

# 1 Is the perception of illusions abnormal 2 in schizophrenia?

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23

## 24 *Abstract*

25 There seems to be no common factor for visual perception, i.e., performance in visual tasks  
26 correlates only weakly with each other. Similar results were found with visual illusions. One may  
27 expect common visual factors for individuals suffering from pathologies that alter brain  
28 functioning, such as schizophrenia. For example, patients who are more severely affected by the  
29 disease, e.g., stronger positive symptoms, may show increased illusion magnitudes. Here, in the  
30 first experiment, we used a battery of seven visual illusions and a mental imagery questionnaire.  
31 Illusion magnitudes for the seven illusions did not differ significantly between the patients and  
32 controls. In addition, correlations between the different illusions and mental imagery were low.  
33 In the second experiment, we tested 59 patients (mostly outpatients) with ten visual illusions. As  
34 for the first experiment, patients and controls showed similar susceptibility to all but one visual  
35 illusion. Moreover, there were no significant correlations between different illusions, symptoms,  
36 or medication type. Thus, it seems that perception of visual illusions is mostly intact in  
37 schizophrenia.

## 38 **Introduction**

39 Numerous studies have tested illusion strength in schizophrenia patients. Results have been  
40 mixed and depend on the illusion tested (for a review, see King et al., 2016). For example, studies  
41 have found that patients perceive some illusions, such as the Müller-Lyer or the Ponzo illusion,  
42 significantly more strongly than controls (Weckowicz and Witney, 1960; Capozzoli and Marsh,  
43 1994; Chen et al., 2011; Diržius et al., 2013; Kantrowitz et al., 2009; Tam et al., 1998). Other  
44 studies found non-significant results for the Ebbinghaus illusion and the illusory Kanizsa squares  
45 (Kantrowitz et al., 2009; Spencer and Ghorashi, 2014; Tibber et al., 2013; Yang et al., 2013), and  
46 some studies have reported that illusion magnitudes of the contrast-contrast illusion, the illusory  
47 line motion, and the Hollow mask illusion are significantly weaker in the patients (Barch et al.,  
48 2012; Crawford et al., 2010; Dakin et al., 2005; Dima et al., 2009; Emrich et al., 1997; Keane et  
2

49 al., 2013; Letourneau, 1974; Parnas et al., 2001; Robol et al., 2013; Sanders et al., 2013;  
50 Schneider et al., 2002; Tadin et al., 2006; Tam et al., 1998; Tibber et al., 2013; Uhlhaas et al.,  
51 2006; Yang et al., 2013). Even when it comes to the same illusions, results sometimes differ. For  
52 example, some studies found that patients are less influenced by the Ebbinghaus illusion than  
53 controls (Tibber et al., 2013; Uhlhaas et al., 2006), whereas Yang et al., (2013) found non-  
54 significant results. Similarly, for the Müller-Lyer illusion, both significant and non-significant  
55 differences were reported (for a review, see King et al., 2016). Sample sizes are rather small in  
56 many of these studies, having on average between 15 and 30 patients, so it may be that the mixed  
57 results are a matter of heterogeneous samples and a lack of power. For some of these non-  
58 significant results, it is hence unclear whether they reflect “true” null results or whether the  
59 studies are underpowered (e.g., Letourneau, 1974, n = 5; Parnas et al., 2001, n = 10; Spencer and  
60 Ghorashi, 2014, n = 17; Tibber et al., 2013, n = 24).

61 Recently in a sample of 144 healthy controls, we found that illusion magnitudes correlated only  
62 weakly with each other (Grzeczowski et al., 2017). It seems that there is no common factor for  
63 visual illusions. As a side note, there were also only weak correlations in standard visual tests in  
64 young, healthy adults (Bosten and Mollon, 2010; Cappe et al., 2014) and in healthy aging  
65 (Shaqiri et al., 2015). Here, we investigated whether there is a common cause for perception of  
66 visual illusions in schizophrenia. Such a common factor could be related to the strength of visual  
67 hallucinations (Bracha et al., 1989; Ford et al., 2015; Goghari and Harrow, 2016) and increased  
68 mental imagery (Brébion et al., 2000, 1997; Oertel et al., 2009; Sack et al., 2005). Waters et al.,  
69 (2014) report that more than 27% of schizophrenia patients suffer from visual hallucinations.  
70 Moreover, schizophrenia patients were found to have a reality-monitoring deficit (Brune, 2005;  
71 Frith and Corcoran, 1996), i.e., a decreased ability to discriminate real events from imagined  
72 events (Brébion et al., 2000, 1997; Oertel et al., 2009; Sack et al., 2005).

73 To this end, we used a battery of seven illusions and a questionnaire about the vividness of  
74 mental imagery in a first experiment with a sample of 19 schizophrenia patients and 19 controls.

