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Dynamic force coefficients identification on air-lubricated herringbone grooved journal bearing

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Abstract

The Narrow Groove Theory (NGT) is commonly used to simulate and design grooved gas bearing. However, measurements of dynamic force coefficients of Herringbone Grooved Journal Bearings (HGJBs) is missing, hence the validation historic NGT has never been performed, despite its importance. A test rig was built in order to identify stiffness and damping coefficients of HGJBs of a rotor perturbed by piezo-electric shakers. Results indicate that the NGT captures the qualitative behavior of HGJB well but tends to overestimate the stiffness and damping coefficients by 23 and 29% respectively. Gravity led to a eccentricity ratio in excess of 15% without significant effect. The direct damping coefficient was found to be mildly non-linear with the excitation amplitude.

Keywords: Gas Bearing, Herringbone Grooved Journal Bearing, Force coefficients, Experimental

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Roman symbols

A	Matrix of identification
<i>a</i>	Groove length
b	vector of identification
<i>b</i>	Ridge length
<i>C</i>	Damping coefficient
<i>D</i>	Bearing diameter
<i>f_{ex}</i>	Excitation frequency
<i>f_{rot}</i>	Rotation frequency
<i>h</i>	Radial clearance
<i>h_r</i>	Ridge radial clearance
<i>h_g</i>	groove clearance
<i>I_P</i>	Axial inertia
<i>I_T</i>	Transverse inertia
<i>K</i>	Stiffness coefficient
<i>L</i>	Length, bearing axial length
<i>L_{land}</i>	Land length
<i>M_r</i>	Rotor mass
<i>N_g</i>	Number of grooves
<i>x</i>	Position of the rotor center of mass
<i>y</i>	Position of the rotor center of mass
<i>Z</i>	Impedance

Greek symbols

α	Groove aspect ratio, rotor tilt angle
β	Groove angle, rotor tilt angle
γ	Relative groove length
Δ	Uncertainty
δ	Groove depth
γ	Relative groove length
σ	Uncertainty
σ_Z	Uncertainty on the impedance
ψ	Generic degree of freedom
Ω	Angular velocity
ω	Excitation frequency

Superscripts

$\hat{}$ Complex amplitude

Subscripts

0 Nominal
A Bearing A
B Bearing B
ex Excitation
grwth Centrifugal growth
rot Rotational
xx, yy Direct
xy, yx Cross-coupled

Acronyms

HGJB Herringbone Grooved Journal Bearings
IR Infra-Red
NGT Narrow Groove Theory

1. Introduction

Herringbone grooved journal bearings (HGJB) are suited for the development of small-scale turbomachinery for decentralized energy conversion, including waste heat recovery [1], heat pumping [2, 3], fuel cell gas recirculation [4, 5] and fuel cell pressurization [6]. Over compliant foil bearings, this technology holds the advantages of a high stiffness and a repeatable behavior as long as manufacturing is consistent. However, the modelling tools have been only partially validated with experimental data and the proper evaluation of dynamic force coefficients is non-existent.

1.1. Nature of the issue

The Narrow Groove Theory (NGT) was pioneered by on HGJB by Vohr and Chow [7]. This theory allows to predict the overall effect of spiral grooves on the pressure field of a gas bearing without having to resolve each groove individually. Based on the Reynolds equation, it adds the assumption of an infinite number of grooves and of the local incompressibility across a groove-ridge pair [8]. A detailed overview of the different modeling approaches of grooved bearings is given by Gu et al. [9]. The NGT has been applied to the design of many HGJB-supported rotors until now, with several experimental validations published. Hirs [10] investigated the load capacity of HGJB using a grooved test rotor supported on two rolling elements bearings where the external load was applied on the floating bushing. He found a good agreement between the predictions and the experiment. Malanoski [11] ran a 38 mm HGJB-supported rotor in unloaded conditions up to 60 krpm without sign of whirl instability, in accordance with the NGT-predicted critical mass used as stability criterion [12]. Cunningham et al.[13] ran rotors similar to Malanoski's with different HGJB geometries up to 60 krpm. Several rotors showed signs of subsynchronous whirl instability, with an observed critical mass 1.5 to 3 times lower than the predicted one. The same authors [14] also studied the load capacity of these rotors up to an eccentricity ratio of 0.8, concluding that the linearized NGT was valid at small eccentricity, but could not capture the non-linear trend of the load-displacement curve observed at high eccentricity. Stanev [15] built and successfully tested a 25.4 mm aerostatic HGJB proposed by Fleming [16] using the linearized NGT. In accordance with the theory, no sign of instability was observed. Schiffmann [2] used the linearized NGT to design 10 mm refrigerant-lubricated HGJB supporting a turbocompressor up to 210 krpm, with no sign of instability. Ognjanovic

37 [17] investigated the load capacity of a large 60 mm HGJB on a experimental
38 setup comparable to Hirs' [10] and concluded that the NGT tend to overes-
39 timate the load capacity, even at low eccentricity. Wagner [5] commissioned
40 a NGT-designed 8 mm rotor on steam-lubricated HGJBs recirculating anode
41 gas in a Solide Oxyde Fuel Cell, with no sign of instability.

42 NGT has proven its empirical reliability in the design of HGJB-supported
43 rotors, recent works are employing this theory to explore new design of
44 grooved bearings, by using non-constant groove parameters [18], non-ideal
45 gas lubricants [19] and combination of foil and grooved bearing [20]. While
46 the static load capacity was measured in several occasions, the evaluation
47 of dynamic force coefficients, common for foil gas bearings [21, 22, 23, 24],
48 is still missing in the literature for HGJB. Two families of test apparatus
49 are present in the literature. The first one, pioneered on gas bearings by
50 Heshmat and Ku [25], consist of a rotor supported on two stiff bearing (often
51 oil lubricated or on rolling elements) where the test bearing, floating on the
52 rotor, is perturbed by a force of known amplitude. Recent applications of
53 this approach include Wang and Kim [23] and Etras and Delgado [26] for foil
54 and hybrid bearings respectively. The second one is a rotor supported on
55 two test bearings, with a force imposed on the bearing bushings or the rotor
56 itself to perturb the system. The method was pioneered on gas bearings by
57 Fleming [27], with notable recent developments from Larsen et al. [24] for
58 foil bearings and Le Rouzic and Arghir [28] for hybrid bearings. In all pub-
59 lished studies, the knowledge of inertial properties of bushings is necessary to
60 retrieve the bearing force coefficients if the force is not applied on the rotor
61 directly, in addition to the rotor inertial properties for the second family of
62 test setups. However, the precise knowledge of these properties can be diffi-
63 cult to evaluate due to the complex motion of the bushing on its compliant
64 support (flexible blades, o-rings, etc) and can be frequency-dependent.

65 *1.2. Goals and objectives*

66 The objectives are to 1) propose a test rig adapted to the evaluation
67 of dynamic force coefficients of HGJB without the knowledge of bearing
68 bushings inertial properties, 2) measure the stiffness and damping coefficients
69 of HGJB at different excitation frequencies and rotor speeds and compare
70 to the linearized NGT and 3) investigate the effect of static eccentricity and
71 excitation amplitude on the results.

