

# Volume change characteristics of fine-grained soils due to sequential thermo-mechanical stresses



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## ABSTRACT

Know-how of volume change characteristics, VCC, of the fine-grained soils, exposed to thermal stresses, is essential for design of various thermo-active structures. These stresses are known to induce excess pore-water pressure,  $\Delta u_0$ , in the saturated state of such soils, which in turn affects their compression and shear strength characteristics. In this context, through several experimental studies, the effect of thermo-mechanical stress-path, the over-consolidation ratio (OCR) and degree of saturation on VCC (viz., thermally induced volumetric strain,  $\epsilon_{v0}$ , compression and re-compression indices,  $c_c$  and  $c_r$ ) of the fine-grained soils has been demonstrated by earlier researchers. However, the response of these soils when exposed to sequential thermal and mechanical stresses, STMS, due to temperature fluctuation and continued infrastructure development, on VCC has seldom been studied. This motivated us to investigate the VCC of the fine-grained soils, by subjecting them to STMS in a suitably modified oedometer setup which facilitates temperature controlled tests. From the results of STMS tests, it is seen that the  $\epsilon_{v0}$  of these soils exposed to thermal cycles (20-60-20 °C) is independent of the thermal stress history experienced at different applied vertical stress,  $\sigma_v$ , ( $= 60, 125, 250$  kPa). Furthermore, from the analysis of deformation-time curve of the thermal loading phase, a methodology for direct determination of the volume change component of fine-grained soils, due to structural rearrangement,  $\Delta V_{s0}$ , has been proposed. The methodology enables direct computation of the coefficient of volume change due to structural rearrangement,  $\alpha_{s0}$ , that would aid in direct prediction of  $\Delta u_0$  from the deformation-time curve of thermal loading phase.

## 1. Introduction

Several practical situations met in the design and realization of radioactive waste repositories (Delage et al., 2000; Zhang and Rothfuchs, 2004; Romero et al., 2005; Favero et al., 2016a; Jacinto and Ledesma, 2017), geothermal energy piles (Laloui and Ferrari, 2013; Di Donna et al., 2016), thermal pre-fabricated vertical drains (Abuel-Naga et al., 2007a), and buried cables and pipelines (Rao and Singh, 1999) necessitate the knowledge of how fine-grained soils, in particular, respond to the thermal stresses. This is mainly because thermal stresses, caused by temperature fluctuation or imposition of the thermal flux, result in volumetric expansion of the soil constituents that lead to development of excess pore-water pressure,  $\Delta u_0$ , in case of geological formations with low hydraulic conductivity. It has been demonstrated by researchers like Pinyol et al. (2018) that in the case of saturated fine-grained soils, generation of  $\Delta u_0$  could become critical in scenarios involving conversion of slow-moving landslides into fast-moving ones due

to loss in effective stress,  $\sigma'$ . Furthermore, it is interesting to note that the subsequent dissipation of  $\Delta u_0$  would result in volumetric deformation of the fine-grained soils (Delage et al., 2000; Tawati, 2010) and an increment in  $\sigma'$  could be observed. This concept has been successfully adopted by Abuel-Naga et al. (2007a) in enhancing the performance of pre-fabricated vertical drains for stabilization of the soft Bangkok clay. This phenomenon termed as *thermal consolidation*, involving development of  $\Delta u_0$  and subsequent volumetric deformation, has been extensively studied with a focus on mechanisms and various influencing factors (viz., thermo-mechanical stress path, over-consolidation ratio, thermal stress history and degree of saturation etc.) that govern the process.

The underlying mechanism of the thermal consolidation phenomena was explained by Campanella and Mitchell (1968) in their pioneering study, which suggested that the differential thermal expansion occurring due to the difference between the volumetric expansion coefficient of soil-solids,  $\alpha_s$ , and pore-water,  $\alpha_w$ , of the constituents of the soil mass,

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**Nomenclature**

$\alpha$	coefficient of thermal expansion.
$\alpha_s$	volumetric expansion coefficient of soil solids.
$\alpha_w$	volumetric expansion coefficient of pore-water.
$\alpha_{s\theta}$	volume change coefficient due to structural rearrangement.
$A$	Area of specimen.
$c_c$	compression index.
$c_r$	recompression index.
$c_{s\theta}$	coefficient of secondary compression under thermal stress.
$c_v$	coefficient of consolidation.
$c_\alpha$	coefficient of secondary compression under $\sigma_v$ .
$d$	settlement.
$d_{act}$	corrected settlement.
$E$	modulus of elasticity.
$E_r$	stiffness.
$e$	instantaneous voids ratio.
$e_0$	initial voids ratio.
$\Delta e$	change in voids ratio.
$\Delta e_{cum}$	cumulative change in voids ratio.
$\epsilon_v$	total volumetric strain.
$\epsilon_{vp}$	total volumetric strain due to applied vertical (mechanical) stress.
$\epsilon_{v\theta}$	volumetric strain due to thermal stress.
$\epsilon_{v\theta}^e$	elastic volumetric strain due to thermal stress.
$\epsilon_{v\theta}^p$	plastic volumetric strain due to thermal stress.
$\epsilon_{v\theta cum}$	cumulative volumetric strain due to thermal stress.
$H$	hardness.
$H_o$	initial specimen height.
$\Delta H$	deformation of specimen.
$\Delta H_m$	correction for setup deformation under applied vertical

$\Delta H_\theta$	(mechanical) stress.
$I_p$	correction for setup deformation under thermal stress.
LVDT	plasticity index.
$m_v$	linear variable displacement transducer.
$\mu$	compressibility of the soil matrix.
$\eta$	dynamic viscosity of water.
NC	porosity.
OC	normally consolidated.
OCR	over-consolidated.
$\sigma_v$	over-consolidation ratio.
$\sigma'$	applied vertical stress.
$\sigma'_p$	effective stress.
$\theta$	pre-consolidation stress.
$\theta_{max}$	temperature.
$\Delta\theta$	maximum temperature.
TC	change in temperature (thermal loading).
$t$	thermal cycle.
$\Delta u$	time.
$\Delta u_\theta$	excess pore-water pressure.
USCS	excess pore-water pressure due to thermal stress.
$V$	Unified Soil Classification System.
$\Delta V_m$	total volume of the soil mass.
$\Delta V_\theta$	change in volume of the soil mass due to applied vertical (mechanical) stress.
$V_s$	change in volume of the soil mass due to thermal stress.
$V_w$	volume of soil solids.
$\Delta V_{s\theta}$	volume of water (pore-fluid).
$w_L$	volume change due to structural rearrangement under thermal stress.
$w_p$	liquid limit.
	plastic limit.

