INCREASE METHODS



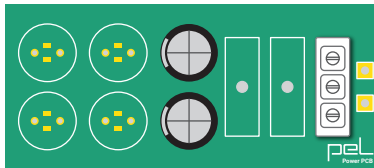
▲ Paralleling semiconductor modules [4], [5]



▲ Paralleling SMs [6], [7]



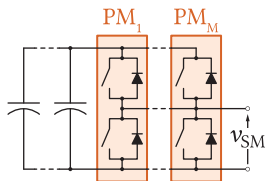
▲ Paralleling converters [8], [9], [10]



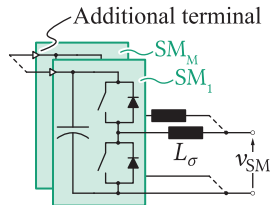
▲ Exemplary cell design; Current capacity -  $I_{rated}$

- ▶ Special design considerations
- ▶ Cell frame size does not change
- ▶ Possible heat sink oversizing?

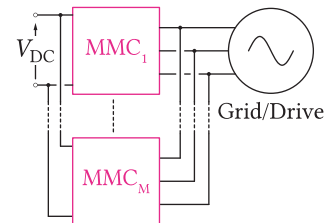
# COMMON MMC CURRENT CAPACITY INCREASE METHODS



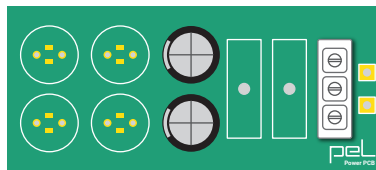
▲ Paralleling semiconductor modules [4], [5]



▲ Paralleling SMs [6], [7]

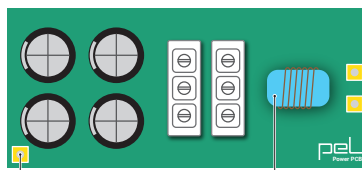


▲ Paralleling converters [8], [9], [10]



▲ Exemplary cell design; Current capacity -  $I_{rated}$

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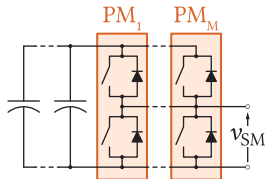
Additional terminal

Inductor

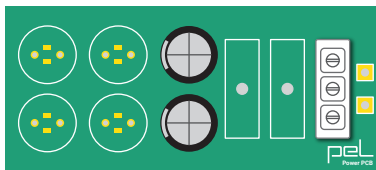
▲ Cell designed for paralleling

- ▶ Additional inductor is needed
- ▶ Additional terminal for the capacitors
- ▶ Special gate driver structure

# COMMON MMC CURRENT CAPACITY INCREASE METHODS

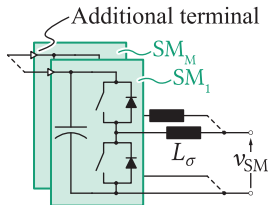


▲ Paralleling semiconductor modules [4], [5]

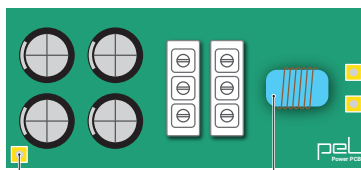


▲ Exemplary cell design; Current capacity -  $I_{rated}$

- ▶ Special design considerations
- ▶ Cell frame size does not change
- ▶ Possible heat sink oversizing?



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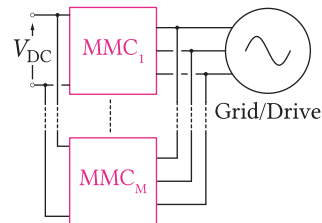


Additional terminal

Inductor

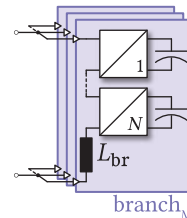
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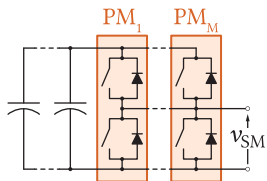
▲ Paralleling converters [8], [9], [10]

- ▶ Well known principle
  - ▶ Problem is shifted to the control domain
- Paralleled MMC branches  $\Rightarrow$  System simplification

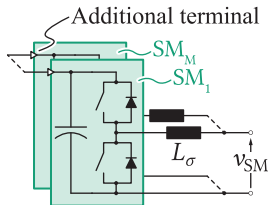


▲ Paralleling branches [2], [3], [11]

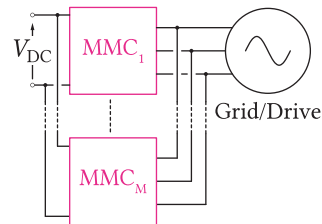
# COMMON MMC CURRENT CAPACITY INCREASE METHODS



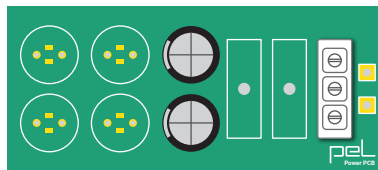
▲ Paralleling semiconductor modules [4], [5]



▲ Paralleling SMs [6], [7]

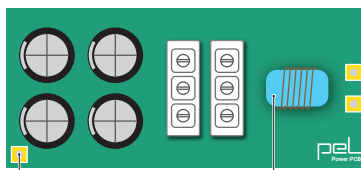


▲ Paralleling converters [8], [9], [10]



▲ Exemplary cell design; Current capacity -  $I_{rated}$

- ▶ Special design considerations
- ▶ Cell frame size does not change
- ▶ Possible heat sink oversizing?



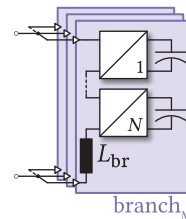
▲ Cell designed for paralleling

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- ▶ Special gate driver structure

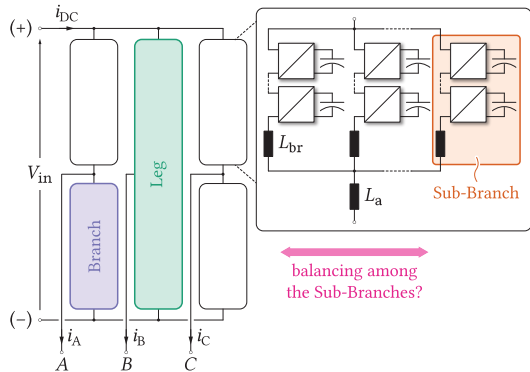
- ▶ Well known principle
- ▶ Problem is shifted to the control domain

Paralleled MMC branches ⇒ System simplification

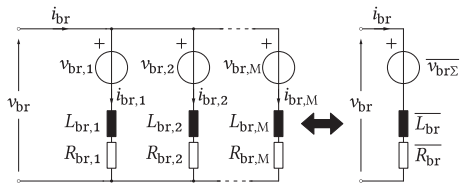


▲ Paralleling branches [2], [3], [11]

⇒ If the branches are paralleled, there is no need to go through a new design process to accomplish the MMC power extension

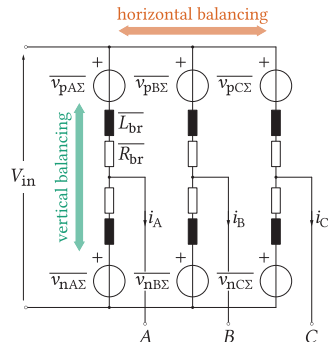


▲ MMC with paralleled (sub)branches



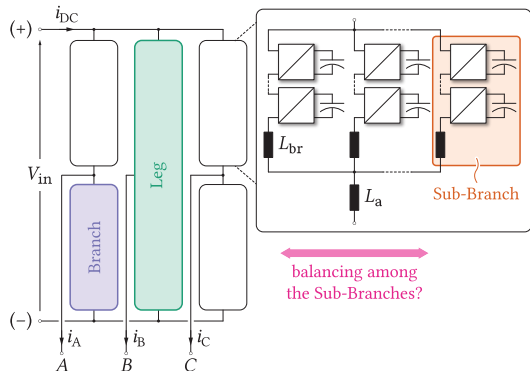
▲ Branch equivalent circuit

$$\overline{v_{br\Sigma}} = \frac{1}{M} \sum_{i=1}^M v_{br,i} \quad \text{and} \quad \frac{1}{Z_{br}} = \frac{1}{Z_{br,1}} + \frac{1}{Z_{br,2}} + \dots + \frac{1}{Z_{br,M}}$$

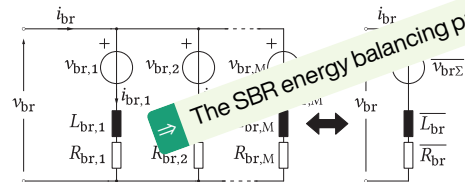


▲ Equivalent circuit of the converter operating with parallel (sub)branches

- ▶ Equivalent circuit  $\equiv$  Conventional MMC
- ▶ All state of the art control considerations still hold
- ▶ New layers of control to be added?
  - ▶ Unequal SBR parameters
  - ▶ SBR energy balance
  - ▶ SBR current balance
- ▶ Voltage quality improvement due to paralleling

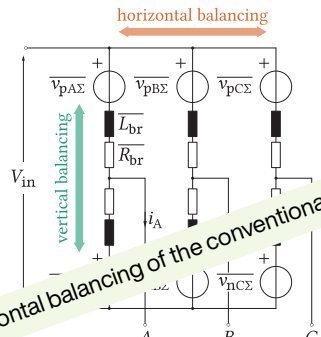


▲ MMC with paralleled (sub)branches



▲ Branch equivalent circuit

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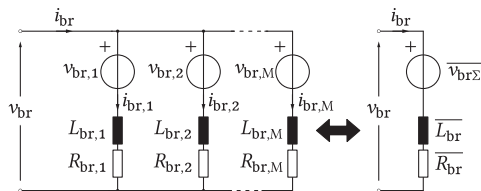


▲ Equivalent circuit of the converter operating with parallel (sub)branches

The SBR energy balancing problem is somewhat similar to the horizontal balancing of the conventional MMC

- ▶ Equivalent circuit  $\equiv$  Conventional MMC
- ▶ All state of the art control considerations still hold
- ▶ New layers of control to be added?
  - ▶ Unequal SBR parameters
  - ▶ SBR energy balance
  - ▶ SBR current balance
- ▶ Voltage quality improvement due to paralleling

# CONTROL - SBR BALANCING



$$Z_{br,1} \neq \dots \neq Z_{br,M} \Rightarrow i_{br,1} \neq \dots \neq i_{br,M}$$

▲ Equivalent circuit of the branch

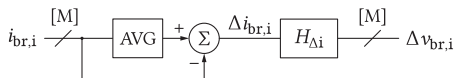
$$L_{br} \frac{d}{dt} \left( \underbrace{i_{br,i} - \frac{i_{br}}{M}}_{\Delta i_{br,i}} \right) + R_{br} \left( i_{br,i} - \frac{i_{br}}{M} \right) = \overline{v_{br\Sigma}} - v_{br,i}$$

Should  $v_{br,i}$  be chosen like:  $v_{br,i} = \overline{v_{br\Sigma}} + \Delta v_{br,i}$

$$L_{br} \frac{d}{dt} \Delta i_{br,i} + R_{br} \Delta i_{br,i} = -\Delta v_{br,i}$$

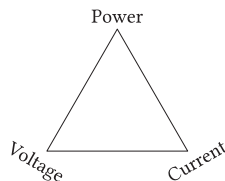
- ▶ Equal current sharing obtained by means of  $\Delta v_{br,i}$
- ▶ Total branch voltage must not be corrupted!

$$\sum_{i=1}^M \Delta v_{br,i} = 0$$



▲ SBR current balancing controller

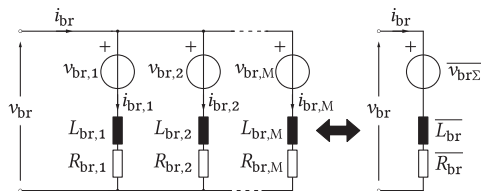
Energy vs. current sharing  $\Rightarrow$  an important aspect to consider!



