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Non-retinotopic Adaptive Center-Surround Modulation in Motion Processing

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Abstract

The early visual system is organized retinotopically. However, under ecological viewing conditions, motion perception occurs in non-retinotopic coordinates. Even though many studies revealed the central role of non-retinotopic processes, very little is known about their mechanisms and neural correlates. Tadin and colleagues found that increasing the spatial size of a high-contrast drifting-Gabor deteriorates motion-direction discrimination, whereas the opposite occurs with a low-contrast stimulus. The results were proposed to reflect an adaptive center-surround antagonism, whereby at low-contrast the excitatory center dominates whereas at high-contrast suppressive-surround mechanisms become more effective. Because ecological vision is non-retinotopic, we tested the hypothesis that the non-retinotopic system also processes motion information by means of an adaptive center-surround mechanism. We used the Ternus-Pikler display designed to provide either a retinotopic or a non-retinotopic reference-frame. Our results suggest that the non-retinotopic processes underlying motion perception are also mediated by an adaptive center-surround mechanism.

Keywords: Motion perception, motion detection, reference-frames, non-retinotopic processes, adaptive center-surround mechanisms, ecological vision

1. Introduction

The early visual system is organized retinotopically i.e., neighboring points in the visual field are mapped onto neighboring photoreceptors in the retina. This *retinotopic* encoding principle is maintained in the early visual areas. However, motion perception occurs usually in *non-retinotopic coordinates*. For example, consider the perceived trajectory of a reflector located on the wheel of a moving bicycle. With eyes fixed, the reflector's motion on the retina is a curtate cycloid (Fig. 1A). However, perceptually, the reflector appears to move on a circular orbit (Fig. 1B) because the horizontal motion of the bicycle is subtracted from the curtate motion. The curtate cycloid is invisible (but can still influence decisions, Lauffs et al., 2018). Likewise, Öğmen et. al. (2006), Otto et. al. (2006) and Boi et. al. (2009) showed that perception of *form* and *motion* is influenced not only by retinotopic stimulation but also by the establishment of perceptual groups. Perceptual groups as well as perceptually organized form (Tadin, Lappin, Blake, & Grossman, 2002) establish reference-frames in which motion is computed. Even though the importance of non-retinotopic

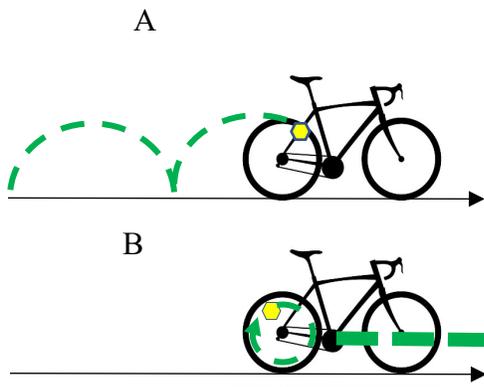


Figure 1. When the eyes are fixed, the yellow reflector on the rear wheel of the bicycle traverses a curtate cycloid trajectory as shown in (A). Hence, the perceived trajectory of the reflector should be a curtate cycloid if perception were to follow retinotopic coordinates. However, as shown in (B), the perceived trajectory of the reflector is circular. Thus, we perceive the reflector relative to the horizontal motion of the bicycle, i.e., according to a non-retinotopic reference-frame set by the horizontal motion of the bicycle.

of this study was to use the Ternus-Pikler display to test the hypothesis that similar adaptive center-surround mechanisms operate in non-retinotopic coordinates. This generalization is of interest because, as mentioned above, motion perception in natural environments is essentially non-retinotopic.

The Ternus-Pikler display (Pikler, 1917; Ternus, 1926)¹ is an experimental paradigm that offers the possibility to pit against each other retinotopic and non-retinotopic reference frames. A

¹ There are extensive studies examining how various stimulus parameters affect the perceived motion in Ternus-Pikler displays (e.g., Pantle & Picciano, 1976; Pantle & Petersik, 1980; Breitmeyer & Ritter, 1986; Dawson & Wright, 1994; He & Ooi, 1999; Kramer & Rudd, 1999; Scott-Samuel & Hess, 2001; Alais & Lorenceau, 2002; Hein & Moore, 2012; review: Petersik & Rice, 2006).

94 conventional Ternus-Pikler display consists of three elements that are spatially shifted by one inter-
 95 element distance from frame to frame (Fig. 2). Depending on the Inter-Stimulus Interval (ISI)
 96 between frames, two different percepts occur (Pantle & Picciano, 1976): Element motion for short
 97 ISIs (0 ms in this experiment) or group motion for long ISIs (266 ms in this experiment).

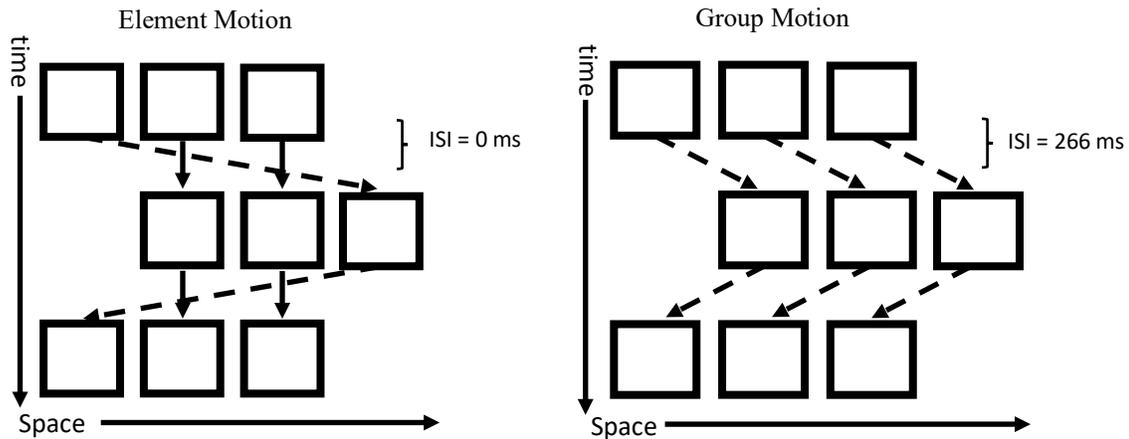


Figure 2. The Ternus-Pikler display. The conventional Ternus-Pikler display consists of three elements that are spatially shifted by one inter-element distance from frame to frame. Depending on the time interval between frames (ISIs), two different percepts are obtained: Element motion (left panel) and Group motion (right panel). In the **Element Motion (0ms ISI)**: the two central elements are perceived as stationary (indicated by the solid lines) while the outermost squares are perceived to alternate from left to right (dashed line). **Group Motion (266ms)**: all three squares appear to move in tandem as a group from left to right (dashed lines).

114 When *element motion* prevails, the two central elements are perceived as stationary (indicated by
 115 the solid lines in Fig. 2), while the outermost elements are perceived to move back and forth from
 116 left to right (dashed line in Fig. 2). In the *group motion* percept, the elements appear to move in
 117 tandem from left to right in a trajectory indicated by the dashed lines in Fig. 2.

119 To test the hypothesis of this study, we used a modified version of the Ternus-Pikler display. We
 120 embedded Gabor patches inside the squares that make up the Ternus-Pikler display and varied the
 121 spatial size and the contrast of the Gabor patches. We measured phase-shift thresholds for
 122 discrimination of motion-direction in retinotopic and non-retinotopic tasks.

125 2. General Methods

127 2.1 Participants

129 Six observers (6 males) including one of the authors participated in this study. The same observers
 130 participated in all experiments. Participant's age ranged from 23 to 35 years and all participants
 131 had normal or corrected-to-normal vision. Experiments followed a protocol approved by the
 132 University of Denver Institutional Review Board for the Protection of Human Subjects. Each
 133 observer gave written consent before the experiments.