75 In a second experiment, with a sample of 59 schizophrenia patients and 54 controls, we tested the  
76 susceptibility to ten visual illusions. Often, illusions strength is determined with binary judgments  
77 where a reference element, such as the central disk in the Ebbinghaus illusion, and a slightly  
78 larger or smaller disk are presented (King et al., 2016). Participants indicate whether this disk is  
79 larger or smaller than the reference disk. In these experiments, stimuli are hard to discriminate to  
80 obtain good estimates, and thus, attention is a crucial component. However, attention is often  
81 deficient in the patients, adding a confounding factor (Chkonia et al., 2010; Perlstein et al., 1998).  
82 To avoid this problem, we used an adjustment procedure, where participants adjusted their  
83 precepts with the computer mouse.

84 To preface our results, we found almost no significant differences between controls and patients  
85 in illusion magnitudes nor in the vividness of mental imagery. In addition, illusion magnitudes of  
86 the patients correlated only weakly with each other, and this was also true for controls. We  
87 suggest that the perception of illusions is largely intact in patients (for a review see King et al.,  
88 2016; Notredame et al., 2014).

## 89 **1 General Methods**

### 90 *1.1 Participants*

91 Participants were schizophrenia patients either from the Tbilisi Mental Health Hospital or the  
92 psychosocial rehabilitation center and healthy, age and education-matched controls, from the  
93 general population from Tbilisi (see Table 1 for details). Patients were diagnosed according to  
94 DSM-IV by means of an interview based on the SCID, information of the staff, and the study of  
95 the records. Psychopathology of schizophrenia patients was assessed by an experienced  
96 psychiatrist (EC) by Scales for the Assessment of Negative Symptoms and Scales for the  
97 Assessment of Positive Symptoms (SANS, SAPS; Andreasen, 1984a, 1984b). All participants had  
98 visual acuity of equal or greater than 0.8 for at least one eye, as measured with the Fribourg  
99 Visual Acuity Test (Bach, 1996). All participants signed informed consent before the experiment.

100 All procedures were in accordance with the Declaration of Helsinki and were approved by The  
 101 Georgian National Council on Bioethics in Tbilisi.

102 Table 1. Descriptive statistics ( $\pm$  standard deviation) for schizophrenia patients and healthy controls for  
 103 both experiments. SZ = schizophrenia patients. HC = healthy controls. SAPS/SANS = Scale for the  
 104 assessment of positive/negative symptoms (global scores). VVIQ = vividness of mental imagery  
 105 questionnaire. CPZ = chlorpromazine-equivalent dosage. Education score corresponds to the number of  
 106 years spent at school and higher education. Illness duration is expressed in years.

	Exp. 1				Exp. 2			
	SZ	HC	$t_{[df]}$	$p$	SZ	HC	$t_{[df]}$	$p$
Sex (F/M)	5/14	5/14	-	-	14/45	26/28	-	-
Age	49 $\pm$ 9.3	40 $\pm$ 6.6	0.42 <sub>[36]</sub>	0.675	38 $\pm$ 8.3	38 $\pm$ 9.2	0.15 <sub>[108]</sub>	0.881
Age range	22 - 53	27 - 50	-	-	22 - 55	24 - 55	-	-
Education	13.3 $\pm$ 2.5	15 $\pm$ 2.8	2.08 <sub>[36]</sub>	0.045	13.3 $\pm$ 2.4	15.3 $\pm$ 2.5	4.14 <sub>[100]</sub>	<0.001
VVIQ	104 $\pm$ 22	118 $\pm$ 25	1.94 <sub>[36]</sub>	0.060	-	-	-	-
SAPS	9.3 $\pm$ 3.5	-	-	-	8.4 $\pm$ 2.3	-	-	-
SAPS range	3 - 16	-	-	-	4 - 17	-	-	-
SANS	10 $\pm$ 5.3	-	-	-	10 $\pm$ 5.1	-	-	-
SANS range	0 - 20	-	-	-	2 - 20	-	-	-
Illness duration	14 $\pm$ 9	-	-	-	14.5 $\pm$ 8	-	-	-
CPZ	610 $\pm$ 387	-	-	-	641 $\pm$ 418	-	-	-
Patients (in/out)	8/11	-	-	-	11/53	-	-	-

107

## 108 *1.2 Apparatus*

109 Experiment 1 was performed on a Dell Latitude E5540 computer with a 15-inch screen.  
 110 Experiment 2 was performed on a desktop computer, equipped with a 24-inch, BenQ XL2420T  
 111 monitor. In both experiments, the screen resolution was set up to 1920 x 1080 pixels and was  
 112 refreshed at a rate of 60 Hz. Stimuli were generated with Matlab 2013b (version 3.1, 64 bits) and  
 113 the Psychophysics toolbox (Brainard, 1997; Pelli, 1997). Participants sat at ~60 cm from the  
 114 screen and used a Logitech LS1 computer mouse for stimuli adjustments.