▲ Power extension triangle

Current sharing	YES	NO	NO
Voltage sharing	NO	YES	NO
Power sharing	NO	NO	YES

# CONTROL - SBR BALANCING



$$Z_{br,1} \neq \dots \neq Z_{br,M} \Rightarrow i_{br,1} \neq \dots \neq i_{br,M}$$

▲ Equivalent circuit of the branch

$$L_{br} \frac{d}{dt} \left( i_{br,i} - \frac{i_{br}}{M} \right) + R_{br} \left( i_{br,i} - \frac{i_{br}}{M} \right) = \overline{v_{br\Sigma}} - v_{br,i}$$

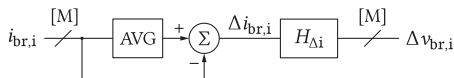
$\Delta i_{br,i}$

Should  $v_{br,i}$  be chosen like:  $v_{br,i} = \overline{v_{br\Sigma}} + \Delta v_{br,i}$

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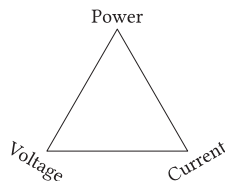
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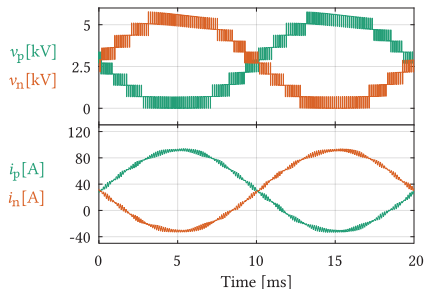


**Current balancing is not enough!**

SBR powers are different  $\Rightarrow$  capacitor energy (voltage) divergence



# CONTROL - SBR BALANCING



▲ Typical voltage/current waveforms of an SBR

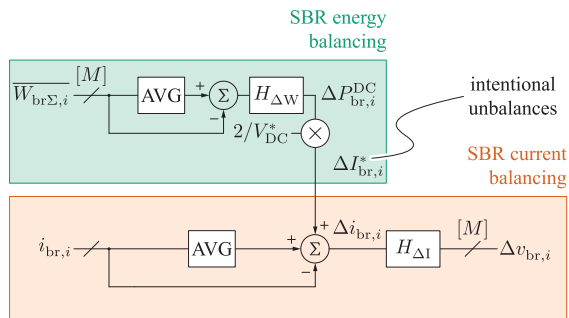
## (Sub)branch power equation

$$P_{sbr} = \overline{v_{sbr} i_{sbr}}$$

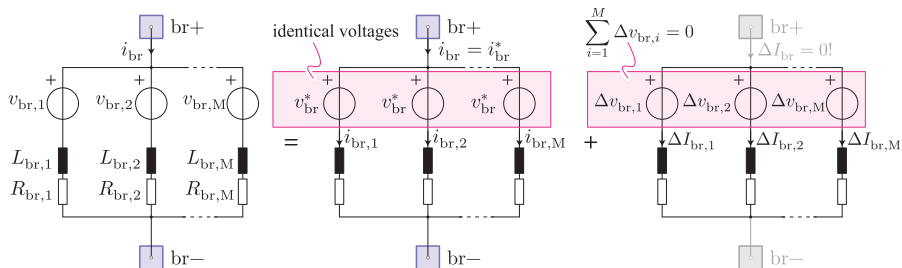
$$= V_{sbr}^{DC} I_{sbr}^{DC} + \overline{\tilde{v}_{sbr} \tilde{i}_{sbr}}$$

## Taylor series expansion

$$P_{sbr} = P_{sbr}^{nom} + \underbrace{\Delta P_{sbr}^{DC}}_{\approx \frac{1}{2} V_{DC}^* \Delta I_{sbr}^{DC}} + \underbrace{\Delta P_{sbr}^{AC}}_{\text{depends on } \Delta L_{br}}$$

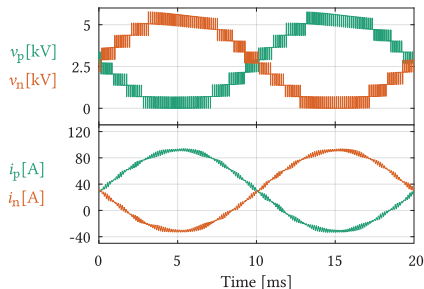


▲ SBR energy controller



▲ The branch voltage components represented through the superposition principle

# CONTROL - SBR BALANCING



▲ Typical voltage/current waveforms of an SBR

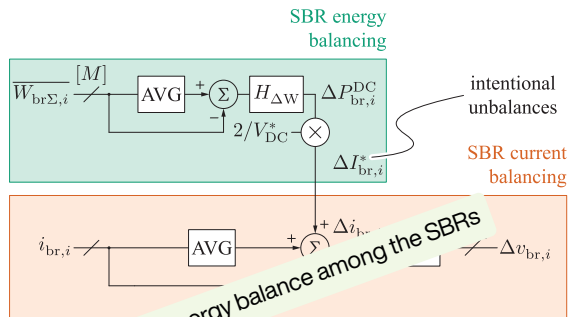
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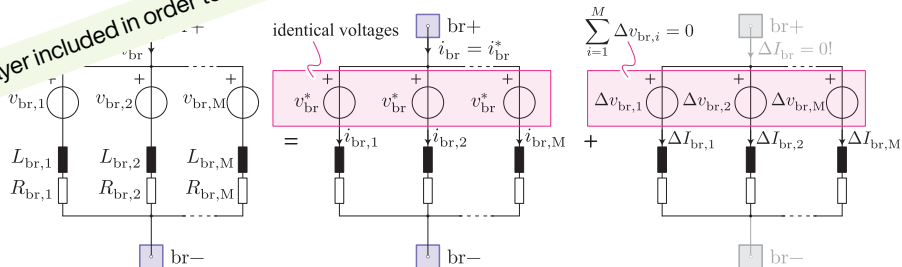
$$= V_{sbr}^{DC} I_{sbr}^{DC} + \overline{\tilde{v}_{sbr} \tilde{i}_{sbr}}$$

## Taylor series expansion

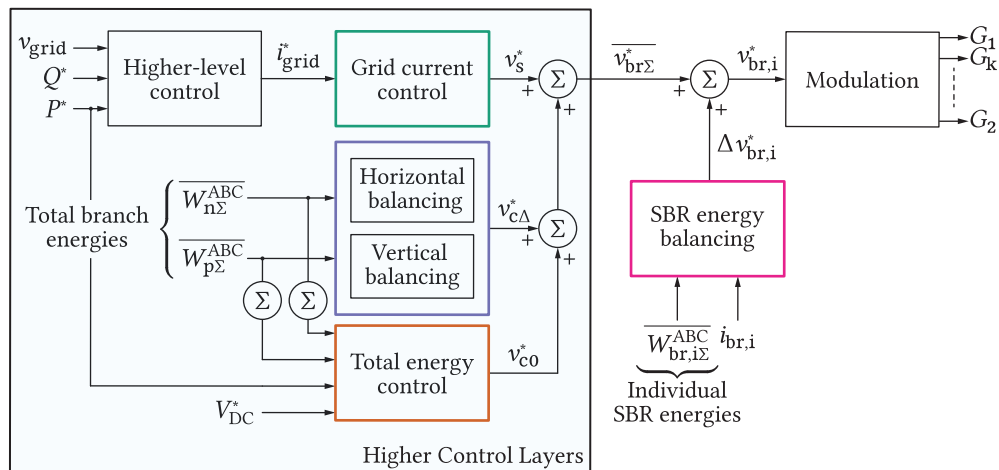
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▲ SBR energy controller



▲ The branch voltage components represented through the superposition principle

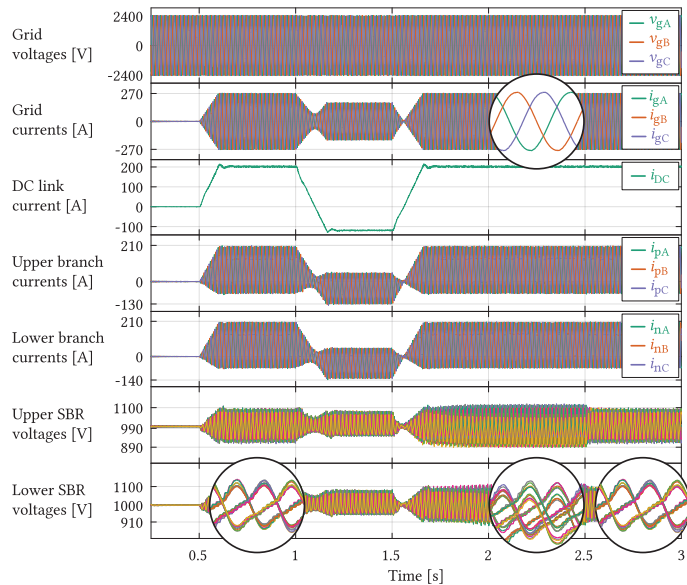


▲ Converter control layers

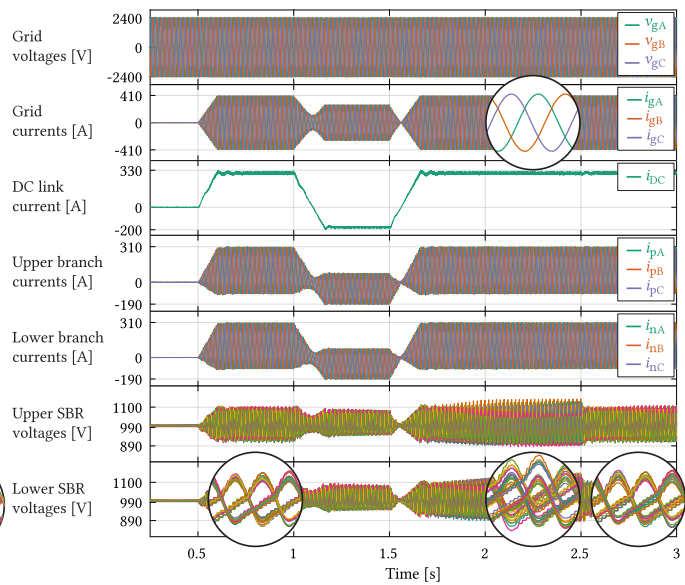
- ▶ Additional control layer (conventional MMC control is retained as can be seen on the left-hand side)
- ▶ Decoupling from the higher control levels ensured by means of  $\sum_{i=1}^M \Delta v_{br,i} = 0$
- ▶ Independent on the number of paralleled SBRs (the same approach for both odd and even  $M$ )
- ▶ Power scalability depending solely upon the control system limitations