72 *1.3. Scope of the Paper*

73 A test rig for the measurement of dynamic force coefficients of HGJBs is
74 presented together with the theoretical background allowing the evaluation
75 of the force coefficients from the measurements. Estimated force coefficients
76 between 400 and 1700 Hz and rotor speed 50 and 100 krpm are presented
77 and compared to the prediction of the linearized NGT. The orientation of
78 the test rig is changed in order to investigate the effect of gravity on the
79 dynamic force coefficients. Finally, the amplitude of the excitation signals
80 used for the measurements is varied in order to investigate the non-linearity
81 of HGJB.

82 **2. Methodology**

83 The identification of force coefficients is performed on a turbine-driven
84 symmetric stainless steel rotor supported on two HGJBs, presented in Figure
85 1. In order to improve its tribological properties in under mixed lubrication
86 conditions, a Diamon-Like Coating (DLC) is applied on the rotor. The rotor
87 is hold in axial position using two aerostatic bearings acting on its ends. The
88 journal bearing bushings, manufactured in tungsten carbide, are mounted on
89 compliant supports (Figure 1b) in order to allow two radial degrees of free-
90 dom per bearing. Two floating piezo-electric shakers are mounted on each
91 flexibly supported bushing in orthogonal directions impose a perturbation
92 on the bearing. The 8 degrees of freedom of the rotor-bushing system are
93 monitored in position using proximity capacitive probes with a overall resolu-
94 tion of 16 nm and a bandwidth of 8.5 kHz. The temperature of the bushings
95 and of the rotor are measured by PT100 and infra-red temperature probes,
96 respectively. The uncertainty on the temperatures is ± 1 K for the former
97 and ± 0.5 K for the latter. The first bending frequency of the free rotor was
98 evaluated at 2233 Hz using a finite element code with 1D Timoshenko beam
99 elements. The main dimensions of the setup are shown in Fig.2. The bearing
100 clearance was estimated by measuring the bushing and rotor diameters on
101 5 axial locations. Mean radial clearance and circularity errors are given in
102 Fig.3 for the bearing under investigation. The groove depth was measured
103 between 15 and 17 μm , with a nominal value of 16 μm . The other bearing
104 and rotor parameters are listed in Table 1 using the nomenclature of Figure
105 4 as reference. The bushing can be easily removed from the assembly and
106 changed to accommodate different diameters and bearing L/D ratios.

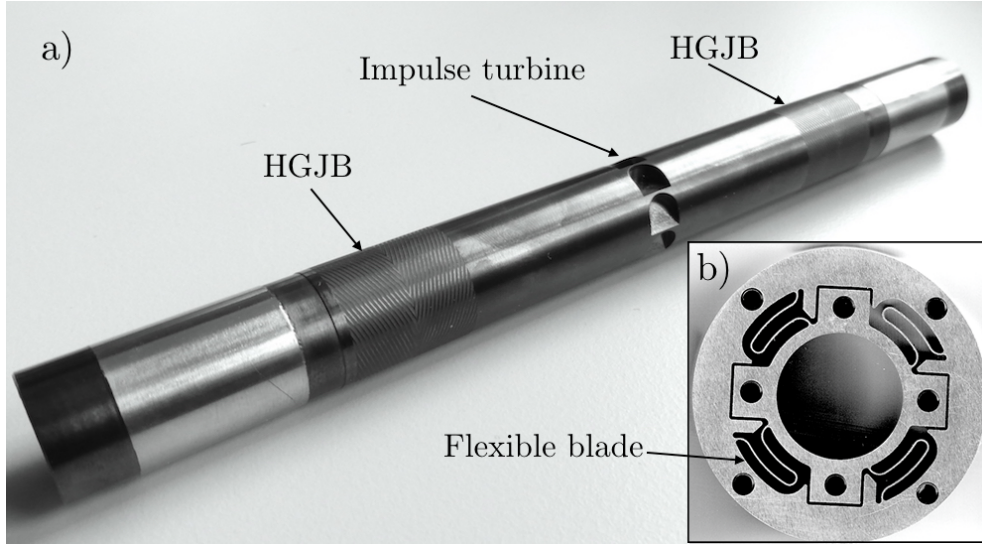


Figure 1: a) investigated rotor b) flexible bearing support

Table 1: Complementary bearing and rotor parameters

Parameter	Value
$\alpha = a/(a + b)$	0.6
β	160°
$\gamma = L_{land}/L$	1
L/D	1
N_g	30
M_R	0.2672 kg
I_P	$8.83 \cdot 10^{-6} \text{ kg m}^2$
I_T	$7.01 \cdot 10^{-4} \text{ kg m}^2$

107 The relative displacement of the rotor in the bushings, as well as the ab-
 108 solute acceleration of the rotor, allow to retrieve the bearing force coefficients
 109 (bearing impedance $Z_{ij} = K_{ij} + \omega C_{ij}$ starting from the linearized equations
 110 of motion of the rotor in the frequency domain shown in Eq. 4 to 7 with the
 111 nomenclature indicated in Fig.5, valid for harmonic motions:

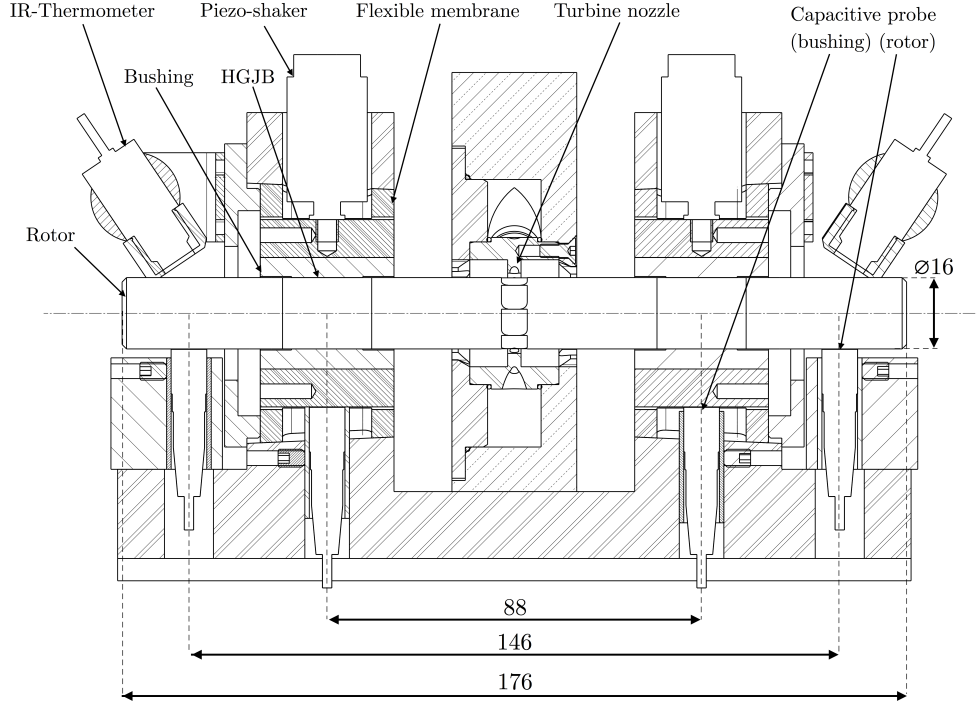


Figure 2: Cut view of the apparatus with the main dimensions

$$\psi(t) = \hat{\psi} e^{i\omega t} \quad (1)$$

$$\dot{\psi}(t) = i\omega \hat{\psi} e^{i\omega t} \quad (2)$$

$$\ddot{\psi}(t) = -\omega^2 \hat{\psi} e^{i\omega t} \quad (3)$$

112

113 where ψ denotes any of the degrees of freedom of Fig. 5.