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135 2.2 Apparatus

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137 Observers viewed the stimulus on a NANA0 F2-21 monitor driven by a ViSaGe card (Cambridge
138 Research Systems). The stimulus was displayed at a resolution of 1280 x 960 with a refresh rate
139 of 60 Hz. The background luminance was set to 30.5 cd/m² as measured with a Minolta (LS-110)
140 luminance meter. The room was dimly illuminated. A head/chin rest was used. Observers reported
141 their responses by pressing the arrow keys of a keyboard. The viewing distance was set to 1.07 m.
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143 2.3 Stimuli

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145 Our version of the Ternus-Pikler display consisted of three drifting Gabor patches embedded in
146 black square frames. The square width and length was set to 4.5 degrees of visual angle (deg). The
147 square lines had a thickness of 0.15 deg. The horizontal center-to-center separation of the Ternus-
148 Pikler squares was 5 deg. The spatial frequency of the Gabor elements was fixed to 1 cpd. In all
149 experimental conditions, the size of the Gabor patch was 2σ , where σ is the standard deviation of
150 the stationary Gaussian envelope that windowed a vertical sine grating. The contrast varied from
151 2.8% to 90% Michelson contrast.
152

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153 Motion correspondences in the Ternus-Pikler display establish a reference frame underlying
154 computations of form, motion, etc. (Öğmen & Herzog, 2010). In the element motion condition
155 (Fig. 2 left), the central elements are perceived at their retinotopic positions (depicted by solid
156 arrows) and hence any stimulus positioned in these elements is processed following a *retinotopic*
157 reference frame. In group motion, all three elements are perceived to move left-and-right in
158 tandem, thereby establishing a *non-retinotopic* reference frame depicted by dashed arrows in Fig.
159 2 right. In sum, the Ternus-Pikler display generates retinotopic (element motion, solid arrows in
160 Fig. 2 left) and non-retinotopic (group motion, dashed arrows in Fig. 2 right) reference frames. We
161 embedded Gabor patches in the Ternus-Pikler display elements (Fig. 3) and the observers' task
162 was to report the drift direction (up or down) of Gabor patches. The drift of the Gabor was
163 implemented by adding a coherent spatial phase-shift from frame to frame (integer multiples of δ ;
164 see Fig. 3).
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166 This phase-shift can be added according to retinotopic or non-retinotopic coordinates. Adding a
167 spatial phase-shift according to retinotopic coordinates means that the phase-shift is added to a
168 Gabor that remained in the same spatial (retinotopic) position from frame-to-frame (Fig. 3A and
169 3B). As shown by solid arrows in Fig. 2A, there are two such possible cases. Here we used the
170 case that starts with the *central* element of the first frame (see the yellow arrows in Fig. 3A). A
171 spatial phase-shift according to non-retinotopic coordinates means that the phase-shift was added
172 from frame-to-frame to Gabors at different spatial (retinotopic) positions following the non-
173 retinotopic reference frame shown by dashed arrows in Fig. 2B. Among the three possible choices,
174 we used the case that starts with the *central* element of the first frame (see the yellow arrows in
175 Fig. 3D).
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177 Hence, we obtained a 2x2 design, with reference frame as one factor with two levels (retinotopic,
178 non-retinotopic) and Gabor drift as the other factor with two levels (retinotopic, non-retinotopic)
179 as shown in Figure 3. The arrows in the display indicate the *perceived* motion correspondences of

180 the Ternus-Pikler elements (the squares) from frame to frame. The rest of the pathways in the
 181 displays were filled with mainly ambiguous motion, i.e. whenever possible we added 180° phase-
 182 shifts from frame to frame (shown in red). The purpose of this was to eliminate the possibility of
 183 participants picking up motion signals from pathways other than the one containing the coherent
 184 drift information. This was empirically verified through a control experiment.

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 186 2.4 General Procedures

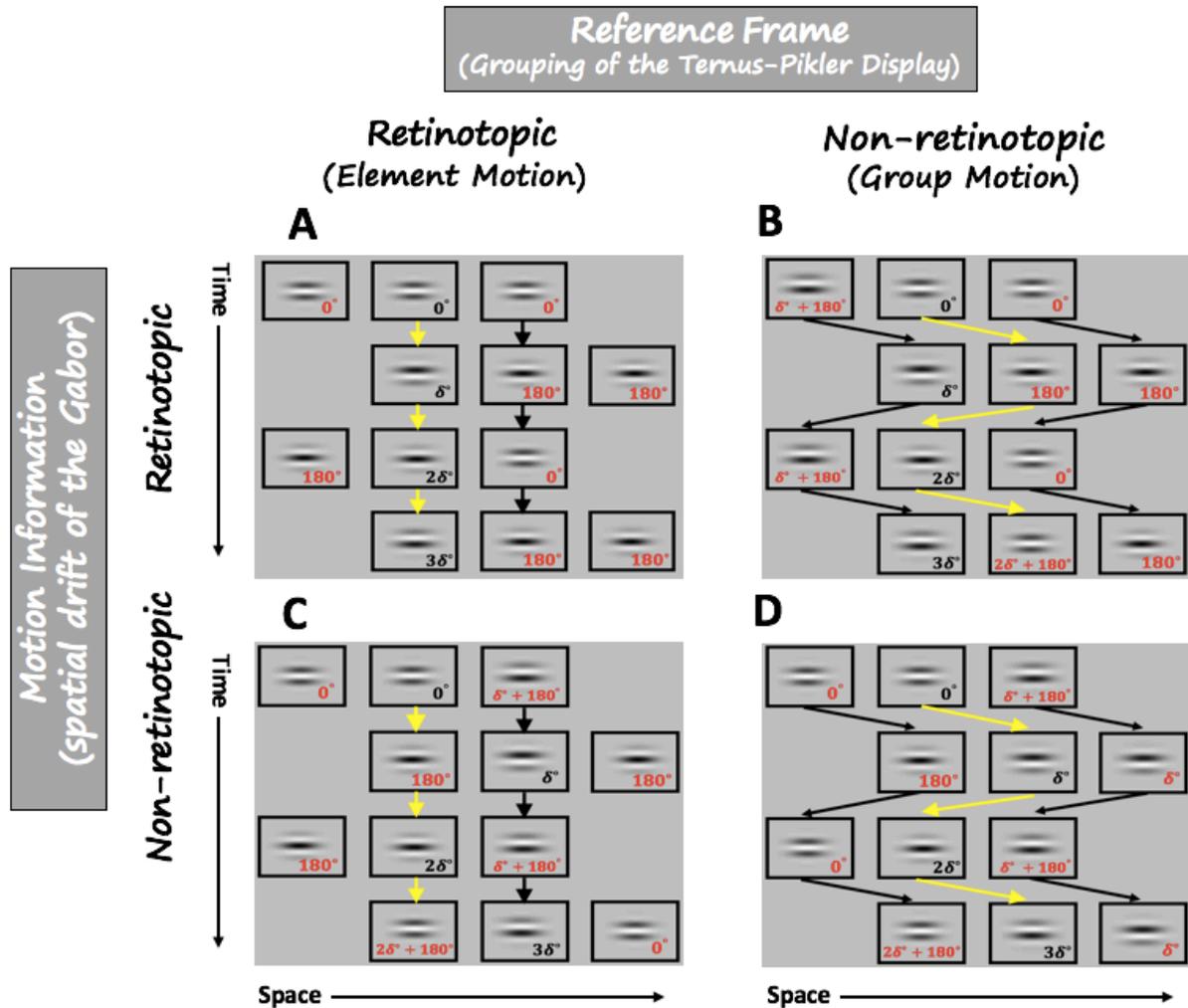


Figure 3. Schematic of the 2x2 factorial design. The left column (panels A and C) shows the Element-motion condition (i.e., retinotopic reference-frame, ISI = 0 ms) with retinotopic (panel A) and non-retinotopic (panel C) drift-information, respectively. In these two conditions, the central elements of the display appear to be stationary indicated by the yellow and black arrows. Note that in the retinotopic reference-frame and retinotopic drift condition (panel A), coherent phase-drift (represented by integer multiples of δ) is placed in the same retinotopic location whereas for the retinotopic reference-frame and non-retinotopic drift condition (C), coherent phase-shift is in non-retinotopic coordinates (the integer multiples of δ shown in black bold font). The right column (panels B and D) represents the Group-motion condition (i.e., non-retinotopic reference-frame, ISI = 266.67 ms) with retinotopic (panel B) and non-retinotopic (panel D) drift. In these two conditions, the Ternus-Pikler elements (rectangular frames) appear to move in tandem in a trajectory indicated by the black and yellow arrows. As before, coherent phase-shift δ is placed in either retinotopic (B) or non-retinotopic coordinates (D).

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189 At the beginning of each session, participants were adapted for 4 minutes to the background
190 luminance of the display. Each trial started with a fixation cross in the middle of the screen
191 presented for 1000-ms. After that, the stimulus sequence was presented. The stimulus sequence
192 consisted of 4 Ternus-Pikler frames (Fig. 3) each displayed for 133.33-ms. Between these frames,
193 a blank screen was shown for an Inter Stimulus Interval (ISI) duration of either 266.67 (group
194 motion) or 0 ms (element motion). After stimulus presentation, a blank screen appeared until
195 observer's response. Throughout the experiment observers were instructed to attend to one of the
196 Gabor elements of the display (indicated by the yellow arrows in Fig. 3) while maintaining fixation
197 on the center of the screen. The *task* was to report the perceived direction of drift
198 (upward/downward) of the attended Gabor element as accurately as possible by pressing the up or
199 down arrows of the keyboard. The next trial started 1000 ms after the observer gave a response.

200
201 We measured the *threshold phase-shift* δ , required for the observers to accurately identify the
202 motion direction of the drifting Gabor stimulus. The threshold was set to 80% correct performance.
203 We used the Quest+ adaptive method (Watson, 2017) to obtain the thresholds. Data was fitted with
204 a Weibull function. The lapse level was fixed to 0.01. In some experimental conditions, observers
205 were at chance and never reached 80% accuracy. In those cases, we report % correct performance.
206 As the focus of our study was primarily on measuring performance *changes* as a function of
207 contrast and size rather than identifying optimal performance, we did not provide feedback to the
208 participants.