would lead to the development of excess pore-water pressure,  $\Delta u_\theta$ , which in turn is the primary cause of *thermal consolidation*. In addition, simultaneous decrease in viscosity,  $\mu$ , of water observed at higher temperature and structural rearrangement of the soil grains under thermal stresses would also contribute to the variation in the rate and amount of thermal consolidation of the soil mass, respectively. These authors have proposed Eq. 1 for computing  $\Delta u_\theta$  of the soil mass, when it is exposed to an incremental change in temperature,  $\Delta\theta$ .

$$\Delta u_\theta = \frac{[\eta \cdot (\alpha_s - \alpha_w) \cdot \Delta\theta + \alpha_{s\theta} \cdot \Delta\theta]}{m_v} \quad (1)$$

where,  $\eta$  is the porosity,  $m_v$  is the compressibility of the soil matrix and

$\alpha_{s\theta}$  is a coefficient (refer Eq. 2) that defines structural rearrangement of the grains of the soil mass due to thermal stresses. In a way,  $\alpha_{s\theta}$  is analogous to the secondary compression that is defined in the ‘mechanical consolidation’ of the soils (Campanella and Mitchell, 1968).

$$\alpha_{s\theta} = \left[ \frac{(\Delta V_{s\theta}/V)}{\Delta\theta} \right] \quad (2)$$

where,  $\Delta V_{s\theta}$  is the volume change incurred due to structural rearrangement of the soil grains due to imposition of  $\Delta\theta$  and  $V$  is the volume of the soil mass before exposing it to  $\Delta\theta$ .

However, determination of  $\alpha_{s\theta}$  has largely remained elusive owing to the lack of clarity on precise determination of the ‘point of initiation’

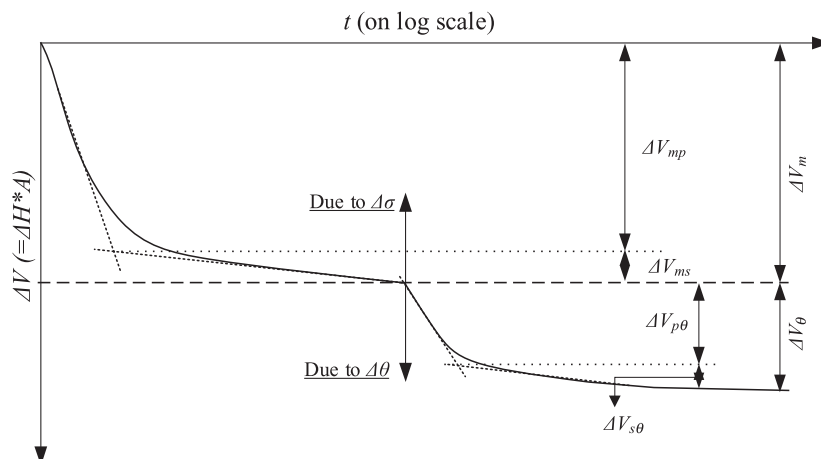


Fig. 1. Schematic representation of ETHiReS methodology for determination of  $\Delta V_{s\theta}$ .

of structural rearrangement in the soil mass. This led to uncertainty in quantifying the contribution of the  $\Delta V_{s0}$  from the total volumetric change,  $\Delta V_0$ , of the specimen under thermal stress directly from the deformation-time curves. Hence, more recently, Ghaowd et al. (2017) have proposed an empirical relationship as given in Eq. 3, to obtain  $\alpha_{s0}$  as a function of plasticity index,  $I_p$ .

$$\alpha_{s0} = 0.0001 \cdot e^{-0.014 \cdot I_p} \quad (3)$$

The authors of the above study utilized  $\Delta u_0$  measured during undrained heating test to back-calculate the  $\alpha_{s0}$  employing Eq. 1 for different soil types. The  $\alpha_{s0}$  thus obtained for different soil types were correlated with corresponding  $I_p$  to arrive at Eq. (2). However, the measurement of  $\Delta u_0$  requires additional undrained tests to be conducted with measurement of the pore-water pressure. With this in view, a methodology that facilitates delineation of the contribution of  $\Delta V_{s0}$  from the deformation-time curve obtained during thermal consolidation has been proposed. Details of this methodology, designated as, Estimation of Thermally Induced Rearrangement of Soils, *ETHiReS*, are presented in Fig. 1. The methodology facilitates direct computation of  $\alpha_{s0}$ , by employing Eq. (2), using deformation-time curve obtained from thermal consolidation test.

Fig. 1 depicts the total volume change,  $\Delta V$ , with respect to time,  $t$ , obtained from the change in specimen height,  $\Delta H$ , for mechanical,  $\Delta\sigma$ , and thermal,  $\Delta\theta$ , loadings. These loadings are responsible for the volumetric changes undergone by the specimen designated as  $\Delta V_m$  and  $\Delta V_\theta$ , respectively. It should be noted that  $\Delta V_m$  comprises  $\Delta V_{mp}$  and  $\Delta V_{ms}$ , the primary consolidation and the secondary compression, respectively, that can be computed by following the methodology proposed by Casagrande (1936). Interestingly,  $\Delta V_0$  versus  $t$  trend is found to be similar to  $\Delta V_m$  versus  $t$  trend. This can be attributed, and hypothesized, to the fact that the excess pore-water pressures,  $\Delta u_m$  and  $\Delta u_\theta$ , generated due to imposition of  $\Delta\sigma$  and  $\Delta\theta$ , respectively, dissipate in an identical manner. Hence, Casagrande's (1936) approach could be extended to analyze deformation-time curve obtained during thermal consolidation, which can further be divided in to the 'primary consolidation' and 'secondary compression', designated as  $\Delta V_{p\theta}$  and  $\Delta V_{s\theta}$ , respectively. Thus, the new approach to determine secondary compression of the specimen under thermal loading regime, designated as, *ETHiReS* has been employed for estimating the  $\alpha_{s0}$ , using Eq. (2). The results have been compared and discussed with the  $\alpha_{s0}$  obtained using Eq. (3).