114

115 The underlying assumptions are that 1) the rotor is rigid and 2) the
 116 bearing tilting reaction torque is small compared to the restoring torque
 117 of the linear displacement. The second assumption is justified by the center
 118 distance between the bearings: according to the linearized centered NGT, the
 119 bearings are expected to have a translational and tilting stiffness coefficients
 120 of respectively 1 MN m^{-1} and 400 N m . For an arbitrary tilt angle of the rotor
 121 around its center of mass, the relative contribution of tilting stiffness in the
 net restoring torque is therefore less than 5%.

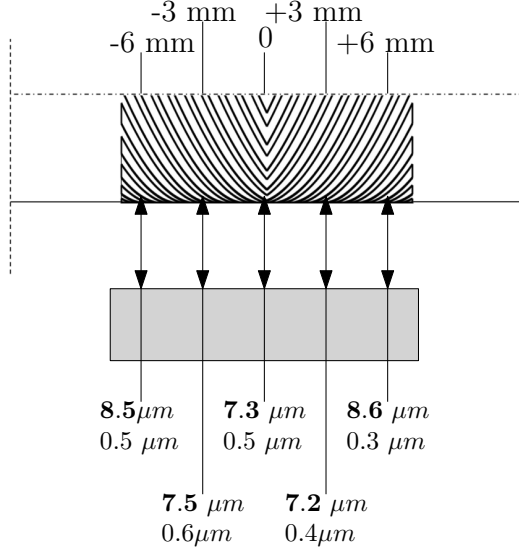


Figure 3: Measured average radial clearance (h_r) and total circularity error of both bushing and rotor in 5 axial locations at a ambient temperature of 21 °C. The bold number indicate the average radial clearance followed by the circularity error on the radial clearance

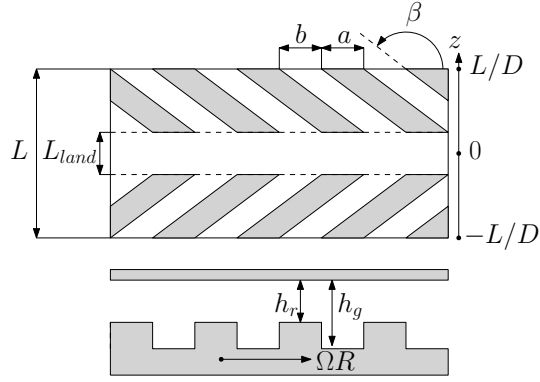


Figure 4: Nomenclature of the HGJB parameters

$$\begin{aligned}
& -M_R \omega^2 \hat{x} \\
& + Z_{xx,A} (\hat{x} + L_A \hat{\alpha} - \hat{x}_A) + Z_{xx,B} (\hat{x} - L_B \hat{\alpha} - \hat{x}_B) \\
& + Z_{xy,A} (\hat{y} - L_A \hat{\beta} - \hat{y}_A) + Z_{xy,B} (\hat{x} + L_B \hat{\beta} - \hat{y}_B) = 0
\end{aligned} \tag{4}$$

$$\begin{aligned}
& -M_R\omega^2\hat{y} \\
& +Z_{yy,A}\left(\hat{y}-L_A\hat{\beta}-\hat{y}_A\right)+Z_{yy,B}\left(\hat{y}+L_B\hat{\beta}-\hat{y}_B\right) \\
& +Z_{yx_A}\left(\hat{x}+L_A\hat{\alpha}-\hat{x}_A\right)+Z_{yx_B}\left(\hat{x}-L_B\hat{\alpha}-\hat{x}_B\right)=0
\end{aligned} \tag{5}$$

$$\begin{aligned}
& -I_T\omega^2\hat{\alpha}-I_Pi\omega\hat{\beta}\Omega \\
& +Z_{xx,A}L_A\left(\hat{x}+L_A\hat{\alpha}-\hat{x}_A\right)-Z_{xx,B}L_B\left(\hat{x}-L_B\hat{\alpha}-\hat{x}_B\right) \\
& +Z_{xy,A}L_A\left(\hat{y}-L_A\hat{\beta}-\hat{y}_A\right)-Z_{xy,B}L_B\left(\hat{y}+L_B\hat{\beta}-\hat{y}_B\right)=0
\end{aligned} \tag{6}$$

$$\begin{aligned}
& -I_T\omega^2\hat{\beta}+I_Pi\omega\hat{\alpha}\Omega \\
& -Z_{yy,A}L_A\left(\hat{y}-L_A\hat{\beta}-\hat{y}_A\right)+Z_{yy,B}L_B\left(\hat{y}+L_B\hat{\beta}-\hat{y}_B\right) \\
& -Z_{yx,A}L_A\left(\hat{x}+L_A\hat{\alpha}-\hat{x}_A\right)+Z_{yx,B}L_B\left(\hat{x}-L_B\hat{\alpha}-\hat{x}_B\right)=0
\end{aligned} \tag{7}$$

122 The 8 unknowns of the equations of motion are the four complex impedances
123 of each bearing ($Z_{ij,A}$ and $Z_{ij,B}$). They are isolated to build Equation 8, where
124 \mathbf{A} and \mathbf{b} are the matrix containing the contribution of relative displacement
125 and the vector containing contributions of rotor acceleration and gyroscopic
126 effects. Two linearly independent test runs are necessary per evaluation for
127 a unique non trivial solution to the system to exist, justifying the use of
128 four shakers in order to excite the system in two orthogonal directions. It
129 is remarkable that this method requires neither the knowledge of inertial
130 parameters of the bearing bushings nor of any force measurement.

$$\mathbf{A} \cdot \left(Z_{xx,A} \quad Z_{xy,A} \quad Z_{yy,A} \quad Z_{yx,A} \quad Z_{xx,B} \quad Z_{xy,B} \quad Z_{yy,B} \quad Z_{yx,B} \right)^T = \mathbf{b} \tag{8}$$

131 The present method holds a major advantage over the existing approaches
132 for the measurement of force coefficients, since it does not require the knowl-
133 edge of mass and inertia of bearing bushings nor the measurement of any
134 force, but instead relies solely on the position measurement of the rotor and
135 bushings, with an arbitrary force applied on the bushings. Only the knowl-
136 edge of the inertial properties of the rotor are necessary, which is inherent to
137 the floating rotor configuration. The computed eigenfrequencies of the sys-
138 tem corresponding to Figure 5 are displayed in Fig. 6. The system operates
139 beyond the critical speeds, however without any fundamental consequence

