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Production of Λ_c^+ baryons in proton-proton and lead-lead collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$

The CMS Collaboration^{*}

Abstract

The differential cross sections of Λ_c^+ baryon production are measured via the exclusive decay channel $\Lambda_c^+ \rightarrow p K^- \pi^+$, as a function of transverse momentum (p_T) in proton-proton (pp) and lead-lead (PbPb) collisions at a nucleon-nucleon center-of-mass energy of 5.02 TeV with the CMS detector at the LHC. The measurement is performed within the Λ_c^+ rapidity interval $|y| < 1.0$ in the p_T range of 5–20 GeV/ c in pp and 10–20 GeV/ c in PbPb collisions. The observed yields of Λ_c^+ for p_T of 10–20 GeV/ c suggest a possible suppression in central PbPb collisions compared to pp collisions. The Λ_c^+/D^0 production ratio in pp collisions is compared to theoretical models. In PbPb collisions, this ratio is consistent with the result from pp collisions in their common p_T range.

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1 Introduction

Measurements of heavy-quark production provide unique inputs in understanding the parton energy loss and the degree of thermalization in the quark-gluon plasma (QGP) [1] formed in high energy heavy ion collisions. Compared to light quarks, different mechanisms [2] are expected to dominate the interaction between heavy quarks and the medium. Besides the in-medium interactions, a detailed study of the hadronization process is critical for the interpretation of experimental data. In relativistic heavy ion collisions, in addition to the fragmentation process present in proton-proton (pp) collisions, hadron production can also occur via coalescence, where partons combine with each other while traversing the QGP medium [3]. For the production of hadrons with up, down, or strange quarks [4, 5], the significant enhancement of the baryon-to-meson ratio observed in heavy ion collisions and its dependence on centrality (i.e., the degree of overlap of the two colliding nuclei) can be interpreted as evidence of hadronization via coalescence. The nuclear modification factor R_{AA} is the ratio of the yield in heavy ion collisions to that in pp collisions scaled by the number of nucleon-nucleon (NN) interactions. For D^0 meson production in AuAu collisions, R_{AA} is observed to increase for p_T of about $0\text{ GeV}/c$ to $1.5\text{ GeV}/c$ and decrease from $2\text{ GeV}/c$ to $6\text{ GeV}/c$, an effect that can be reproduced by models involving coalescence [6]. The coalescence contribution to the baryons production is expected to be more significant than for mesons because of their larger number of constituent quarks. For example, models involving coalescence of charm and light-flavor quarks predict a large enhancement in the Λ_c^+ / D^0 production ratio in heavy ion collisions relative to pp collisions and also predict that the enhancement has a strong p_T dependence [7–9]. Comparison of Λ_c^+ baryon production in pp and lead-lead (PbPb) collisions can thus shed new light on understanding heavy-quark transport in the medium and heavy-quark hadronization via coalescence. All discussions of Λ_c^+ and D^0 also include the corresponding charge conjugate states.

Recently, the production of Λ_c^+ baryons for a variety of collision configurations has been measured in a similar p_T range by the LHC experiments ALICE and LHCb in the central and forward regions, respectively [10–13]. Both experiments measured the Λ_c^+ p_T -differential cross sections in pp collisions at a center-of-mass energy of $\sqrt{s} = 7\text{ TeV}$ and compared them to theoretical predictions using the next-to-leading order Generalized Mass Variable Flavor Number Scheme [14]. The LHCb results for the rapidity range $2.0 < y < 4.5$ were found to be compatible with theory [12], while the ALICE values for $|y| < 0.5$ were larger than the predictions [10]. The ALICE experiment also reported Λ_c^+ / D^0 production ratios in 7 TeV pp collisions, as well as in proton-lead (pPb) and PbPb collisions at an NN center-of-mass energy of $\sqrt{s_{NN}} = 5.02\text{ TeV}$. The ALICE ratios from pp and pPb collisions [10] were found to be above the corresponding LHCb values [12, 13] (however in different rapidity ranges), with the latter agreeing with theoretical predictions. The ALICE Λ_c^+ / D^0 production ratio for $6 < p_T < 12\text{ GeV}/c$ in PbPb collisions was measured to be larger than in pp and pPb collisions, and this difference can be described using a model involving only coalescence in hadronization [11]. The ALICE measurements of the R_{AA} of Λ_c^+ baryons in pPb and PbPb collisions were found to be compatible with unity and less than unity, respectively, but do little to constrain models owing to large uncertainties [10, 11].

In this letter, we report on the first measurements of Λ_c^+ baryon production in pp and PbPb collisions at high p_T . The data were collected at $\sqrt{s_{NN}} = 5.02\text{ TeV}$ in 2015 using the CMS detector. The Λ_c^+ baryons are reconstructed in the central region ($|y| < 1$) via the hadronic decay channel $\Lambda_c^+ \rightarrow pK^-\pi^+$. The differential cross section, as well as the Λ_c^+ / D^0 production ratio, are measured in the p_T ranges $5\text{--}20$ and $10\text{--}20\text{ GeV}/c$ in pp and PbPb collisions, respectively. The Λ_c^+ / D^0 production ratios use the corresponding CMS measurements of D^0 production [15].

Centrality bins for PbPb collisions are given in percentage ranges of the total inelastic hadronic cross section, with the 0–30% centrality bin corresponding to the 30% of collisions having the largest overlap of the two nuclei. The values of R_{AA} are obtained for three centrality intervals: 0–100%, 0–30%, and 30–100%.

2 The CMS detector

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon tracker, a lead tungstate crystal electromagnetic calorimeter, and a brass and scintillator hadron calorimeter, each composed of a barrel and two endcap sections. The tracker measures charged particles within the pseudorapidity range $|\eta| < 2.5$ and the calorimeters record deposited energy for particles with $|\eta| < 3.0$. Two forward hadron (HF) calorimeters use steel as an absorber and quartz fibers as the sensitive material. The two HF calorimeters are located 11.2 m from the interaction region, one on each end, and together they extend the calorimeter coverage from $|\eta| = 3.0$ to 5.2. Each HF calorimeter consists of 432 readout towers, containing long and short quartz fibers running parallel to the beam, providing information on the shower energy and the relative contribution originating from hadrons versus electrons and photons. A detailed description of the CMS experiment can be found in Ref. [16].

3 Event reconstruction and simulated samples

The collision centrality is determined from the total transverse energy deposited in both HF calorimeters and was utilized by the two triggers used in this analysis [17]. One trigger selected minimum-bias (MB) events by requiring energy deposits in both HF calorimeters above approximately 1 GeV. As not all MB events could be saved, an additional trigger selected the more peripheral centrality region of 30–100% for PbPb events. The integrated luminosities of pp collisions, PbPb collisions with centrality 0–100%, and PbPb collisions with centrality 30–100% are 38 nb^{-1} , $44 \mu\text{b}^{-1}$, and $102 \mu\text{b}^{-1}$, respectively.

The track reconstruction algorithms used in this study for pp and PbPb collisions are described in Refs. [18] and [19], respectively. In PbPb collisions, minor modifications are made to the pp reconstruction algorithm in order to accommodate the much larger track multiplicities. Tracks are required to have a relative p_{T} uncertainty of less than 30% in PbPb collisions and 10% in pp collisions. In PbPb collisions, tracks must also have at least 11 hits and satisfy a stringent fit quality requirement, specifically that the χ^2 per degree of freedom be less than 0.15 times the number of tracker layers with a hit.

For the offline analysis, events must pass selection criteria designed to reject events from background processes (beam-gas interactions and nonhadronic collisions), as described in Ref. [19]. Events are required to have at least one reconstructed primary interaction vertex [18] with a distance from the center of the nominal interaction region of less than 15 cm along the beam axis. In addition, in PbPb collisions, the shapes of the clusters in the pixel detector have to be compatible with those expected from particles produced at the primary vertex location [20]. The PbPb collision events are also required to have at least three towers in each HF detector with energy deposits of more than 3 GeV per tower. These criteria select $(99 \pm 2)\%$ of inelastic hadronic PbPb collisions. Selection efficiencies higher than 100% reflect the possible presence of ultra-peripheral (nonhadronic) collisions in the selected event sample.

Monte Carlo (MC) simulated event samples are used to optimize the selection criteria, calculate

the acceptance times efficiency, and estimate the systematic uncertainties. The MC samples contain 4 sub-channels: $\Lambda_c^+ \rightarrow p\bar{K}^*(892)^0 \rightarrow pK^-\pi^+$, $\Lambda_c^+ \rightarrow \Delta(1232)^{++}K^- \rightarrow pK^-\pi^+$, $\Lambda_c^+ \rightarrow \Lambda(1520)\pi^+ \rightarrow pK^-\pi^+$, and $\Lambda_c^+ \rightarrow pK^-\pi^+$ (nonresonant), with no modeling of interference between the sub-channels. Proton-proton collisions are generated with PYTHIA8.212 [21] tune CUETP8M1 [22], hereafter referred to as PYTHIA8. For the PbPb MC samples, each PYTHIA8 event containing a Λ_c^+ baryon is embedded into a PbPb collision event generated with HYDJET 1.8 [23], which is tuned to reproduce global event properties such as the charged-hadron p_T spectrum and particle multiplicity. The Λ_c^+ is decayed with EVTGEN 1.3.0 [24] and all particles are propagated through the CMS detector using the GEANT4 package [25].

4 Signal extraction

The $\Lambda_c^+ \rightarrow pK^-\pi^+$ candidates are reconstructed by selecting three charged tracks with $|\eta| < 1.2$ and a net charge of +1. All tracks must have $p_T > 0.7$ (1.0) GeV/c for pp (PbPb) events. During the invariant mass reconstruction, both possibilities for the mass assignments of the same-sign tracks are considered, while the kaon mass is assigned to the opposite-signed track. The incorrect assignment results in a broad distribution in the invariant mass (about 30 times the signal width) and is indistinguishable from the combinatorial background.

As the event multiplicities for pp and PbPb collisions are substantially different, the selection criteria were optimized separately. In the optimization, simulated events in which a reconstructed Λ_c^+ candidate is matched to a generated Λ_c^+ baryon are used as the signal sample, and data events from the mass sideband region are used as the background sample. Requirements are made on three topological and three kinematic variables. The three topological criteria are: the χ^2 probability of the vertex fit to the three charged tracks making up the Λ_c^+ candidate, the angle between the Λ_c^+ candidate momentum and the vector connecting the production and decay vertices in radians (α), and the separation between the two vertices. While more than one collision per bunch crossing is rare in PbPb collisions, it is common in pp collisions. Therefore, two-dimensional variables in the transverse plane with respect to the beamline are used for α and decay length in pp collisions, while three-dimensional variables with respect to the primary vertex are used for PbPb collisions. For the PbPb events, the topological requirements are χ^2 probability above 20%, $\alpha < 0.1$, and decay length greater than 3.75σ , where σ is the uncertainty in the separation. For pp events, the corresponding requirements are χ^2 probability above 8%, $\alpha < 0.4$, and decay length greater than 2.25σ . The kinematic requirements are kaon (proton) p_T divided by the Λ_c^+ candidate p_T greater than 0.14 (0.28) for all events and pion p_T divided by the Λ_c^+ candidate p_T greater than 0.12 for PbPb events.

The Λ_c^+ baryon yields in each p_T interval are obtained from unbinned maximum likelihood fits to the invariant mass distribution in the range of 2.11–2.45 GeV/ c^2 . The signal shape is modeled by the sum of two Gaussian functions with the same mean, but different widths that are fixed on the basis of the simulated signal sample. One fit parameter scales both widths to accommodate a potential difference in the mass resolution between simulation and data, with the exception of the lowest p_T region (5–6 GeV/c) in the pp data, where this parameter was found to cause a bias in the fit and was fixed to the value that returned the smallest bias. The background is modeled with a third-order Chebyshev polynomial. Representative invariant mass distributions in pp and PbPb collisions are shown in Fig. 1.

