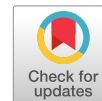


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InAlN underlayer for near ultraviolet InGaN based light emitting diodes

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We report on InAlN underlayer (UL) to improve the efficiency of near ultraviolet (NUV) light emitting diodes (LEDs). While InGaN UL is commonly used in high-efficiency blue LEDs it may absorb light for shorter wavelengths. InAlN lattice-matched to GaN exhibits a bandgap of 4.6 eV. This allows alleviating absorption issues in NUV LEDs. We demonstrate that the internal quantum efficiency of 405 nm single InGaN/GaN quantum well LEDs with InAlN UL is $\sim 70\%$ compared to less than 10% for LEDs without UL. Excellent I - V characteristics are achieved thanks to polarization charge screening with high doping level at the InAlN/GaN interface. © 2019 The Japan Society of Applied Physics

Blue light emitting diodes (LEDs) based on III-nitride materials are used in many application nowadays^{1–3} and can reach internal quantum efficiency of about 90%.^{4–7} A closer look at the LED structure reveals the presence of an InGaN underlayer (UL) underneath the InGaN/GaN quantum well (QW) active region,^{8–10} including on semi-polar planes.¹¹ The actual mechanism supporting the use of an InGaN UL is still debated in the literature.^{8–10,12–18} We recently proposed that indium atoms react with surface defects (SDs), resulting in their incorporation in the UL.^{10,19} Otherwise, those defects would have been incorporated in the InGaN/GaN QWs, reducing thereby their efficiency due to the concomitant introduction of non-radiative recombination centers (NRCs).^{9,19} We also demonstrated that In atoms are the key ingredient, since they efficiently capture SDs.¹⁹ Therefore, InAlN is a desirable material due to the high In content (17%) for lattice-matched (LM) condition to GaN.²⁰ A schematic view of the role of the InAlN UL mechanism is depicted in Fig. 1. SDs are present at the surface after the high-temperature GaN buffer layer growth, [Fig. 1(a)]. They segregate at the surface even for low temperature (LT) GaN growth, [Fig. 1(b)]. Then, SDs are incorporated in InGaN QW layers due to a reaction with indium atoms creating NRCs, [Fig. 1(c)]. The role of the InAlN UL is thus to trap SDs, [Fig. 1(d)], leading to defect-free InGaN/GaN QWs, [Fig. 1(e)].

InGaN UL works perfectly in blue LEDs, as testified by their impressive performance. In the case of near ultraviolet (NUV) LEDs, light absorption in the InGaN UL could be significant and affect the external efficiency of the devices. This could be alleviated by reducing the In composition in the InGaN UL. However, as the trapping of SDs directly depends on the total amount of In atoms, one would need a very thick InGaN layer.¹⁹ Such a thick UL may cause a degradation of the surface morphology and result in longer growth times. For instance, setting the In composition to 0.5% would require 450 nm thick InGaN UL to incorporate all SDs.¹⁹ An alternative, and attractive, solution is to move to an InAlN UL, since it would ensure transparency in NUV range with a bandgap of ~ 4.6 eV for LM condition.²⁰ Also the thickness can be strongly reduced thanks to the high In content.

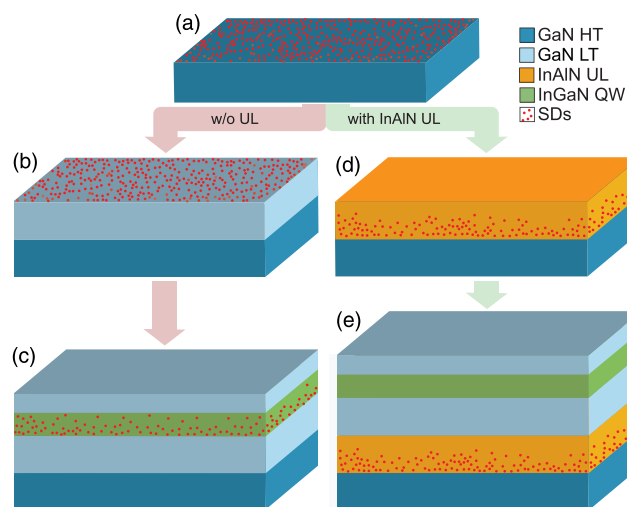


Fig. 1. (Color online) (a) Surface defects (SDs) are formed during GaN growth at high-temperature. (b) These defects strongly segregate even during low temperature growth of GaN. (c) SDs react with In atoms and are eventually trapped in the InGaN QW where they create non-radiative recombination centers. (d) InAlN underlayer in which SDs are trapped due to their interaction with In atoms. (e) After the InAlN underlayer, the GaN surface is free of defects leading to high-efficiency InGaN/GaN QW.