209
210 One important observation is the potential interference of eye movements with non-retinotopic
211 processing. For example, if subjects follow the non-retinotopic stimulus with eye movements by
212 foveating it, the non-retinotopic stimuli will be projected at a retinotopically fixed position (fovea)
213 even though it occupies different spatial positions in the external world. In several previous studies
214 (Boi, Ogmen, & Herzog, 2011; Boi, Ogmen, Krummenacher, Otto, & Herzog, 2009; Lauffs,
215 Choung, Ögmen, & Herzog, 2018; Lauffs, Choung, Ögmen, Herzog, & Kerzel, 2019; Lauffs,
216 Ögmen, & Herzog, 2017; Thunell, van der Zwaag, Ögmen, Plomp, & Herzog, 2016) we addressed
217 this point in detail by measuring directly eye movements during experiments using the Ternus-
218 Pikler display. The results showed that observers can and indeed do maintain a steady fixation
219 with negligible eye movements. Thus, we have strong experimental evidence that eye movements
220 do not play a role in perceptual effects associated with the Ternus-Pikler displays.

221
222 An experimental block consisted of 51 trials. The direction of drift was randomized across trials.
223 During a given session, the order of experimental condition and the combination of Gabor patch-
224 width and contrast level were randomized. Each observer ran a total of 165 blocks. Typically, a
225 block was completed in about 1.5 minutes, which resulted in approximately 6 sessions of 40
226 minutes each.

227 228 **3. Experiments, Their Rationales and Predictions**

229
230 As discussed above and as depicted in Fig. 3, depending on the match between the reference frame
231 (retinotopic/element-motion or non-retinotopic/group-motion) and the placement of the coherent
232 drift information δ (placed in either retinotopic or non-retinotopic coordinates), four main
233 experimental conditions are generated.

234

235 Condition 1: Retinotopic reference-frame and retinotopic drift-information (Fig. 3A): In this
236 condition, the element-motion percept establishes a retinotopic reference-frame and the coherent
237 drift-information (represented by integer multiples of δ) is added in retinotopic coordinates. Thus,
238 there is a *match between the reference-frame and the drift information*, both in retinotopic
239 coordinates similar to the design of Tadin et al. (2003). Therefore, for high-contrast Gabors we
240 expect a decrease in performance as a function of size whereas for a low-contrast stimulus we
241 expect an improvement in performance.

242
243 Condition 2: Non-retinotopic reference-frame and retinotopic drift-information (Fig. 3B): Here,
244 group-motion establishes a non-retinotopic reference-frame (depicted by the black and yellow
245 arrows in Fig. 3B), whereas the drift direction is perceived retinotopically. Hence, in this case,
246 there is a *mismatch between the reference frame and the drift information*. Because of this
247 mismatch, we predict that observers will not reach the threshold level set at 80% correct. In fact,
248 their performance should be about chance level (50% correct).

249
250 Condition 3: Retinotopic reference-frame and non-retinotopic drift-information (Fig. 3C): The
251 outermost elements are perceived to move on the trajectory shown by the black dashed lines in Fig
252 3C, whereas the central elements are perceived as stationary. Drift information is added in non-
253 retinotopic coordinates. Based on the *mismatch* between the reference frame and the drift
254 information, as in the previous case, we predict that observers will be at chance.

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256 Condition 4: Non-retinotopic reference-frame and non-retinotopic drift-information (Fig. 4): In
257 this condition, the reference-frame is non-retinotopic and the drift information is added in non-
258 retinotopic coordinates. Hence, there is a *match* between the reference-frame and the drift
259 information. Here we will test the main hypothesis of the study: If indeed, adaptive center-surround
260 mechanisms, as observed by Tadin et al. (2003) is general, we expect to replicate their results not
261 only when there is a match in retinotopic coordinates (Condition 1), but also when there is a match
262 in non-retinotopic coordinates. Accordingly, similar to Condition 1, we expect to observe a
263 decrease in performance with size for high-contrast stimuli and an increase in performance for
264 low-contrast stimuli. Preliminary pilot data showed that the lowest contrast value to perform the
265 non-retinotopic task of Condition 4 above chance level was 5.5%. Therefore, in order to keep
266 consistency in the stimulus parameters, we used this minimum value for *all experiments* except
267 for Condition 1, where we set the low-contrast value to 2.8% in order to match closely the
268 parameters used by Tadin et. al. (2003) in his experiment.

269
270 **In all these experiments, in order to measure how observers perceive stimuli according to different**
271 **reference-frames, observers were asked to attend to an element according to the reference-frame**
272 **prevailing for that condition. Hence, in Conditions 1 and 3 observers attended to a retinotopic**
273 **location (see the yellow arrows in Figures 4 and 7), whereas in Conditions 2 and 4 they attended**
274 **along the non-retinotopic pathway (see the yellow arrows in Figures 6 and 8). It may be also of**
275 **interest to ask what happens if the observers' attention is directed to an element outside the**
276 **reference frame. We studied the dissociation between attention and the reference frame in Lauffs,**
277 **Choung, Ögmen, Herzog, & Kerzel (2019). That study showed that, whereas tracking by focal**
278 **attention can generate an attention-based reference-frame, the effect is rather small compared with**
279 **reference-frames generated by motion-based grouping, as in Ternus-Pikler displays. Hence, in the**

280 experiments reported in this paper, attention and reference-frame were congruent in order to
281 generate strong effects upon which we could investigate center-surround modulation effects.
282

283 Control Condition: No Ternus-Pikler motion (Fig. 11): Across all experiments, the path along
284 which the drift information is introduced has only integer multiples of δ from frame to frame.
285 Other task-irrelevant paths contain mainly ambiguous motion (180° phase shift), but there are still
286 some frames containing multiples of δ . For example, consider the phase shifts added to the Gabors
287 placed in the left-square of the display in Fig. 11A. The phase shift from the first frame to the
288 second frame (from 0° to 180°) is ambiguous, since it is equally likely to result from an upward
289 or downward drift. Similarly, the transition from the third to the fourth frame is ambiguous (from
290 2δ to $2\delta + 180^\circ$). However, the transition from the second to the third frame (from 180° to 2δ) is
291 not ambiguous and observers may be able to use the transition in this frame alone to determine the
292 direction of drift. To test the possibility that this “artefactual drift information” can be used
293 effectively, we ran a control condition in which we removed the leftmost and rightmost elements
294 of the Ternus-Pikler display as depicted in Figure 11A. If observers are at chance in this
295 experiment, it indicates that the artefactual drift information (e.g., in the transition from the second
296 to the third frame) is not sufficient to accomplish the task. This could be simply due to the fact that
297 this transition is temporally preceded and succeeded by ambiguous counter-phase (180°) frames.
298 On the other hand, if observers’ performance turns out to be above chance in this experiment, this
299 would necessitate a redesign of how phase-shift information is placed in the Ternus-Pikler display
300 so that sufficient drift information exists *only* in the paths tested in specific experiments. Since the
301 interpretation of Conditions 1 to 4 assume that observers will be at chance in this control condition,
302 we ran this condition first to test this fundamental hypothesis.
303

304 The demos included with this manuscript illustrate each of these stimuli.
305

306 **4. Results**

307 *4.1 Experiment 1. Condition 1: Retinotopic reference-frame and retinotopic drift-* 308 *information:* 309

310 *4.1.1 Procedure* 311

312 In this condition we evaluated two contrast values (2.8% and 90%) for each Gabor patch size (0.6,
313 1.4, and 2.7 deg) matching the values in Tadin et. al. (2003). Observers were instructed to fixate
314 on a fixation cross placed in the middle of the screen for 1000 ms and to *attend* to the element
315 marked with the yellow arrow in Figure 4A.
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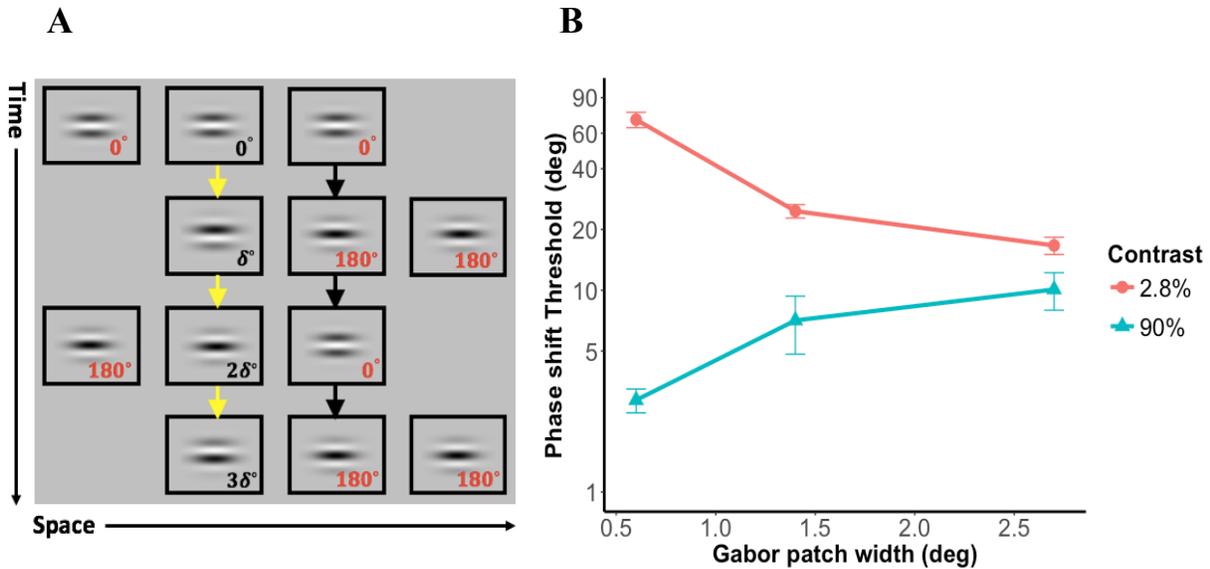