# SIMULATION RESULTS

	Rated power ( $P$ )	Input voltage ( $V_{in}$ )	No. of cells/SBR ( $N$ )	Cell rated voltage ( $V_{cell}$ )	Cell capacitance ( $C_{cell}$ )	No. of paralleled SBRs ( $M$ )	SBR inductance ( $L_{br}$ )	SBR resistance ( $R_{br}$ )	Sw. frequency ( $f_{sw}$ )
Left	1MW	5kV	5	1kV	0.83mF	2	5mH	60m $\Omega$	999Hz
Right	1.5MW	5kV	5	1kV	0.83mF	3	7.5mH	60m $\Omega$	999Hz

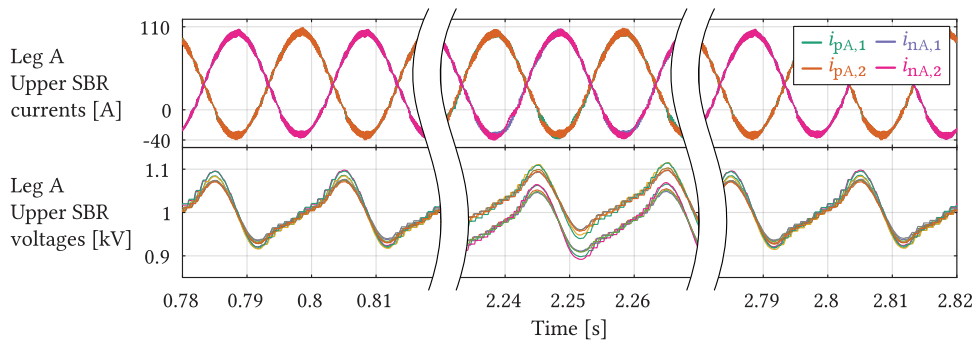


▲ Simulation results in case  $M = 2$

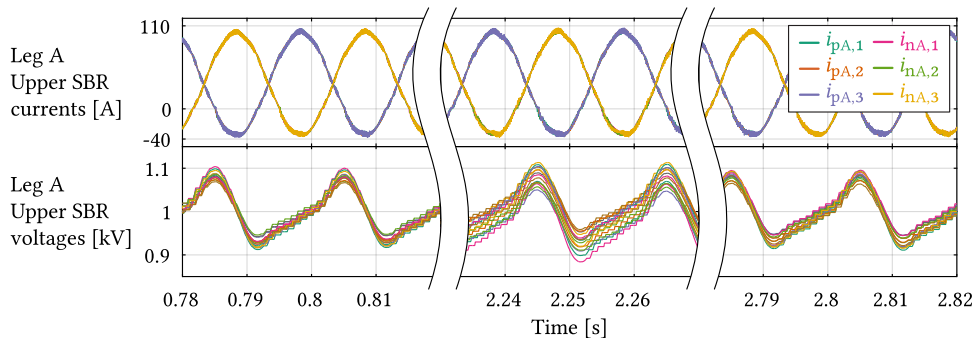


▲ Simulation results in case  $M = 3$

# SIMULATION RESULTS

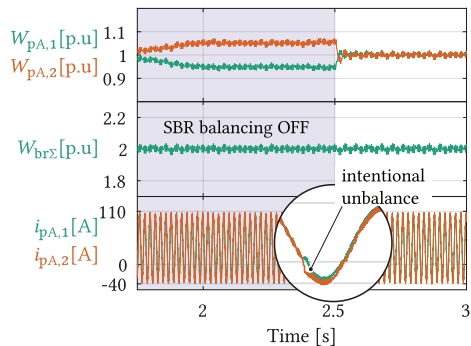
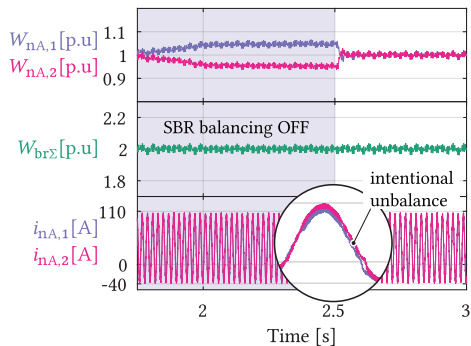


▲ Leg A upper and lower SBR currents (top) along with SBR voltages (bottom) in case  $M = 2$

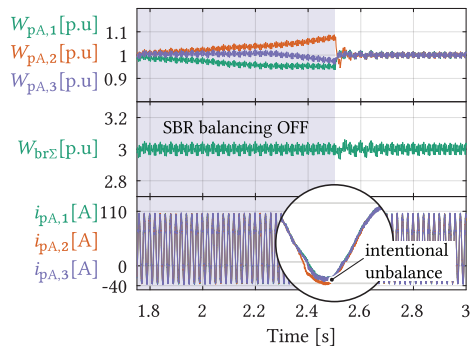
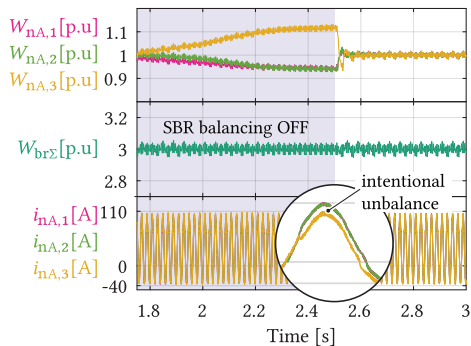


▲ Leg A upper and lower SBR currents (top) along with SBR voltages (bottom) in case  $M = 3$

# SIMULATION RESULTS



▲ Leg A lower (left) and upper (right) SBR currents and energies in case  $M = 2$



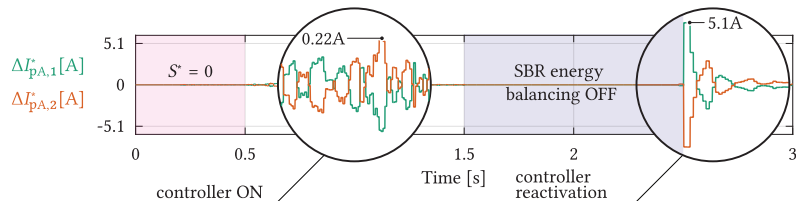
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# SIMULATION RESULTS

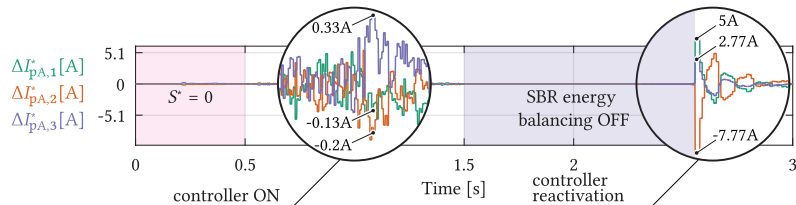
There are two relevant questions one might ask:

- ▶ How aggressive is the SBR energy balancing controller?
- ▶ Should current rating of the SMs be increased owing to the presence of SBR energy balancing?

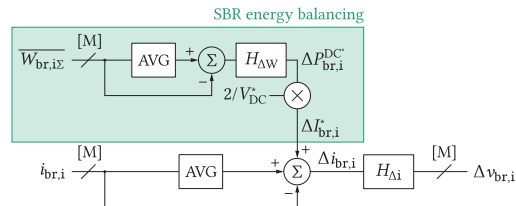
$$\Delta I_{br,i}^* = \underbrace{\Delta W_{br,i\Sigma}}_{\text{Energy error}} \cdot \underbrace{H_{\Delta W}}_{\text{Controller TF}} \cdot \underbrace{\frac{2}{V_{DC}^*}}_{\text{several kV}}$$



▲ References provided by the SBR energy balancing controller ( $M = 2$ )



▲ References provided by the SBR energy balancing controller ( $M = 3$ )



▲ SBR energy control (recap)

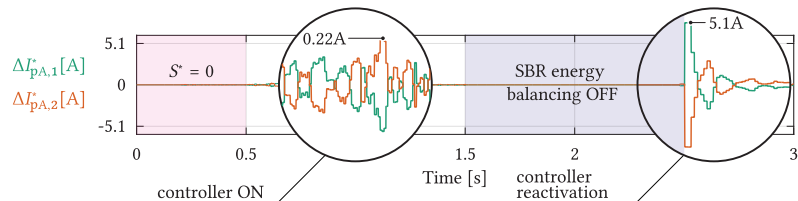
- ▶  $\Delta I_{br,i}^* < 10\% \hat{i}_{br}$  (Modest response!)
- ▶  $\sum_{i=1}^M \Delta I_{br,i}^* = 0$
- ▶  $\sum_{i=1}^M \Delta v_{br,i}^* = 0 \Rightarrow$  no interference with higher control loops

# SIMULATION RESULTS

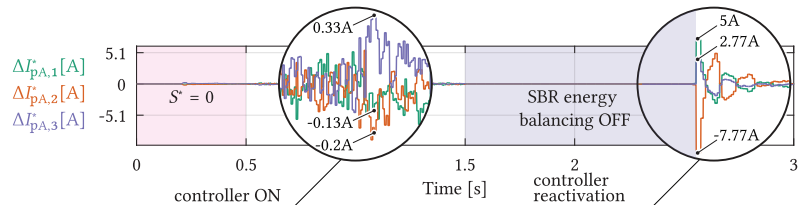
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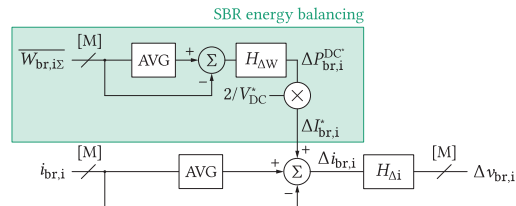
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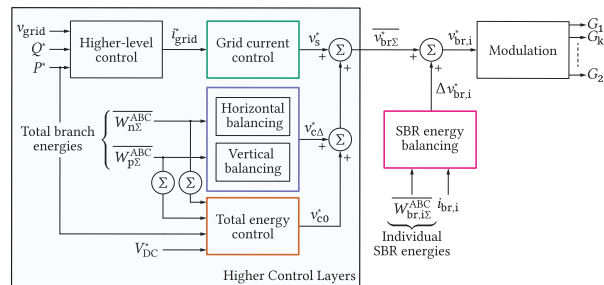
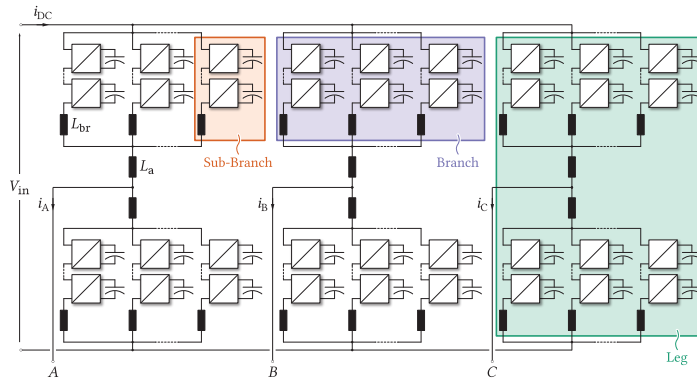
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- ▶  $\sum_{i=1}^M \Delta v_{br,i}^* = 0 \Rightarrow$  no interference with higher control loops

➔ No need for SM current rating upgrade!