In this paper, we demonstrate that a thin (50 nm) InAlN UL strongly improves the efficiency of NUV (≈ 405 nm) single (S)-QW LEDs. We also show that an InAlN/GaN short-period superlattice (SL) allows for excellent I - V characteristics.

The LED samples are grown in an Aixtron 200/4 RF-S metalorganic vapor phase epitaxy reactor. Figure 2 depicts the different sample structures. The substrate is c -plane sapphire. After a LT GaN buffer, 2 μm of GaN is deposited at 1000 °C using trimethylgallium (TMGa) with H_2 as a carrier gas. Then, the temperature is decreased to 770 °C, and the carrier gas switched to N_2 for the growth of the $\text{In}_{0.17}\text{Al}_{0.83}\text{N}$ (bulk or SL), using trimethylindium (TMIn) and trimethylaluminum (TMAI), or in the case of sample A, for the growth of the 5 nm GaN UL using triethylgallium (TEGa). TEGa is also used for the GaN layer in the InAlN/GaN SL. A 20 nm GaN spacer is grown at the same temperature with TEGa and with Si doping around $1 \times 10^{18} \text{ cm}^{-3}$. The InGaN/GaN SQW is 2.7 nm thick



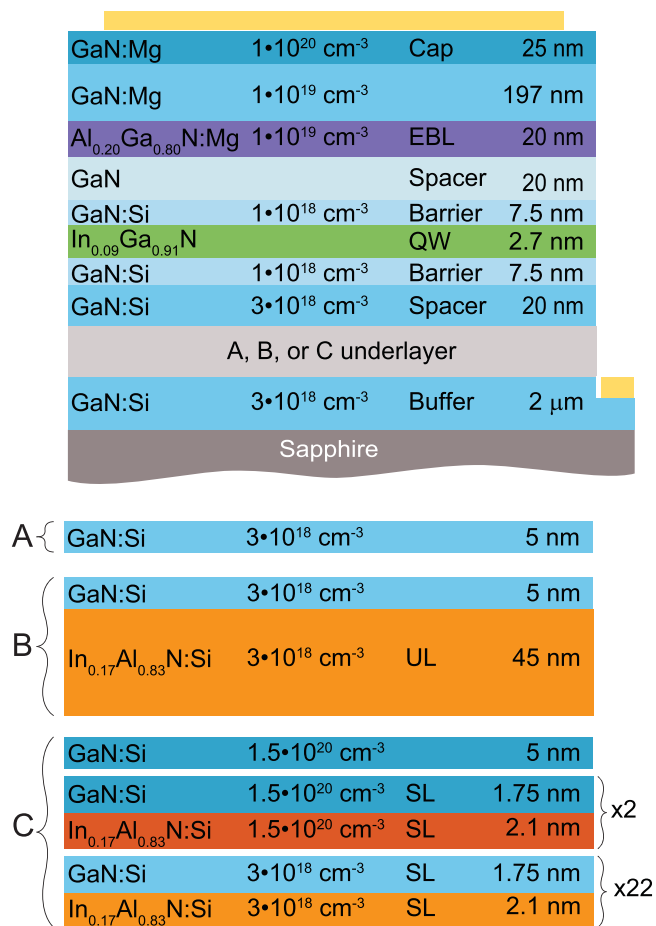


Fig. 2. (Color online) Sketch of NUV SQW-LEDs with various epilayer structures: without UL (A), with InAlN bulk UL (B), and with InAlN/GaN SL UL (C).

and the In content 9%. It is capped by an undoped GaN spacer. Then the carrier gas is switched back to H₂ and the temperature raised to 1000 °C for the growth of the *p*-type

layers. The latter consists of 20 nm Al_{0.2}Ga_{0.8}N:Mg electron-blocking layer, 200 nm GaN:Mg layer, and 25 nm p⁺ contact layer.

Three samples are compared: a reference sample without InAlN UL [Fig. 2, structure (A)], a sample with 50 nm thick bulk InAlN UL [Fig. 2, structure (B)], and a last one with 24 × InAlN(2.1 nm)/GaN(1.75 nm) SL UL [Fig. 2, structure (C)]. Note that the total thickness of In_{0.17}Al_{0.83}N in the SL corresponds to 50 nm, which is equivalent to the thickness of the bulk InAlN UL. This is aimed at incorporating the same amount of SDs.¹⁹⁾ The samples were processed into 300 × 300 μm² LEDs with Ti/Al/Ti/Au stack layer for the *n*-type contact and Pd/Au for the *p*-type contact. The *I*-*V* characteristics reported later on correspond to our most significant data set. The electroluminescence intensity of the LEDs was recorded on wafer with a calibrated photodiode placed at the backside of the devices. The external quantum efficiency was computed from the *L*-*I* curve. The maximum IQE was determined from raw data based on the method proposed by Ref. 6 and Ref. 21.