### 1.1. Factors influencing volume change characteristics

Although, the primary cause of thermal consolidation phenomena remains the same irrespective of soil types and their stress-state, several influencing factors such as thermo-mechanical stress path, over-

consolidation ratio, thermal stress history (i.e., number of thermal cycles) and degree of saturation of the fine-grained soils are known to affect their volume change characteristics, VCC. In this context, initial studies were conducted by employing conventional oedometer and maintaining the temperature of the water bath to establish the effect of elevated temperature on consolidation characteristics of the fine-grained soils by Campanella and Mitchell (1968). These investigations revealed that the pre-consolidation pressure,  $\sigma'_p$ , of the fine-grained soils decreases with an increase in temperature. However, the influence of elevated temperature was found to be insignificant on the compression,  $c_c$ , and recompression,  $c_r$ , indices. Conversely, several studies (Plum and Esrig, 1969) that were conducted to establish the effect of sequential heating and cooling (defined as the 'thermal cycle'), under application of a constant applied vertical stress,  $\sigma_v$ , have reported that when fine-grained soils are heated from 24 °C to 50 °C, the  $c_c$  gets significantly (1.24 to 1.40) affected, for  $\sigma' < 210$  kPa. The authors have also reported that the specimens of the fine-grained soils when subjected to a 'thermal cycle' exhibit over-consolidated behavior, with an increase in  $\sigma'$ . This phenomena has been termed as 'thermal hardening' by Cui et al. (2000) and Abuel-Naga et al. (2007b). It has also been opined that though the over-consolidation ratio, OCR, generated during the 'thermal cycle', is independent of  $\sigma_v$ , it is a function of the maximum temperature,  $\theta_{max}$ , to which the specimen is exposed. Furthermore, to establish the role of number of 'thermal cycles' on the volumetric strain,  $\epsilon_{v\theta}$ , of the fine-grained soils, Ma et al. (2017) have re-synthesized experimental results obtained by (Campanella and Mitchell, 1968; Di Donna and Laloui, 2015; Vega and McCartney, 2015), and it has been demonstrated that after about 4 to 5 thermal cycles the specimen ceases to exhibit any significant  $\epsilon_{v\theta}$ , under a constant  $\sigma'$ .

Further, several studies (Baldi et al., 1988; Cui et al., 2000; Sultan et al., 2002; Abuel-Naga et al., 2007a) have revealed that  $\epsilon_{v\theta}$  is dependent on the OCR of the soil. The normally consolidated, NC, specimen would exhibit irreversible contraction whereas the over-consolidated, OC, specimens would undergo reversible expansion (elastic volumetric strain,  $\epsilon_{v\theta}^e$ ), when exposed to thermal cycles. In this context, Favero et al. (2016b) have concluded that the soils with  $\sigma' < \sigma'_p$  and  $\sigma' > \sigma'_p$  when exposed to thermal stress would exhibit elastic and plastic volumetric strains, respectively. In short, the influence of  $\theta_{max}$ , the number of thermal cycles, and OCR on VCC, of the fine-grained soils has been studied. More recently, studies (Tang et al., 2008; Coccia and McCartney, 2016) have also tried to establish the influence of degree of saturation on the compressibility and shear strength parameters of fine-grained soils subjected to thermal stresses.

However, aforementioned studies deal with behavior of soils subjected to thermal stresses under the influence of specific  $\sigma'$  value, without bringing in the concept of sequential 'thermo-mechanical stresses', STMS, that nature of manmade activities impose on the fine-

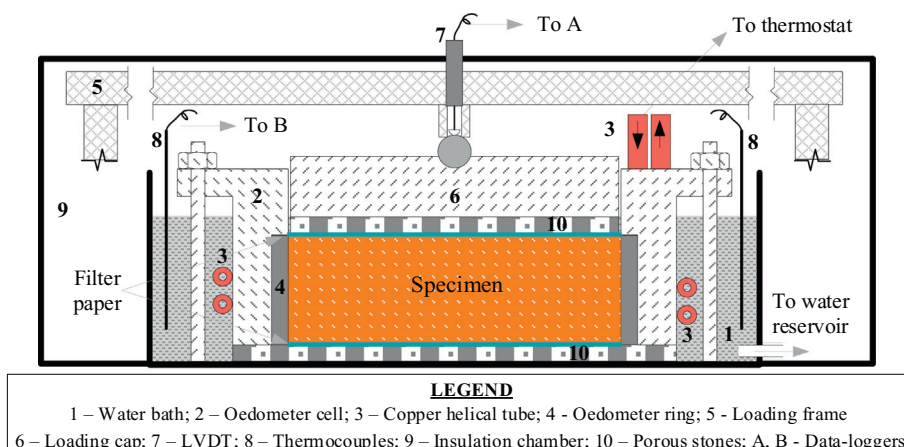


Fig. 2. Schematic of the experimental setup.

grained soils. With this in view, the response of the fine-grained soils to a sequence of alternate thermal and mechanical stress was investigated and its details are presented in this manuscript. The study focusses on the effect of varying  $\sigma_v$  on the  $\varepsilon_{v0}$  of the specimen upon exposure to thermal cycle. The range of temperature imposed in the thermal cycle comprising heating (from 20 to 60 °C) and cooling (60 to 20 °C) phases has been selected to simulate naturally occurring temperature fluctuations.