# SUMMARY

- ▶ **MMC power extension** as a main motivation
- ▶ **Simple and cheap** (no need for major redesign of the converter parts)
- ▶ The challenge is shifted to the **control domain**
- ▶ State of the art control methods + **Additional loops**
- ▶ Possible **AC voltage quality improvement**



- [1] Miodrag Basic, Pedro CO Silva, and Drazen Dujic. "High Power Electronics Innovation Perspectives for Pumped Storage Power Plants." (2018).
- [2] S. Milovanović and D. Dujic. "On Facilitating the Modular Multilevel Converter Power Scalability Through Branch Paralleling." *2019 IEEE Energy Conversion Congress and Exposition (ECCE)*. Sept. 2019.
- [3] Stefan Milovanovic. "MMC-based conversion for MVDC applications." (2020), p. 268. URL: <http://infoscience.epfl.ch/record/277121>.
- [4] Andreas Volke, Jost Wendt, and Michael Hornkamp. *IGBT modules: technologies, driver and application*. Infineon, 2012.
- [5] R. Hermann et al. "Parallel Connection of Integrated Gate Commutated Thyristors (IGCTs) and Diodes." 249 (Sept. 2009), pp. 2159–2170.
- [6] R. Grinberg et al. "Study of overcurrent protection for modular multilevel converter." *2014 IEEE Energy Conversion Congress and Exposition (ECCE)*. Sept. 2014, pp. 3401–3407.
- [7] M. M. Steurer et al. "Multifunctional Megawatt-Scale Medium Voltage DC Test Bed Based on Modular Multilevel Converter Technology." 2.4 (Dec. 2016), pp. 597–606.
- [8] Josep Pou et al. "Current balancing strategy for interleaved voltage source inverters." *EPE Journal* 211 (2011), pp. 29–34.
- [9] J. Pou et al. "Control strategy to balance operation of parallel connected legs of modular multilevel converters." *2013 IEEE International Symposium on Industrial Electronics*. 2013, pp. 1–7.
- [10] F. Gao et al. "Control of Parallel-Connected Modular Multilevel Converters." *IEEE Transactions on Power Electronics* 30.1 (2015), pp. 372–386.
- [11] S. Milovanovic and D. Dujic. "On Power Scalability of Modular Multilevel Converters: Increasing Current Ratings Through Branch Paralleling." *IEEE Power Electronics Magazine* 7.2 (2020), pp. 53–63.

# Modular Multilevel Converters Operating Principles and Applications

Prof. Drazen Dujic, Dr. Stefan Milovanovic  
Power Electronics Laboratory  
Ecole Polytechnique Fédérale de Lausanne

# MODULAR MULTILEVEL CONVERTERS - OPERATING PRINCIPLES AND APPLICATIONS - PART 4

**Prof. Dražen Dujčić, Dr. Stefan Milovanović**

École Polytechnique Fédérale de Lausanne (EPFL)  
Power Electronics Laboratory (PEL)  
Switzerland



## Before the virtual coffee break

### Part 1) Introduction and motivation

- ▶ MMC Applications
- ▶ MMC operating principles
- ▶ Modeling and control

### Part 2) MMC energy control

- ▶ Role of circulating currents
- ▶ Branch energy control methods
- ▶ Performance benchmark



## After the virtual coffee break

### Part 3) MMC power extension

- ▶ MMC scalability
- ▶ Branch paralleling
- ▶ Energy control

### Part 4) MMC research platform

- ▶ MMC system level design
- ▶ MMC Sub-module development
- ▶ MMC RT-HIL development

# MMC RESEARCH PLATFORM

*High power university lab prototype and versatile HIL system*

## Pump Hydro Storage Research Platform

- ▶ MMC based AC/AC converter
- ▶ Interface between SG and local AC grid

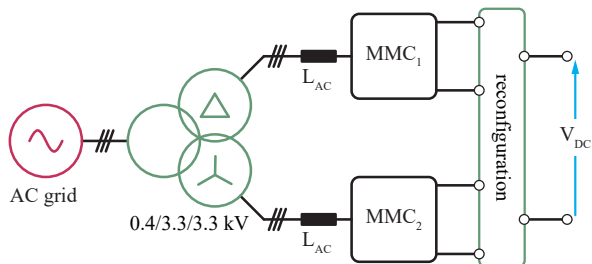


▲ MMC-Based AC/AC Converter for Pump Hydro Applications

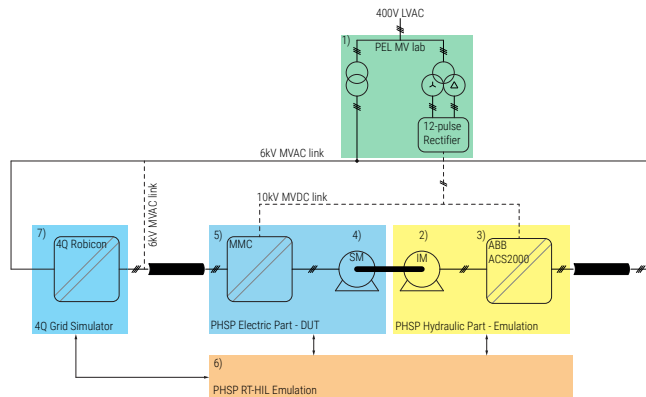
## Flexible DC Source (FlexDCS)

- ▶ MMC Based DC Source rated at 0.5 MVA
- ▶ Reconfiguration unit allows series/parallel operation
- ▶ Four quadrant operation

- ▶ Flexible voltage source in a range  $\pm 10$  kV DC
- ▶ Flexible current source in a range  $\pm 100$  A DC



▲ Flexible DC Source Topology [1]

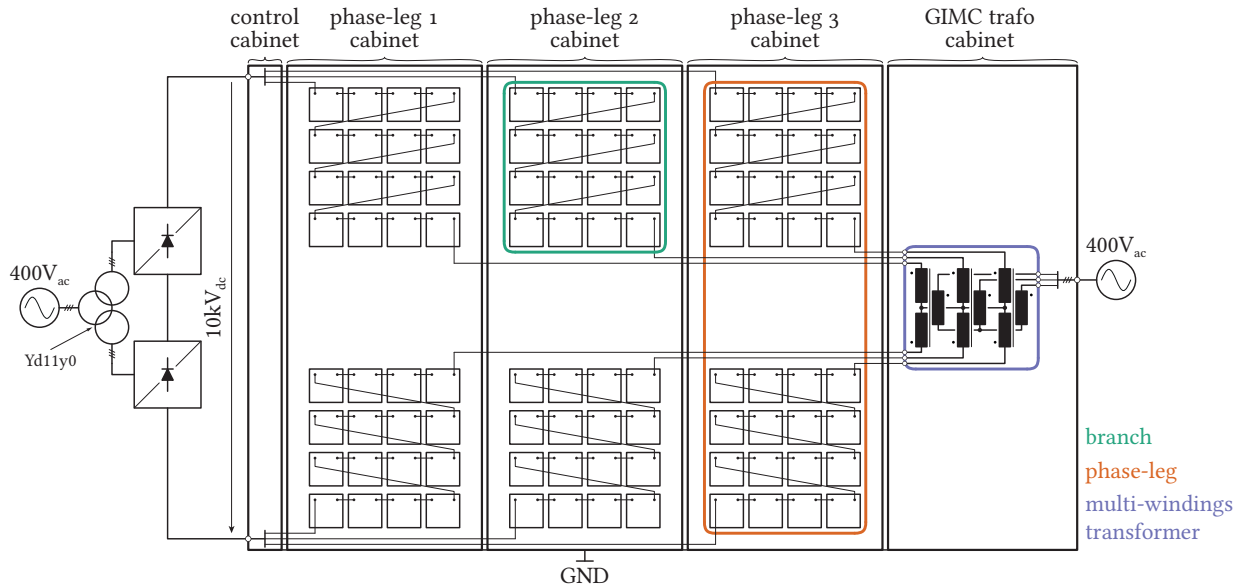


▲ Pumped Hydro Storage Plants - Research Platform

# MMC - CONVERTER LAYOUT

MMC demonstrator ratings are:

- ▶ 500 kVA
- ▶  $10\text{ kV}_{\text{dc}} \leftrightarrow 400\text{ V}_{\text{ac}}$  or  $6.6\text{ kV}_{\text{ac}}$
- ▶ 16 low voltage cells per branch  $\Rightarrow$  32 cells per phase (cabinet)  $\Rightarrow$  96 cells in total
- ▶ Industrial central controller and communication (ABB AC PEC 800)



▲ DC/3-AC MMC Converter Layout [2]



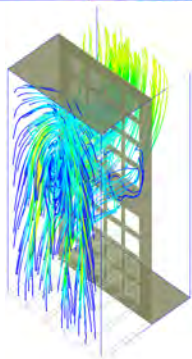
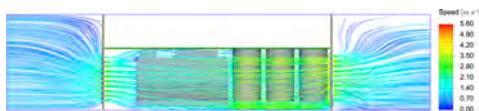
# MMC – SUBMODULE OPTIMIZATION

## Submodule

- ▶ 1.2 kV / 50 A full-bridge IGBT module
- ▶  $C_{cell} = 2.25 \text{ mF}$

## Thermal design

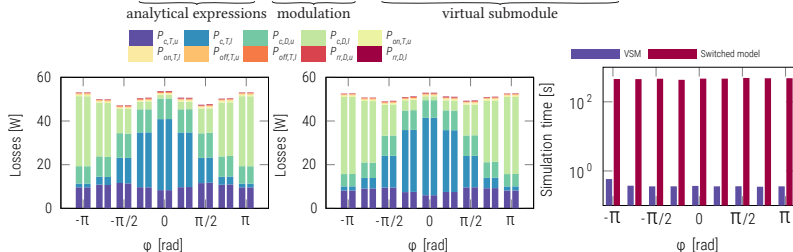
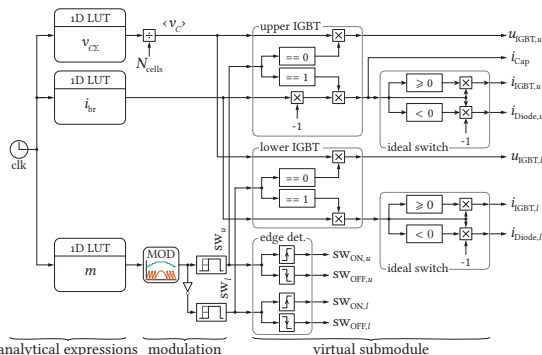
- ▶ Cell level: detailed FEM
- ▶ Cabinet level: simplified FEM



▲ CFD simulations

## Semiconductor losses

- ▶ Virtual Submodule concept has been utilized [3]
- ▶ Closed-loop waveforms are approached by analytical waveforms