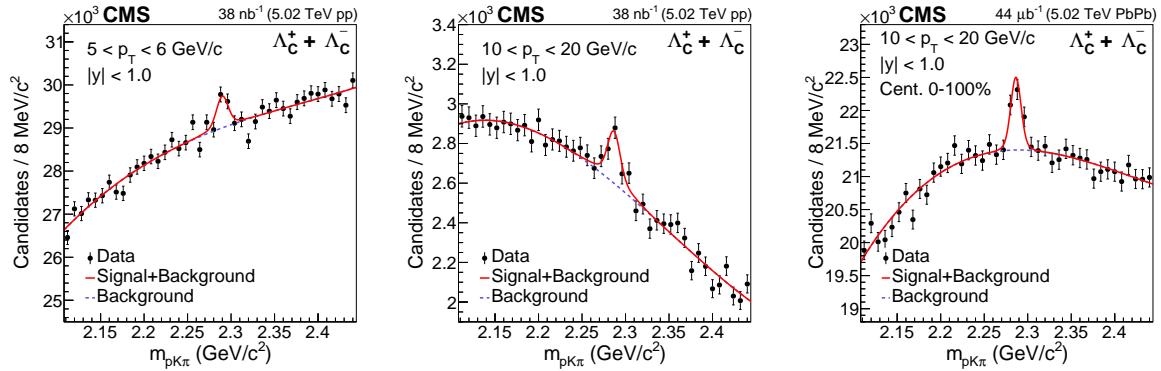


Figure 1: Invariant mass distribution of Λ_c^+ candidates with $p_T = 5\text{--}6 \text{ GeV}/c$ (left), $10\text{--}20 \text{ GeV}/c$ (middle) in pp collisions, and $p_T = 10\text{--}20 \text{ GeV}/c$ in PbPb collisions within the centrality range 0–100% (right). The solid line represents the full fit and the dashed line represents the background component.

The Λ_c^+ baryon differential cross section in pp collisions is defined as:

$$\frac{d\sigma_{\text{pp}}^{\Lambda_c^+}}{dp_T} \Bigg|_{|y|<1.0} = \frac{1}{2\mathcal{L}\Delta p_T \mathcal{B}} \frac{N_{\text{pp}}^{\Lambda_c^+}|_{|y|<1.0}}{A\epsilon}, \quad (1)$$

where $N_{\text{pp}}^{\Lambda_c^+}|_{|y|<1.0}$ is the Λ_c^+ yield extracted in each p_T bin, \mathcal{L} is the integrated luminosity, Δp_T is the width of each p_T bin, \mathcal{B} is the branching fraction of the decay, and $A\epsilon$ is the product of the acceptance and efficiency. The factor of 1/2 accounts for averaging the particle and antiparticle contributions. The Λ_c^+ differential cross section in PbPb collisions is defined as:

$$\frac{1}{\langle T_{\text{AA}} \rangle} \frac{dN_{\text{PbPb}}^{\Lambda_c^+}}{dp_T} \Bigg|_{|y|<1.0} = \frac{1}{\langle T_{\text{AA}} \rangle} \frac{1}{2N_{\text{events}} \Delta p_T \mathcal{B}} \frac{N_{\text{PbPb}}^{\Lambda_c^+}|_{|y|<1.0}}{A\epsilon}, \quad (2)$$

where N_{events} is the number of MB events used for the analysis and $\langle T_{\text{AA}} \rangle$ is the nuclear overlap function, which is equal to the average number of NN binary collisions ($\langle N_{\text{coll}} \rangle$) divided by the NN inelastic cross section, and can be interpreted as the NN-equivalent integrated luminosity per heavy ion collision. The values of $\langle T_{\text{AA}} \rangle$, $\langle N_{\text{coll}} \rangle$, and the average number of participating nucleons ($\langle N_{\text{part}} \rangle$), calculated using a Monte Carlo Glauber model [26], in which the NN inelastic cross section (70 mb) is used as an input parameter, are the averages of these quantities over the events in the given centrality range, and are listed in Table 1.

Table 1: Summary of the $\langle N_{\text{coll}} \rangle$, $\langle T_{\text{AA}} \rangle$, and $\langle N_{\text{part}} \rangle$ values for three PbPb centrality ranges.

Centrality	$\langle T_{\text{AA}} \rangle [\text{mb}^{-1}]$	$\langle N_{\text{part}} \rangle$	$\langle N_{\text{coll}} \rangle$
0–30%	$15.41^{+0.33}_{-0.47}$	$270.7^{+3.2}_{-3.4}$	1079^{+74}_{-78}
30–100%	$1.41^{+0.09}_{-0.06}$	$46.8^{+2.4}_{-1.2}$	98^{+8}_{-6}
0–100%	$5.61^{+0.16}_{-0.19}$	$114.0^{+2.6}_{-2.6}$	393^{+26}_{-28}

The nuclear modification factor R_{AA} is computed as:

$$R_{\text{AA}}(p_T) = \frac{1}{\langle T_{\text{AA}} \rangle} \frac{dN_{\text{PbPb}}^{\Lambda_c^+}}{dp_T} \Bigg/ \frac{d\sigma_{\text{pp}}^{\Lambda_c^+}}{dp_T}. \quad (3)$$

The values of $A\epsilon$ are obtained from MC simulation as a fraction in which the denominator is all Λ_c^+ baryons with $|y| < 1$ and the numerator is all reconstructed Λ_c^+ candidates that pass the selection criteria and are matched to a generated Λ_c^+ baryon. The p_T spectrum of the generated events is weighted to match the observed data for the pp sample. As the PbPb results are given for just one p_T range, an alternative method is used to correct the p_T spectra in simulation. Under the transverse mass scaling hypothesis (m_T scaling) [27], the Λ_c^+ baryon p_T spectrum is obtained for the 0–100% centrality region from the D^0 measurements [15] using the function $m^2(\Lambda_c^+) + p_T^2(\Lambda_c^+) = m^2(D^0) + p_T^2(D^0)$. For the PbPb data set, the centrality distribution in simulation is also reweighted to match the data. The values of $A\epsilon$ vary from 7 to 19% between the lowest and highest p_T bins in pp collisions, and are 4–5% for the three centrality bins in PbPb collisions.

5 Systematic uncertainties

Systematic uncertainties arise from estimating the signal yield, the ability of the MC simulation to reproduce the combined acceptance and efficiency, the branching fraction of the decay mode, and the integrated luminosity. Unless otherwise indicated, the total systematic uncertainty is obtained by adding the individual contributions in quadrature.

The systematic uncertainty in the signal yields is obtained by varying the modeling functions that are used for the signal and background contributions. The background function is changed from the default third- to second- and fourth-order Chebyshev polynomials, with the maximum difference in yield between these two alternative functions and the default fit function taken as the systematic uncertainty. This amounts to 4–10% and 7–9% for pp and PbPb collisions, respectively. The default signal model function is the sum of two Gaussian functions. For the pp collision data, the alternative model is a single Gaussian function. For the PbPb collision data, two alternative models are tried, a single Gaussian function and the sum of two Gaussian functions with the shape parameters fixed to the values found in the $10 < p_T < 20 \text{ GeV}/c$ bin of the pp collision data. As the signal width is fixed for events with $\Lambda_c^+ p_T < 6 \text{ GeV}/c$, an additional systematic uncertainty is assessed by varying the width by $\pm 40\%$, corresponding to the typical variations observed in other p_T bins in pp and PbPb collisions. The uncertainty due to the modeling of the signal is 10–32% for pp collisions and 6–13% for PbPb collisions, and is largest at low p_T (pp) and in peripheral events (PbPb).

Four systematic uncertainties associated with the MC modeling of the data are evaluated. The first uncertainty measures the effect of the selection criteria variation. We define a double ratio as:

$$\mathcal{DR} = \frac{N_{\text{Data}}(\text{varied})}{N_{\text{Data}}(\text{nominal})} \Big/ \frac{N_{\text{MC}}(\text{varied})}{N_{\text{MC}}(\text{nominal})}, \quad (4)$$

where $N_{\text{Data}}(\text{nominal})$ and $N_{\text{Data}}(\text{varied})$ are the yields obtained from data using the default and alternative selection criteria, respectively, and $N_{\text{MC}}(\text{nominal})$ and $N_{\text{MC}}(\text{varied})$ are the corresponding yields from the simulated events. For each of the topological selection criteria, the double ratio is evaluated at many different values of the selection criterion. The specific ranges for pp collision events are $>1.5\sigma$ to $>6\sigma$, $>5\%$ to $>45\%$, and <0.1 to no cut for decay length, vertex fit probability, and α , respectively. The corresponding ranges for PbPb collision events are $>2.5\sigma$ to $>8\sigma$, $>5\%$ to $>45\%$, and <0.05 to <0.2 . For all but the α cut in PbPb collisions, \mathcal{DR} is plotted as a function of the selection value and fit to a linear function. The systematic uncertainty is taken as the difference between unity and the value of the fitted line at the point where no selection is applied. For the α requirement in PbPb collisions, the systematic uncertainty is obtained from the biggest differences between unity and the value of \mathcal{DR} from all of

the alternative selection values. Combining the results of the three topological selection criteria systematic uncertainties in quadrature results in uncertainties of 6% for the pp data set and 19% for the PbPb data sets. The second uncertainty arises from a potential mismodeling of the p_T distribution of Λ_c^+ baryons because $A\epsilon$ is strongly dependent on the Λ_c^+ p_T . In pp collisions, the default p_T shape is derived from the data. The spectrum from PYTHIA8 and a model calculation from Ref. [28] are used as alternative descriptions, with the maximum difference in $A\epsilon$ with respect to the nominal value taken as the systematic uncertainty. For PbPb collisions, the default p_T shape is obtained from m_T scaling of the measured D^0 p_T spectrum. An alternative p_T spectrum is obtained from PYTHIA8 and the difference in $A\epsilon$ is used as the systematic uncertainty, which amounts to 0–10% for pp collisions and 3–4% for PbPb collisions. The third uncertainty arises from imprecise knowledge of the resonant substructure of the $pK^-\pi^+$ decay mode [29]. The calculation of $A\epsilon$ uses the appropriately weighted sum of the four known sub-channels and the systematic uncertainty associated with this is evaluated by determining $A\epsilon$ for each sub-channel and adjusting the weights by the uncertainties of each branching fraction. The systematic uncertainty is obtained from the standard deviation of a Gaussian fit to the $A\epsilon$ values and is 8% for both pp and PbPb events. The fourth uncertainty associated with the MC modeling of the data is the track reconstruction efficiency, which is 4% for pp collisions [15] and 5% for PbPb collisions [30]. As there are three tracks in the Λ_c^+ decay, the corresponding uncertainties on the measured p_T spectra are 12 and 15% for pp and PbPb, respectively, while for the Λ_c^+/D^0 production ratio, the uncertainties are 4 and 5%, respectively. For R_{AA} , the track reconstruction efficiency uncertainty is 19%, obtained by assuming the pp and PbPb uncertainties are independent and summing them in quadrature.

The overall $\Lambda_c^+ \rightarrow pK^-\pi^+$ branching fraction uncertainty is 5.3% [29]. However, this effect is canceled when evaluating the systematic uncertainty of R_{AA} . The uncertainties of the integrated luminosity in pp collisions and the MB selection efficiency in PbPb collisions are 2.3% [31] and 2.0% [19], respectively. In calculating the Λ_c^+/D^0 production ratio, the uncertainties associated with D^0 from the yield extraction, selection criteria efficiency, and p_T shape are obtained from Ref. [15], while the uncertainties in the integrated luminosity in pp collisions and the MB selection efficiency in PbPb collisions cancel.

6 Results and discussion

Figure 2 shows the p_T -differential cross section of Λ_c^+ baryon production in pp collisions for the range of $5 < p_T < 20 \text{ GeV}/c$ and in PbPb collisions for the range of $10 < p_T < 20 \text{ GeV}/c$, for three centrality classes. The 5.8% normalization uncertainty in the pp differential cross section arising from the integrated luminosity and branching fraction is not included in the boxes representing the systematic uncertainties for each data point. The corresponding normalization uncertainty in the PbPb results is included in the systematic uncertainty boxes for each data point. The shape of the p_T distribution in pp collisions is consistent with the PYTHIA8 calculation. While the data are systematically higher than PYTHIA8, the difference is not significant taking into account the uncertainty in the measurement.

The nuclear modification factor R_{AA} for Λ_c^+ baryons in the p_T range $10\text{--}20 \text{ GeV}/c$ is shown in Fig. 3 as a function of the number of participating nucleons $\langle N_{\text{part}} \rangle$ for PbPb collisions. There is a hint that the production of Λ_c^+ is suppressed in PbPb collisions for $p_T > 10 \text{ GeV}/c$, but no conclusion can be drawn because of the large uncertainty in the pp differential cross section. However, the ratio of the R_{AA} values for the 0–30% and 30–100% centrality ranges (which is independent of the pp uncertainty) shows evidence for more suppression in the more central PbPb collisions.