## 2. Experimental setup

The response of fine-grained soils exposed to sequential thermo-mechanical stresses, STMS, has been studied by employing a standard oedometer setup, wherein the specimen temperature is controlled by placing it in the water-bath (unit 1), as depicted in Fig. 2 (Di Donna and Laloui, 2015). As depicted in the figure, the standard oedometer cell (unit 2) is surrounded by two copper helical tubes (unit 3) through which heated fluid (demineralized water) is circulated. The temperature of the heating fluid is controlled using a thermostat (make Huber®, Germany). The water-bath is connected to a water reservoir in order to compensate for the evaporation loss. The specimen (60 mm in diameter and 15 mm height), is prepared in the oedometer ring (unit 4), which is made of *invar*, an alloy of Ni and steel, for which the coefficient of thermal expansion,  $\alpha$ , is  $5.5 \times 10^{-7}$  °C. Selection of *invar* as the oedometer ring facilitates negating the relative contraction and expansion with respect to the specimen, during thermal cycle i.e., heating and cooling. The specimen is sandwiched between the top and bottom filter papers and porous stones, as in the case of standard oedometer tests. The mechanical load is applied on the specimen by using a reaction-loading frame (unit 5), which transmits the load to the loading cap (unit 6). The vertical deformations undergone by the specimen are recorded with the help of a linear variable displacement transducer, LVDT, (unit 7), which has a least count of 0.1  $\mu$ m. The temperature of water in the water-bath is data-logged with the help of two K-type thermocouples (unit 8), of least count of 0.1 °C, as depicted in Fig. 2. The average of the temperatures measured by these thermocouples is considered the temperature of the specimen. Since the pore-fluid and the water bath are inter-connected, and heating of the specimen is through the oedometer ring (unit 4) and cell (unit 2) which are good conductors of heat, it is apparent that the specimen temperature would equilibrate with that of water bath after some initial time lag. The entire setup has been enclosed in a thermally insulated chamber to minimize the heat losses

(unit 9).

## 3. Experimental investigations

In order to establish the influence of sustained thermal and mechanical loads on the volume change characteristics of soils, the specimens were subjected to the sequential thermal and mechanical stresses, STMS, as depicted by the stress-paths in Fig. 3. The isothermal consolidation tests on specimen were conducted at  $\theta = 20$  °C to study the effect of STMS on  $\varepsilon_{v0}$ . Thus, the experimental program comprises of two separate thermo-mechanical paths being imposed on the specimen: (i) standard oedometer test, SOT (refer Fig. 3a), wherein the standard consolidation test with loading (from A to B) and unloading phase (from B to C) has been performed at  $\theta = 20$  °C, (ii) STMST (refer Fig. 3b), wherein the specimen is mechanically consolidated until 60 kPa (from A'' to B'') at  $\theta = 20$  °C, followed by imposition of thermal cycle comprising of heating (B'' to C'') and cooling (C'' to B''). At every temperature increment,  $\Delta\theta$ , of 10 °C, which is attained at the rate of 2–3 °C/h (Di Donna and Laloui, 2015) to avoid instantaneous generation of high pore-water pressure, the vertical deformation undergone by the specimen was allowed to stabilize before imposing the subsequent  $\Delta\theta$ . These incremental steps of  $\Delta\theta$  are represented by the arrowheads in Fig. 3. The settlement of the specimen after imposition of each  $\Delta\theta$  was monitored until the observed changes were minimal (usually for a duration of 24 h). The specimen was further consolidated under  $\sigma_v = 125$  kPa (B'' to D'') followed by imposition of a thermal cycle (D''-E''-D''). The process was repeated at 250 kPa (D''-F'' and F''-G''-F'') followed by which the sample was consolidated under a maximum  $\sigma_v = 1000$  kPa (depicted as F''-H'').

### 3.1. Calibration of the setup

It should be realized that various components of the test setup would undergo deformations measured by the LVDT due to  $\Delta\sigma_v$  and  $\Delta\theta$ . Hence, to obtain the *actual* deformations undergone by the specimen, suitable corrections for the setup under mechanical and thermal loadings,  $\Delta H_m$  and  $\Delta H_\theta$  should be applied, respectively. A stainless steel cylindrical unit with dimensions similar to the specimen, for which the modulus of elasticity,  $E$ , and  $\alpha$  are 210 GPa and  $1.2 \cdot 10^{-5}$  °C<sup>-1</sup>, respectively, was taken as the calibrating specimen. Subsequently,  $\Delta H_m$  and  $\Delta H_\theta$  were determined for various combinations of thermo-mechanical paths depicted in Fig. 3. Hence, during the specimen runs, the

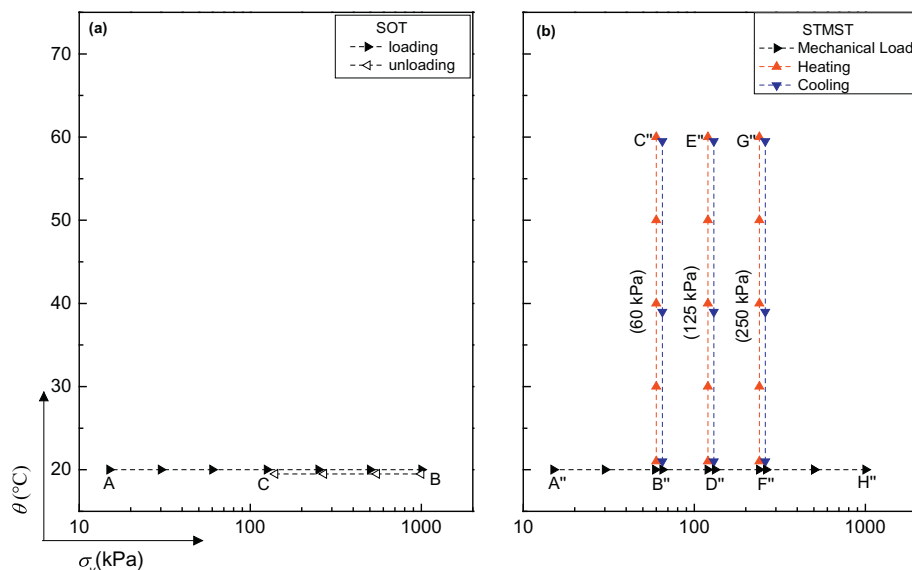


Fig. 3. Various thermo-mechanical paths used in the present study: (a) SOT and (b) STMST.