Figure 4: (A) Stimulus for the retinotopic reference-frame and retinotopic drift information condition. The arrows represent the perceived trajectory of the Ternus-Pikler elements (squares) across frames. In this case the two central elements are perceived as stationary. The yellow arrow highlights the element to be attended in the display (the yellow arrows were not displayed in the actual stimulus; see demos). Coherent phase-shift is represented by successive integer multiples of δ across frames. The rest of the pathways contained mainly ambiguous motion. (B) Results: Effect of contrast on phase-shift threshold as a function of Gabor patch size. The data represent the average phase-shift threshold across observers ($N=6$) for low and a high-contrast Gabors. Error bars are \pm S.E.M.

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4.1.2 Results and discussion

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A two-way repeated-measures ANOVA (Greenhouse-Geisser corrected where appropriate) showed a statistically significant main effect of size ($F(1.1, 5.5), p = 0.001, \eta_p = 0.89$) and contrast ($(F(1, 5), p < 0.001, \eta_p = 0.93)$). Likewise, there was a statistically significant interaction between size and contrast ($(F(2, 10), p < 0.001, \eta_p = 0.97)$). For the low-contrast stimulus, thresholds decreased with increasing patch size suggesting that a *spatial summation mechanism is at work* known to occur for low contrast stimuli (Anderson & Burr, 1991; Kapadia, Westheimer, & Gilbert, 1999; Watson & Turano, 1995). To the contrary, for the high-contrast stimulus, thresholds increased as stimulus size increased. This effect is consistent with a *spatial suppression mechanism* (Tadin et al., 2006, 2003; Tadin & Lappin, 2005, 2012).

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For comparison purposes, in Fig. 5 we plot the average data from Tadin's study (dashed lines) alongside our results (solid lines) for the three stimulus sizes. The data from the two studies are similar, even though there were differences in the stimuli. For instance, in Tadin's experiment, observers identified the motion direction of a Gabor patch that abruptly shifted in phase in the middle of a 100 ms presentation interval. Whereas in our study, the stimulus was more complex, containing multiple squares and Gabors, of which observers had to attend to one. The Ternus-Pikler display introduces additional motion compared to Tadin et al's stimulus. The squares used as part of the Ternus-Pikler display provide a strong horizontal motion signal thereby making the resulting reference-frame salient. To test that our results hold even in the absence of these squares, we ran a control experiment on one subject and obtained similar results (see Appendix A). Overall this experiment replicated Tadin et. al.'s (2003; Fig. 3a) results in the Ternus-Pikler stimulus.

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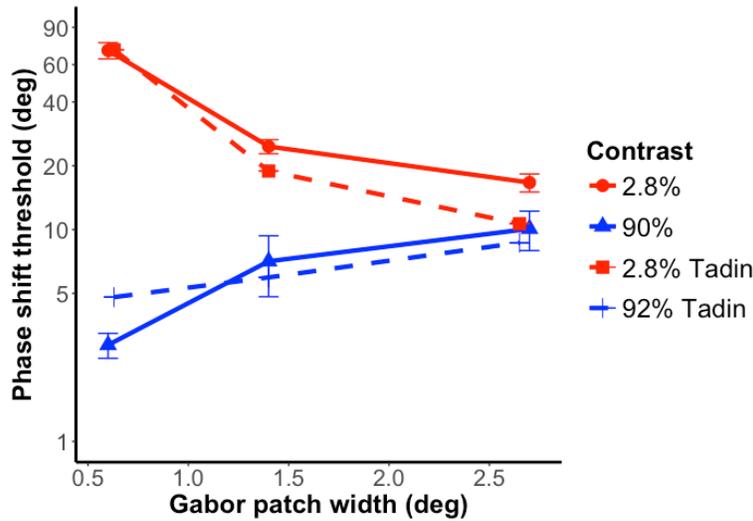


Figure 5: Comparison of Tadin's data (Tadin et al, 2003; Fig. 3a) with the results of our Experiment 1. Solid lines represent the results of Experiment 1 while dashed lines show Tadin's data. Red lines correspond to the low-contrast stimulus and blue lines represent high-contrast stimulus. Error bars are \pm S.E.M.

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4.2 Experiment 2. Condition 2: Non-retinotopic reference-frame and retinotopic drift-information:

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4.2.1 Procedure

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Observers were instructed to fixate on a fixation cross placed in the middle of the screen for 1000-ms at the beginning of each trial and to attend to the central element of the display (yellow arrow in Fig. 6A). In this condition two contrast values (5.5% and 90%) and three Gabor patch sizes were tested.

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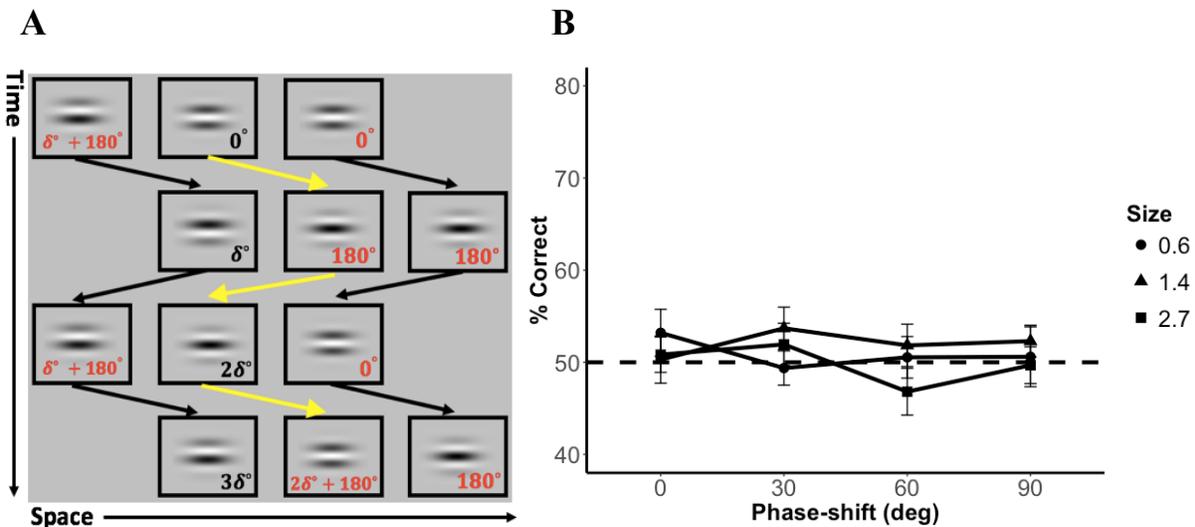


Figure 6: (A) In the group motion percept the three elements appear to move from left to right in a trajectory marked by the black and yellow arrows. The yellow arrows highlight the element to be attended in the display. Coherent phase-shift is inserted in retinotopic coordinates (follow the successive integer multiple values of δ across frames). The rest of the motion pathways contained mainly ambiguous motion. (B) Results: Observers were at chance level regardless of stimulus contrast and size. Panel B shows the psychometric functions averaged across observers ($N=6$) for a stimulus set to 90% contrast (see Appendix for the low-contrast results). Error bars are \pm S.E.M.

406 4.2.2 *Results and discussion*

407
408 It could be argued that, even though the Ternus-Pikler display establishes a non-retinotopic
409 reference-frame, the drift information could be read out from retinotopic coordinates. For instance,
410 by inspecting Fig. 6A, it can be noted that drift information in retinotopic coordinates may be used
411 by the participants to make a decision on the task. Nevertheless, the results in Fig. 6B show that
412 regardless of phase-shift magnitude (δ) the observers were at chance performance. Participants’
413 anecdotal reports after the experiment stated that the task was impossible to perform. This was the
414 case *regardless* of contrast or size. The data in Fig. 6B show the high contrast data. The plot for
415 the low-contrast case can be found in the Appendix. The reason for the poor performance is that
416 in the group motion percept the feature attributes of the elements in one frame of the display are
417 perceptually linked with the elements of subsequent frames in a manner highlighted by the arrows
418 in Fig. 6A. Thus, if the trajectory of the yellow arrow in Fig. 6A is followed, it can be seen that it
419 possesses mostly ambiguous motion which then explains the performance. In total, this result
420 shows that information put in retinotopic coordinates does not inform motion direction when the
421 reference system is non-retinotopic.