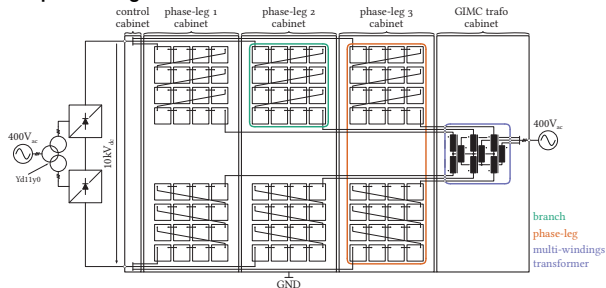
▲ PS-PWM, DC circ

▲ PS-PWM, DC+2<sup>nd</sup> circ

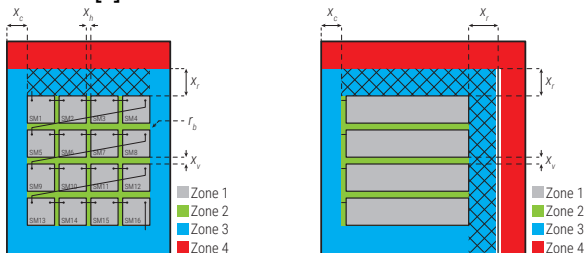
▲ Time benchmark

# INSULATION COORDINATION (I)

## System partitioning



## Zones definition [4]



Zone 1 (ins. coord. inside a SM's enclosure) system voltage: 1kV<sub>ac</sub>

Zone 2 (ins. coord. branch)

- ▶ Horizontal system voltage: 1kV<sub>ac</sub>
- ▶ Vertical system voltage: 3.6 kV<sub>ac</sub>

Zone 3 (ins. coord. branch - cabinet (at GND)) system voltage: 6.6 kV<sub>ac</sub>

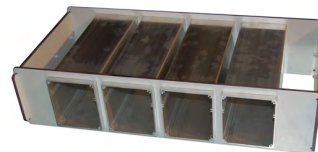
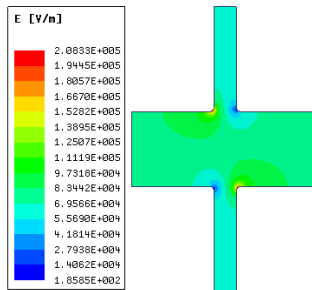
Zone 4 (ins. coord. for LV circuits) system voltage: 0.4 kV<sub>ac</sub>

## Standards

- ▶ UL840 for cell PCB (< 1kV)
- ▶ IEC61800-5-1 (AC motor drives)
  - ▶ Pollution degree 2: "Normally, only non-conductive pollution occurs. Occasionally, however, a temporary conductivity caused by condensation is to be expected, when the PDS is out of operation."
  - ▶ Overvoltage category II: "Equipment not permanently connected to the fixed installation. Examples are appliances, portable tools and other plug-connected equipment."

## Zone 2

- ▶ Box at dc- cell's potential (floating)
- ▶ Box corner radius: 3 mm
- ▶ MKHP (high CTI material) drawer holding 4 cells



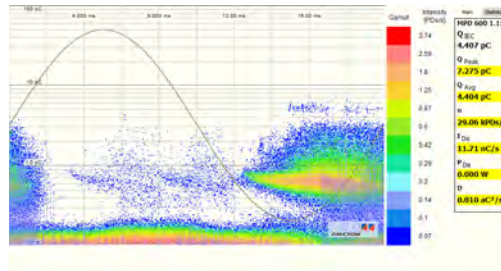
▲ E-field FEM simulations for drawer design

# INSULATION COORDINATION (II)

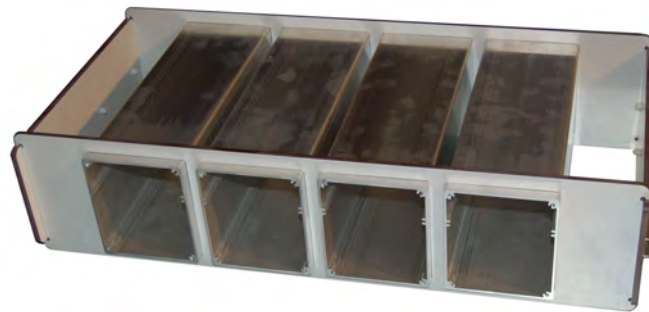
- ✓ MV MMC converter laboratory prototype layout compliant with:
  - ▶ UL840 (for cell)
  - ▶ IEC 61800-5-1
- ✓ Complete AC dielectric withstand tests on real prototype [4]



▲ Cabinet of one phase-leg (32 cells) in Faraday cage during insulation coordination testing



▲ AC dielectric withstand test result

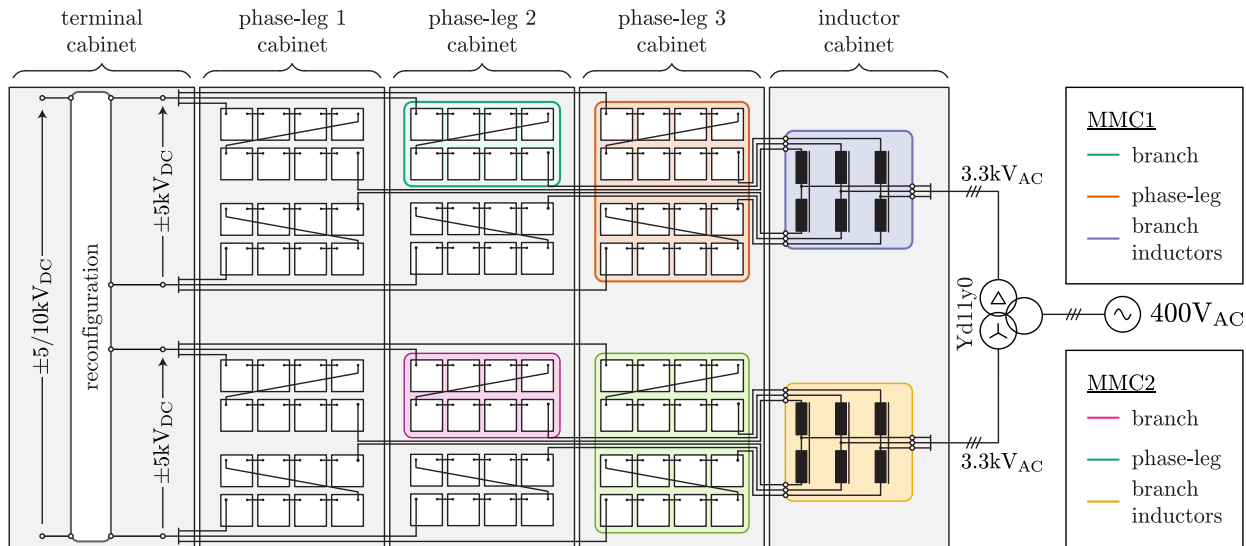


▲ Drawer holding 4 cell (MKHP material)

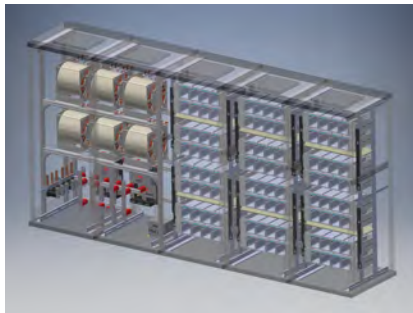
# MMC - CONVERTER LAYOUT

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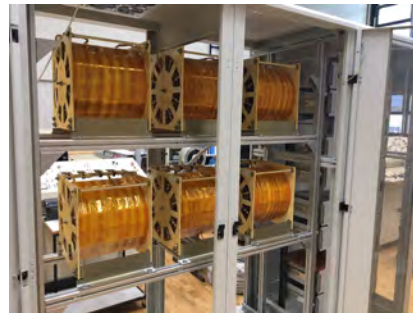
- ▶ 500 kVA (2 x 250 kVA)
- ▶  $\pm 10 \text{ kV}_{\text{DC}} \leftrightarrow 2 \times 3.3 \text{ kV}_{\text{AC}}$
- ▶ 8 low voltage cells per branch  $\Rightarrow$  16 cells per MMC phase  $\Rightarrow$  58 cells in total - per MMC
- ▶ Industrial central controller and communication (ABB AC PEC 800)



▲ Flexible DC Source Converter Layout



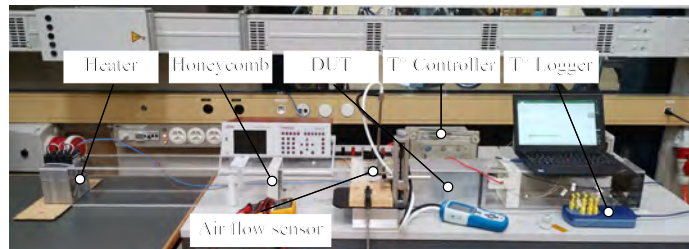
▲ MMC CAD development



▲ MMC coupled air-core branch inductors



▲ MMC - Actual mechanical assembly



▲ MMC Submodule thermal heat-run test setup [5]

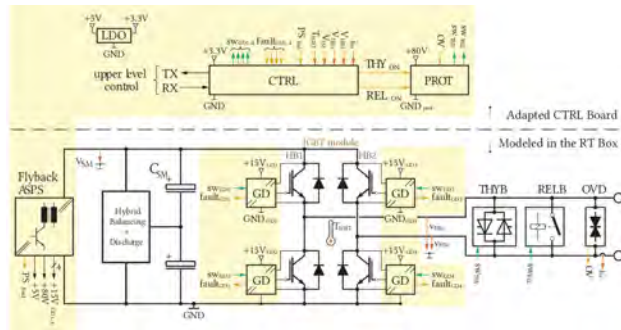
# MMC SUB-MODULE

*Low voltage based sub-module including cell controller*

# MMC SUB-MODULE - STRUCTURE

## Key Features

- ▶ Low voltage power components
- ▶ Full-bridge sub-module structure
- ▶ Sub-module rated voltage - 625 V
- ▶ Sub-module insulation coordination - 900 V
- ▶ Two interconnected PCBs: **Power PCB** and **Control PCB**



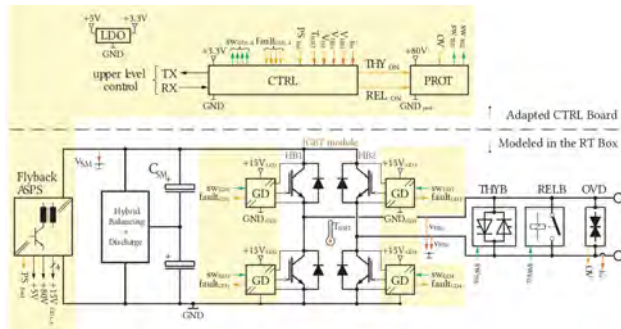
▲ MMC Sub-module Structure: Yellow parts - Control PCB



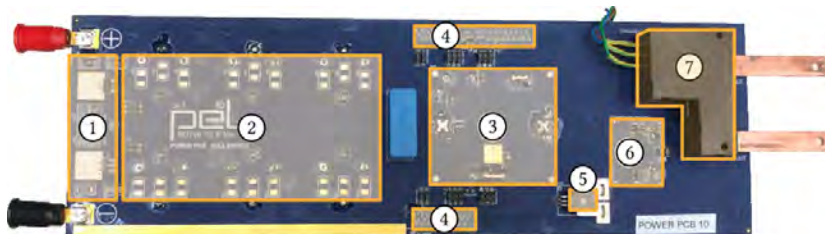
▲ Developed MMC FB sub-module based on the 12kV IGBTs