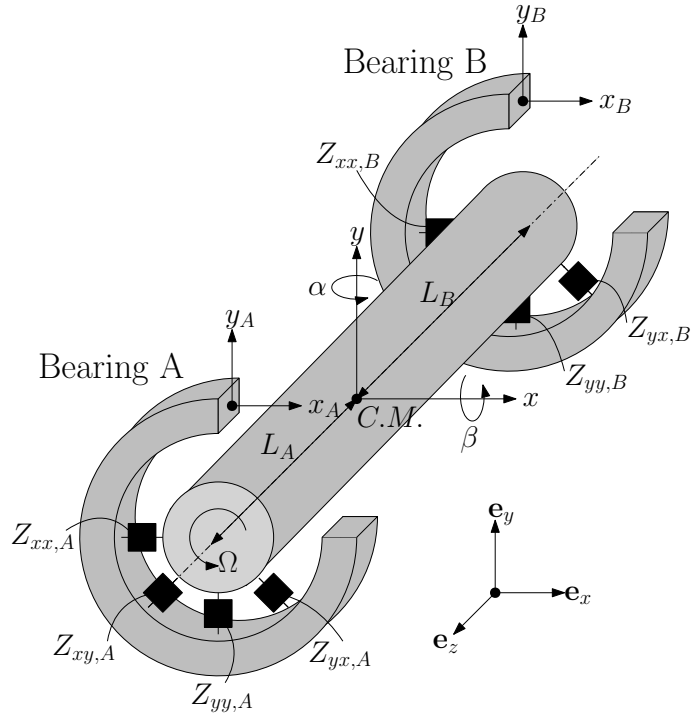


Figure 5: Nomenclature and coordinates of the rotating shaft supported on two gas bearings. C.M. denotes the center of mass, (x_A, y_A) and (x_B, y_B) the respective position of the bushings, (x, y) the position of the center of mass and (α, β) the tilt angle of the rotor. L_A and L_B denote the distances between the respective bearings and the center of mass

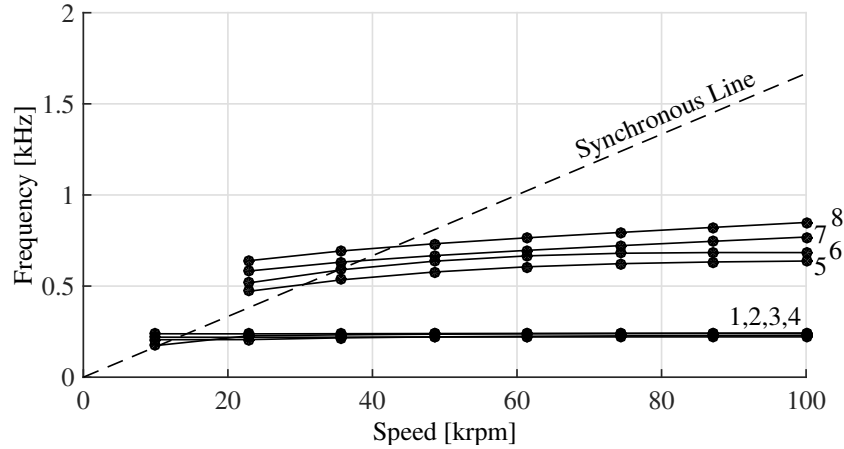


Figure 6: Computed eight eigenfrequencies as a function of rotor speed

140 on the identification of the dynamic force coefficients, since the procedure is
 141 independent of the sub- or super-critical nature of the dynamic system.

142 Each test run is performed as follows: 1) Acceleration of the rotor up
 143 to its target speed within 100 krpm. 2) A first pair of co-planar shakers is
 144 actuated for 4 seconds with a sine wave at the excitation frequency f_i . 3) The
 145 rotor runs for 30 seconds without excitation 4) The second pair of co-planar
 146 shakers is actuated for 4 seconds with a sine wave at the excitation frequency
 147 f_i . 5) Repetition steps 2) and 3) with the next excitation frequency. 6) Coast
 148 down.

149 Imposed excitation signals range from 400 to 1700 Hz with a 100 Hz in-
 150 terval, in order to be higher than the low-frequency noise generated by the
 151 turbine (as visible in Fig. 9) but well under the first rotor bending fre-
 152 quency. The signal of each excitation is processed by applying a band filter
 153 around the nominal excitation frequency and a sine wave fit is performed
 154 on the resulting signal to extract its frequency, amplitude and phase. The
 155 results are compared to the predictions of the perturbed NGT at concentric
 156 position [7], taking into account the deviation in the clearance and groove
 157 depth measurements. The measurement uncertainty accounts for the resolu-
 158 tion, precision and accuracy of the capacitive probes and their measurement
 159 chain, the uncertainties on the rotor mass, inertias and spacial positions and
 160 the uncertainties appearing during the signal processing (band gap filter and
 161 time-domain identification [29]). The latter are estimated numerically by
 162 constructing a reference signal polluted with different levels of pseudo-white
 163 noise, processing the signal and comparing the identified phase, amplitude
 164 and frequency to the reference. The procedure results in a look-up table
 165 allowing to recover the uncertainty on the amplitude and the phase from the
 166 signal-to-noise ratio, evaluated by comparing the estimated amplitude of the
 167 signal to the background noise in the vicinity of the corresponding frequency.
 168 The uncertainties of all N parameters are then combined with Eq. 9, with
 169 the differential term evaluated numerically with a finite-difference scheme:

$$\sigma_{Z_j} = \sqrt{\sum_{i=1}^N \left(\frac{\partial Z_j}{\partial x_i} \sigma_i \right)^2} \quad (9)$$

170 where Z_j designates any component of the impedance vector of Equation 8
 171 and x_i any of the N parameters involved in the estimation of Z_j .

172 The experimental results are compared to the numerical predictions from
 173 the NGT. The non-linear differential equation is linearized around the centered
 174 position with the perturbation technique of Lund [12], as developed in
 175 [19].

176 3. Results and discussion

177 Figure 7 shows the evolution of the rotor speed between ramp-up, steady
 178 operation and coast-down. The evolution of the corresponding rotor and
 179 bearing temperatures is shown in Fig. 8. The temperature evolution is taken
 180 into account in the model predictions of force coefficients, since the differ-
 181 ence in thermal expansion coefficients between bushing and rotor results in a
 182 change of clearance. The centrifugal radial growth of the rotor is computed
 183 with Eq.10 and reaches 0.38 μm at 100 krpm. Figure 9 represents the evolu-
 184 tion of the spectrum in time, measured by one of the rotor proximity probes.
 185 The synchronous whirl is visible, as well as a persistent sub-synchronous
 186 noise around 300 Hz. This noise is induced by the impulse turbine, since
 187 it vanishes as the rotor initiates its coast-down (turbine air cut). The piezo-
 188 shakers excitation signals are visible in pairs at each excitation frequency.
 189 The evolution of the maximal orbit amplitude of the rotor at the bearing
 190 locations is shown in Fig. 10. At nominal speed, the orbit due to residual
 191 unbalance uses between 9 and 13% of the bearing clearance. The maximum
 192 compressibility number is 12.8 based on the average radial clearance.

$$\Delta h_{growth} = \frac{\rho(1 - \nu)\Omega^2 R^2}{12} \quad (10)$$

193
 194 The identified stiffness and damping coefficients under vertical rotor op-
 195 eration are presented in Fig.11 and 12 respectively for a rotor speed of 100
 196 krpm ($\Lambda = 13.43$) and compared with the NGT-based model. The three cate-
 197 gories of prediction are based on the average clearance, the clearance with the
 198 average circularity error and the extreme values, as detailed in Table 2. The
 199 4 pairs of dynamic force coefficients exhibit a high degree of isotropy, as the
 200 difference between corresponding coefficients in orthogonal directions are not
 201 significantly different. The frequency-dependency of the force coefficients is
 202 qualitatively captured by the predictions, although the model slightly over-
 203 estimates the value of stiffness and damping coefficients. The predictions
 204 based on the largest measured clearance gives the best agreement with the