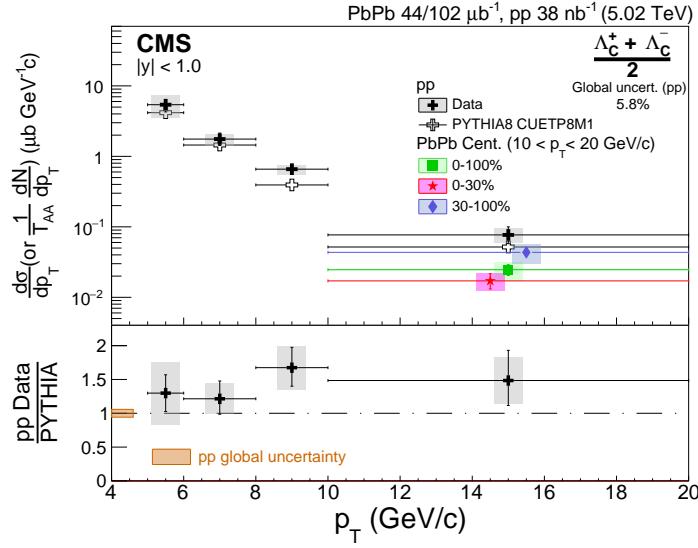


Figure 2: The p_T -differential cross sections for Λ_c^+ baryon in pp collisions and in three centrality regions of PbPb collisions, along with the PYTHIA8 calculation for pp collisions. The boxes and error bars represent the systematic and statistical uncertainties, respectively. The PbPb data points are shifted in the horizontal axis for clarity. The lower panel shows the ratio of the measured p_T -differential cross section in pp data to the PYTHIA8 calculation. The box at unity in the lower panel indicates the 5.8% normalization uncertainty for pp collision arising from the integrated luminosity and branching fraction. The PbPb normalization uncertainty is included in the systematic uncertainty for each point.

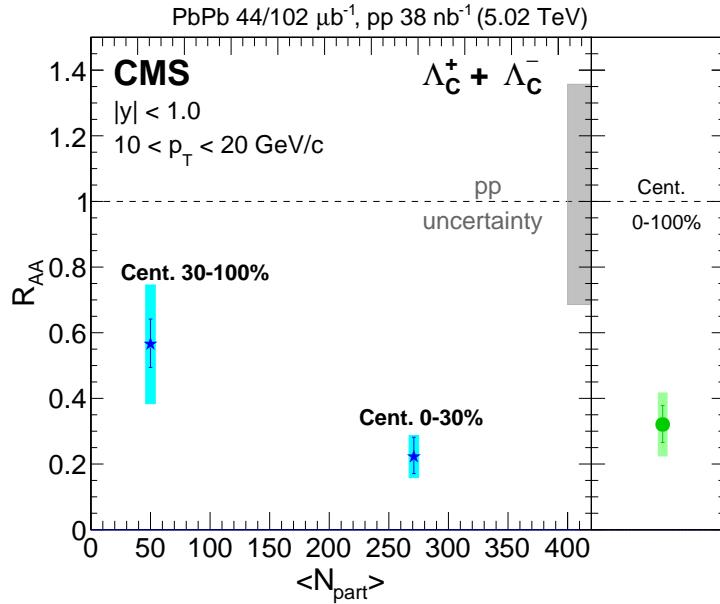


Figure 3: The nuclear modification factor R_{AA} versus $\langle N_{part} \rangle$. The boxes and error bars on the data points represent the systematic and statistical uncertainties in the numerator of Eq. (3), respectively. The box at unity indicates the total uncertainty in the pp differential cross section, which is common to all three R_{AA} values.

Figure 4 shows the Λ_c^+ / D^0 production ratio as a function of p_T for pp collisions and PbPb collisions in the centrality range 0–100%. Because the uncertainties in the measured cross sec-

tions are asymmetric, the Λ_c^+/\bar{D}^0 production ratio is obtained via a fit to the Λ_c^+ baryon mass spectrum with the Λ_c^+/\bar{D}^0 production ratio as a free parameter and the statistical uncertainty of the \bar{D}^0 yields included as a nuisance parameter. The production ratio found from pp collisions is similar in shape versus p_T but about three times larger in magnitude compared to the calculation from PYTHIA8.212 tune CUETP8M1. Results using the Monash 2013 [32] tune are found to be consistent with those from the CUETP8M1 tune. The hadronization in PYTHIA8.212 can be modified by adding a color reconnection (CR) mechanism in which the final partons in the string fragmentation are considered to be color connected in such a way that the total string length becomes as short as possible [33]. Figure 4 shows that calculations using the "standard" color reconnection model are consistent with our results for the Λ_c^+/\bar{D}^0 production ratio in pp collisions.

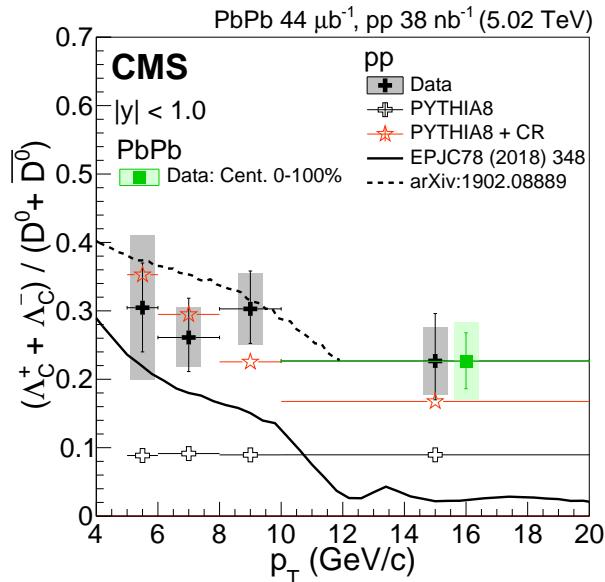


Figure 4: The Λ_c^+/\bar{D}^0 production cross section ratio versus p_T from pp collisions as well as 0–100% centrality PbPb collisions. The boxes and error bars represent the systematic and statistical uncertainties, respectively. The PbPb data point is shifted in the horizontal axis for clarity. The open crosses and open stars represent the predictions of PYTHIA8 with the CUETP8M1 tune and with color reconnection [33], respectively. The solid and dashed lines are the calculations from Ref. [28] and Ref. [34], respectively. All predictions are for pp collisions.

The observation of a higher Λ_c^+/\bar{D}^0 production ratio in data may suggest the need to introduce coalescence production in charm quark hadronization in pp collisions. Calculations using a model that includes both coalescence and fragmentation in pp collisions [28] are shown in Fig. 4 by the solid line. Compared to the data, the model predicts a stronger dependence on p_T and underestimates the measurements for p_T above $10\text{ GeV}/c$. Another recent model attempts to explain the large Λ_c^+/\bar{D}^0 production ratio as arising from Λ_c^+ baryons that are produced from the decay of excited charm baryon states not included in the PYTHIA8 hadronization [34]. The prediction of this model, also shown in Fig. 4 by the dashed line, provides a reasonable description of the data for $p_T < 10\text{ GeV}/c$.

In contrast to the ALICE observation of a large enhancement in the Λ_c^+/\bar{D}^0 production ratio in the p_T range of $6\text{--}12\text{ GeV}/c$ for PbPb [11] compared to pp collisions [10], the CMS PbPb measurement in the p_T range $10\text{--}20\text{ GeV}/c$ is consistent with the pp result. This lack of an enhancement may suggest that there is no significant contribution from the coalescence process for $p_T > 10\text{ GeV}/c$ in PbPb collisions.

7 Summary

The p_T -differential cross sections of Λ_c^+ baryons have been measured in pp and PbPb collisions at a nucleon-nucleon center-of-mass energy of 5.02 TeV. The shape of the p_T distribution in pp collisions is well described by the PYTHIA8 event generator. A hint of suppression of Λ_c^+ production for $10 < p_T < 20 \text{ GeV}/c$ is observed in PbPb when compared to pp data, with central PbPb events showing stronger suppression. This possible suppression may originate from the strong interaction between the charm quark and the quark-gluon plasma medium, as previously indicated by the D^0 meson measurements. The Λ_c^+/\bar{D}^0 production ratios in pp collisions are consistent with a model obtained by adding color reconnection in hadronization to PYTHIA8, and also with a model that includes enhanced contributions from the decay of excited charm baryons. A model including coalescence underpredicts the data for p_T above about $8\text{--}10 \text{ GeV}/c$. The Λ_c^+/\bar{D}^0 production ratios in pp and PbPb collisions for $p_T = 10\text{--}20 \text{ GeV}/c$ are found to be consistent with each other. These two observations may suggest that the coalescence process does not play a significant role in Λ_c^+ baryon production in this p_T range.

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A The CMS Collaboration

Yerevan Physics Institute, Yerevan, Armenia

A.M. Sirunyan[†], A. Tumasyan

Institut fr Hochenergiephysik, Wien, Austria

W. Adam, F. Ambrogi, T. Bergauer, J. Brandstetter, M. Dragicevic, J. Er, A. Escalante Del Valle, M. Flechl, R. Frhwirth¹, M. Jeitler¹, N. Krammer, I. Krtschmer, D. Liko, T. Madlener, I. Mikulec, N. Rad, J. Schieck¹, R. Schfbeck, M. Spanring, D. Spitzbart, W. Waltenberger, J. Wittmann, C.-E. Wulz¹, M. Zarucki

Institute for Nuclear Problems, Minsk, Belarus

V. Drugakov, V. Mossolov, J. Suarez Gonzalez

Universiteit Antwerpen, Antwerpen, Belgium

M.R. Darwish, E.A. De Wolf, D. Di Croce, X. Janssen, J. Lauwers, A. Lelek, M. Pieters, H. Rejeb Sfar, H. Van Haevermaet, P. Van Mechelen, S. Van Putte, N. Van Remortel

Vrije Universiteit Brussel, Brussel, Belgium

F. Blekman, E.S. Bols, S.S. Chhibra, J. D'Hondt, J. De Clercq, D. Lontkovskyi, S. Lowette, I. Marchesini, S. Moortgat, L. Moreels, Q. Python, K. Skovpen, S. Tavernier, W. Van Doninck, P. Van Mulders, I. Van Parijs

Universit Libre de Bruxelles, Bruxelles, Belgium

D. Beghin, B. Bilin, H. Brun, B. Clerbaux, G. De Lentdecker, H. Delannoy, B. Dorney, L. Favart, A. Grebenyuk, A.K. Kalsi, J. Luetic, A. Popov, N. Postiau, E. Starling, L. Thomas, C. Vander Velde, P. Vanlaer, D. Vannerom, Q. Wang

Ghent University, Ghent, Belgium

T. Cornelis, D. Dobur, I. Khvastunov², C. Roskas, D. Trocino, M. Tytgat, W. Verbeke, B. Vermassen, M. Vit, N. Zaganidis

Universit Catholique de Louvain, Louvain-la-Neuve, Belgium

O. Bondu, G. Bruno, C. Caputo, P. David, C. Delaere, M. Delcourt, A. Giammanco, G. Krintiras, V. Lemaitre, A. Magitteri, K. Piotrzkowski, J. Prisciandaro, A. Saggio, M. Vidal Marono, P. Vischia, J. Zobec

Centro Brasileiro de Pesquisas Fisicas, Rio de Janeiro, Brazil

F.L. Alves, G.A. Alves, G. Correia Silva, C. Hensel, A. Moraes, P. Rebello Teles

Universidade do Estado do Rio de Janeiro, Rio de Janeiro, Brazil

E. Belchior Batista Das Chagas, W. Carvalho, J. Chinellato³, E. Coelho, E.M. Da Costa, G.G. Da Silveira⁴, D. De Jesus Damiao, C. De Oliveira Martins, S. Fonseca De Souza, L.M. Huertas Guativa, H. Malbouisson, J. Martins⁵, D. Matos Figueiredo, M. Medina Jaime⁶, M. Melo De Almeida, C. Mora Herrera, L. Mundim, H. Nogima, W.L. Prado Da Silva, L.J. Sanchez Rosas, A. Santoro, A. Sznajder, M. Thiel, E.J. Tonelli Manganote³, F. Torres Da Silva De Araujo, A. Vilela Pereira

Universidade Estadual Paulista ^a, Universidade Federal do ABC ^b, So Paulo, Brazil

S. Ahuja^a, C.A. Bernardes^a, L. Calligaris^a, T.R. Fernandez Perez Tomei^a, E.M. Gregores^b, D.S. Lemos, P.G. Mercadante^b, S.F. Novaes^a, SandraS. Padula^a

Institute for Nuclear Research and Nuclear Energy, Bulgarian Academy of Sciences, Sofia,