LEDs without UL exhibit a maximum IQE of 9% ± 5% at a current density of 280 A cm⁻². In contrast, devices featuring an InAlN bulk UL have a maximum IQE of 21% ± 5% at a current density of 110 A cm⁻², [Fig. 3(a)]. Thus, adding an InAlN UL increases the efficiency of the InGaN/GaN SQW, however the gain is moderate. One reason could be the InAlN material quality, which rapidly degrades when increasing the thickness.²²⁻²⁴⁾ Especially V-pits could form and affect the overall performance of the devices. In order to keep a smooth surface and a high structural quality, the InAlN bulk layer was replaced by an InAlN/GaN SL.

Another potential drawback of InAlN UL deals with the *I*-*V* characteristics of LEDs. As shown in Fig. 3(b), the turn-on voltage is increased by about 0.1 V on bulk InAlN UL LEDs compared to reference LEDs, i.e. 3.26 V and 3.16 V at 20 mA, respectively. This increase is attributed to the

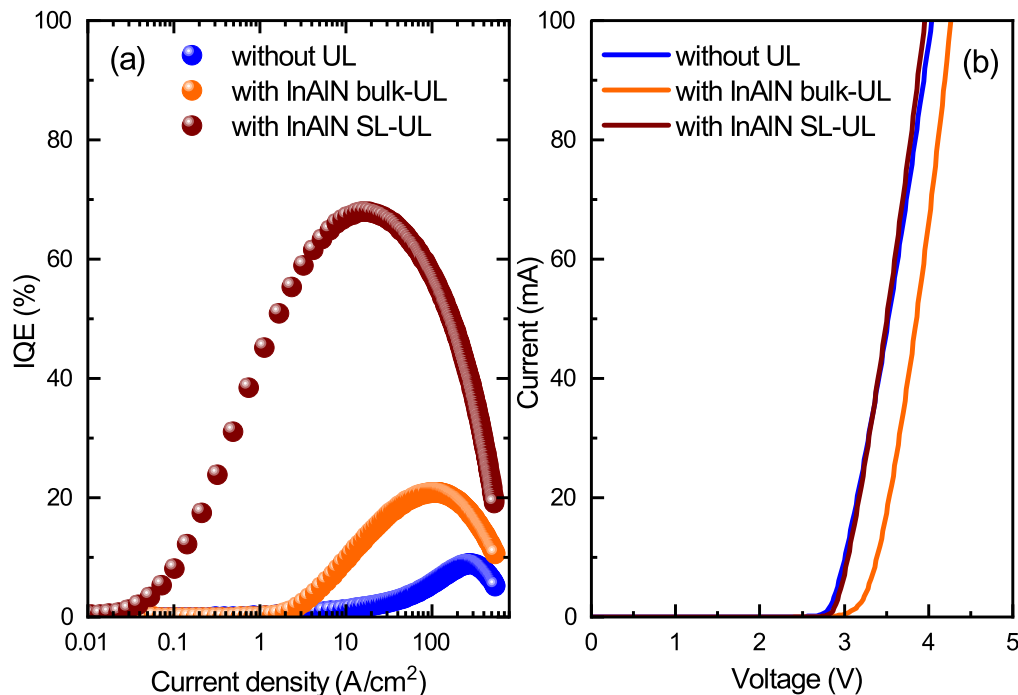


Fig. 3. (Color online) (a) IQE as a function of the current density for LEDs with structure (A) (blue), (B) (orange) and (C) (brown). (b) Current as function of the forward voltage for each LED type. The emission wavelength of those LEDs is ~405 nm and the device size is 300 × 300 μm².