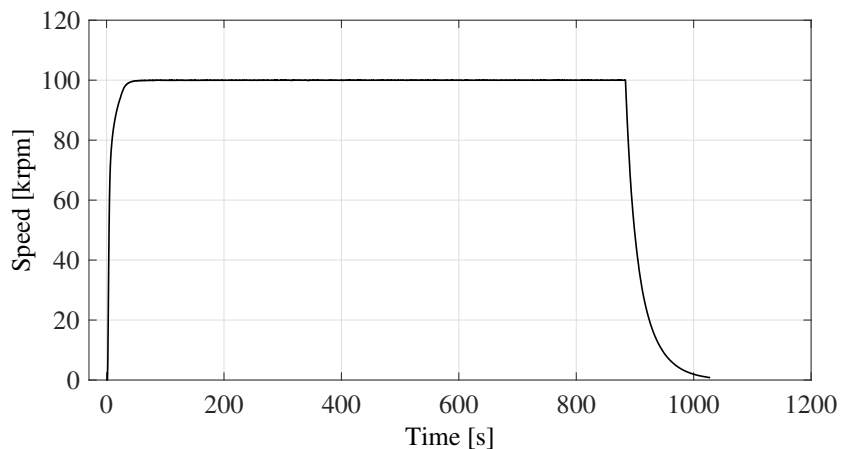


Figure 7: Evolution of rotor speed for the measurements at 100 krpm

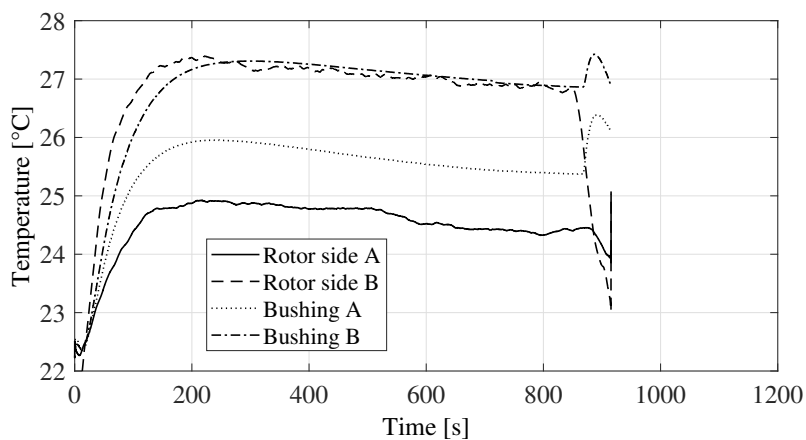


Figure 8: Evolution of rotor and bushing temperatures for the measurements at 100 krpm

205 estimated parameters. At low excitation frequency, the measurements of the
 206 direct stiffness and damping coefficient are respectively 31 and 40% lower
 207 than the NGT prediction based on the average clearance. The evaluation of
 208 the cross-coupled damping coefficient is the most affected by uncertainties,
 209 while the direct stiffness enjoys a good robustness against uncertainties. The
 210 direct stiffness starts with a plateau close to the static value and experiences
 211 a progressive increase toward a second higher plateau at larger relative exci-
 212 tation frequency. The first plateau and the rise are observed experimentally
 213 whereas the second plateau is beyond the maximum excitation frequency.

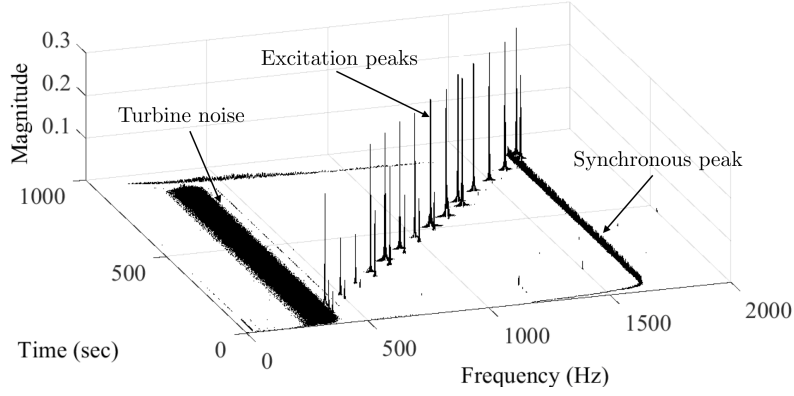


Figure 9: Spectrum of the measurement run at 100 krpm in waterfall view

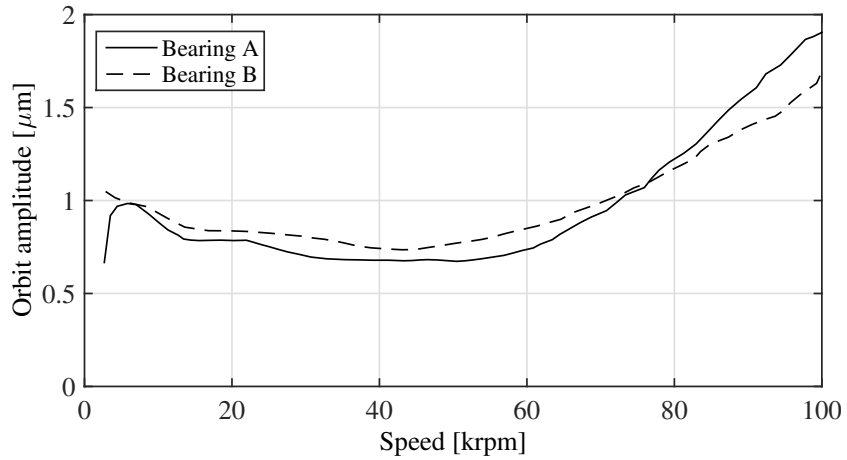


Figure 10: Total orbit amplitudes for both bearings as a function of rotor speed during the acceleration

214 The cross-coupled stiffness first slowly decreases and experiences a recovery
 215 with raising excitation frequency. The direct damping coefficients exhibit
 216 a nearly constant value over the investigated range of excitation frequency,
 217 which is predicted by the NGT based on the largest clearance. Finally,
 218 the cross-coupled damping coefficients have a inflexion point predicted at
 219 $f_{ex}/f_{rot} \approx 0.65$, which is hardly noticeable experimentally because of the
 220 large uncertainties affecting the identified values. However, the prediction
 221 based on the largest clearance offers a good match with the data. The un-
 222 certainties on the prediction generally grow with the excitation frequency.