Bulgaria

A. Aleksandrov, G. Antchev, R. Hadjiiska, P. Iaydjiev, A. Marinov, M. Misheva, M. Rodozov, M. Shopova, G. Sultanov

University of Sofia, Sofia, Bulgaria

A. Dimitrov, L. Litov, B. Pavlov, P. Petkov

Beihang University, Beijing, China

W. Fang⁷, X. Gao⁷, L. Yuan

Institute of High Energy Physics, Beijing, China

M. Ahmad, G.M. Chen, H.S. Chen, M. Chen, C.H. Jiang, D. Leggat, H. Liao, Z. Liu, S.M. Shaheen⁸, A. Spiezja, J. Tao, E. Yazgan, H. Zhang, S. Zhang⁸, J. Zhao

State Key Laboratory of Nuclear Physics and Technology, Peking University, Beijing, China

A. Agapitos, Y. Ban, G. Chen, A. Levin, J. Li, L. Li, Q. Li, Y. Mao, S.J. Qian, D. Wang

Tsinghua University, Beijing, China

Z. Hu, Y. Wang

Universidad de Los Andes, Bogota, Colombia

C. Avila, A. Cabrera, L.F. Chaparro Sierra, C. Florez, C.F. Gonzlez Hernndez, M.A. Segura Delgado

University of Split, Faculty of Electrical Engineering, Mechanical Engineering and Naval Architecture, Split, Croatia

D. Giljanović, N. Godinovic, D. Lelas, I. Puljak, T. Sculac

University of Split, Faculty of Science, Split, Croatia

Z. Antunovic, M. Kovac

Institute Rudjer Boskovic, Zagreb, Croatia

V. Brigljevic, S. Ceci, D. Ferencek, K. Kadija, B. Mesic, M. Roguljic, A. Starodumov⁹, T. Susa

University of Cyprus, Nicosia, Cyprus

M.W. Ather, A. Attikis, E. Erodotou, A. Ioannou, M. Kolosova, S. Konstantinou, G. Mavromanolakis, J. Mousa, C. Nicolaou, F. Ptochos, P.A. Razis, H. Rykaczewski, D. Tsiaakkouri

Charles University, Prague, Czech Republic

M. Finger¹⁰, M. Finger Jr.¹⁰, A. Kveton, J. Tomsa

Escuela Politecnica Nacional, Quito, Ecuador

E. Ayala

Universidad San Francisco de Quito, Quito, Ecuador

E. Carrera Jarrin

Academy of Scientific Research and Technology of the Arab Republic of Egypt, Egyptian Network of High Energy Physics, Cairo, Egypt

Y. Assran^{11,12}, S. Elgammal¹²

National Institute of Chemical Physics and Biophysics, Tallinn, Estonia

S. Bhowmik, A. Carvalho Antunes De Oliveira, R.K. Dewanjee, K. Ehataht, M. Kadastik, M. Raidal, C. Veelken

Department of Physics, University of Helsinki, Helsinki, Finland

P. Eerola, L. Forthomme, H. Kirschenmann, K. Osterberg, J. Pekkanen, M. Voutilainen

Helsinki Institute of Physics, Helsinki, Finland

F. Garcia, J. Havukainen, J.K. Heikkil, T. Jrvinen, V. Karimki, R. Kinnunen, T. Lampn, K. Lassila-Perini, S. Laurila, S. Lehti, T. Lindn, P. Luukka, T. Menp, H. Siikonen, E. Tuominen, J. Tuominiemi

Lappeenranta University of Technology, Lappeenranta, Finland

T. Tuuva

IRFU, CEA, Universit Paris-Saclay, Gif-sur-Yvette, France

M. Besancon, F. Couderc, M. Dejardin, D. Denegri, B. Fabbro, J.L. Faure, F. Ferri, S. Ganjour, A. Givernaud, P. Gras, G. Hamel de Monchenault, P. Jarry, C. Leloup, E. Locci, J. Malcles, J. Rander, A. Rosowsky, M.. Sahin, A. Savoy-Navarro¹³, M. Titov

Laboratoire Leprince-Ringuet, Ecole polytechnique, CNRS/IN2P3, Universit Paris-Saclay, Palaiseau, France

C. Amendola, F. Beaudette, P. Busson, C. Charlot, B. Diab, R. Granier de Cassagnac, I. Kucher, A. Lobanov, C. Martin Perez, M. Nguyen, C. Ochando, P. Paganini, J. Rembser, R. Salerno, J.B. Sauvan, Y. Sirois, A. Zabi, A. Zghiche

Universit de Strasbourg, CNRS, IPHC UMR 7178, Strasbourg, France

J.-L. Agram¹⁴, J. Andrea, D. Bloch, G. Bourgatte, J.-M. Brom, E.C. Chabert, C. Collard, E. Conte¹⁴, J.-C. Fontaine¹⁴, D. Gel, U. Goerlach, M. Jansov, A.-C. Le Bihan, N. Tonon, P. Van Hove

Centre de Calcul de l'Institut National de Physique Nucleaire et de Physique des Particules, CNRS/IN2P3, Villeurbanne, France

S. Gadrat

Universit de Lyon, Universit Claude Bernard Lyon 1, CNRS-IN2P3, Institut de Physique Nuclaire de Lyon, Villeurbanne, France

S. Beauceron, C. Bernet, G. Boudoul, C. Camen, N. Chanon, R. Chierici, D. Contardo, P. Depasse, H. El Mamouni, J. Fay, S. Gascon, M. Gouzevitch, B. Ille, Sa. Jain, F. Lagarde, I.B. Laktineh, H. Lattaud, M. Lethuillier, L. Mirabito, S. Perries, V. Sordini, G. Touquet, M. Vander Donckt, S. Viret

Georgian Technical University, Tbilisi, Georgia

A. Khvedelidze¹⁰

Tbilisi State University, Tbilisi, Georgia

Z. Tsamalaidze¹⁰

RWTH Aachen University, I. Physikalisches Institut, Aachen, Germany

C. Autermann, L. Feld, M.K. Kiesel, K. Klein, M. Lipinski, D. Meuser, A. Pauls, M. Preuten, M.P. Rauch, C. Schomakers, J. Schulz, M. Teroerde, B. Wittmer

RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany

A. Albert, M. Erdmann, S. Erdweg, T. Esch, B. Fischer, R. Fischer, S. Ghosh, T. Hebbeker, K. Hoepfner, H. Keller, L. Mastrolorenzo, M. Merschmeyer, A. Meyer, P. Millet, G. Mocellin, S. Mondal, S. Mukherjee, D. Noll, A. Novak, T. Pook, A. Pozdnyakov, T. Quast, M. Radziej, Y. Rath, H. Reithler, M. Rieger, A. Schmidt, S.C. Schuler, A. Sharma, S. Ther, S. Wiedenbeck

RWTH Aachen University, III. Physikalisches Institut B, Aachen, Germany

G. Flgge, W. Haj Ahmad¹⁵, O. Hlushchenko, T. Kress, T. Mller, A. Nehrkorn, A. Nowack, C. Pistone, O. Pooth, D. Roy, H. Sert, A. Stahl¹⁶

Deutsches Elektronen-Synchrotron, Hamburg, Germany

M. Aldaya Martin, C. Asawatangtrakuldee, P. Asmuss, I. Babounikau, H. Bakhshiansohi, K. Beernaert, O. Behnke, U. Behrens, A. Bermdez Martnez, D. Bertsche, A.A. Bin Anuar, K. Borras¹⁷, V. Botta, A. Campbell, A. Cardini, P. Connor, S. Consuegra Rodrguez, C. Contreras-Campana, V. Danilov, A. De Wit, M.M. Defranchis, C. Diez Pardos, D. Domnguez Damiani, G. Eckerlin, D. Eckstein, T. Eichhorn, A. Elwood, E. Eren, E. Gallo¹⁸, A. Geiser, J.M. Grados Luyando, A. Grohsjean, M. Guthoff, M. Haranko, A. Harb, N.Z. Jomhari, H. Jung, A. Kasem¹⁷, M. Kasemann, J. Keaveney, C. Kleinwort, J. Knolle, D. Krcker, W. Lange, T. Lenz, J. Leonard, J. Lidrych, K. Lipka, W. Lohmann¹⁹, R. Mankel, I.-A. Melzer-Pellmann, A.B. Meyer, M. Meyer, M. Missiroli, G. Mittag, J. Mnich, A. Mussgiller, V. Myronenko, D. Prez Adn, S.K. Pflitsch, D. Pitzl, A. Raspereza, A. Saibel, M. Savitskyi, V. Scheurer, P. Schtze, C. Schwanenberger, R. Shevchenko, A. Singh, H. Tholen, O. Turkot, A. Vagnerini, M. Van De Klundert, G.P. Van Onsem, R. Walsh, Y. Wen, K. Wichmann, C. Wissing, O. Zenaiev, R. Zlebcik

University of Hamburg, Hamburg, Germany

R. Aggleton, S. Bein, L. Benato, A. Benecke, V. Blobel, T. Dreyer, A. Ebrahimi, A. Frhlich, C. Garbers, E. Garutti, D. Gonzalez, P. Gunnellini, J. Haller, A. Hinzmann, A. Karavdina, G. Kasieczka, R. Klanner, R. Kogler, N. Kovalchuk, S. Kurz, V. Kutzner, J. Lange, T. Lange, A. Malara, D. Marconi, J. Multhaup, M. Niedziela, C.E.N. Niemeyer, D. Nowatschin, A. Perieanu, A. Reimers, O. Rieger, C. Scharf, P. Schleper, S. Schumann, J. Schwandt, J. Sonneveld, H. Stadie, G. Steinbrck, F.M. Stober, M. Stver, B. Vormwald, I. Zoi

Karlsruher Institut fuer Technologie, Karlsruhe, Germany

M. Akbiyik, C. Barth, M. Baselga, S. Baur, T. Berger, E. Butz, R. Caspart, T. Chwalek, W. De Boer, A. Dierlamm, K. El Morabit, N. Faltermann, M. Giffels, P. Goldenzweig, A. Gottmann, M.A. Harrendorf, F. Hartmann¹⁶, U. Husemann, S. Kudella, S. Mitra, M.U. Mozer, Th. Mller, M. Musich, A. Nrnberg, G. Quast, K. Rabbertz, M. Schrder, I. Shvetsov, H.J. Simonis, R. Ulrich, M. Weber, C. Whrmann, R. Wolf

Institute of Nuclear and Particle Physics (INPP), NCSR Demokritos, Aghia Paraskevi, Greece

G. Anagnostou, P. Asenov, G. Daskalakis, T. Geralis, A. Kyriakis, D. Loukas, G. Paspalaki

National and Kapodistrian University of Athens, Athens, Greece

M. Diamantopoulou, G. Karathanasis, P. Kontaxakis, A. Panagiotou, I. Papavergou, N. Saoulidou, A. Stakia, K. Theofilatos, K. Vellidis

National Technical University of Athens, Athens, Greece

G. Bakas, K. Kousouris, I. Papakrivopoulos, G. Tsipolitis

University of Ioannina, Ioannina, Greece

I. Evangelou, C. Foudas, P. Gianneios, P. Katsoulis, P. Kokkas, S. Mallios, K. Manitara, N. Manthos, I. Papadopoulos, J. Strologas, F.A. Triantis, D. Tsitsonis

MTA-ELTE Lendlet CMS Particle and Nuclear Physics Group, Etvs Lornd University, Budapest, Hungary

M. Bartk²⁰, M. Csanad, P. Major, K. Mandal, A. Mehta, M.I. Nagy, G. Pasztor, O. Surnyi, G.I. Veres

Wigner Research Centre for Physics, Budapest, Hungary

G. Bencze, C. Hajdu, D. Horvath²¹, F. Sikler, T.. Vmi, V. Veszpremi, G. Vesztergombi[†]

Institute of Nuclear Research ATOMKI, Debrecen, HungaryN. Beni, S. Czellar, J. Karancsi²⁰, A. Makovec, J. Molnar, Z. Szillasi**Institute of Physics, University of Debrecen, Debrecen, Hungary**

P. Raics, D. Teyssier, Z.L. Trocsanyi, B. Ujvari

Eszterhazy Karoly University, Karoly Robert Campus, Gyongyos, Hungary

T.F. Csorgo, W.J. Metzger, F. Nemes, T. Novak

Indian Institute of Science (IISc), Bangalore, India

S. Choudhury, J.R. Komaragiri, P.C. Tiwari

National Institute of Science Education and Research, HBNI, Bhubaneswar, IndiaS. Bahinipati²³, C. Kar, P. Mal, V.K. Muraleedharan Nair Bindhu, A. Nayak²⁴, S. Roy Chowdhury, D.K. Sahoo²³, S.K. Swain**Panjab University, Chandigarh, India**

S. Bansal, S.B. Beri, V. Bhatnagar, S. Chauhan, R. Chawla, N. Dhingra, R. Gupta, A. Kaur, M. Kaur, S. Kaur, P. Kumari, M. Lohan, M. Meena, K. Sandeep, S. Sharma, J.B. Singh, A.K. Virdi