# MMC SUB-MODULE – POWER PCB

- ▶ Power processing part
- ▶ Semikron full-bridge IGBT module 1.2 kV/50 A
- ▶ Bank of electrolytic capacitors  $C_{sm} = 2.25 \text{ mF}$
- ▶ Protection devices: Bypass thyristor, relay and OVD
- ▶ Current and voltage measurements
- ▶ Hybrid balancing circuitry
- ▶ Hardware reconfiguration (HR)



▲ MMC Sub-module Structure: Yellow parts - Control PCB



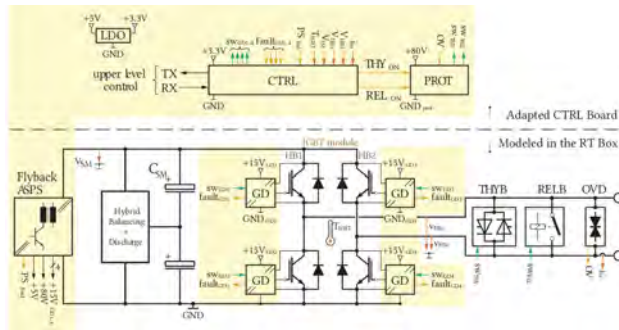
- |                         |                      |                      |                  |
|-------------------------|----------------------|----------------------|------------------|
| 1 - Balancing Circuitry | 3 - IGBT Module      | 5 - Current Sensor   | 7 - Bypass Relay |
| 2 - SM Capacitors       | 4 - Voltage Dividers | 6 - Thyristor Module |                  |

▲ Overview of the Power PCB

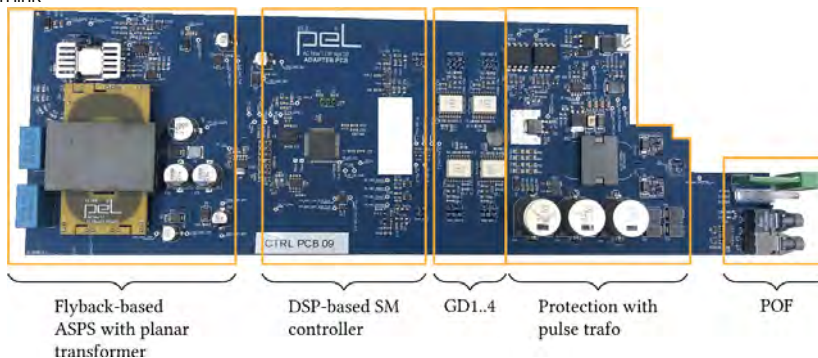


# MMC SUB-MODULE – CONTROL PCB

- ▶ Flyback based auxiliary power supply
  - ▶ +5V Output, used as a control feedback
  - ▶ +80V Protection supply
  - ▶ +15V Gate drivers supplies
  - ▶ +15V Self-supply output
- ▶ DSP based main SM Controller
  - ▶ Communication with upper level control
  - ▶ Voltage and current measurements
  - ▶ Monitoring the SM condition
  - ▶ Decentralized modulation
- ▶ Gate drivers
- ▶ Protection logic
  - ▶ Protection activation from upper level control
  - ▶ Protection activation from DSP
  - ▶ Protection activation by overvoltage detection
- ▶ Fiber-optical communication link



▲ MMC Sub-module Structure: Yellow parts- Control PCB



▲ Overview of the Control PCB

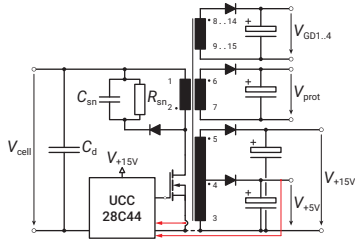
# AUXILIARY SUB-MODULE POWER SUPPLY (I)

## Possible concepts

- Externally supplied
  - Single wire loop
  - Siebel
  - Inductive power transfer
- Internally supplied
  - Tapped inductor Buck
  - Flyback

## Choice [6]

- Flyback with 6 isolated secondaries
  - 1× 5 V, 4 W for the controller supply ( $V_{+5V}$ ). This output is tightly regulated in closed-loop.
  - 4× 15 V, 1.5 W for the IGBT gate drivers ( $V_{GD1..4}$ )
  - 1× 80 V, 15 W for 15 s operation when activated for the protection circuit ( $V_{prot}$ )

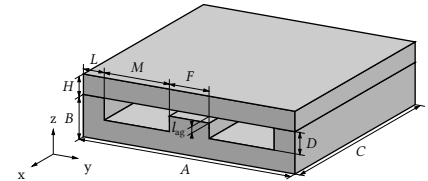
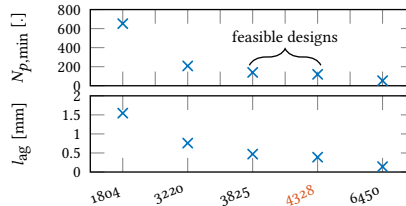
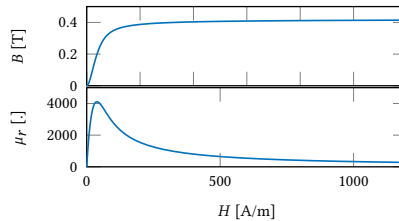


## Planar trafo design

- PCB windings (isolation requirements!)
- Planar ferrite cores with custom gapping (COSMO ferrites)

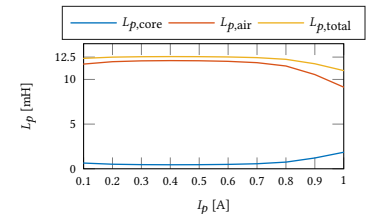
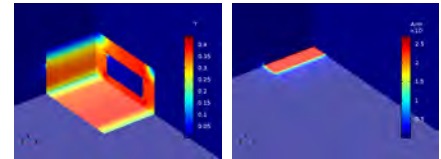
## Matlab design tool

- Account for flux fringing [7]
- BH curve for CF297
- Jiles-Atherton parametrization



## FEM

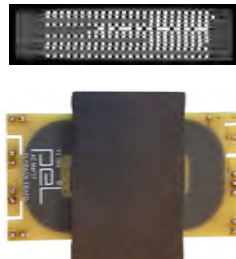
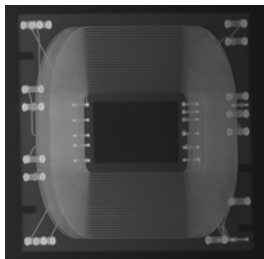
- Validate Matlab design
- 3D model for accurate leakage flux



# AUXILIARY SUB-MODULE POWER SUPPLY (II)

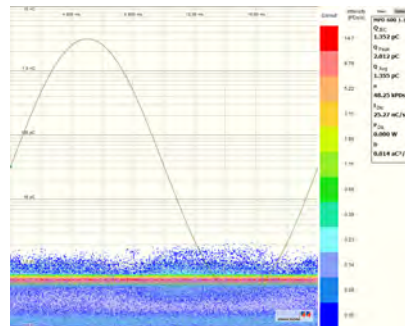
## Transformer assembly

- ▶ 14 copper layers PCB
- ▶ Custom gapped ferrite E+I core

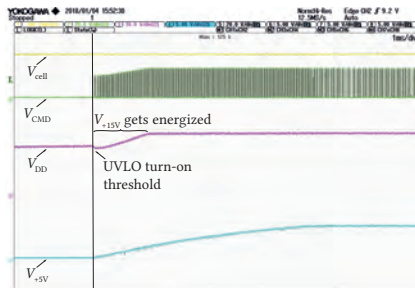


## AC dielectric withstand test

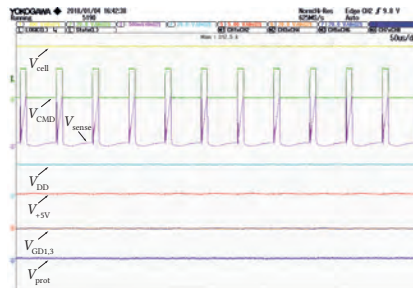
- ▶ Way below threshold level of 10pC



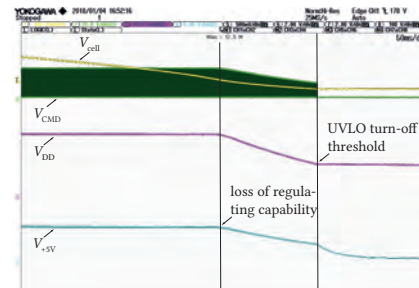
## Tests



▲ Start-up



▲ Steady-state operation

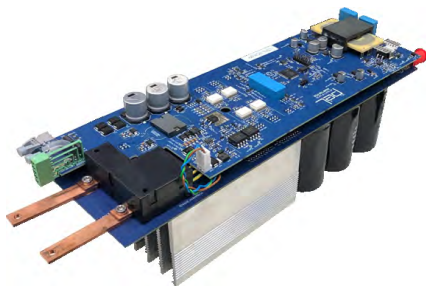


▲ Shut-down (slow  $dV/dt$  from Delta power-supply used to emulate the cell)

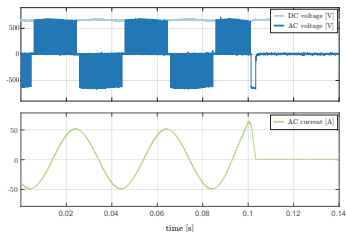
# MMC SUB-MODULE POWER TESTS

Extensive testing has been done:

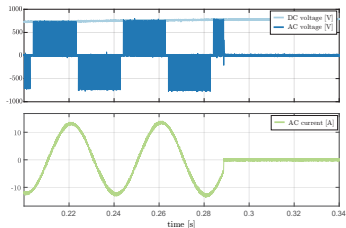
- ▶ Power tests
- ▶ Thermal heat-runs
- ▶ Over current tests
- ▶ Loss of power supply
- ▶ DC link over voltage
- ▶ Terminal over voltage
- ▶ Short-circuit tests
- ▶ ...