$\Delta H_m$  and  $\Delta H_\theta$  were subtracted from the LVDT measurements of the specimen for obtaining the actual deformation of the specimen.

### 3.2. Details of the specimen

Two fine-grained soils (naturally occurring terrestrial clay sample, and a kaolinite clay, designated as Soil S1 and S2, respectively), which exhibit low and high plasticity as per Unified Soil Classification System, USCS, (ASTM-D2487, 2017) were used in this study. These soils were characterized to obtain specific gravity,  $G$ , Atterberg's Limits, and particle-size distribution characteristics by following the guidelines presented in ASTM-D5550 (2014); ASTM-D4318 (2017); ASTM-D7928 (2017), respectively, and the results are listed in Table 1. Several identical specimens of these soils were prepared for conducting SOT and STMST, as depicted in Fig. 3. The specimen, in the slurry form was prepared by adding the quantity of water equal to 1.65 times the liquid limit,  $w_L$ , to the oven-dried soil. Enough care was taken to avoid entrapment of air voids during specimen preparation. The specimen was pre-consolidated to  $\sigma'$  of 30 kPa, (which also ensures saturation) at room temperature,  $\theta$ , equal to 20 °C to ensure that the loading cap (unit 6) does not get lifted up due to generation of  $\Delta u_\theta$ , particularly at the lower magnitude of the applied vertical stress,  $\sigma_v$ .

## 4. Results and discussion

The following subsections present the results obtained from the study and discusses the effect of sequential thermo-mechanical stress, STMS, path on the volume change characteristics, VCC, of the clays. Also, the computation of the  $\alpha_{s\theta}$ , by using *ETHiReS* methodology, and its comparison with that obtained from Eq. (3) is presented.

### 4.1. Effect of STMS on the VCC

The deformation characteristics, of specimens of Soils S1 and S2, obtained from the SOT and STMST, as volumetric strains,  $\epsilon_v$ , are presented in Fig. 4. The TC, in Fig. 4, corresponds to the thermal cycle imposed on the specimen during STMST.

It can be observed from Fig. 4 that for specimens of Soils S1 and S2, during SOT and STMST, as  $\sigma'$  increases the  $\epsilon_v$  increases. The steep drop in the  $\epsilon_v$  value of the specimen, corresponds to the volumetric strain due to imposition of TC,  $\epsilon_{v\theta}$ , applied under a specific  $\sigma'$  (=60, 125 and 250 kPa). The summation of plastic volumetric strains of all the TCs, designated as,  $\epsilon_{v\theta}^P$ , of specimens of Soils S1 and S2 is equal to 2.90% and 0.86%, respectively, refer Table 2. From Fig. 4, it is interesting to note that the deformation curves of the specimens of the soil, subjected to SOT and STMST eventually coincide. A closer look at the deformation curves of SOT and STMST of both the soils reveal that the slope of the latter in the mechanical loading portion, followed by the application of TCs, is lesser than the corresponding portion of the former. The change in slope in case of the STMST indicates that the compressibility of the specimens subjected to STMS path decreases on exposure to TCs. This could mainly be attributed to the enhancement in stiffness,  $E_r$ , and hardness,  $H$ , of the soil solids due to exposure to elevated temperatures. This observation gets corroborated with the findings reported by Kadali et al. (2013), who have employed nano-indentation technique to highlight the effect of temperature on  $E_r$  and  $H$  of various clay minerals and soils. As depicted in Fig. 5, the results for the Soil S2, for the  $\theta$  range used in the present study, the  $E_r$  and  $H$  of the specimen increase by ~1.5 and ~3 times that of the values corresponding to the room temperature (Kadali et al., 2013). The contribution of change in  $E_r$  and  $H$  value of the soil solids on the thermal hardening behavior reported by the several researchers (Plum and Esrig, 1969; Cui et al., 2000; Abuel-Naga et al., 2007b), in addition to the densification of the soil matrix by thermal consolidation, needs to be determined. Such an exercise would reveal the precise magnitude of thermal consolidation in the thermal hardening behavior observed due to increment in  $\sigma'$ .

In addition to the analysis of deformation characteristics, it is also important to know the (i) the nature of  $\epsilon_{v\theta}$  (i.e., reversible (elastic),  $\epsilon_{v\theta}^e$ , irreversible (plastic),  $\epsilon_{v\theta}^P$  or both (elasto-plastic) and (ii) the effect of  $\sigma'$  on  $\epsilon_{v\theta}$ . For the sake of brevity, the variation of  $\epsilon_{v\theta}$  due to imposition of TC, during STMST for the Soil S1, only is being depicted in Fig. 6. From Fig. 6, it can be noted that  $\epsilon_{v\theta}$  of the soil consist of elastic- and plastic-volumetric strains,  $\epsilon_{v\theta}^e$  and  $\epsilon_{v\theta}^P$ , which develop due to cooling and heating of the specimen, respectively. The  $\epsilon_{v\theta}$ , however, is predominantly irreversible (i.e., having greater plastic component, as reported by Baldi et al., 1988; Cui et al., 2000; Sultan et al., 2002; Abuel-Naga et al., 2007a) for the normally consolidated (NC) state of the soil. Table 2 presents these components of the  $\epsilon_{v\theta}$  for the Soils S1 and S2. The values of the settlement and the resulting strain undergone by the Soil S1 during heating and cooling are listed in Table 3.

From the data presented in Table 2, it can be observed that  $\sigma'$  has little influence on  $\epsilon_{v\theta}$ , and for all practical purposes it remains unchanged. Interestingly, this finding is similar to those reported in the literature (Abuel-Naga et al., 2007b), wherein  $\epsilon_{v\theta}$  of different specimens, pre-consolidated to various  $\sigma'$  remains unaltered. Hence, it can be opined that the mechanical loading on these soils, during the STMST, has little significance on  $\epsilon_{v\theta}$  undergone by it for 60 kPa  $\leq \sigma' \leq$  250 kPa. However, this observation needs to be validated for different types of soils when  $\sigma' >$  250 kPa.