University of Delhi, Delhi, India

A. Bhardwaj, B.C. Choudhary, R.B. Garg, M. Gola, S. Keshri, Ashok Kumar, S. Malhotra, M. Naimuddin, P. Priyanka, K. Ranjan, Aashaq Shah, R. Sharma

Saha Institute of Nuclear Physics, HBNI, Kolkata, IndiaR. Bhardwaj²⁵, M. Bharti²⁵, R. Bhattacharya, S. Bhattacharya, U. Bhawandeep²⁵, D. Bhowmik, S. Dey, S. Dutta, S. Ghosh, M. Maity²⁶, K. Mondal, S. Nandan, A. Purohit, P.K. Rout, A. Roy, G. Saha, S. Sarkar, T. Sarkar²⁶, M. Sharan, B. Singh²⁵, S. Thakur²⁵**Indian Institute of Technology Madras, Madras, India**

P.K. Behera, P. Kalbhor, A. Muhammad, P.R. Pujahari, A. Sharma, A.K. Sikdar

Bhabha Atomic Research Centre, Mumbai, India

R. Chudasama, D. Dutta, V. Jha, V. Kumar, D.K. Mishra, P.K. Netrakanti, L.M. Pant, P. Shukla

Tata Institute of Fundamental Research-A, Mumbai, India

T. Aziz, M.A. Bhat, S. Dugad, G.B. Mohanty, N. Sur, Ravindra Kumar Verma

Tata Institute of Fundamental Research-B, Mumbai, India

S. Banerjee, S. Bhattacharya, S. Chatterjee, P. Das, M. Guchait, S. Karmakar, S. Kumar, G. Majumder, K. Mazumdar, S. Sawant

Indian Institute of Science Education and Research (IISER), Pune, India

S. Chauhan, S. Dube, V. Hegde, A. Kapoor, K. Kotheendar, S. Pandey, A. Rane, A. Rastogi, S. Sharma

Institute for Research in Fundamental Sciences (IPM), Tehran, IranS. Chenarani²⁷, E. Eskandari Tadavani, S.M. Etesami²⁷, M. Khakzad, M. Mohammadi Najafabadi, M. Naseri, F. Rezaei Hosseinabadi**University College Dublin, Dublin, Ireland**

M. Felcini, M. Grunewald

INFN Sezione di Bari ^a, Universit di Bari ^b, Politecnico di Bari ^c, Bari, ItalyM. Abbrescia^{a,b}, C. Calabria^{a,b}, A. Colaleo^a, D. Creanza^{a,c}, L. Cristella^{a,b}, N. De Filippis^{a,c}, M. De Palma^{a,b}, A. Di Florio^{a,b}, L. Fiore^a, A. Gelmi^{a,b}, G. Iaselli^{a,c}, M. Ince^{a,b}, S. Lezki^{a,b},

G. Maggi^{a,c}, M. Maggi^a, G. Miniello^{a,b}, S. My^{a,b}, S. Nuzzo^{a,b}, A. Pompili^{a,b}, G. Pugliese^{a,c}, R. Radogna^a, A. Ranieri^a, G. Selvaggi^{a,b}, L. Silvestris^a, R. Venditti^a, P. Verwilligen^a

INFN Sezione di Bologna ^a, Universit di Bologna ^b, Bologna, Italy

G. Abbiendi^a, C. Battilana^{a,b}, D. Bonacorsi^{a,b}, L. Borgonovi^{a,b}, S. Braibant-Giacomelli^{a,b}, R. Campanini^{a,b}, P. Capiluppi^{a,b}, A. Castro^{a,b}, F.R. Cavallo^a, C. Ciocca^a, G. Codispoti^{a,b}, M. Cuffiani^{a,b}, G.M. Dallavalle^a, F. Fabbri^a, A. Fanfani^{a,b}, E. Fontanesi, P. Giacomelli^a, C. Grandi^a, L. Guiducci^{a,b}, F. Iemmi^{a,b}, S. Lo Meo^{a,28}, S. Marcellini^a, G. Masetti^a, F.L. Navarria^{a,b}, A. Perrotta^a, F. Primavera^{a,b}, A.M. Rossi^{a,b}, T. Rovelli^{a,b}, G.P. Siroli^{a,b}, N. Tosi^a

INFN Sezione di Catania ^a, Universit di Catania ^b, Catania, Italy

S. Albergo^{a,b,29}, S. Costa^{a,b}, A. Di Mattia^a, R. Potenza^{a,b}, A. Tricomis^{a,b,29}, C. Tuve^{a,b}

INFN Sezione di Firenze ^a, Universit di Firenze ^b, Firenze, Italy

G. Barbagli^a, R. Ceccarelli, K. Chatterjee^{a,b}, V. Ciulli^{a,b}, C. Civinini^a, R. D'Alessandro^{a,b}, E. Focardi^{a,b}, G. Latino, P. Lenzi^{a,b}, M. Meschini^a, S. Paoletti^a, L. Russo^{a,30}, G. Sguazzoni^a, D. Strom^a, L. Viliani^a

INFN Laboratori Nazionali di Frascati, Frascati, Italy

L. Benussi, S. Bianco, D. Piccolo

INFN Sezione di Genova ^a, Universit di Genova ^b, Genova, Italy

M. Bozzo^{a,b}, F. Ferro^a, R. Mulargia^{a,b}, E. Robutti^a, S. Tosio^{a,b}

INFN Sezione di Milano-Bicocca ^a, Universit di Milano-Bicocca ^b, Milano, Italy

A. Benaglia^a, A. Beschi^{a,b}, F. Brivio^{a,b}, V. Ciriolo^{a,b,16}, S. Di Guida^{a,b,16}, M.E. Dinardo^{a,b}, P. Dini^a, S. Fiorendi^{a,b}, S. Gennai^a, A. Ghezzi^{a,b}, P. Govoni^{a,b}, L. Guzzi^{a,b}, M. Malberti^a, S. Malvezzi^a, D. Menasce^a, F. Monti^{a,b}, L. Moroni^a, G. Ortona^{a,b}, M. Paganoni^{a,b}, D. Pedrini^a, S. Ragazzi^{a,b}, T. Tabarelli de Fatis^{a,b}, D. Zuolo^{a,b}

INFN Sezione di Napoli ^a, Universit di Napoli 'Federico II' ^b, Napoli, Italy, Universit della Basilicata ^c, Potenza, Italy, Universit G. Marconi ^d, Roma, Italy

S. Buontempo^a, N. Cavallo^{a,c}, A. De Iorio^{a,b}, A. Di Crescenzo^{a,b}, F. Fabozzi^{a,c}, F. Fienga^a, G. Galati^a, A.O.M. Iorio^{a,b}, L. Lista^{a,b}, S. Meola^{a,d,16}, P. Paolucci^{a,16}, B. Rossi^a, C. Sciacca^{a,b}, E. Voevodina^{a,b}

INFN Sezione di Padova ^a, Universit di Padova ^b, Padova, Italy, Universit di Trento ^c, Trento, Italy

P. Azzi^a, N. Bacchetta^a, D. Bisello^{a,b}, A. Boletti^{a,b}, A. Bragagnolo, R. Carlin^{a,b}, P. Checchia^a, P. De Castro Manzano^a, T. Dorigo^a, U. Dosselli^a, F. Gasparini^{a,b}, U. Gasparini^{a,b}, A. Gozzelino^a, S.Y. Hoh, P. Lujan, M. Margoni^{a,b}, A.T. Meneguzzo^{a,b}, J. Pazzini^{a,b}, M. Presilla^b, P. Ronchese^{a,b}, R. Rossin^{a,b}, F. Simonetto^{a,b}, A. Tiko, M. Tosi^{a,b}, M. Zanetti^{a,b}, P. Zotto^{a,b}, G. Zumerle^{a,b}

INFN Sezione di Pavia ^a, Universit di Pavia ^b, Pavia, Italy

A. Braghieri^a, P. Montagna^{a,b}, S.P. Ratti^{a,b}, V. Re^a, M. Ressegotti^{a,b}, C. Riccardi^{a,b}, P. Salvini^a, I. Vai^{a,b}, P. Vitulo^{a,b}

INFN Sezione di Perugia ^a, Universit di Perugia ^b, Perugia, Italy

M. Biasini^{a,b}, G.M. Bilei^a, C. Cecchi^{a,b}, D. Ciangottini^{a,b}, L. Fan^{a,b}, P. Lariccia^{a,b}, R. Leonardi^{a,b}, E. Manoni^a, G. Mantovani^{a,b}, V. Mariani^{a,b}, M. Menichelli^a, A. Rossi^{a,b}, A. Santocchia^{a,b}, D. Spiga^a

INFN Sezione di Pisa ^a, Universit di Pisa ^b, Scuola Normale Superiore di Pisa ^c, Pisa, Italy

K. Androsov^a, P. Azzurri^a, G. Bagliesi^a, V. Bertacchi^{a,c}, L. Bianchini^a, T. Boccali^a, R. Castaldi^a, M.A. Ciocci^{a,b}, R. Dell'Orso^a, G. Fedi^a, F. Fiori^{a,c}, L. Giannini^{a,c}, A. Giassi^a, M.T. Grippo^a,

F. Ligabue^{a,c}, E. Manca^{a,c}, G. Mandorli^{a,c}, A. Messineo^{a,b}, F. Palla^a, A. Rizzi^{a,b}, G. Rolandi³¹, A. Scribano^a, P. Spagnolo^a, R. Tenchini^a, G. Tonelli^{a,b}, N. Turini, A. Venturi^a, P.G. Verdini^a

INFN Sezione di Roma ^a, Sapienza Universit di Roma ^b, Rome, Italy

F. Cavallari^a, M. Cipriani^{a,b}, D. Del Re^{a,b}, E. Di Marco^{a,b}, M. Diemoz^a, E. Longo^{a,b}, B. Marzocchi^{a,b}, P. Meridiani^a, G. Organtini^{a,b}, F. Pandolfi^a, R. Paramatti^{a,b}, C. Quaranta^{a,b}, S. Rahatlou^{a,b}, C. Rovelli^a, F. Santanastasio^{a,b}, L. Soffi^{a,b}

INFN Sezione di Torino ^a, Universit di Torino ^b, Torino, Italy, Universit del Piemonte Orientale ^c, Novara, Italy

N. Amapane^{a,b}, R. Arcidiacono^{a,c}, S. Argiro^{a,b}, M. Arneodo^{a,c}, N. Bartosik^a, R. Bellan^{a,b}, C. Biino^a, A. Cappati^{a,b}, N. Cartiglia^a, S. Cometti^a, M. Costa^{a,b}, R. Covarelli^{a,b}, N. Demaria^a, B. Kiani^{a,b}, C. Mariotti^a, S. Maselli^a, E. Migliore^{a,b}, V. Monaco^{a,b}, E. Monteil^{a,b}, M. Monteno^a, M.M. Obertino^{a,b}, L. Pacher^{a,b}, N. Pastrone^a, M. Pelliccioni^a, G.L. Pinna Angioni^{a,b}, A. Romero^{a,b}, M. Ruspa^{a,c}, R. Sacchia^{a,b}, R. Salvatico^{a,b}, K. Shchelina^{a,b}, V. Sola^a, A. Solano^{a,b}, D. Soldi^{a,b}, A. Staiano^a

INFN Sezione di Trieste ^a, Universit di Trieste ^b, Trieste, Italy

S. Belforte^a, V. Candelise^{a,b}, M. Casarsa^a, F. Cossutti^a, A. Da Rold^{a,b}, G. Della Ricca^{a,b}, F. Vazzoler^{a,b}, A. Zanetti^a

Kyungpook National University, Daegu, Korea

B. Kim, D.H. Kim, G.N. Kim, M.S. Kim, J. Lee, S.W. Lee, C.S. Moon, Y.D. Oh, S.I. Pak, S. Sekmen, D.C. Son, Y.C. Yang

Chonnam National University, Institute for Universe and Elementary Particles, Kwangju, Korea

H. Kim, D.H. Moon, G. Oh

Hanyang University, Seoul, Korea

B. Francois, T.J. Kim, J. Park

Korea University, Seoul, Korea

S. Cho, S. Choi, Y. Go, D. Gyun, S. Ha, B. Hong, K. Lee, K.S. Lee, J. Lim, J. Park, S.K. Park, Y. Roh

Kyung Hee University, Department of Physics

J. Goh

Sejong University, Seoul, Korea

H.S. Kim

Seoul National University, Seoul, Korea

J. Almond, J.H. Bhyun, J. Choi, S. Jeon, J. Kim, J.S. Kim, H. Lee, K. Lee, S. Lee, K. Nam, S.B. Oh, B.C. Radburn-Smith, S.h. Seo, U.K. Yang, H.D. Yoo, I. Yoon, G.B. Yu