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424 4.3 Experiment 3. *Condition 3: Retinotopic reference-frame and non-retinotopic*
425 *drift-information*

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427 4.3.1 *Procedure*

428
429 Fig. 7A shows the stimuli for Experiment 3. In this case, multiples of are added in non-retinotopic
430 locations whereas the element-motion percept establishes a retinotopic reference-frame. Observers
431 were asked to attend to the central element in the display marked by the yellow arrow in Fig. 7A
432 while maintaining fixation in the center of the display on a fixation cross that appeared for 1000-
433 ms at the beginning of each trial. Once again, we evaluated two contrast values (5.5% and 90%)
434 for each of the three Gabor patch sizes.

435
436 4.3.2 *Results and discussion*

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438 From Fig. 7B it is clear that observers in the high contrast conditions are at chance level in this
439 experiment. The results for the low-contrast conditions also show chance performance (Appendix
440 B).

441
442 This result and the result of the previous experiment indicate that a match between the reference
443 frame and the motion information is essential for detecting the direction of motion. In addition,
444 comparing this stimulus to that in Experiment 5 (cf. Figs 7A and 11A), one can see that adding or
445 removing the outermost Ternus-Pikler elements (squares) do not influence how motion is
446 perceived since the same retinotopic reference-frame is maintained in both stimuli.

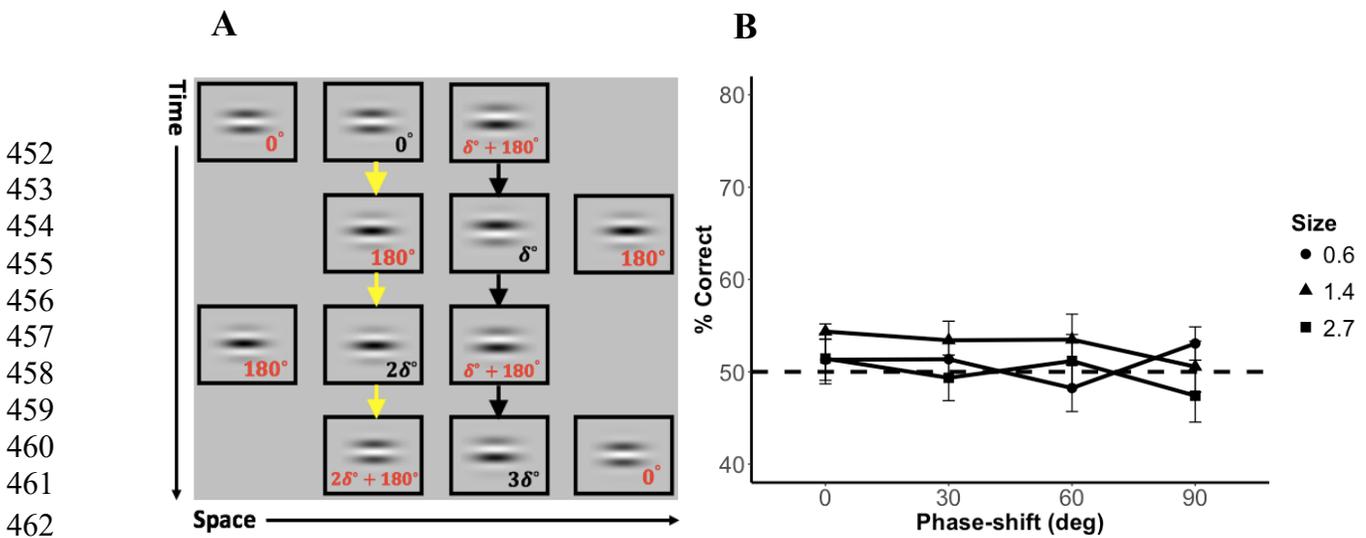


Figure 7: (A) Stimulus for the retinotopic reference-frame and non-retinotopic drift information condition. The display configuration is similar to the control condition, but this time the outermost element of the Ternus-Pikler display were included. This configuration of the Ternus-Pikler display only allows the formation of retinotopic representations (regardless of ISI). Motion information is inserted in non-retinotopic coordinates while perception is established in a retinotopic reference system. Observers were instructed to attend to the element highlighted with the yellow arrow and to report the perceived direction of drift. (B) Results: Average percent correct across observers ($N=6$) is shown as a function of phase-shift δ value. The data come from the 90% contrast stimulus evaluated at all sizes. As predicted, the result shows that observers were at chance performance. Error bars are \pm S.E.M.

4.4 Experiment 4: Condition 4: Non-retinotopic reference-frame and non-retinotopic drift information

4.4.1 Procedure

A schematic of the stimulus is depicted in Fig. 8A. This time both the reference frame and the coherent phase-shift for motion drift are in non-retinotopic coordinates. That is, the motion correspondences of the elements in the display coincide with the placement of integer multiples of δ values, that together create a coherent drift along non-retinotopic coordinates. Based on previous studies (Agaoglu et. al., 2017; Boi et. al., 2009; Clarke & Herzog, 2013; Clarke et. al., 2015; Grossberg et. al., 2011) and based on the theoretical concept of reference-frame match, we expected that observers will be able to extract this non-retinotopic drift information and perform at a higher than chance level on the experiment.

As in the other conditions, observers were instructed to fixate on a fixation cross located in the center of the screen for 1000-ms at the beginning of each trial and to attend to the central element in the Ternus-Pikler display (yellow arrows in Fig. 8A). In order to capture a transition from spatial suppression to spatial summation, if it exists, five contrast values ranging from low to high were tested (5.5%, 9.16%, 15%, 55%, and 90%).

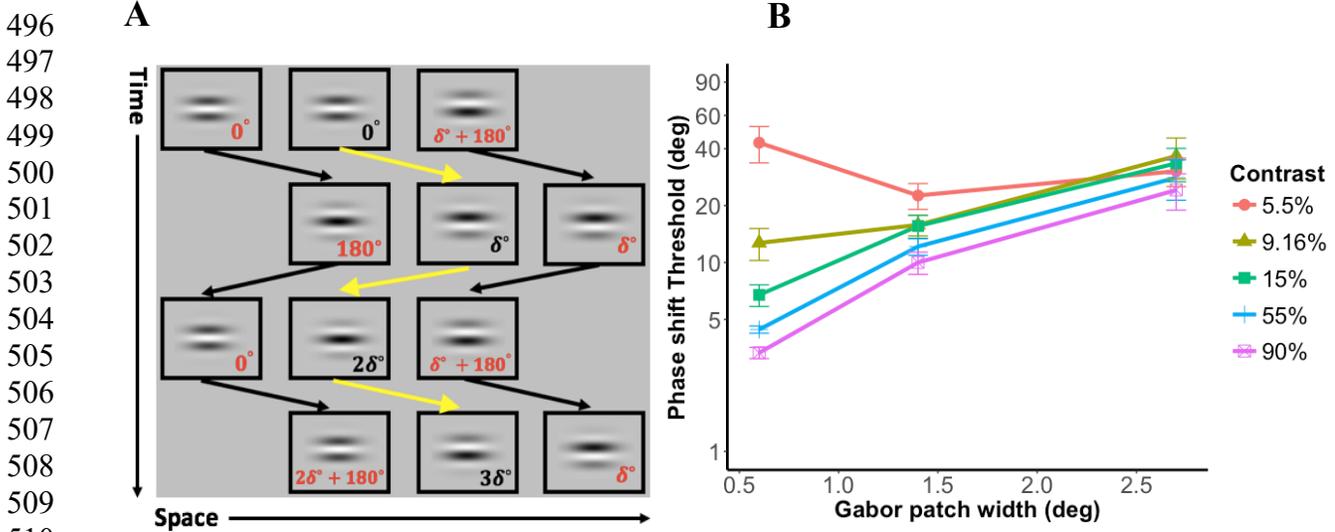


Figure 8: (A) Stimulus for the non-retinotopic reference-frame and non-retinotopic drift information condition. There is agreement between the reference frame and the location of coherent phase-shifts, as both are in non-retinotopic coordinates. The yellow arrow highlights the element to be attended in the display. Observers were instructed to attend this element and report its perceived direction of drift. The rest of the motion pathways contained mainly ambiguous motion. (B) Results. A statistically significant interaction of size and contrast was found. We observe a decrease in performance as a function of size for contrast values up to 15% Michelson contrast. For the low-contrast stimulus, there is an improvement in performance with size. These results suggest that the non-retinotopic processes may be mediated by a similar adaptive center-surround mechanism as the one found in retinotopic representations. Error bars are \pm S.E.M.