Furthermore, it can be noticed that exposure to a TC, at a particular  $\sigma'$ , has no effect on the  $\epsilon_{v\theta}$  undergone by the specimen at subsequent TCs, at a higher  $\sigma'$ . This is contrary to the behavior of the specimen, exposed to repeated TCs under a specific  $\sigma'$ , for which a decrease in  $\epsilon_{v\theta}$  for subsequent TCs imposed has been reported Ma et al. (2017). These scenarios have been depicted as Case 1 (TCs imposed under varying  $\sigma'$ ) and Case 2 (TCs imposed under constant  $\sigma'$ ) in Fig. 7.

It should be noted that in Case 1, despite the reduced availability of water content, due to mechanical consolidation undergone by the specimen,  $\epsilon_{v\theta}$  remains practically constant under varying  $\sigma'$ , as depicted in Fig. 7. This could be attributed to amount of freely available water in pores that would be expelled under the work done by thermal cycle imposition. However, this amount of work done by imposition of identical TC would be insufficient to expel an equivalent amount of water held under attractive forces at the solid-liquid interface (bound water) due to electrostatic forces. Thus, until  $V_v$  (i.e.,  $V_w$  in saturated case) falls below a critical value due to the expulsion of all the available free-water from the pores and only the bound water remains, there would not be any significant change in  $\epsilon_{v\theta}$  for the completely saturated specimens. Furthermore,  $\epsilon_{v\theta}$  is a function of  $\Delta u_\theta$ , and Eqs. (1) and (2) indicate that it is dependent on  $\Delta V_{s\theta}$ . It has been shown through several studies (Campanella and Mitchell, 1968) that repeated application of TC would reduce the extent of  $\Delta V_{s\theta}$  in each of the subsequent TC imposition. This would result in a lesser  $\Delta u_\theta$  generation, which is responsible for a reduced  $\Delta V_{v\theta}$  and  $\epsilon_{v\theta}$ , as depicted in Case 2, Fig. 7.

However, in Case 1, the soil matrix is alternatively loaded to a higher  $\sigma'$  after every imposition of TC. This leads to an equivalent scope of structural rearrangement of the specimen due to thermal stresses under the new  $\sigma'$ . Thus, the  $\Delta V_{s\theta}$ , remains constant under each TC and hence  $\epsilon_{v\theta}$  achieved in the subsequent TC remains unaltered. In other words, STMS erases the effect of a TC on  $\epsilon_{v\theta}$  undergone by the specimen during subsequent TCs.

**Table 1**  
Basic characteristics of the soils used in the study.

Soil	G	Size fraction (%)			$w_L$ (%)	$I_p$ (%)	USCS
		Sand	Silt	Clay			
S1	2.56	01	37	62	64	41	CH
S2	2.64	00	55	45	48	24	CL

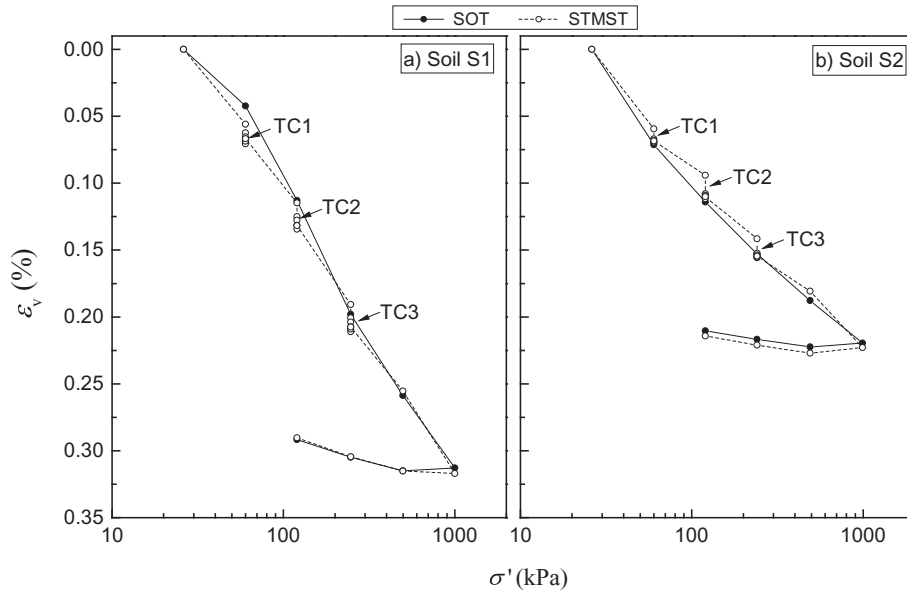


Fig. 4. Deformation characteristics of the specimens of (a) Soil S1 and (b) Soil S2.

**Table 2**  
The values of elastic and plastic volumetric strains (in %) for different soils.

Thermal cycle	Soil S1			Soil S2		
	$\epsilon_{v\theta}^e$	$\epsilon_{v\theta}^p$	$\epsilon_{v\theta}$	$\epsilon_{v\theta}^e$	$\epsilon_{v\theta}^p$	$\epsilon_{v\theta}$
TC1 (60 kPa)	0.38	0.84	1.22	0.15	0.31	0.46
TC2 (125 kPa)	0.27	0.97	1.24	0.14	0.30	0.44
TC3 (250 kPa)	0.36	1.09	1.45	0.12	0.25	0.37

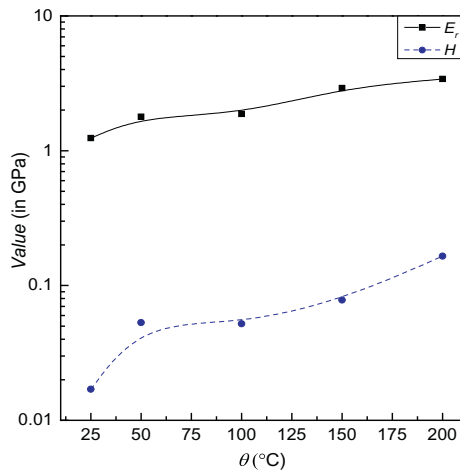


Fig. 5. The variation of stiffness ( $E_r$ ) and hardness ( $H$ ) of the white clay (S2) with temperature (Kadali et al., 2013).