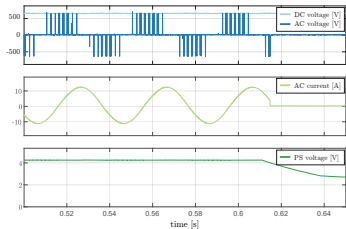
▲ Developed MMC FB sub-module



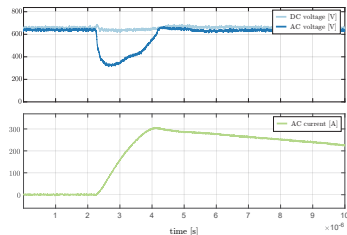
▲ MMC SM over current test



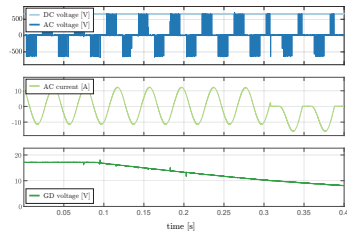
▲ MMC SM over voltage test



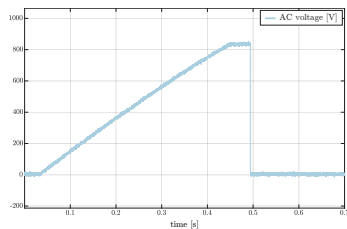
▲ Power supply under voltage detection



▲ Short circuit test (Desat detection)



▲ Gate Driver failure

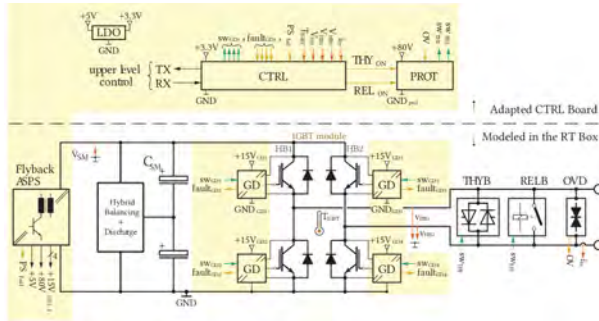


▲ AC terminals over voltage detection

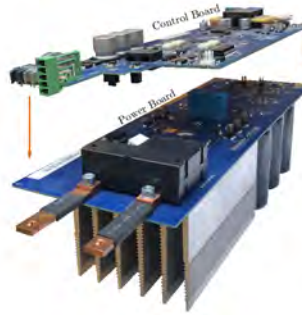
# MMC DIGITAL TWIN

*RT-Box based distributed HIL system*

# MMC - RT-HIL SYSTEM (I)



▲ Submodule layout

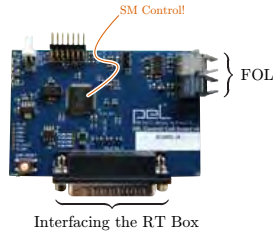


## Submodule

- ▶ Full-Bridge IGBT module
- ▶ Capacitor bank
- ▶ Protection circuitry
- ▶ Balancing circuit
- ▶ Auxiliary power supply

## ABB controller

- ▶ 2 × PEC 800 (Master/Slave config.)
- ▶ PECMI (measurements)
- ▶ COMBIO (relays, switches, etc.)
- ▶ HUB (data gateway)



▲ SM control board adapted for HIL testing

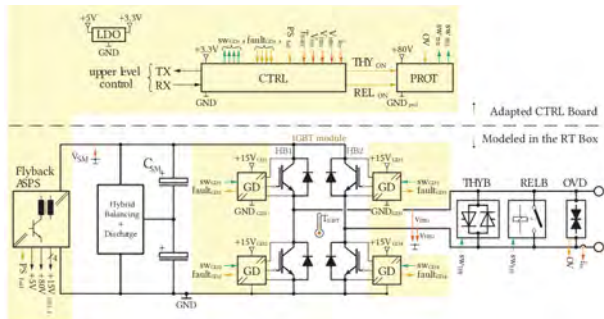


▲ RT Boxes used to host up to eight MMC control cards

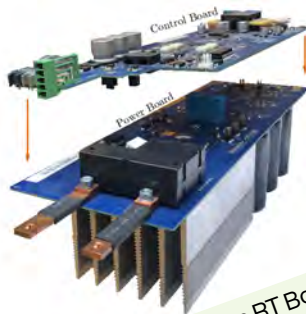


▲ Application (Grid) RT Box

# MMC - RT-HIL SYSTEM (I)



▲ Submodule layout



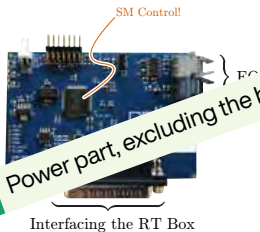
## Submodule

- ▶ Full-Bridge IGBT module
- ▶ Capacitor bank
- ▶ Protection circuitry
- ▶ Balancing circuit
- ▶ Auxiliary power supply

## ABB control

- ▶ IGBT (Master/Slave config.)
- ▶ PECEMI (measurements)
- ▶ COMBIO (relays, switches, etc.)
- ▶ HUB (data gateway)

⇒ Power part, excluding the balancing circuitry and power supply, is modeled in the RT Box ⇒ VIRTUAL POWER PROCESSING



▲ SM control board adapted for HIL testing

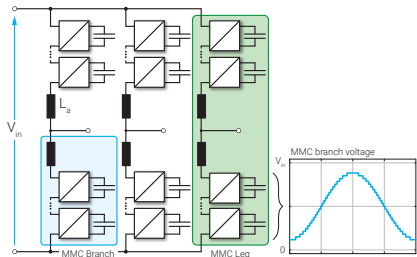


▲ RT Boxes used to host up to eight MMC control cards



▲ Application (Grid) RT Box

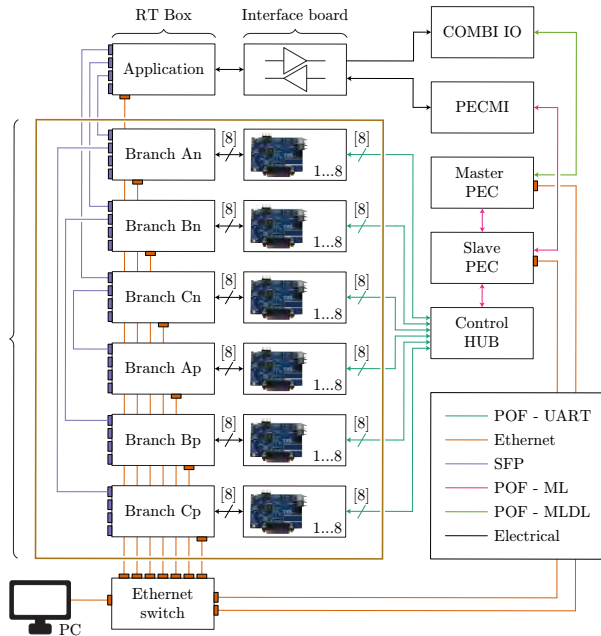
# MMC - RT-HIL SYSTEM (II)



▲ Modular Multilevel Converter

▲ Channels available on the RT Box

Description	No. of channels/ connectors	Voltage range
Analog Inputs	16	-10V...10V
Analog Output	16	-10V...10V
Digital Inputs	<b>32</b>	3.3V or 5V
Digital Outputs	32	3.3V or 5V
SFP Connectors	4	N.A.



## Limitation in the number of DIs

One RT Box hosts up to 8 SMs!

▲ Wiring communication scheme of a system comprising one MMC serving an arbitrary application



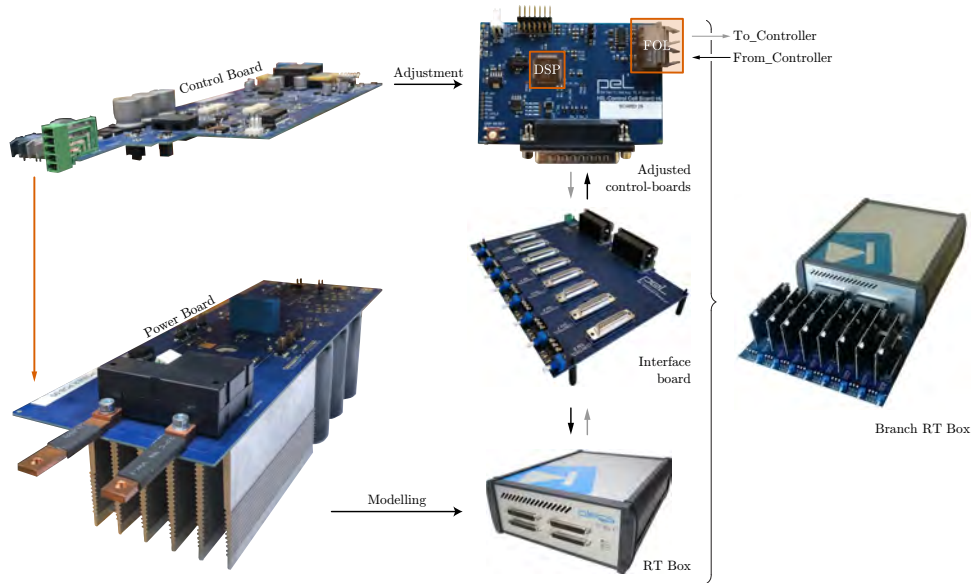
# MMC - RT-HIL SYSTEM (III)

## System summary

- ▶ 6 RT-Boxes - one per Branch of the MMC
- ▶ 1 RT-Box - Application (AC and DC side)
- ▶ ACS 800 PEC - ABB Industrial controller
- ▶ ABB other peripheral control boards
- ▶ Integrated into IT cabinet



▲ Application (Grid) RT Box

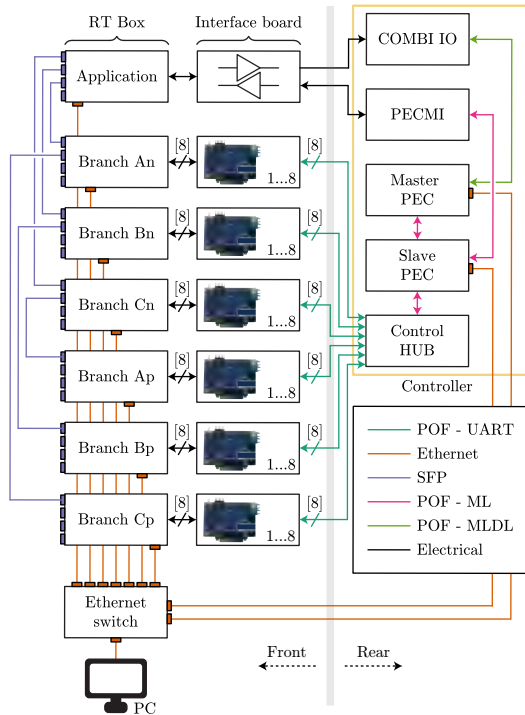


▲ Transformation of MMC cell into digital twin equivalent system

# MMC - RT-HIL SYSTEM (IV)



- 1- Grid RT Box
- 2 - Interface board
- 3 - Branch RT Box
- 4 - Adjusted control cards



- 1 - Master PEC
- 2 - Slave PEC
- 3 - CHUB
- 4 - PECMI
- 5 - COMBI IO

▲ Digital Twin - Realized RT-HIL system for control verification purpose: (left) front view; (middle) wiring scheme; (right) back view.