4.4.2 Results and discussion

The results are presented in Fig. 8B. A statistically significant interaction between size and contrast ($(F(2.55, 12.78), p = 0.006, \eta_p = 0.59)$) was found. The main effects of contrast ($(F(1.38, 6.88), p = 0.002, \eta_p = 0.81)$) and size ($(F(1.08, 5.38), p = 0.04, \eta_p = 0.58)$) were also statistically significant. It is observed that thresholds increase as a function of stimulus size for high-contrast stimuli. This suggests the presence of a spatial suppression mechanism. Also, note that threshold curves for contrast values between from 90% to 15% run parallel with relatively small improvement in performance as contrast is increased. For low contrast stimulus (5.5%), the threshold decreases as a function of size. This result in turn, signals the transition from spatial suppression to a spatial summation mechanism.

Fig. 9 presents the results of Experiment 4 replotted next to Tadin et al's data (Tadin et al., 2003; Fig. 3a). One major quantitative difference is the range of threshold values: Quantitatively, Tadin et al's results are more "compressed" on the threshold axis compared to ours. On the other hand, qualitatively, the general profile of both results is similar. For example, for the lowest- and highest-contrast stimuli, there is improvement of performance as a function of size and deterioration of performance with size, respectively. Also, in both results there is a progressive transition from *spatial summation* to *spatial suppression* for contrast values between 5.5% to 15%, which is within the range of contrast values where spatial grouping transitions to spatial segregation (Takeuchi, 1998). Finally, for contrast values higher than 46%, we observe a plateauing in performance.

541 On possible reason for the better performance in Tadin et al's results is that our study contains a
 542 long ISI between frames while their study did not. Another reason may be the higher complexity
 543 of our stimulus in comparison to Tadin et al's. Finally, the threshold differences in this experiment
 544 may be also due to differences in the reference-frames: Let us recall that Experiment 4 is a non-
 545 retinotopic motion-direction task whereas Tadin's data come from a retinotopic motion-direction
 546 task. In other words, the reference-frame in our study was non-retinotopic whereas it was
 547 retinotopic in Tadin et al's study.

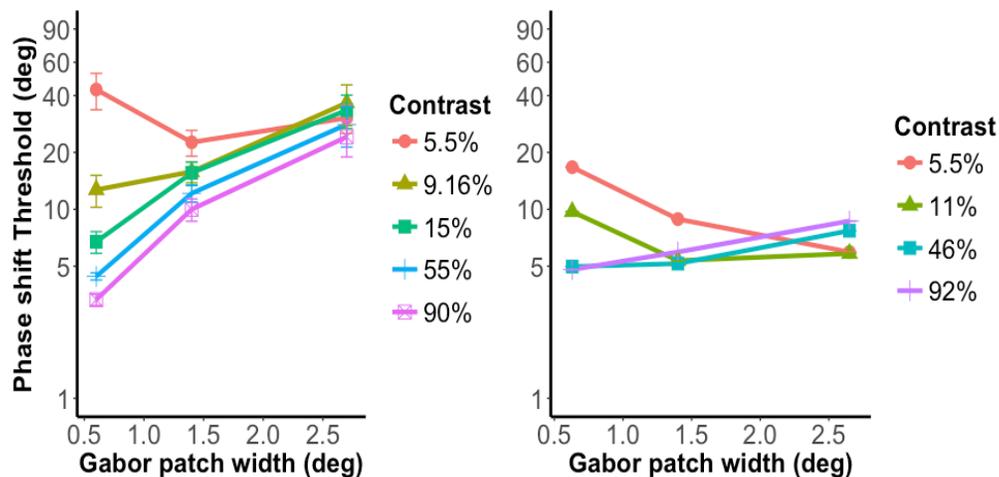


Figure 9: (A) Replot of the results for Experiment 4. (B) Data obtained from Tadin et. al. (2003), Fig. 3a. We extracted the data points for the three stimulus sizes evaluated in our study and for the contrast values examined in that experiment. Error bars are $\pm S.E.M.$

548 In order to compare more directly the effects of retinotopic vs non-retinotopic reference-frames,
 549 we can compare the results of Experiment 1 (retinotopic task) to those of Experiment 4 (non-
 550 retinotopic task) in the present study. In this way, we compare the performances of retinotopic and
 551 non-retinotopic reference-frames using the same stimulus paradigm and the same group of
 552 observers and hence, eliminating the differences between our and Tadin et al's studies. In Fig. 10,
 553 we replot the data from Experiment 1 (dashed lines) together with the results from the lowest and
 554 highest contrast values from Experiment 4 (solid lines). Data look similar. Notice that for low-
 555 contrast stimuli (red lines) there is a decrease in threshold with size. The opposite effect is observed
 556 for the high-contrast stimuli (blue lines). Also observe that in some conditions the performance for
 557 Experiment 1 is slightly better than the performance for Experiment 4. This may be due to the long
 558 ISI included in Experiment 4. In other words, the experiments had different temporal conditions.
 559 These results suggest that the nature of the reference-frame, whether it is retinotopic or non-
 560 retinotopic, does not affect strongly the computation of motion information. In Appendix A, we
 561 also show that similar results are obtained in the absence of the squares that are part of the Ternus-
 562 Pikler display.

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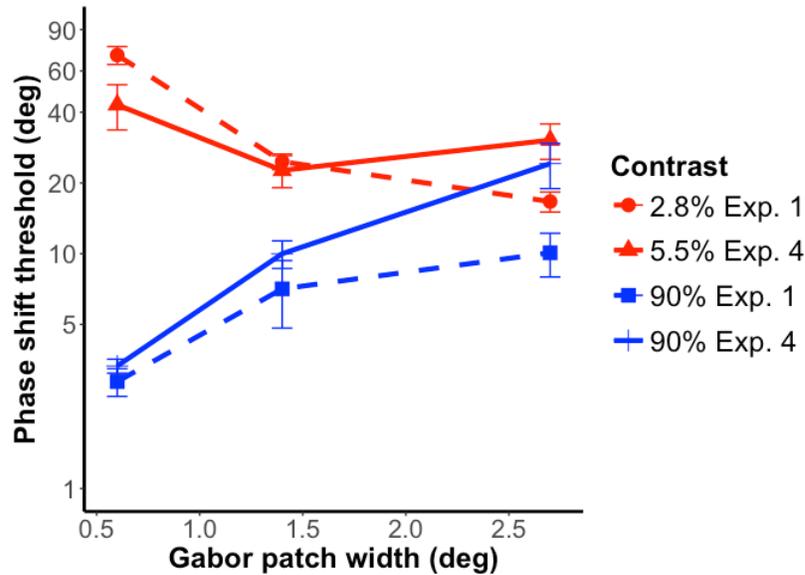


Figure 10: Comparison of results from Experiment 1 and Experiment 4. Dashed lines and solid lines correspond to Experiment 1 and Experiment 4, respectively. The low-contrast stimuli are shown in red while the high-contrast stimuli are shown in blue. Error bars are \pm S.E.M.

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Taken together, these findings provide support for the main hypothesis of this study, viz., non-retinotopic computation of motion is mediated by an adaptive center-surround mechanism similar to that found in retinotopic coordinates.

4.5 Experiment 5. Control condition: No Ternus-Pikler motion (Fig. 11):

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4.5.1 Procedure

592 Participants were instructed to attend to either the left element or the right element (Fig. 11) and
593 report the direction of drift. The Gabor patch size and the contrast were 2.65° and 90%,
594 respectively. The critical point was to assess whether the non-ambiguous phase shifts (i.e., phase
595 shifts other than integer multiples of 180°) were used by the subjects to produce above chance
596 performance. These non-ambiguous phase-transitions occur in the transition from frame two
597 (180°) to frame three (2δ) in the left side of the display, as well as in the transition from frame
598 three to frame four in the right side of the display (from $\delta + 180^\circ$ to 3δ).

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4.5.2 Results and discussion

602 For each of the four phase-shifts, participants were at chance level (Fig 11B). The presence of
603 multiples of δ , i.e., non-ambiguous phase shifts, in some frames did not allow observers to
604 successfully report the direction of drift. Hence, even though our stimulus is not perfectly
605 ambiguous with respect to the direction of drift (shifts of 180° are ambiguous whereas shifts by δ
606 degrees are not), this control experiment shows that the “artefactual drift information” is unnoticed.