#### 4.2. Determination of $\alpha_{s\theta}$ by employing EThReS

The volume change coefficient of structural rearrangement,  $\alpha_{s\theta}$ , has been computed for Soils S1 and S2, by employing EThReS methodology, as described in Section 1 (refer to Fig. 1). The methodology involves determination of volume change during the secondary consolidation process under thermal loading,  $\Delta V_{s\theta}$ , from deformation versus time curves by extending the Casagrandes' approach. The deformation versus time curves of Soil S1 are depicted in Fig. 8a during the imposition of first TC under  $\sigma'$  of 60 kPa. For the sake of brevity, the computation of  $\Delta V_{s\theta}$  ( $= \Delta H_{s\theta} \times A$ , where A is the area of cross-section of

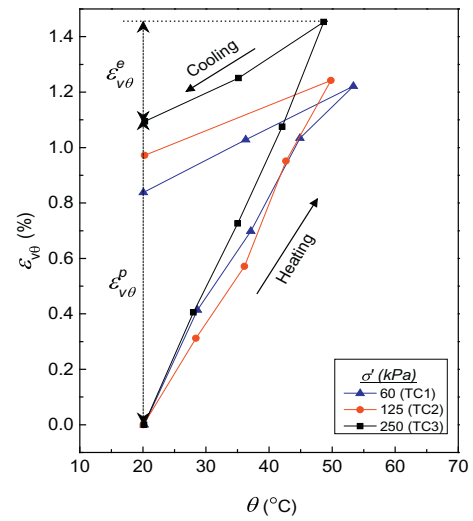


Fig. 6. The variation of volumetric strain with temperature for Soil S1.

the specimen) from the deformation versus time curve is briefed for the final temperature increment,  $\Delta\theta_4$ , in Fig. 8. For the deformation versus time curves terminating before 24 h, or for the ones extending beyond 24 h, the  $\Delta V_{s\theta}$  corresponding to 24 h was determined by using the coefficient of secondary consolidation for thermal stress,  $c_{\alpha\theta}$ , of the corresponding  $\Delta\theta$  step. Thus twelve values of  $\Delta V_{s\theta}$ , corresponding to four  $\Delta\theta$  steps for each of the three  $\sigma'$  ( $=60, 120$  and  $250$  kPa) were obtained from which  $\alpha_{s\theta}$  for each soil was computed by employing Eq. (2). The average of the so obtained  $\alpha_{s\theta}$  was considered as the representative value of the soil, which has been further compared with those obtained by using Eq. (3) (refer to Table 4).

As depicted in Table 4, contrary to the Soil S1, the  $\alpha_{s\theta}$  value for the Soil S2 exhibits a good match with that obtained from Eq. (3). However, it is worth noticing that as Soil S1 is more compressible ( $c_c = 0.49$ ) when compared to Soil S2 ( $c_c = 0.26$ ), and would exhibit a higher  $\epsilon_{v\theta}$ , as depicted in Table 2, and hence a higher  $\alpha_{s\theta}$ . This leads to a discussion on the validity of Eq. (3), which indicates that  $\alpha_{s\theta}$  is only dependent on  $I_p$ . It should be realized that  $I_p$  being an index property would not include in it the characteristics of the soil matrix and fabric (Gumaste et al., 2014), which in turn gets influenced by the imposed thermal

**Table 3**  
The settlement and strain values due to TC1 on the Soil S1.

$\theta$ (°C)	$\Delta\theta$ (°C)	$\Delta H_m$ (mm)	$\Delta H_\theta$ (mm)	$d$	$d_{act}$	$H$ (cm)	$e$	$\Delta e$	$\Delta e_{cum}$	$\epsilon_{v\theta}$ (%)	$\epsilon_{v\theta cum}$ (%)
				(mm)							
20.2	0.0	0.13	0	4.38	4.25	0.980	1.433	0.000	0.000	0.00	0.00
28.6	8.4	0.13	0.03	4.45	4.29	0.976	1.422	0.011	0.011	0.41	0.41
37.1	8.5	0.13	0.04	4.50	4.32	0.973	1.415	0.007	0.018	0.28	0.70
44.9	7.8	0.13	0.06	4.55	4.36	0.969	1.406	0.009	0.027	0.34	1.03
53.4	8.5	0.13	0.08	4.59	4.38	0.967	1.402	0.005	0.032	0.19	1.22
36.3	-17.1	0.13	0.04	4.53	4.36	0.969	1.407	-0.005	0.027	-0.19	1.03
20.1	-16.2	0.13	0.01	4.48	4.34	0.971	1.412	-0.005	0.022	-0.19	0.84

where,  $d$  is the settlement;  $d_{act}$  is the corrected settlement;  $e$  is the instantaneous voids ratio;  $e_0$  is the initial voids ratio (= 1.584 at 30 kPa);  $\Delta e$  is the change in the voids ratio;  $\Delta e_{cum}$  is the cumulative change in  $\Delta e$ ;  $\epsilon_{v\theta}$  is the volumetric strain (in %) due to thermal loading;  $\epsilon_{v\theta cum}$  is the cumulative volumetric strain. The values in the first row correspond to the completion of mechanical consolidation under  $\sigma' = 60$  kPa at room temperature (= 20.2 °C).

stresses. Hence, though out of the scope of this study, extensive investigations on different types of soils, compacted to different matrix, should be conducted to modify  $\alpha_{s\theta}$  and  $I_p$  relationship, which would facilitate a quick and accurate determination of  $\Delta u_\theta$ , without resorting to elaborate testing protocols.