University of Seoul, Seoul, Korea

D. Jeon, H. Kim, J.H. Kim, J.S.H. Lee, I.C. Park, I. Watson

Sungkyunkwan University, Suwon, Korea

Y. Choi, C. Hwang, Y. Jeong, J. Lee, Y. Lee, I. Yu

Riga Technical University, Riga, Latvia

V. Veckalns³²

Vilnius University, Vilnius, Lithuania

V. Dudenas, A. Juodagalvis, J. Vaitkus

National Centre for Particle Physics, Universiti Malaya, Kuala Lumpur, Malaysia

Z.A. Ibrahim, F. Mohamad Idris³³, W.A.T. Wan Abdullah, M.N. Yusli, Z. Zolkapli

Universidad de Sonora (UNISON), Hermosillo, Mexico

J.F. Benitez, A. Castaneda Hernandez, J.A. Murillo Quijada, L. Valencia Palomo

Centro de Investigacion y de Estudios Avanzados del IPN, Mexico City, Mexico

H. Castilla-Valdez, E. De La Cruz-Burelo, I. Heredia-De La Cruz³⁴, R. Lopez-Fernandez, A. Sanchez-Hernandez

Universidad Iberoamericana, Mexico City, Mexico

S. Carrillo Moreno, C. Oropeza Barrera, M. Ramirez-Garcia, F. Vazquez Valencia

Benemerita Universidad Autonoma de Puebla, Puebla, Mexico

J. Eysermans, I. Pedraza, H.A. Salazar Ibarguen, C. Uribe Estrada

Universidad Autnoma de San Luis Potos, San Luis Potos, Mexico

A. Morelos Pineda

University of Montenegro, Podgorica, Montenegro

N. Raicevic

University of Auckland, Auckland, New Zealand

D. Kofcheck

University of Canterbury, Christchurch, New Zealand

S. Bheesette, P.H. Butler

National Centre for Physics, Quaid-I-Azam University, Islamabad, Pakistan

A. Ahmad, M. Ahmad, Q. Hassan, H.R. Hoorani, W.A. Khan, M.A. Shah, M. Shoaib, M. Waqas

AGH University of Science and Technology Faculty of Computer Science, Electronics and Telecommunications, Krakow, Poland

V. Avati, L. Grzanka, M. Malawski

National Centre for Nuclear Research, Swierk, Poland

H. Bialkowska, M. Bluj, B. Boimska, M. Grski, M. Kazana, M. Szleper, P. Zalewski

Institute of Experimental Physics, Faculty of Physics, University of Warsaw, Warsaw, Poland

K. Bunkowski, A. Byszuk³⁵, K. Doroba, A. Kalinowski, M. Konecki, J. Krolikowski, M. Misiura, M. Olszewski, A. Pyskir, M. Walczak

Laboratorio de Instrumentao e Fsica Experimental de Partculas, Lisboa, Portugal

M. Araujo, P. Bargassa, D. Bastos, A. Di Francesco, P. Faccioli, B. Galinhas, M. Gallinaro, J. Hollar, N. Leonardo, J. Seixas, G. Strong, O. Toldaiev, J. Varela

Joint Institute for Nuclear Research, Dubna, Russia

S. Afanasiev, P. Bunin, M. Gavrilenko, I. Golutvin, I. Gorbunov, A. Kamenev, V. Karjavine, A. Lanev, A. Malakhov, V. Matveev^{36,37}, P. Moisenz, V. Palichik, V. Perelygin, M. Savina, S. Shmatov, S. Shulha, N. Skatchkov, V. Smirnov, N. Voytishin, A. Zarubin

Petersburg Nuclear Physics Institute, Gatchina (St. Petersburg), Russia

L. Chtchipounov, V. Golovtsov, Y. Ivanov, V. Kim³⁸, E. Kuznetsova³⁹, P. Levchenko, V. Murzin, V. Oreshkin, I. Smirnov, D. Sosnov, V. Sulimov, L. Uvarov, A. Vorobyev

Institute for Nuclear Research, Moscow, Russia

Yu. Andreev, A. Dermenev, S. Gninenko, N. Golubev, A. Karneyeu, M. Kirsanov, N. Krasnikov, A. Pashenkov, D. Tlisov, A. Toropin

Institute for Theoretical and Experimental Physics named by A.I. Alikhanov of NRC 'Kurchatov Institute', Moscow, Russia

V. Epshteyn, V. Gavrilov, N. Lychkovskaya, A. Nikitenko⁴⁰, V. Popov, I. Pozdnyakov, G. Safronov, A. Spiridonov, A. Stepennov, M. Toms, E. Vlasov, A. Zhokin

Moscow Institute of Physics and Technology, Moscow, Russia

T. Aushev

National Research Nuclear University 'Moscow Engineering Physics Institute' (MEPhI), Moscow, Russia

R. Chistov⁴¹, M. Danilov⁴¹, S. Polikarpov⁴¹, E. Tarkovskii

P.N. Lebedev Physical Institute, Moscow, Russia

V. Andreev, M. Azarkin, I. Dremin³⁷, M. Kirakosyan, A. Terkulov

Skobeltsyn Institute of Nuclear Physics, Lomonosov Moscow State University, Moscow, Russia

A. Belyaev, E. Boos, A. Demianov, A. Ershov, A. Gribushin, O. Kodolova, V. Korotkikh, I. Loktin, S. Obraztsov, S. Petrushanko, V. Savrin, A. Snigirev, I. Vardanyan

Novosibirsk State University (NSU), Novosibirsk, Russia

A. Barnyakov⁴², V. Blinov⁴², T. Dimova⁴², L. Kardapoltsev⁴², Y. Skovpen⁴²

Institute for High Energy Physics of National Research Centre 'Kurchatov Institute', Protvino, Russia

I. Azhgirey, I. Bayshev, S. Bitioukov, V. Kachanov, D. Konstantinov, P. Mandrik, V. Petrov, R. Ryutin, S. Slabospitskii, A. Sobol, S. Troshin, N. Tyurin, A. Uzunian, A. Volkov

National Research Tomsk Polytechnic University, Tomsk, Russia

A. Babaev, A. Iuzhakov, V. Okhotnikov

Tomsk State University, Tomsk, Russia

V. Borchsh, V. Ivanchenko, E. Tcherniaev

University of Belgrade: Faculty of Physics and VINCA Institute of Nuclear Sciences

P. Adzic⁴³, P. Cirkovic, D. Devetak, M. Dordevic, P. Milenovic, J. Milosevic, M. Stojanovic

Centro de Investigaciones Energéticas Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain

M. Aguilar-Benitez, J. Alcaraz Maestre, A. lvarez Fernández, I. Bachiller, M. Barrio Luna, J.A. Brochero Cifuentes, C.A. Carrillo Montoya, M. Cepeda, M. Cerrada, N. Colino, B. De La Cruz, A. Delgado Peris, C. Fernandez Bedoya, J.P. Fernández Ramos, J. Flix, M.C. Fouz, O. Gonzalez Lopez, S. Goy Lopez, J.M. Hernandez, M.I. Josa, D. Moran, . Navarro Tobar, A. Prez-Calero Yzquierdo, J. Puerta Pelayo, I. Redondo, L. Romero, S. Snchez Navas, M.S. Soares, A. Triossi, C. Willmott

Universidad Autnoma de Madrid, Madrid, Spain

C. Albajar, J.F. de Trocniz

Universidad de Oviedo, Oviedo, Spain

B. Alvarez Gonzalez, J. Cuevas, C. Erice, J. Fernandez Menendez, S. Folgueras, I. Gonzalez Caballero, J.R. Gonzlez Fernández, E. Palencia Cortezón, V. Rodrguez Bouza, S. Sanchez Cruz

Instituto de Fsica de Cantabria (IFCA), CSIC-Universidad de Cantabria, Santander, Spain

I.J. Cabrillo, A. Calderon, B. Chazin Quero, J. Duarte Campderros, M. Fernandez, P.J. Fernandez Manteca, A. Garca Alonso, G. Gomez, C. Martinez Rivero, P. Martinez Ruiz del Arbol, F. Matorras, J. Piedra Gomez, C. Prieels, T. Rodrigo, A. Ruiz-Jimeno, L. Scodellaro, N. Trevisani, I. Vila, J.M. Vizan Garcia

University of Colombo, Colombo, Sri Lanka

K. Malagalage

University of Ruhuna, Department of Physics, Matara, Sri Lanka

W.G.D. Dharmaratna, N. Wickramage

CERN, European Organization for Nuclear Research, Geneva, Switzerland

D. Abbaneo, B. Akgun, E. Auffray, G. Auzinger, J. Baechler, P. Baillon, A.H. Ball, D. Barney, J. Bendavid, M. Bianco, A. Bocci, E. Bossini, C. Botta, E. Brondolin, T. Camporesi, A. Caratelli, G. Cerminara, E. Chapon, G. Cucciati, D. d'Enterria, A. Dabrowski, N. Daci, V. Daponte, A. David, A. De Roeck, N. Deelen, M. Deile, M. Dobson, M. Dnser, N. Dupont, A. Elliott-Peisert, F. Fallavollita⁴⁴, D. Fasanella, G. Franzoni, J. Fulcher, W. Funk, S. Giani, D. Gigi, A. Gilbert, K. Gill, F. Gleje, M. Gruchala, M. Guilbaud, D. Gulhan, J. Hegeaman, C. Heidegger, Y. Iiyama, V. Innocente, A. Jafari, P. Janot, O. Karacheban¹⁹, J. Kaspar, J. Kieseler, M. Krammer¹, C. Lange, P. Lecoq, C. Loureno, L. Malgeri, M. Mannelli, A. Massironi, F. Meijers, J.A. Merlin, S. Mersi, E. Meschi, F. Moortgat, M. Mulders, J. Ngadiuba, S. Nourbakhsh, S. Orfanelli, L. Orsini, F. Pantaleo¹⁶, L. Pape, E. Perez, M. Peruzzi, A. Petrilli, G. Petrucciani, A. Pfeiffer, M. Pierini, F.M. Pitters, M. Quinto, D. Rabady, A. Racz, M. Rovere, H. Sakulin, C. Schfer, C. Schwick, M. Selvaggi, A. Sharma, P. Silva, W. Snoeys, P. Sphicas⁴⁵, J. Steggemann, V.R. Tavolaro, D. Treille, A. Tsirou, A. Vartak, M. Verzetti, W.D. Zeuner

Paul Scherrer Institut, Villigen, Switzerland

L. Caminada⁴⁶, K. Deiters, W. Erdmann, R. Horisberger, Q. Ingram, H.C. Kaestli, D. Kotlinski, U. Langenegger, T. Rohe, S.A. Wiederkehr

ETH Zurich - Institute for Particle Physics and Astrophysics (IPA), Zurich, Switzerland

M. Backhaus, P. Berger, N. Chernyavskaya, G. Dissertori, M. Dittmar, M. Doneg, C. Dorfer, T.A. Gmez Espinosa, C. Grab, D. Hits, T. Kljnsma, W. Lustermann, R.A. Manzoni, M. Marionneau, M.T. Meinhard, F. Micheli, P. Musella, F. Nessi-Tedaldi, F. Pauss, G. Perrin, L. Perrozzi, S. Pigazzini, M. Reichmann, C. Reissel, T. Reitenspiess, D. Ruini, D.A. Sanz Becerra, M. Schnenberger, L. Shchutska, M.L. Vesterbacka Olsson, R. Wallny, D.H. Zhu

Universitt Zrich, Zurich, Switzerland

T.K. Arrestad, C. Amsler⁴⁷, D. Brzhechko, M.F. Canelli, A. De Cosa, R. Del Burgo, S. Donato, C. Galloni, B. Kilminster, S. Leontsinis, V.M. Mikuni, I. Neutelings, G. Rauco, P. Robmann, D. Salerno, K. Schweiger, C. Seitz, Y. Takahashi, S. Wertz, A. Zucchetta

National Central University, Chung-Li, Taiwan

T.H. Doan, C.M. Kuo, W. Lin, S.S. Yu

National Taiwan University (NTU), Taipei, Taiwan

P. Chang, Y. Chao, K.F. Chen, P.H. Chen, W.-S. Hou, Y.y. Li, R.-S. Lu, E. Paganis, A. Psallidas, A. Steen

Chulalongkorn University, Faculty of Science, Department of Physics, Bangkok, Thailand