# MMC - RT-HIL SYSTEM (V)

## MMC RT-HIL extended version

- ▶ 4 RT-HIL cabinets - one per MMC
- ▶ 48 cells per one RT-HIL cabinet
- ▶ Various reconfigurations are possible



▲ RT Box hosting application



▲ RT Box hosting eight MMC sub-modules



▲ Digital Twins - Four RT-HIL systems allowing for various topological reconfigurations

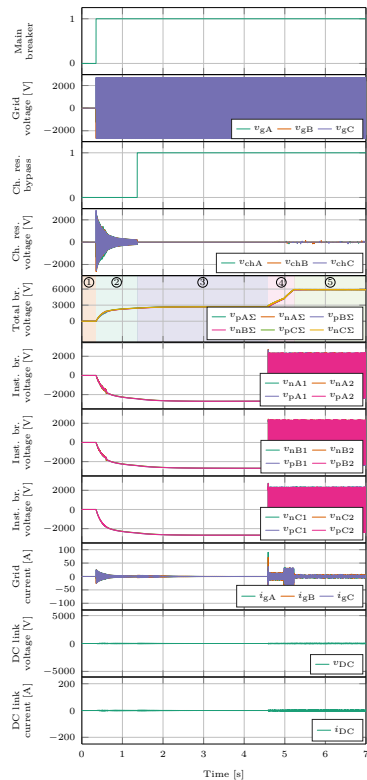
# CONTROL SW TESTING

*Results recorded from the HIL platform*

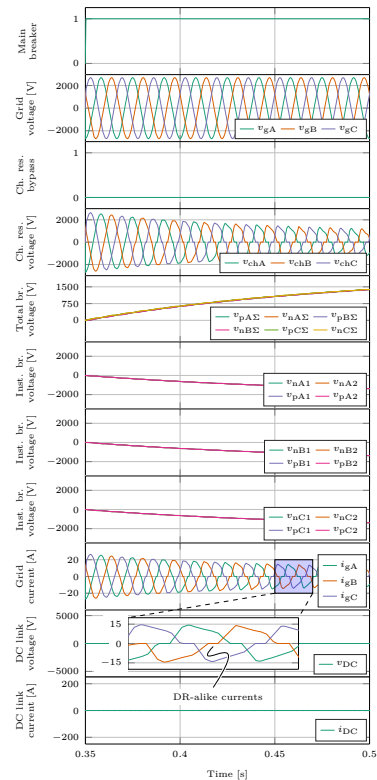
# RECORDED WAVEFORMS (I)

▲ Simulated converter param.

Rated power ( $S^*$ )	1MVar
Output voltage ( $V_{DC}$ )	5kV
Grid voltage ( $v_g$ )	3.3kV
No. of SMs per branch ( $N$ )	6
SM capacitance ( $C_{sm}$ )	3.36mF
Branch inductance ( $L_{br}$ )	2.5mH
Branch resistance ( $R_{br}$ )	60mΩ
PWM carrier frequency ( $f_{pwm}$ )	1kHz
Fundamental frequency ( $f_o$ )	60Hz
Charging resistors ( $R_{ch}$ )	210Ω



▲ Converter charging process presented through several stages  
November 16-18, 2020

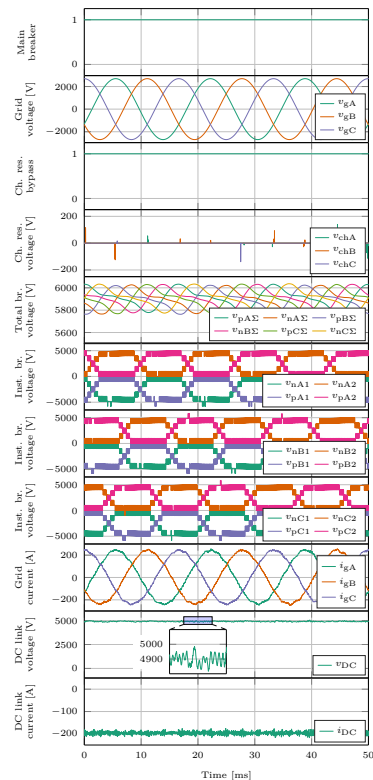
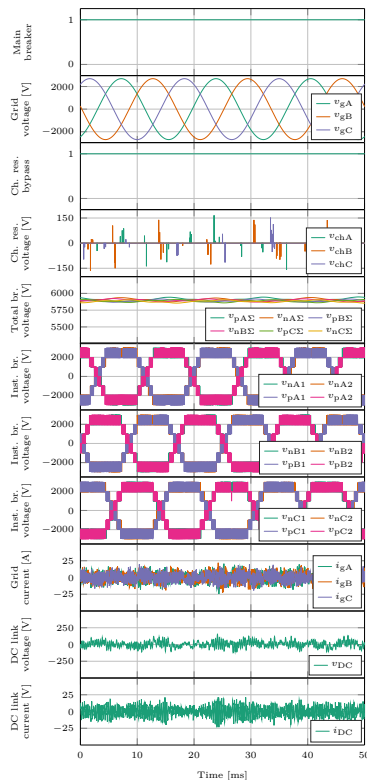


▲ A fraction of the interval referred to as the passive charging

# RECORDED WAVEFORMS (II)

## ▲ Simulated converter param.

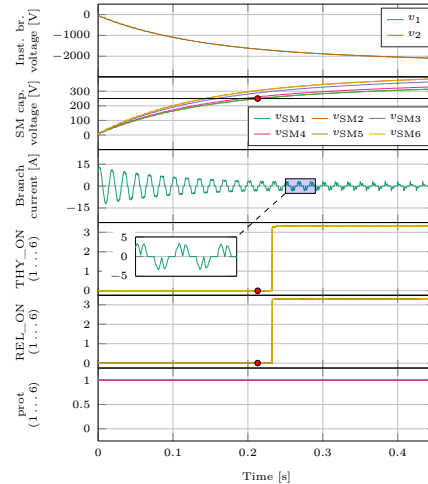
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Charging resistors ( $R_{ch}$ )	210Ω



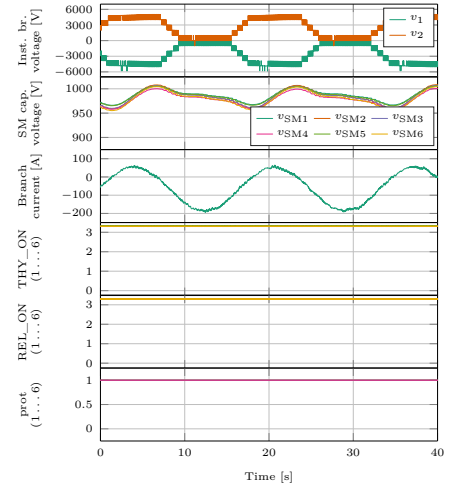
# RECORDED WAVEFORMS (III)

## ▲ Simulated converter param.

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Branch resistance ( $R_{br}$ )	60m $\Omega$
PWM carrier frequency ( $f_{pwm}$ )	1kHz
Fundamental frequency ( $f_o$ )	60Hz
Charging resistors ( $R_{ch}$ )	210 $\Omega$



▲ Passive charging of a branch

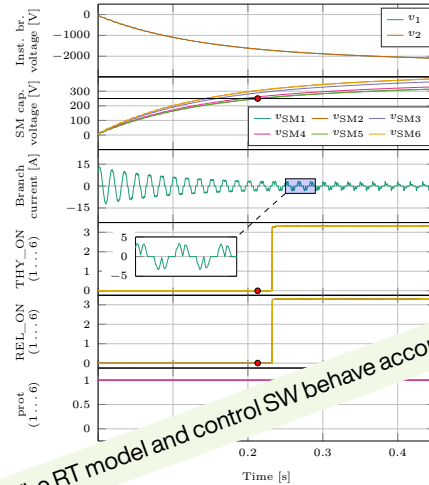


▲ Branch operation at full load

# RECORDED WAVEFORMS (III)

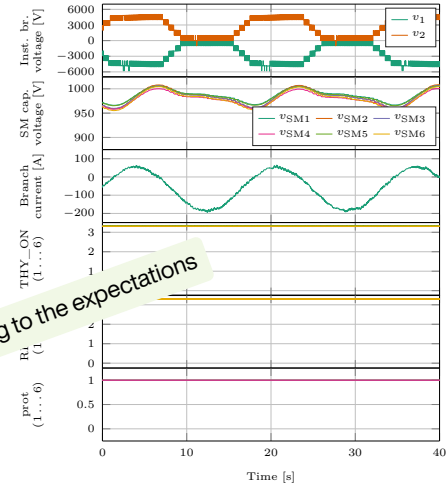
## ▲ Simulated converter param.

Rated power ( $S^*$ )	1MVar
Output voltage ( $V_{DC}$ )	5kV
Grid voltage ( $v_g$ )	3.3kV
No. of SMs per branch ( $N$ )	6
SM capacitance ( $C_{sm}$ )	3.36mF
Branch inductance ( $L_{br}$ )	2.5mH
Branch resistance ( $R_{br}$ )	60m $\Omega$
PWM carrier frequency ( $f_{pwm}$ )	1kHz
Fundamental frequency ( $f_o$ )	60Hz
Charging resistors ( $R_{ch}$ )	210 $\Omega$



→ The RT model and control SW behave according to the expectations

Active charging of a branch

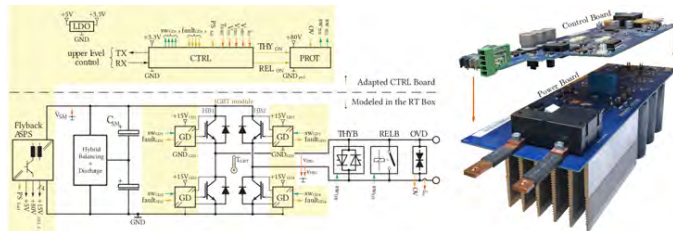


▲ Branch operation at full load



## MMC research platform

- ▶ Electrical and mechanical design
- ▶ Insulation coordination
- ▶ Control development
- ▶ Testing independently HW and SW
- ▶ RT-HIL modeling and development
- ▶ Achieving flexibility for various applications
- ▶ Supporting future research activities



▶ PEL developed MMC sub-module



▶ MMC - Actual mechanical assembly



▶ Digital Twins - Four RT-HIL systems allowing for various topological reconfigurations

- [1] M. Utvić, S. Milovanović, and D. Dujic. "Flexible Medium Voltage DC Source Utilizing Series Connected Modular Multilevel Converters." *2019 21st European Conference on Power Electronics and Applications (EPE '19 ECCE Europe)*. 2019, pp. 1–9.
- [2] A. Christe and D. Dujic. "Galvanically isolated modular converter." *IET Power Electronics* 9.12 (2016), pp. 2318–2328.
- [3] A. Christe and D. Dujic. "Virtual Submodule Concept for Fast Semi-Numerical Modular Multilevel Converter Loss Estimation." *IEEE Transactions on Industrial Electronics* 64.7 (July 2017), pp. 5286–5294.
- [4] A. Christe, E. Coulinge, and D. Dujic. "Insulation coordination for a modular multilevel converter prototype." *2016 18th European Conference on Power Electronics and Applications (EPE'16 ECCE Europe)*. Sept. 2016, pp. 1–9.
- [5] I. Polanco and D. Dujic. "Thermal Study of a Modular Multilevel Converter Submodule." *PCIM Europe digital days 2020; International Exhibition and Conference for Power Electronics, Intelligent Motion, Renewable Energy and Energy Management*. 2020, pp. 1–8.
- [6] A. Christe et al. "Auxiliary submodule power supply for a medium voltage modular multilevel converter." *CPSS Transactions on Power Electronics and Applications* 4.3 (2019), pp. 204–218.
- [7] J. Muhlethaler, J. W. Kolar, and A. Ecklebe. "A novel approach for 3d air gap reluctance calculations." *8th International Conference on Power Electronics - ECCE Asia*. May 2011, pp. 446–452.