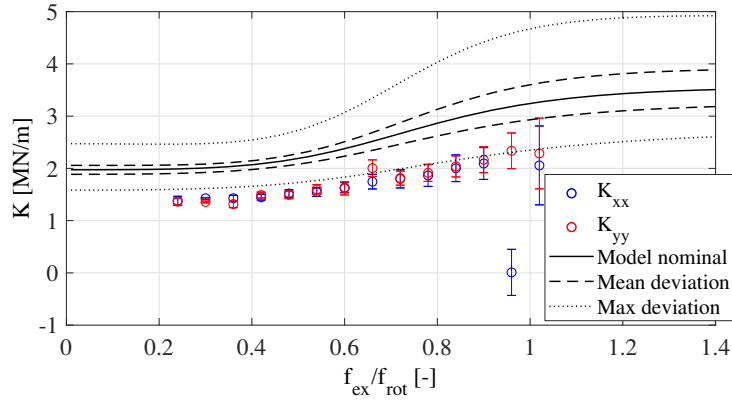
Table 2: Clearance and groove depth used for the prediction of dynamic force coefficients corresponding to the legend of Fig.11 to 14. $\delta_0=16\ \mu\text{m}$, $\Delta\delta =1\ \mu\text{m}$

	h_0	δ
Model Nominal	$\frac{1}{5} \sum_{i=1}^5 \bar{h}_i$	δ_0
Mean deviation	$\frac{1}{5} \sum_{i=1}^5 (\bar{h}_i \pm \Delta h_i)$	δ_0
Max deviation	$\max_i / \min_i (\bar{h}_i \pm \Delta h_i)$	$\delta_0 \pm \Delta\delta$

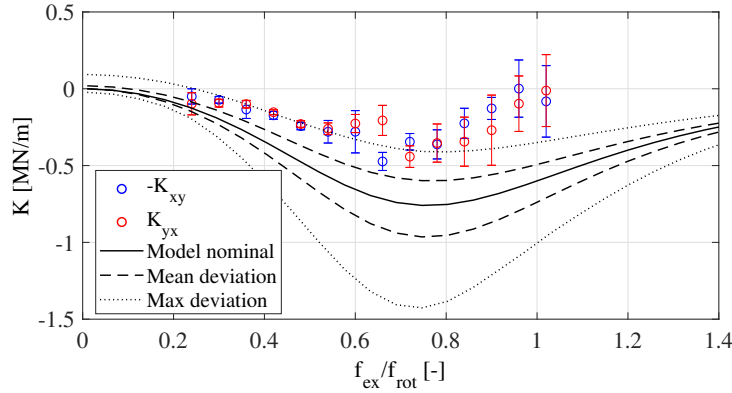
223 A comparable agreement is observed at half the nominal speed, namely 50
 224 krpm ($\Lambda= 6.72$), as shown in Fig.13 and 14. The relative deviation of the
 225 predictions at average clearance on the direct stiffness and damping coeffi-
 226 cients at low frequency is approximately 38 and 27% respectively. The trend
 227 of all four dynamic coefficients toward higher relative excitation frequencies
 228 is well captured. The good qualitative agreement between the measured force
 229 coefficients and the ones predicted by the NGT-based model is remarkable,
 230 considering the very strong assumptions made for obtaining this simplified
 231 model (1. infinite number of grooves, 2. local incompressibility across a
 232 groove-ridge pair and 3. linearization of the modified Reynolds equation by
 233 the perturbation analysis)

234 *Effect of excitation amplitude*

235 The method of perturbation used to predict the bearing linear dynamic
 236 force coefficients is strictly valid only for infinitesimal displacement around
 237 the equilibrium position and does not capture non-linearities in the bearings
 238 dynamic behavior. In order to appreciate the linear behavior of the HGJB
 239 experimentally, the amplitude of the excitation signal imposed on the shak-
 240 ers is progressively increased, leading to a larger displacement of the rotor
 241 relative to the bushing, deviating increasingly from the infinitesimal pertur-
 242 bation. Results for a rotor speed of 70 krpm ($\Lambda = 9.40$) are shown in Fig. 15
 243 and 16, with the estimated force coefficients at $f_{ex} = 700$ and 900 Hz eval-
 244 uated at different amplitude of relative displacement. An evolution of the
 245 force coefficients with the amplitude of displacement is significant only on the
 246 direct damping, although in very modest proportions for the investigated ex-
 247 citation amplitudes. This indicates that the HGJB has, in general, a linear
 248 dynamic behavior around the unloaded position, although the mentioned



a) Direct stiffness



b) Cross-coupled stiffness

Figure 11: Results of identified stiffness coefficients at 100 krpm in ambient air in a vertical configuration

249 dynamic coefficient is prone to non-linearity when the relative displacement
 250 becomes large. It is interesting to note that the measurement uncertainty
 251 decreases with the excitation amplitude. Hence there is a trade-off between
 252 accurate measurements and the avoidance of triggering the non-linearities of
 253 the bearing force coefficients. The evaluations shown in Fig. 11 to 14 were
 254 performed with a radial displacement in the order of $1\ \mu\text{m}$, which offers a
 255 good trade-off between a low uncertainty on the estimated coefficients and
 256 the influence of non-linearities.

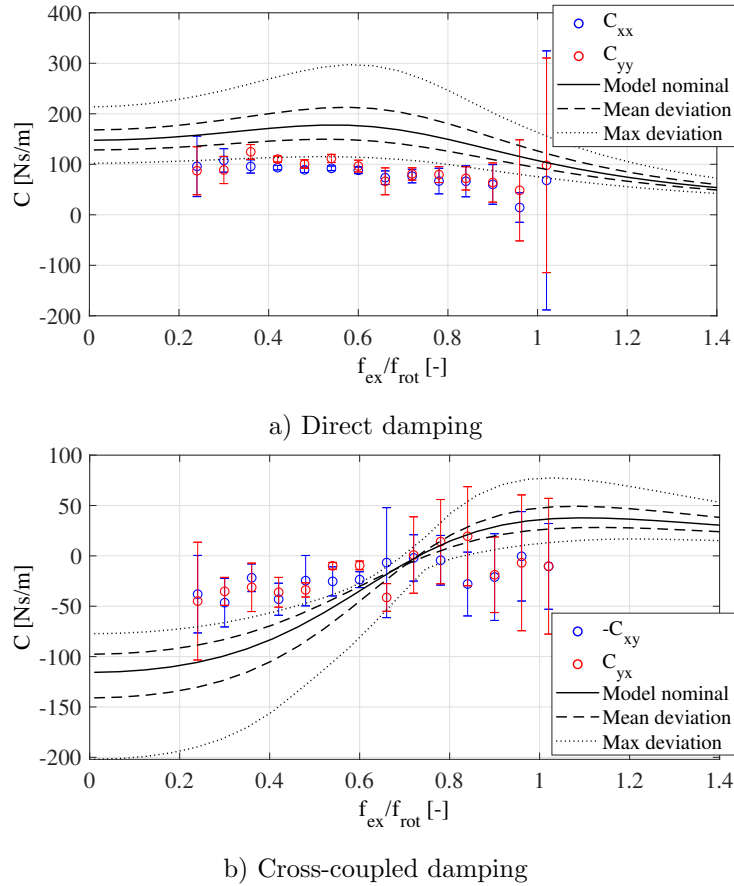


Figure 12: Results of identified damping coefficients at 100 krpm in ambient air

257 *Influence of weight*

258 In order to highlight changes in the dynamic force coefficients due to the
 259 static eccentricity the test rig position was changed from vertical to horizontal
 260 and measurements are repeated at half the nominal speed. The static load
 261 on each bearing is 1.3 N in the y direction, referring to the axis of Fig. 5.
 262 The difference in bearing and rotor temperature between the two tests were
 263 negligible. The center of the rotor shifted by 1.5 μm compared to the vertical
 264 unloaded position, which, assuming the vertical position as the center of the
 265 bearing, corresponds to an eccentricity ratio between 15.1 and 22.0 % (with
 266 the clearance reported in Fig.3). The estimated dynamic force coefficients
 267 are compared in Fig. 17 and 18, showing K_{yy} , K_{xy} , C_{yy} and C_{xy} . The plot
 268 clearly suggests that the static load does not lead to any significant difference