B. Asavapibhop, N. Srimanobhas, N. Suwonjandee

ukurova University, Physics Department, Science and Art Faculty, Adana, Turkey

A. Bat, F. Boran, S. Cerci⁴⁸, S. Damarseckin⁴⁹, Z.S. Demiroglu, F. Dolek, C. Dozen, I. Dumanoglu, G. Gokbulut, EmineGurpinar Guler⁵⁰, Y. Guler, I. Hos⁵¹, C. Isik, E.E. Kangal⁵², O. Kara, A. Kayis Topaksu, U. Kiminsu, M. Oglakci, G. Onengut, K. Ozdemir⁵³, S. Ozturk⁵⁴, A.E. Simsek, D. Sunar Cerci⁴⁸, U.G. Tok, S. Turkcapar, I.S. Zorbakir, C. Zorbilmez

Middle East Technical University, Physics Department, Ankara, Turkey

B. Isildak⁵⁵, G. Karapinar⁵⁶, M. Yalvac

Bogazici University, Istanbul, Turkey

I.O. Atakisi, E. Glmez, M. Kaya⁵⁷, O. Kaya⁵⁸, B. Kaynak, . zelik, S. Ozkorucuklu⁵⁹, S. Tekten, E.A. Yetkin⁶⁰

Istanbul Technical University, Istanbul, Turkey

A. Cakir, Y. Komurcu, S. Sen⁶¹

Institute for Scintillation Materials of National Academy of Science of Ukraine, Kharkov, Ukraine

B. Grynyov

National Scientific Center, Kharkov Institute of Physics and Technology, Kharkov, Ukraine

L. Levchuk

University of Bristol, Bristol, United Kingdom

F. Ball, E. Bhal, S. Bologna, J.J. Brooke, D. Burns, E. Clement, D. Cussans, O. Davignon, H. Flacher, J. Goldstein, G.P. Heath, H.F. Heath, L. Kreczko, S. Paramesvaran, B. Penning, T. Sakuma, S. Seif El Nasr-Storey, D. Smith, V.J. Smith, J. Taylor, A. Titterton

Rutherford Appleton Laboratory, Didcot, United Kingdom

K.W. Bell, A. Belyaev⁶², C. Brew, R.M. Brown, D. Cieri, D.J.A. Cockerill, J.A. Coughlan, K. Harder, S. Harper, J. Linacre, K. Manolopoulos, D.M. Newbold, E. Olaiya, D. Petyt, T. Reis, T. Schuh, C.H. Shepherd-Themistocleous, A. Thea, I.R. Tomalin, T. Williams, W.J. Womersley

Imperial College, London, United Kingdom

R. Bainbridge, P. Bloch, J. Borg, S. Breeze, O. Buchmuller, A. Bundock, GurpreetSingh CHAHAL⁶³, D. Colling, P. Dauncey, G. Davies, M. Della Negra, R. Di Maria, P. Everaerts, G. Hall, G. Iles, T. James, M. Komm, C. Laner, L. Lyons, A.-M. Magnan, S. Malik, A. Martelli, V. Milosevic, J. Nash⁶⁴, V. Palladino, M. Pesaresi, D.M. Raymond, A. Richards, A. Rose, E. Scott, C. Seez, A. Shtipliyski, M. Stoye, T. Strebler, S. Summers, A. Tapper, K. Uchida, T. Virdee¹⁶, N. Wardle, D. Winterbottom, J. Wright, A.G. Zecchinelli, S.C. Zenz

Brunel University, Uxbridge, United Kingdom

J.E. Cole, P.R. Hobson, A. Khan, P. Kyberd, C.K. Mackay, A. Morton, I.D. Reid, L. Teodorescu, S. Zahid

Baylor University, Waco, USA

K. Call, J. Dittmann, K. Hatakeyama, C. Madrid, B. McMaster, N. Pastika, C. Smith

Catholic University of America, Washington, DC, USA

R. Bartek, A. Dominguez, R. Uniyal

The University of Alabama, Tuscaloosa, USA

A. Buccilli, S.I. Cooper, C. Henderson, P. Rumerio, C. West

Boston University, Boston, USA

D. Arcaro, T. Bose, Z. Demiragli, D. Gastler, S. Girgis, D. Pinna, C. Richardson, J. Rohlf, D. Sperka, I. Suarez, L. Sulak, D. Zou

Brown University, Providence, USA

G. Benelli, B. Burkle, X. Coubez, D. Cutts, M. Hadley, J. Hakala, U. Heintz, J.M. Hogan⁶⁵, K.H.M. Kwok, E. Laird, G. Landsberg, J. Lee, Z. Mao, M. Narain, S. Sagir⁶⁶, R. Syarif, E. Usai, D. Yu

University of California, Davis, Davis, USA

R. Band, C. Brainerd, R. Breedon, M. Calderon De La Barca Sanchez, M. Chertok, J. Conway, R. Conway, P.T. Cox, R. Erbacher, C. Flores, G. Funk, F. Jensen, W. Ko, O. Kukral, R. Lander, M. Mulhearn, D. Pellett, J. Pilot, M. Shi, D. Stolp, D. Taylor, K. Tos, M. Tripathi, Z. Wang, F. Zhang

University of California, Los Angeles, USA

M. Bachtis, C. Bravo, R. Cousins, A. Dasgupta, A. Florent, J. Hauser, M. Ignatenko, N. Mccoll, S. Regnard, D. Saltzberg, C. Schnaible, V. Valuev

University of California, Riverside, Riverside, USA

K. Burt, R. Clare, J.W. Gary, S.M.A. Ghiasi Shirazi, G. Hanson, G. Karapostoli, E. Kennedy, O.R. Long, M. Olmedo Negrete, M.I. Paneva, W. Si, L. Wang, H. Wei, S. Wimpenny, B.R. Yates, Y. Zhang

University of California, San Diego, La Jolla, USA

J.G. Branson, P. Chang, S. Cittolin, M. Derdzinski, R. Gerosa, D. Gilbert, B. Hashemi, D. Klein, V. Krutelyov, J. Letts, M. Masciovecchio, S. May, S. Padhi, M. Pieri, V. Sharma, M. Tadel, F. Wrthwein, A. Yagil, G. Zevi Della Porta

University of California, Santa Barbara - Department of Physics, Santa Barbara, USA

N. Amin, R. Bhandari, C. Campagnari, M. Citron, V. Dutta, M. Franco Sevilla, L. Gouskos, J. Incandela, B. Marsh, H. Mei, A. Ovcharova, H. Qu, J. Richman, U. Sarica, D. Stuart, S. Wang, J. Yoo

California Institute of Technology, Pasadena, USA

D. Anderson, A. Bornheim, J.M. Lawhorn, N. Lu, H.B. Newman, T.Q. Nguyen, J. Pata, M. Spiropulu, J.R. Vlimant, S. Xie, Z. Zhang, R.Y. Zhu

Carnegie Mellon University, Pittsburgh, USA

M.B. Andrews, T. Ferguson, T. Mudholkar, M. Paulini, M. Sun, I. Vorobiev, M. Weinberg

University of Colorado Boulder, Boulder, USA

J.P. Cumalat, W.T. Ford, A. Johnson, E. MacDonald, T. Mulholland, R. Patel, A. Perloff, K. Stenson, K.A. Ulmer, S.R. Wagner

Cornell University, Ithaca, USA

J. Alexander, J. Chaves, Y. Cheng, J. Chu, A. Datta, A. Frankenthal, K. Mcdermott, N. Mirman, J.R. Patterson, D. Quach, A. Rinkevicius⁶⁷, A. Ryd, S.M. Tan, Z. Tao, J. Thom, P. Wittich, M. Zientek

Fermi National Accelerator Laboratory, Batavia, USA

S. Abdullin, M. Albrow, M. Alyari, G. Apollinari, A. Apresyan, A. Apyan, S. Banerjee, L.A.T. Bauerdick, A. Beretvas, J. Berryhill, P.C. Bhat, K. Burkett, J.N. Butler, A. Canepa, G.B. Cerati, H.W.K. Cheung, F. Chlebana, M. Cremonesi, J. Duarte, V.D. Elvira, J. Freeman, Z. Gecse, E. Gottschalk, L. Gray, D. Green, S. Grnendahl, O. Gutsche, AllisonReinsvold Hall,

J. Hanlon, R.M. Harris, S. Hasegawa, R. Heller, J. Hirschauer, B. Jayatilaka, S. Jindariani, M. Johnson, U. Joshi, B. Klima, M.J. Kortelainen, B. Kreis, S. Lammel, J. Lewis, D. Lincoln, R. Lipton, M. Liu, T. Liu, J. Lykken, K. Maeshima, J.M. Marraffino, D. Mason, P. McBride, P. Merkel, S. Mrenna, S. Nahn, V. O'Dell, V. Papadimitriou, K. Pedro, C. Pena, G. Rakness, F. Ravera, L. Ristori, B. Schneider, E. Sexton-Kennedy, N. Smith, A. Soha, W.J. Spalding, L. Spiegel, S. Stoynev, J. Strait, N. Strobbe, L. Taylor, S. Tkaczyk, N.V. Tran, L. Uplegger, E.W. Vaandering, C. Vernieri, M. Verzocchi, R. Vidal, M. Wang, H.A. Weber

University of Florida, Gainesville, USA

D. Acosta, P. Avery, P. Bortignon, D. Bourilkov, A. Brinkerhoff, L. Cadamuro, A. Carnes, V. Cherepanov, D. Curry, F. Errico, R.D. Field, S.V. Gleyzer, B.M. Joshi, M. Kim, J. Konigsberg, A. Korytov, K.H. Lo, P. Ma, K. Matchev, N. Menendez, G. Mitselmakher, D. Rosenzweig, K. Shi, J. Wang, S. Wang, X. Zuo

Florida International University, Miami, USA

Y.R. Joshi

Florida State University, Tallahassee, USA

T. Adams, A. Askew, S. Hagopian, V. Hagopian, K.F. Johnson, R. Khurana, T. Kolberg, G. Martinez, T. Perry, H. Prosper, C. Schiber, R. Yohay, J. Zhang

Florida Institute of Technology, Melbourne, USA

M.M. Baarmann, V. Bhopatkar, M. Hohlmann, D. Noonan, M. Rahmani, M. Saunders, F. Yumiceva

University of Illinois at Chicago (UIC), Chicago, USA

M.R. Adams, L. Apanasevich, D. Berry, R.R. Betts, R. Cavanaugh, X. Chen, S. Dittmer, O. Evdokimov, C.E. Gerber, D.A. Hangal, D.J. Hofman, K. Jung, C. Mills, T. Roy, M.B. Tonjes, N. Varelas, H. Wang, X. Wang, Z. Wu

The University of Iowa, Iowa City, USA

M. Alhusseini, B. Billki⁵⁰, W. Clarida, K. Dilsiz⁶⁸, S. Durgut, R.P. Gandrajula, M. Haytmyradov, V. Khristenko, O.K. Kseyan, J.-P. Merlo, A. Mestvirishvili⁶⁹, A. Moeller, J. Nachtman, H. Ogul⁷⁰, Y. Onel, F. Ozok⁷¹, A. Penzo, C. Snyder, E. Tiras, J. Wetzel

Johns Hopkins University, Baltimore, USA

B. Blumenfeld, A. Cocoros, N. Eminizer, D. Fehling, L. Feng, A.V. Gritsan, W.T. Hung, P. Maksimovic, J. Roskes, M. Swartz, M. Xiao

The University of Kansas, Lawrence, USA

C. Baldenegro Barrera, P. Baringer, A. Bean, S. Boren, J. Bowen, A. Bylinkin, T. Isidori, S. Khalil, J. King, A. Kropivnitskaya, C. Lindsey, D. Majumder, W. Mcbrayer, N. Minafra, M. Murray, C. Rogan, C. Royon, S. Sanders, E. Schmitz, J.D. Tapia Takaki, Q. Wang, J. Williams

Kansas State University, Manhattan, USA

S. Duric, A. Ivanov, K. Kaadze, D. Kim, Y. Maravin, D.R. Mendis, T. Mitchell, A. Modak, A. Mohammadi

Lawrence Livermore National Laboratory, Livermore, USA

F. Rebassoo, D. Wright

University of Maryland, College Park, USA

A. Baden, O. Baron, A. Belloni, S.C. Eno, Y. Feng, N.J. Hadley, S. Jabeen, G.Y. Jeng, R.G. Kellogg, J. Kunkle, A.C. Mignerey, S. Nabili, F. Ricci-Tam, M. Seidel, Y.H. Shin, A. Skuja, S.C. Tonwar, K. Wong