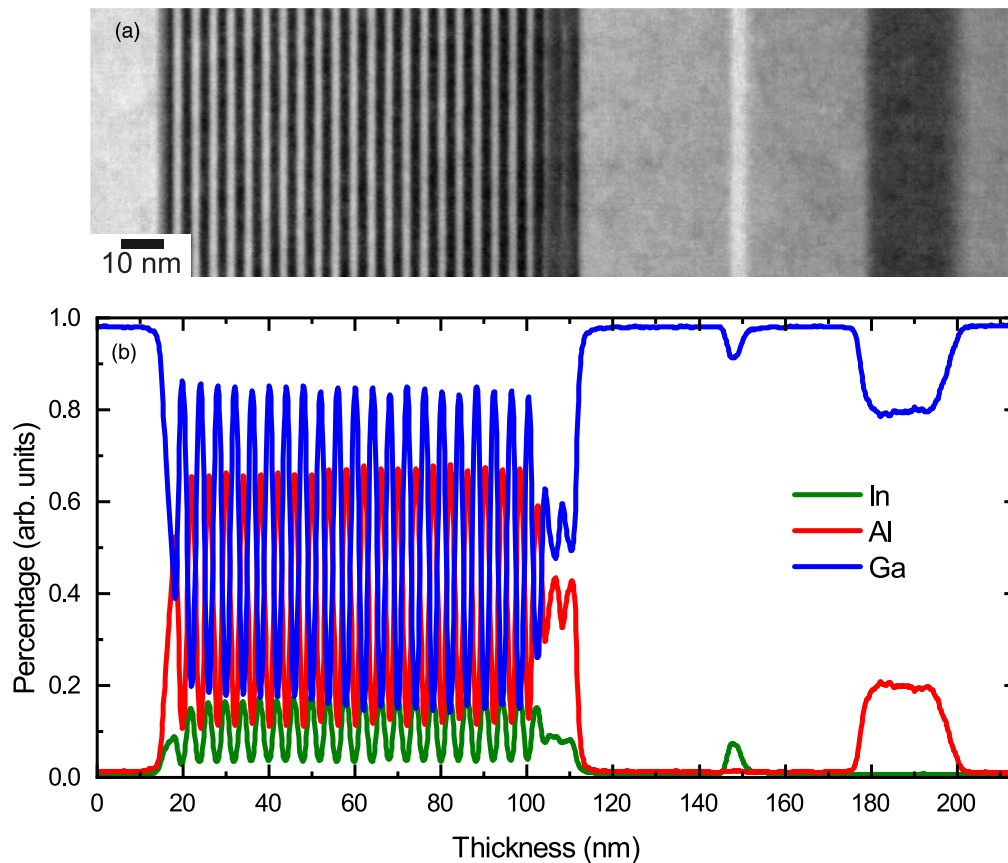


Fig. 4. (Color online) (a) Annular dark-field STEM image of the LED sample with an InAlN/GaN SL UL [structure (C)]. (b) Corresponding In, Al, Ga composition profiles determined by EDX analysis.

spontaneous polarization mismatch at the second InAlN/GaN interface. This leads to a negative fixed charge density of $\sim 2 \times 10^{13} \text{ cm}^{-2}$, which creates a barrier for electrons. To alleviate this electrostatic barrier,²⁵⁾ we introduced very high Si doping levels ($> 1 \times 10^{20} \text{ cm}^{-3}$) into the layers close to the interface, see Fig. 2, structure (C).

Scanning transmission electron microscopy and energy dispersive X-ray (EDX) analysis were performed on the $24 \times \text{InAlN}(2.1 \text{ nm})/\text{GaN}(1.75 \text{ nm})$ SL UL LED sample. The results are shown in Fig. 4. The SL is clearly visible with sharp interfaces between InAlN and GaN layers [Fig. 4(a)]. Interestingly, the last two periods, which are over-doped with Si, have less defined interfaces. The EDX profile clearly shows intermixing between InAlN and GaN, see [Fig. 4(b)]. Notice that the effect of Si impurities on layer intermixing has already been observed in AlN/AlGaIn SL²⁶⁾ and other semiconductor materials.^{27,28)}

The I - V characteristics of InAlN/GaN SL UL NUV LEDs are comparable to those measured on reference LEDs [Fig. 3(b)]. The voltage at 20 mA is 3.18 V and 3.16 V, respectively.

The maximum IQE of NUV SQW-LEDs with an InAlN/GaN SL UL is $68\% \pm 5\%$ for a current density of 16 A cm^{-2} , see Fig. 3. This is a significant improvement (about $8 \times$ more) over the reference LEDs. Combined with excellent I - V characteristics, this validates InAlN as a suitable material to trap SDs and increase the efficiency of InGaIn/GaN QWs.

In conclusion, we demonstrated that InAlN can act as an efficient UL for InGaIn/GaN LEDs. This allows increasing

the IQE without introducing any optical loss thanks to the large bandgap. This might be a key asset for NUV LEDs in the 370–410 nm range. We also show that an UL made of a short-period InAlN/GaN SL is superior to a bulk InAlN layer. Finally, excellent I - V characteristics can be achieved provided that a high doping level is introduced at the InAlN/GaN interface in order to compensate the polarization-induced charges. The large band gap of InAlN UL opens new device designs for NUV InGaIn based optoelectronics. This would promote the development of white LEDs based on red/green/blue phosphors.^{29,30)}

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