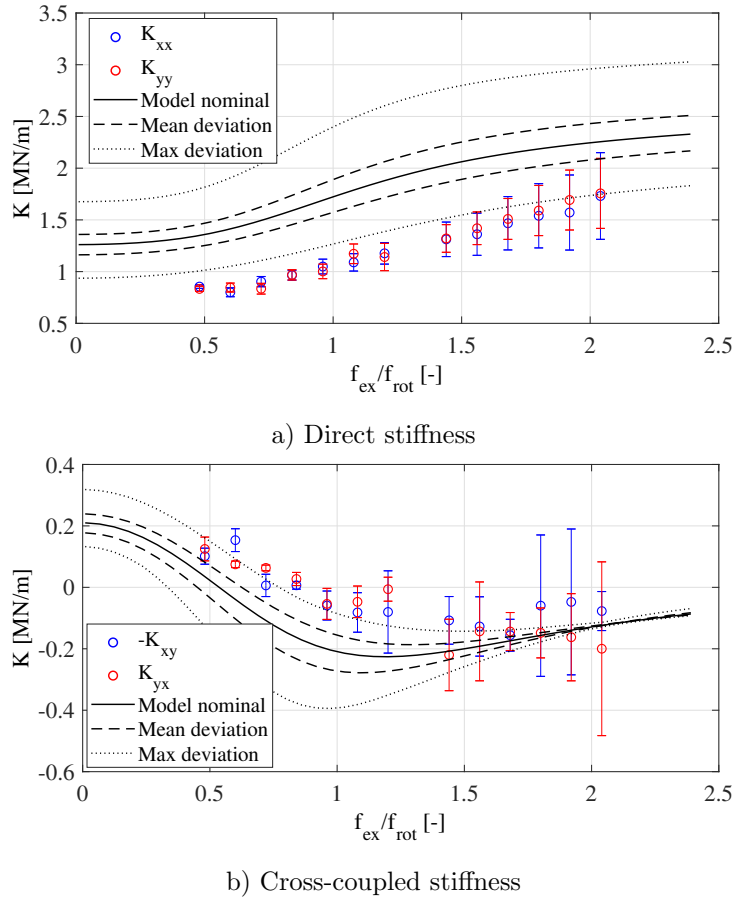
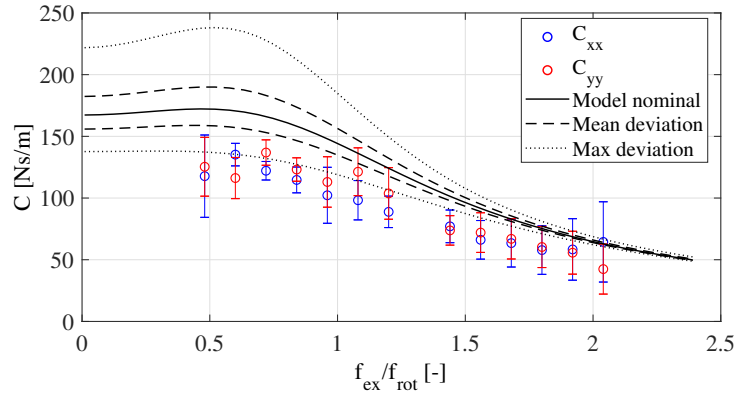


Figure 13: Results of identified stiffness coefficients at 50 krpm in ambient air

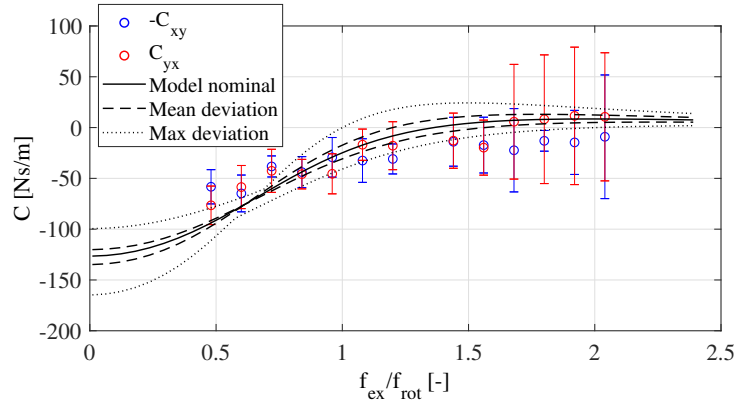
269 in the measured force coefficients for $\epsilon < 0.15$.

270 4. Conclusions

271 In order to assess the dynamic predictions of the Narrow Groove The-
 272 ory commonly employed in the design of spiral groove bearings, a test rig
 273 consisting in a rotor supported on two Herringbone grooved journal bearings
 274 and excited with shakers was built. Dynamic force coefficient were estimated
 275 experimentally at different speeds, orientation with respect to gravity and
 276 excitation amplitudes. The following observations follow the experimental
 277 campaign:



a) Direct damping



b) Cross-coupled damping

Figure 14: Results of identified damping coefficients at 50 krpm in ambient air

- 278 • Estimation of bearing dynamic force coefficient are possible on a float-
279 ing rotor without force measurements
- 280 • The stiffness and damping coefficients predicted by the linearized NGT
281 are in good qualitative agreement with the measured values
- 282 • The NGT tend to overestimate the stiffness and damping of HGJBs. At
283 nominal speed, direct stiffness and damping coefficients were measured
284 38% and 27% lower than the NGT prediction with average clearance.
- 285 • Static eccentricity has little influence on the bearing dynamic force
286 coefficients at least up to 15% eccentricity ratio.

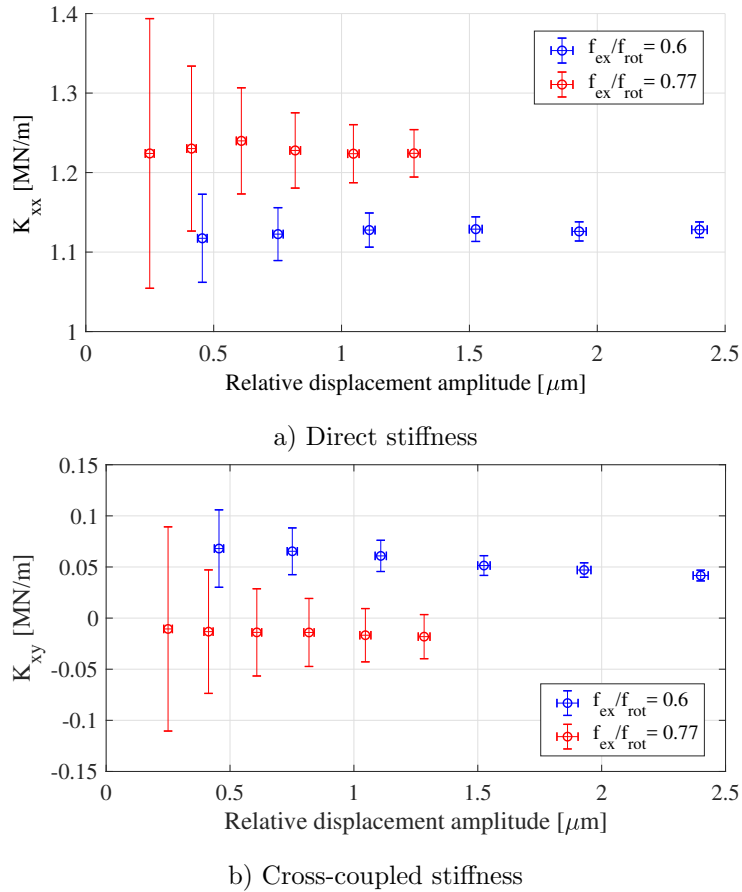
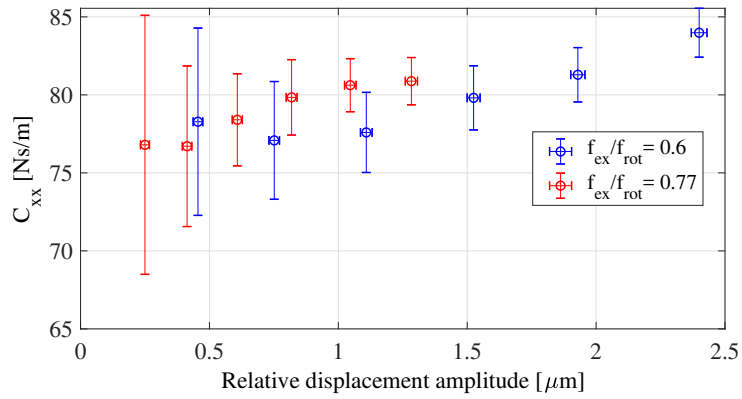