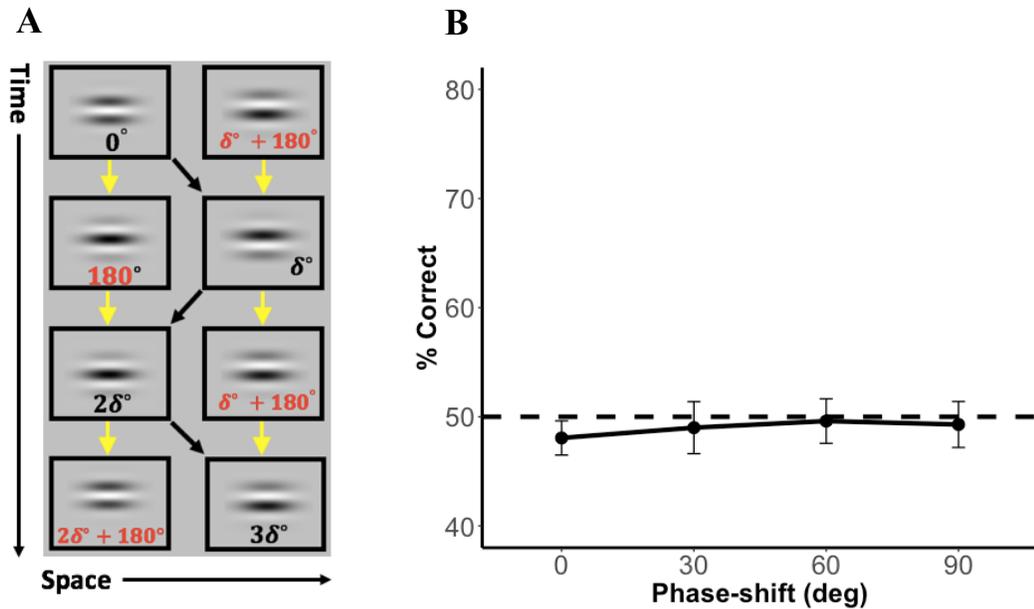


Figure 11: (A) Control condition. The outermost elements of the Ternus-Pikler display were removed. The black arrows indicate the non-retinotopic trajectory of the coherent motion. The yellow arrows show the retinotopic trajectories. Observers were instructed to attend to one of the elements in the display and to report the perceived direction of drift. (B) Results of the control condition. Average percent correct across observers ($N=6$) as a function of phase-shift δ . Observers are at chance level. Error bars are $\pm S.E.M.$

5. General discussion

Motion perception occurs usually in non-retinotopic coordinates. However, the neural correlates underlying non-retinotopic motion processing are still elusive. The major goal of the study was to investigate whether the adaptive center-surround mechanisms reported in Tadin et al. (2003) generalize to non-retinotopic reference-frames. Our results support the hypothesis that adaptive center-surround mechanisms are used in the computation of non-retinotopic motion.

Spatial suppression is thought to be the psychophysical correlate of neurophysiological surround suppression processes (e.g., Tadin, 2015). Moreover, correlational and causal evidence suggest that spatial suppression of background motion signals is critical for the rapid segregation of moving objects (Tadin et al., 2019). More generally, surround-inhibition may be a solution to the noise-saturation problem (Grossberg, 1988): The system needs to amplify weak signals to improve the signal-to-noise ratio. However, when signals become strong, the same amplification may lead to saturation. How can a system be sensitive to weak inputs while avoiding saturation for strong inputs? In other words, how can an adaptive gain-control mechanism be used to match the dynamic range of the processing units (neurons) to that of input signals, given that the latter tends to be much larger than the former. At high input levels, the surround suppression can reduce the net signal thereby preventing saturation. On the other hand, for weak input signals, the surround suppression would be detrimental since it will weaken further the signal burying it in noise. The adaptive strategy reduces the effectiveness of the surround for weak signals (low contrast) thereby

22 leading to summation by the center of the receptive field. The summation in turn amplifies weak
23 signals so that they can be reliably detected in the presence of noise. Given this adaptive dynamic-
24 range property, it is not surprising to find antagonistic center-surround (or similar) receptive-field
25 profiles throughout the visual system, starting from the retina. However, it remains to be seen at
26 which stage(s) this mechanism operates when it comes to motion detection. In the primate visual
27 processing, visual motion detection starts in the cortex and involves center-surround receptive
28 fields found in V1 (Cavanaugh, Bair, & Anthony Movshon, 2002), MST (Eifuku & Wurtz, 1998)
29 and in V5/MT (Pack, Hunter, & Born, 2005). Correspondences between the characteristics of
30 psychophysical spatial-suppression and the properties of suppressive center-surround receptive
31 fields in area MT suggest this area as the main locus of these adaptive mechanisms (Liu, Haefner,
32 & Pack, 2016; Schallmo et al., 2018; Tadin & Lappin, 2005, 2012; Tadin et al., 2003; Tadin,
33 Silvanto, Pascual-Leone, & Battelli, 2011). For instance, the dependency of spatial suppression on
34 contrast accords with contrast dependency of a population of MT neurons (Pack et al., 2005); MAE
35 which is linked to MT mechanisms, is reduced with large high-contrast stimulus (Tadin et al.,
36 2003); isoluminant moving stimuli are unable to produce spatial suppression effects, a result
37 consistent with weak MT responses to isoluminant gratings (Gegenfurtner et al., 1994; Tadin et
38 al., 2003). Therefore, it is proposed that spatial suppression is, at least in part, a behavioral correlate
39 of surround suppression in cortical area MT (Tadin, 2015). The above arguments apply to spatial
40 suppression generated with stimuli encoded in retinotopic coordinates. The results of Experiment
41 5 support the involvement of similar mechanisms in non-retinotopic motion computation. In an
42 fMRI study, also using the Ternus-Pikler display, Thunell et. al. (2016) found that the average
43 blood-oxygen-level (BOLD) activation in areas V1, V2 and V3 correlated with retinotopic
44 percepts but not with non-retinotopic percepts. On the other hand, the human motion processing
45 complex (hMT+) was active with both retinotopic and non-retinotopic encoding which suggested
46 the hMT+ as the first visual area encoding non-retinotopic percepts. Thus, the available evidence
47 about the location of non-retinotopic encoding in the brain also suggests area MT as a potential
48 candidate. Given the similarities in the use of adaptive center-surround mechanisms in retinotopic
49 as well as non-retinotopic processing, both in terms of behavioral performance and potential neural
50 correlates, it remains to determine whether adaptive center-surround mechanisms are “inherited”
51 from one computation to another (e.g., from retinotopic motion computation to non-retinotopic
52 motion computation) or they are independently implemented at each stage.

To our knowledge, this is the first study to provide evidence for the existence of adaptive center-surround mechanisms in non-retinotopic encoding. The flexible spatial integration mechanisms described in this work might be a way in which vision deals with the wide variability of motion signals found in the natural environment. Even though the early visual system is organized retinotopically (Tootell et. al. ,1998), this retinotopic organization is insufficient to support perception under natural viewing conditions (Boi et al., 2009). Additionally, our results add further evidence that most visual features are processed in non-retinotopic coordinates, including motion (Boi et al., 2009, Thunell, Plomp, Ögmen & Herzog, 2016), attention (Boi et al., 2009; Boi et al., 2011), fine spatial detail such as vernier offsets and feature fusion (Ogmen et al., 2006; Scharnowski et al., 2007), backward masking (Noory et al., 2015), but not adaptation in the tilt aftereffect (Boi et al., 2011).

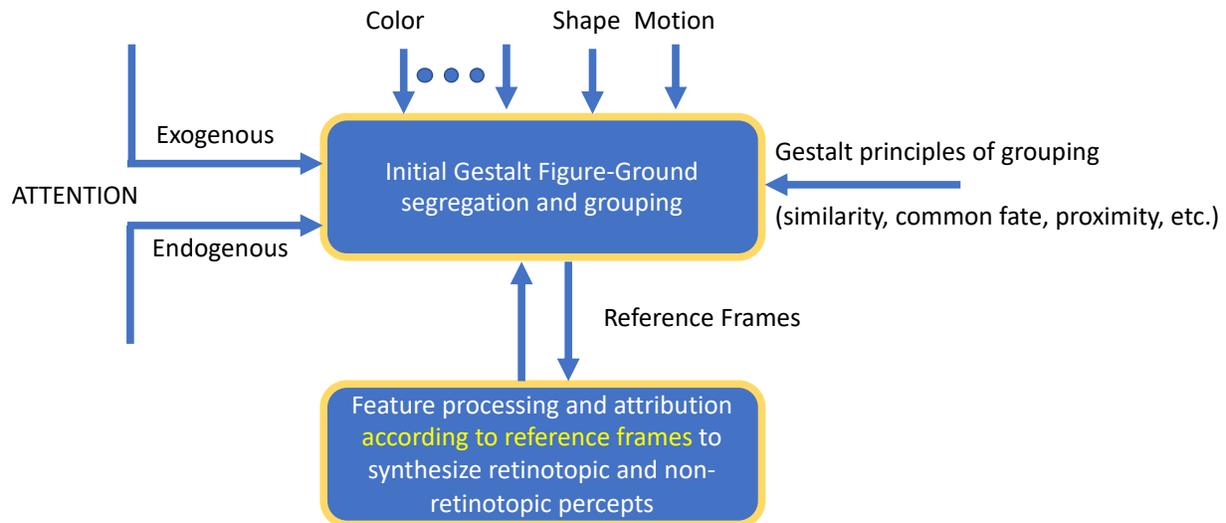


Figure 12: The “two-stage” model for non-retinotopic processing. The model assumes that the primitive building blocks of perceptual processing are groups, as proposed by Gestalt psychologist. Hence, the first stage of visual processing consists of separating figure from ground and grouping stimuli according to Gestalt principles of grouping. These groups, in turn, provide reference-frames that are used to synthesize retinotopic and non-retinotopic percepts.