**5. Concluding remarks**

Efforts have been made to understand the effect of sequential thermo-mechanical stress, STMS, on the volume change characteristics of the fine-grained soils. Based on the study, it can be opined that the volumetric strain of the soil mass due to thermal stress is independent of the thermal history that has been defined as number of thermal cycles, TCs, experienced by the specimen when it is imposed at different effective stresses ( $\sigma'$  ranging from 60 to 250 kPa). Although, the results of STMS path exhibit a similar value of thermal volumetric strain, at different  $\sigma'$ , it did not result in substantial additional consolidation of the specimen (as the cumulative plastic strain,  $\epsilon_{vp}$ , is about 2.90%), which is majorly due to the stiffening and hardening of the soil solids (grain minerals) subjected to elevated temperatures. Study to this extent needs to be carried out to quantify the effect of stiffening of various soil minerals and their role in reducing the efficacy of thermal volume

change of the specimen. In addition, this study successfully demonstrates the utility of the methodology *ETHIReS* for enabling a simple and direct way for computing the volume change coefficient of structural rearrangement,  $\alpha_{s\theta}$ , from the deformation versus time curve. However, the study reveals that extensive investigations should be conducted on different types of soils, compacted to different matrix, so that a better  $\alpha_{s\theta}$  and  $I_p$  relationship could be developed. It is believed that such an improvement of Eq. (3) would facilitate a quick and accurate determination of  $\Delta u_\theta$ , without resorting to elaborate testing protocols.

**Declarations of interest**

None

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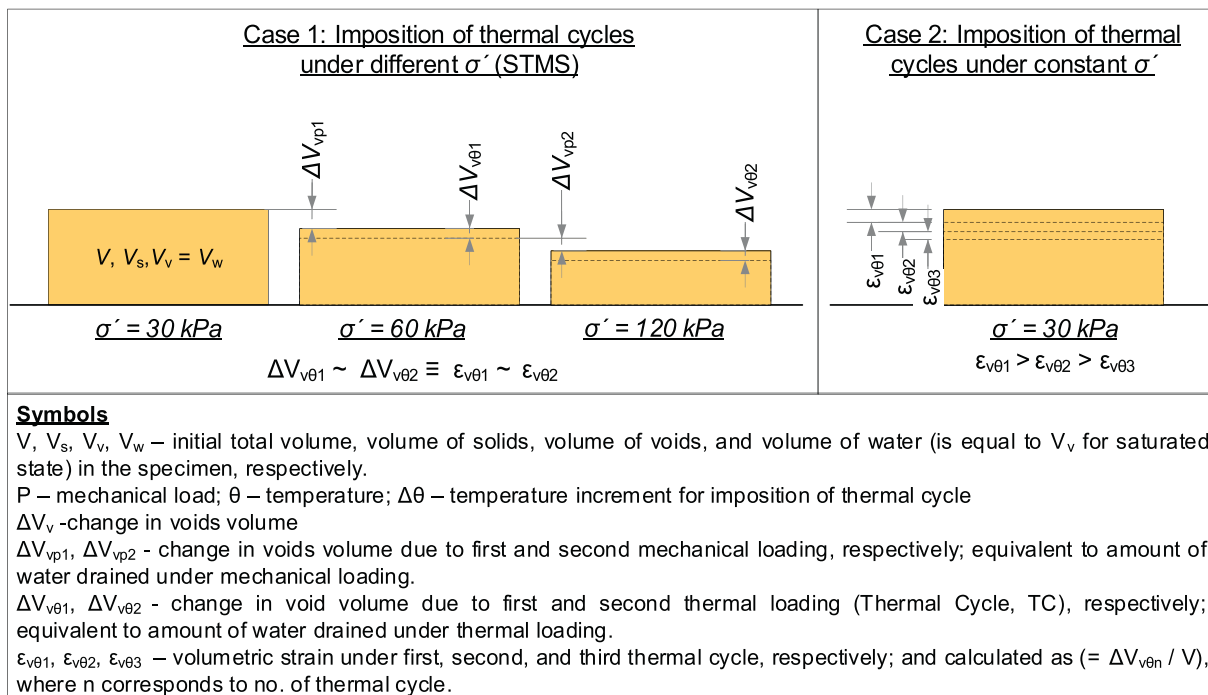


Fig. 7. Representation of volumetric strains under thermo-mechanical loadings.

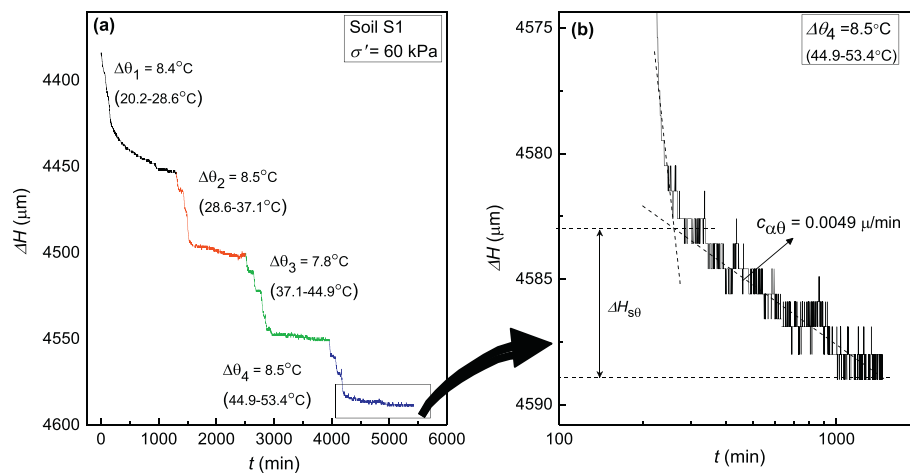


Fig. 8. Deformation versus time characteristics for the Soil S1 subjected to STMST.

Table 4

Comparison of the values of  $\alpha_{so}$  obtained from Eq. (3) and *ETHReS*.

Specimen	$\alpha_{so} (\times 10^{-5} \text{ } ^\circ\text{C}^{-1})$	
	From Eq. (3) <sup>a</sup>	<i>ETHReS</i>
Soil S1	5.60	34.10
Soil S2	7.15	7.45

<sup>a</sup> (Ghaaowd et al., 2017).

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