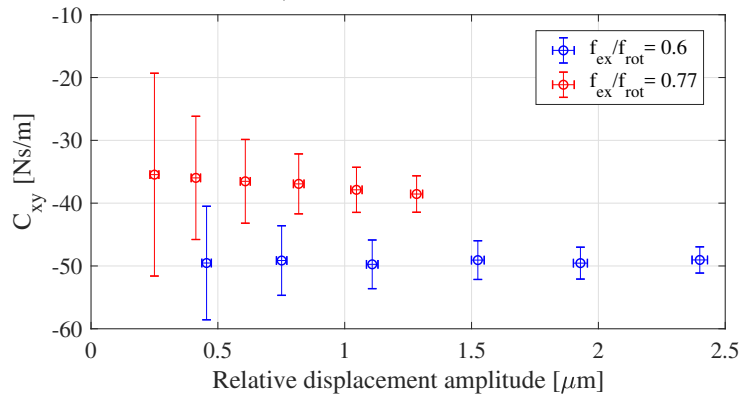
Figure 15: Results of identified stiffness coefficients at 70 krpm in ambient air

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- The direct damping coefficient shows a modest dependency on the excitation amplitude, indicating a non-linear behavior. The other dynamic coefficients were found not found significantly sensitive to the excitation amplitude, suggesting a linear behavior.
 - The comparison between the experimental and the model-based data suggests that the dynamic force coefficients are very sensitive to the bearing clearance and as a consequence also to manufacturing deviation. The results seem to suggest that the maximum clearance is the dominating value for best agreement.

296 The method and the results presented in this work provide a first valida-
 297 tion of the NGT as a design and investigation tool for predicting the dynamic



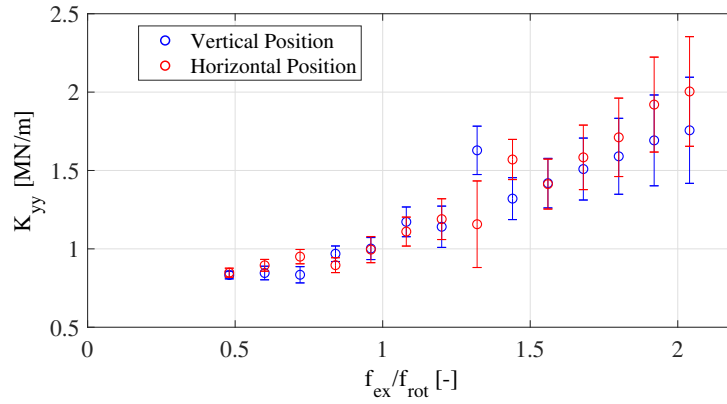
a) Direct damping



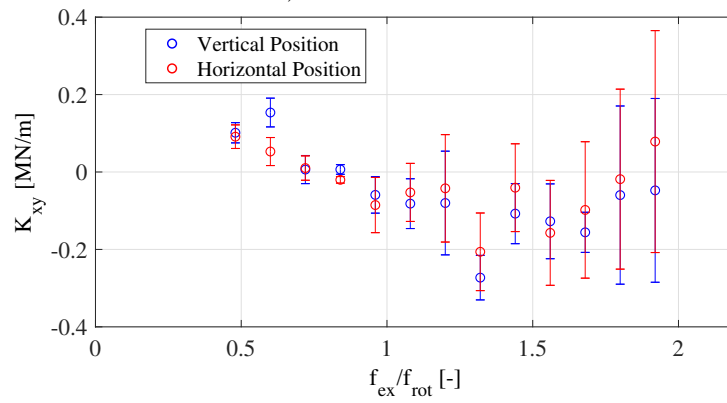
b) Cross-coupled damping

Figure 16: Results of identified stiffness coefficients at 70 krpm in ambient air

298 force coefficients of grooved gas bearings. They also shed light upon the lim-
 299 itation of the theory to predict the dynamic behavior quantitatively, which
 300 should be taken into account when designing a system based on the NGT.

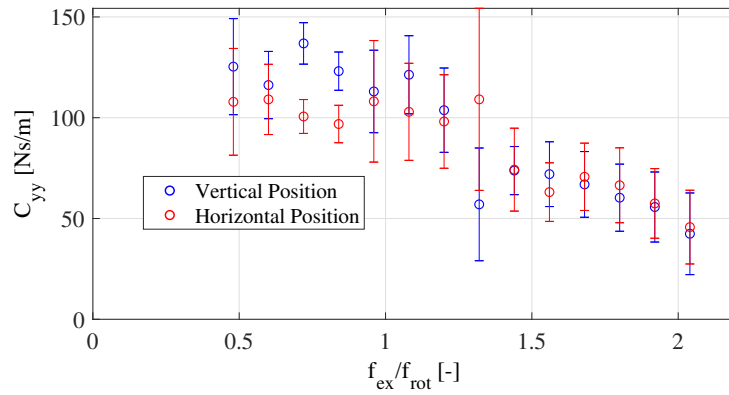


a) Direct stiffness

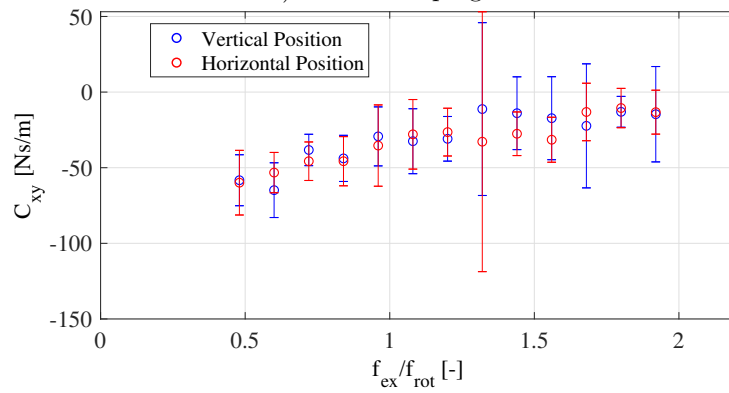


b) Cross-coupled stiffness

Figure 17: Comparison of identified dynamic stiffness coefficients at 50 krpm and different orientations



a) Direct damping



b) Cross-coupled damping

Figure 18: Comparison of identified dynamic damping coefficients at 50 krpm and different orientations

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