Massachusetts Institute of Technology, Cambridge, USA

D. Abercrombie, B. Allen, A. Baty, R. Bi, S. Brandt, W. Busza, I.A. Cali, M. D'Alfonso, G. Gomez Ceballos, M. Goncharov, P. Harris, D. Hsu, M. Hu, M. Klute, D. Kovalevskyi, Y.-J. Lee, P.D. Luckey, B. Maier, A.C. Marini, C. McGinn, C. Mironov, S. Narayanan, X. Niu, C. Paus, D. Rankin, C. Roland, G. Roland, Z. Shi, G.S.F. Stephans, K. Sumorok, K. Tatar, D. Velicanu, J. Wang, T.W. Wang, B. Wyslouch

University of Minnesota, Minneapolis, USA

A.C. Benvenuti[†], R.M. Chatterjee, A. Evans, S. Guts, P. Hansen, J. Hiltbrand, S. Kalafut, Y. Kubota, Z. Lesko, J. Mans, R. Rusack, M.A. Wadud

University of Mississippi, Oxford, USA

J.G. Acosta, S. Oliveros

University of Nebraska-Lincoln, Lincoln, USA

K. Bloom, D.R. Claes, C. Fangmeier, L. Finco, F. Golf, R. Gonzalez Suarez, R. Kamaliuddin, I. Kravchenko, J.E. Siado, G.R. Snow, B. Stieger

State University of New York at Buffalo, Buffalo, USA

C. Harrington, I. Iashvili, A. Kharchilava, C. McLean, D. Nguyen, A. Parker, S. Rappoccio, B. Roozbahani

Northeastern University, Boston, USA

G. Alverson, E. Barberis, C. Freer, Y. Haddad, A. Hortiangtham, G. Madigan, D.M. Morse, T. Orimoto, L. Skinnari, A. Tishelman-Charny, T. Wamorkar, B. Wang, A. Wisecarver, D. Wood

Northwestern University, Evanston, USA

S. Bhattacharya, J. Bueghly, T. Gunter, K.A. Hahn, N. Odell, M.H. Schmitt, K. Sung, M. Trovato, M. Velasco

University of Notre Dame, Notre Dame, USA

R. Bucci, N. Dev, R. Goldouzian, M. Hildreth, K. Hurtado Anampa, C. Jessop, D.J. Karmgard, K. Lannon, W. Li, N. Loukas, N. Marinelli, I. McAlister, F. Meng, C. Mueller, Y. Musienko³⁶, M. Planer, R. Ruchti, P. Siddireddy, G. Smith, S. Taroni, M. Wayne, A. Wightman, M. Wolf, A. Woodard

The Ohio State University, Columbus, USA

J. Alimena, B. Bylsma, L.S. Durkin, S. Flowers, B. Francis, C. Hill, W. Ji, A. Lefeld, T.Y. Ling, B.L. Winer

Princeton University, Princeton, USA

S. Cooperstein, G. Dezoort, P. Elmer, J. Hardenbrook, N. Haubrich, S. Higginbotham, A. Kalogeropoulos, S. Kwan, D. Lange, M.T. Lucchini, J. Luo, D. Marlow, K. Mei, I. Ojalvo, J. Olsen, C. Palmer, P. Pirou, J. Salfeld-Nebgen, D. Stickland, C. Tully, Z. Wang

University of Puerto Rico, Mayaguez, USA

S. Malik, S. Norberg

Purdue University, West Lafayette, USA

A. Barker, V.E. Barnes, S. Das, L. Gutay, M. Jones, A.W. Jung, A. Khatiwada, B. Mahakud, D.H. Miller, G. Negro, N. Neumeister, C.C. Peng, S. Piperov, H. Qiu, J.F. Schulte, J. Sun, F. Wang, R. Xiao, W. Xie

Purdue University Northwest, Hammond, USA

T. Cheng, J. Dolen, N. Parashar

Rice University, Houston, USA

K.M. Ecklund, S. Freed, F.J.M. Geurts, M. Kilpatrick, Arun Kumar, W. Li, B.P. Padley, R. Redjimi, J. Roberts, J. Rorie, W. Shi, A.G. Stahl Leiton, Z. Tu, A. Zhang

University of Rochester, Rochester, USA

A. Bodek, P. de Barbaro, R. Demina, Y.t. Duh, J.L. Dulemba, C. Fallon, M. Galanti, A. Garcia-Bellido, J. Han, O. Hindrichs, A. Khukhunaishvili, E. Ranken, P. Tan, R. Taus

Rutgers, The State University of New Jersey, Piscataway, USA

B. Chiarito, J.P. Chou, A. Gandrakota, Y. Gershtein, E. Halkiadakis, A. Hart, M. Heindl, E. Hughes, S. Kaplan, S. Kyriacou, I. Laflotte, A. Lath, R. Montalvo, K. Nash, M. Osherson, H. Saka, S. Salur, S. Schnetzer, D. Sheffield, S. Somalwar, R. Stone, S. Thomas, P. Thomassen

University of Tennessee, Knoxville, USA

H. Acharya, A.G. Delannoy, J. Heideman, G. Riley, S. Spanier

Texas A&M University, College Station, USA

O. Bouhali⁷², A. Celik, M. Dalchenko, M. De Mattia, A. Delgado, S. Dildick, R. Eusebi, J. Gilmore, T. Huang, T. Kamon⁷³, S. Luo, D. Marley, R. Mueller, D. Overton, L. Perni, D. Rathjens, A. Safonov

Texas Tech University, Lubbock, USA

N. Akchurin, J. Damgov, F. De Guio, S. Kunori, K. Lamichhane, S.W. Lee, T. Mengke, S. Muthumuni, T. Peltola, S. Undleeb, I. Volobouev, Z. Wang, A. Whitbeck

Vanderbilt University, Nashville, USA

S. Greene, A. Gurrola, R. Janjam, W. Johns, C. Maguire, A. Melo, H. Ni, K. Padeken, F. Romeo, P. Sheldon, S. Tuo, J. Velkovska, M. Verweij

University of Virginia, Charlottesville, USA

M.W. Arenton, P. Barria, B. Cox, G. Cummings, R. Hirosky, M. Joyce, A. Ledovskoy, C. Neu, B. Tannenwald, Y. Wang, E. Wolfe, F. Xia

Wayne State University, Detroit, USA

R. Harr, P.E. Karchin, N. Poudyal, J. Sturdy, P. Thapa, S. Zaleski

University of Wisconsin - Madison, Madison, WI, USA

J. Buchanan, C. Caillol, D. Carlsmith, S. Dasu, I. De Bruyn, L. Dodd, B. Gomber⁷⁴, M. Herndon, A. Herv, U. Hussain, P. Klabbers, A. Lanaro, A. Loeliger, K. Long, R. Loveless, J. Madhusudanan Sreekala, T. Ruggles, A. Savin, V. Sharma, W.H. Smith, D. Teague, S. Trembath-reichert, N. Woods

†: Deceased

- 1: Also at Vienna University of Technology, Vienna, Austria
- 2: Also at IRFU, CEA, Universit Paris-Saclay, Gif-sur-Yvette, France
- 3: Also at Universidade Estadual de Campinas, Campinas, Brazil
- 4: Also at Federal University of Rio Grande do Sul, Porto Alegre, Brazil
- 5: Also at UFMS/CPNA Federal University of Mato Grosso do Sul/Campus of Nova Andradina, Nova Andradina, Brazil
- 6: Also at Universidade Federal de Pelotas, Pelotas, Brazil
- 7: Also at Universit Libre de Bruxelles, Bruxelles, Belgium
- 8: Also at University of Chinese Academy of Sciences, Beijing, China
- 9: Also at Institute for Theoretical and Experimental Physics named by A.I. Alikhanov of NRC 'Kurchatov Institute', Moscow, Russia

- 10: Also at Joint Institute for Nuclear Research, Dubna, Russia
- 11: Also at Suez University, Suez, Egypt
- 12: Now at British University in Egypt, Cairo, Egypt
- 13: Also at Purdue University, West Lafayette, USA
- 14: Also at Universit de Haute Alsace, Mulhouse, France
- 15: Also at Erzincan Binali Yildirim University, Erzincan, Turkey
- 16: Also at CERN, European Organization for Nuclear Research, Geneva, Switzerland
- 17: Also at RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany
- 18: Also at University of Hamburg, Hamburg, Germany
- 19: Also at Brandenburg University of Technology, Cottbus, Germany
- 20: Also at Institute of Physics, University of Debrecen, Debrecen, Hungary
- 21: Also at Institute of Nuclear Research ATOMKI, Debrecen, Hungary
- 22: Also at MTA-ELTE Lendlet CMS Particle and Nuclear Physics Group, Etv s Lornd University, Budapest, Hungary
- 23: Also at Indian Institute of Technology Bhubaneswar, Bhubaneswar, India
- 24: Also at Institute of Physics, Bhubaneswar, India
- 25: Also at Shoolini University, Solan, India
- 26: Also at University of Visva-Bharati, Santiniketan, India
- 27: Also at Isfahan University of Technology, Isfahan, Iran
- 28: Also at ITALIAN NATIONAL AGENCY FOR NEW TECHNOLOGIES, ENERGY AND SUSTAINABLE ECONOMIC DEVELOPMENT, Bologna, Italy
- 29: Also at CENTRO SICILIANO DI FISICA NUCLEARE E DI STRUTTURA DELLA MATERIA, Catania, Italy
- 30: Also at Universit degli Studi di Siena, Siena, Italy
- 31: Also at Scuola Normale e Sezione dell'INFN, Pisa, Italy
- 32: Also at Riga Technical University, Riga, Latvia
- 33: Also at Malaysian Nuclear Agency, MOSTI, Kajang, Malaysia
- 34: Also at Consejo Nacional de Ciencia y Tecnologa, Mexico City, Mexico
- 35: Also at Warsaw University of Technology, Institute of Electronic Systems, Warsaw, Poland
- 36: Also at Institute for Nuclear Research, Moscow, Russia
- 37: Now at National Research Nuclear University 'Moscow Engineering Physics Institute' (MEPhI), Moscow, Russia
- 38: Also at St. Petersburg State Polytechnical University, St. Petersburg, Russia
- 39: Also at University of Florida, Gainesville, USA
- 40: Also at Imperial College, London, United Kingdom
- 41: Also at P.N. Lebedev Physical Institute, Moscow, Russia
- 42: Also at Budker Institute of Nuclear Physics, Novosibirsk, Russia
- 43: Also at Faculty of Physics, University of Belgrade, Belgrade, Serbia
- 44: Also at INFN Sezione di Pavia ^a, Universit di Pavia ^b, Pavia, Italy
- 45: Also at National and Kapodistrian University of Athens, Athens, Greece
- 46: Also at Universitt Zrich, Zurich, Switzerland
- 47: Also at Stefan Meyer Institute for Subatomic Physics (SMI), Vienna, Austria
- 48: Also at Adiyaman University, Adiyaman, Turkey
- 49: Also at Sirnak University, SIRNAK, Turkey
- 50: Also at Beykent University, Istanbul, Turkey
- 51: Also at Istanbul Aydin University, Istanbul, Turkey
- 52: Also at Mersin University, Mersin, Turkey
- 53: Also at Piri Reis University, Istanbul, Turkey
- 54: Also at Gaziosmanpasa University, Tokat, Turkey

-
- 55: Also at Ozyegin University, Istanbul, Turkey
 - 56: Also at Izmir Institute of Technology, Izmir, Turkey
 - 57: Also at Marmara University, Istanbul, Turkey
 - 58: Also at Kafkas University, Kars, Turkey
 - 59: Also at Istanbul University, Istanbul, Turkey
 - 60: Also at Istanbul Bilgi University, Istanbul, Turkey
 - 61: Also at Hacettepe University, Ankara, Turkey
 - 62: Also at School of Physics and Astronomy, University of Southampton, Southampton, United Kingdom
 - 63: Also at Institute for Particle Physics Phenomenology Durham University, Durham, United Kingdom
 - 64: Also at Monash University, Faculty of Science, Clayton, Australia
 - 65: Also at Bethel University, St. Paul, USA
 - 66: Also at Karamanoğlu Mehmetbey University, Karaman, Turkey
 - 67: Also at Vilnius University, Vilnius, Lithuania
 - 68: Also at Bingol University, Bingol, Turkey
 - 69: Also at Georgian Technical University, Tbilisi, Georgia
 - 70: Also at Sinop University, Sinop, Turkey
 - 71: Also at Mimar Sinan University, Istanbul, Istanbul, Turkey
 - 72: Also at Texas A&M University at Qatar, Doha, Qatar
 - 73: Also at Kyungpook National University, Daegu, Korea
 - 74: Also at University of Hyderabad, Hyderabad, India