Fig. 12 shows the “two-stage” model that we proposed for non-retinotopic processing (Ogmen & Herzog, 2010). According to this model, the first stage of processing consists of grouping stimuli using Gestalt principles of grouping. This grouping process generates reference-frames, which in turn are used in a second stage where non-retinotopic processes are carried out according to these reference-frames. For example, different motion signals on the bicycle of the example in Fig. 1 are grouped together (e.g., by the principle of “common fate”) and a “common motion vector” is selected as reference-frame (e.g., Johansson, 1973, 1974; Agaoglu et al., 2015, 2017). This reference-frame is passed on to the following stage where it provides coordinates to judge relative motion according to an exocentric (non-retinotopic) reference-frame. **In this study, we used the Ternus-Pikler display to generate retinotopic and non-retinotopic reference frames that are pitted against each other due to overlapping elements between different frames. Changing the geometry and/or timing of Ternus-Pikler elements allows the generation of experimentally-controlled reference frames. The key however is not the specifics of the stimulus that generates the reference frame. It could be the bicycle in a natural environment as in Fig. 1. Regardless how it is generated, the resulting reference-frame is passed on to the second stage where it controls how stimuli are processed and integrated (Fig. 12).** Future work will determine where in this model the adaptive center-surround organization may be operating.

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Appendix A

Our stimulus consisted of Gabor patches embedded in squares elements. The purpose of the square elements was to strengthen the formation of group motion and thereby strengthen the underlying non-retinotopic reference-frame. To show that the results of Experiments 1 and 4 are independent of the presence of these square elements, we ran on one subject (BP) a control condition where we removed them from the stimulus. In Fig. A1, we show the results of the control condition for Experiment 1. The control data (dashed lines) are similar to the data from the same subject in Experiment 1 (solid lines). For the high contrast stimulus there is a small increase in threshold for the control condition; however, the general interaction between size and contrast remains.

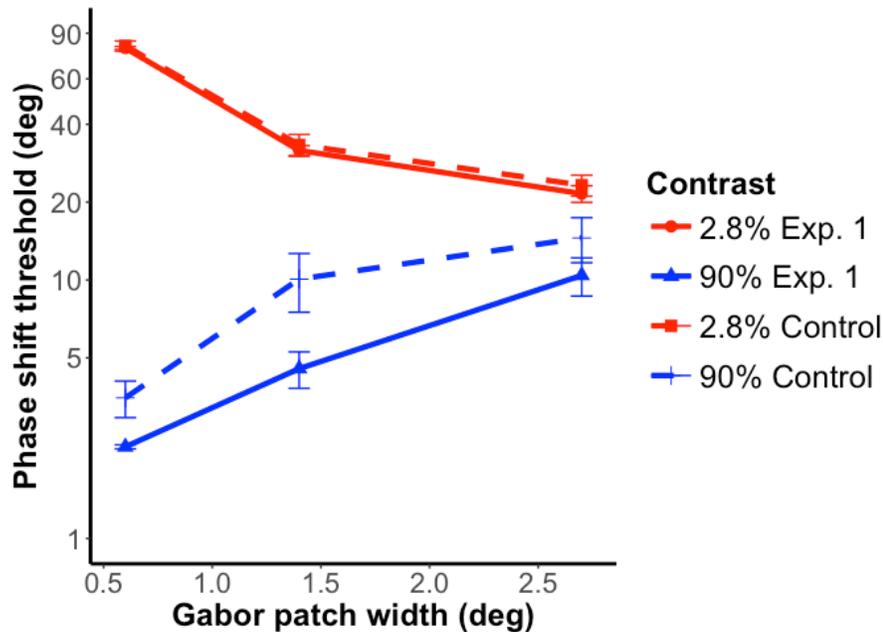


Figure A1: Results of the no-square control condition for Experiment 1. Solid lines represent the results from Experiment 1 for subject BP whereas dashed lines show the results from the control for the same subject. Error bars are \pm S.E.M.

Fig. A2 shows the results of the same control condition (i.e., stimuli without the squares) for Experiment 4 obtained from subject BP and compared to the data of subject BP in Experiment 4. The only major difference is that the minimum contrast required for the no-squares control condition was higher (8% vs 5.5%).

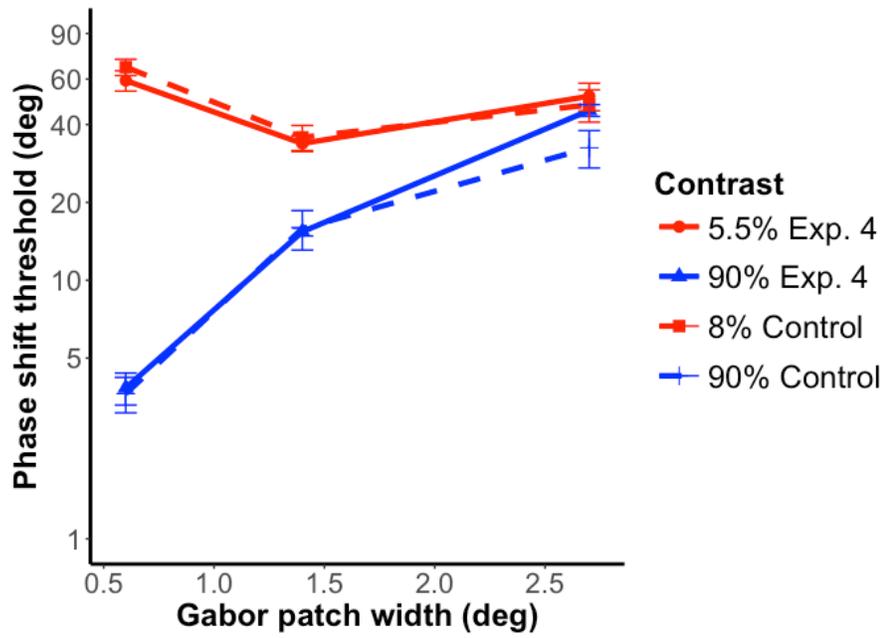


Figure A2: Results of the no-square control condition for Experiment 4. Solid lines represent the results from Experiment 4 whereas dashed lines show the results from the control. The data come from one subject. Error bars are \pm S.E.M.

Appendix B

The *retinotopic reference-frame and non-retinotopic drift information condition* and the *non-retinotopic reference-frame and retinotopic drift-information condition* showed chance performance due to the mismatch between the reference-frame system and the motion information. Fig. B1 illustrates the average percent correct across observers (N=6) as a function of phase-shift value for a low-contrast stimulus (5.5%).

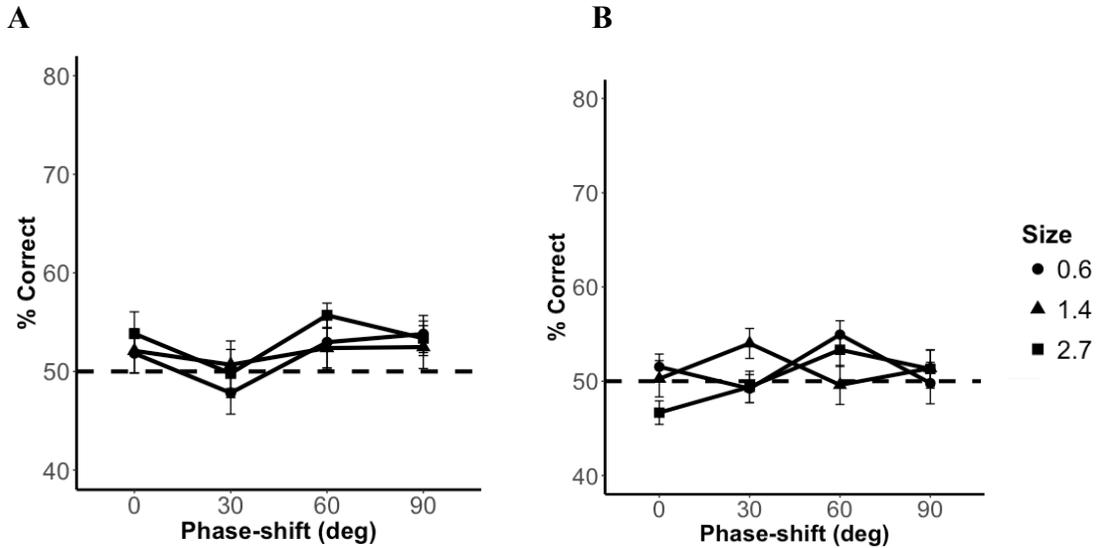


Figure B1: (A) Average percent correct across observers (N=6) is shown as a function of phase-shift δ value for the low-contrast stimulus in the retinotopic reference-frame and non-retinotopic drift information condition. (B) Average percent correct across observers (N=6) is shown as a function of phase-shift δ value for the low-contrast stimulus in the non-retinotopic reference-frame and retinotopic drift-information condition. Error bars are \pm S.E.M.

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