### 1.3 *Adjustment Procedure*

First, all illusions were shown one by one on the screen and the adjustment procedure was explained by the experimenter. For each illusion, participants compared a reference stimulus to a target stimulus that they adjusted by displacing the computer mouse on its horizontal axis. Each participant performed two trials per illusion without time restrictions. Illusions were adjusted in the same order by each participant: Ebbinghaus, Müller-Lyer, Ponzo, simultaneous contrast, Ponzo “hallway”, White’s and tilt for Exp. 1; and Ebbinghaus 1, Ebbinghaus 2 “small”, Ebbinghaus 3 “big”, Müller-Lyer, Ponzo, Ponzo “wide”, simultaneous contrast, Ponzo “grid”, White’s and tilt for Exp. 2. Participants were asked to make their adjustments relying on their perception and to ignore any prior knowledge they may have had of visual illusions. At the end of each experiment, participants were debriefed and they could see their own results on the computer screen.

### 1.4 *Data Analysis*

For each participant and trial, the raw data was transformed into percentage of error in the following manner: for all illusions, except the tilt illusion, the reference value of the disk diameter, length, or luminance was subtracted from the adjusted stimulus diameter, length, or luminance. That difference was then divided by the value of the reference stimulus and multiplied by 100. Similarly, for the tilt illusion, the reference angle (33 degrees) was first subtracted from the adjusted angle, then divided by the maximum possible bias (i.e., range between the inner and the surround orientation of the inducer stimulus = 69 degrees). Thus, for the tilt illusion, 1 degree of error corresponds to 1.45% of error. Therefore, an adjusted size, length, luminance, or angle that perfectly corresponded to the reference stimulus has a value of zero percentage of bias. To the contrary, 100% of bias would correspond to a doubled reference value (e.g., reference stimulus length = 4 deg, adjusted stimulus length = 8 deg).

## 139 2 Experiment 1

### 140 2.1 Stimuli

141 The illusion magnitudes for seven visual illusions were determined: Ebbinghaus illusion (EB),  
142 Müller-Lyer illusion (ML), Ponzo illusion (PZ), simultaneous contrast illusion (SC), Ponzo  
143 “hallway” illusion (PZh), White’s illusion (WH), and tilt (TT) illusion (Figure 1). The reference  
144 stimulus for the Ebbinghaus, Müller-Lyer and tilt illusions was centered at 8 degrees to the left  
145 from the center of the screen and the adjustable stimulus at 8 degrees to the right.

#### 146 2.1.1 Ebbinghaus Illusion (EB)

147 The reference was a white disk of 2 degrees in diameter, surrounded by sixteen smaller yellow  
148 disks (inducers), 0.5 degrees of diameter each. The distance between the centers of the reference  
149 disk and the small inducers was 1.6 degrees. Large inducers, surrounding the adjustable disk were  
150 4 degrees in diameter. The distance between the center of the adjustable disk and the center of  
151 each large inducer was 5 degrees. At the beginning of each trial, the adjustable disk appeared with  
152 a random size in the range of 0 to 6 degrees in diameter. Both the luminance of the yellow  
153 surrounding disks and the white central disks was 128 cd/m<sup>2</sup>. The background luminance was 1  
154 cd/m<sup>2</sup>.

#### 155 2.1.2 Müller-Lyer illusion (ML)

156 The length of the reference line was 5.4 degrees and it was always presented with inward-pointing  
157 arrows. The lines composing the arrows were 1-degree long. The adjustable line was always  
158 presented with outward-pointing arrows and its starting length varied randomly between 0 and 16  
159 degrees. The line’s luminance was 128 cd/m<sup>2</sup>.

#### 160 2.1.3 Ponzo illusion (PZ)

161 The reference stimulus was the yellow (128 cd/m<sup>2</sup>), 3 degrees long, horizontal, lower line. The  
162 adjustable line was the horizontal, upper yellow line. The initial length of the adjustable line  
163 varied randomly from trial to trial but never extended beyond 16 degrees. Both the reference and

164 the adjustable lines were centered on the vertical midline of the screen and were placed at 3  
165 degrees from the horizontal screen midline. The ends of the white diagonal lines (inducers) were  
166 placed at 3.8 degrees from the horizontal screen midline. The distances between the two upper  
167 and lower line ends were 3 and 7.6 degrees respectively.

#### 168 2.1.4 *Simultaneous contrast illusion (SC)*

169 The reference and the adjustable stimuli were small squares with a side-length of 2.6 degrees  
170 placed at 3.9 degrees to the left and right of the screen center, respectively. The luminance of the  
171 reference square was 35 cd/m<sup>2</sup>. These small squares were embedded in bigger, 7.8 degree  
172 squares. The luminance of the big square placed on the left was 15 cd/m<sup>2</sup> and 70 cd/m<sup>2</sup> for the  
173 one on the right.

#### 174 2.1.5 *Ponzo "hallway" illusion (PZh)*

175 The diameter of the reference disk was 1.6 degrees. The disk was located on the top-right hand  
176 corner, 14.4 degrees from the screen's center. The adjustable disk appeared on the lower-left hand  
177 corner, 10.8 degrees from the screen's center. The luminance of both disks was 15 cd/m<sup>2</sup>. During  
178 the adjustment, the lowest point of the adjustable disk was fixed while its center moved up. This  
179 created the impression that the disk was anchored to the image background. The background  
180 image was a 1920 x 1080 pixel resolution grayscale picture of a hallway at the EPFL campus.

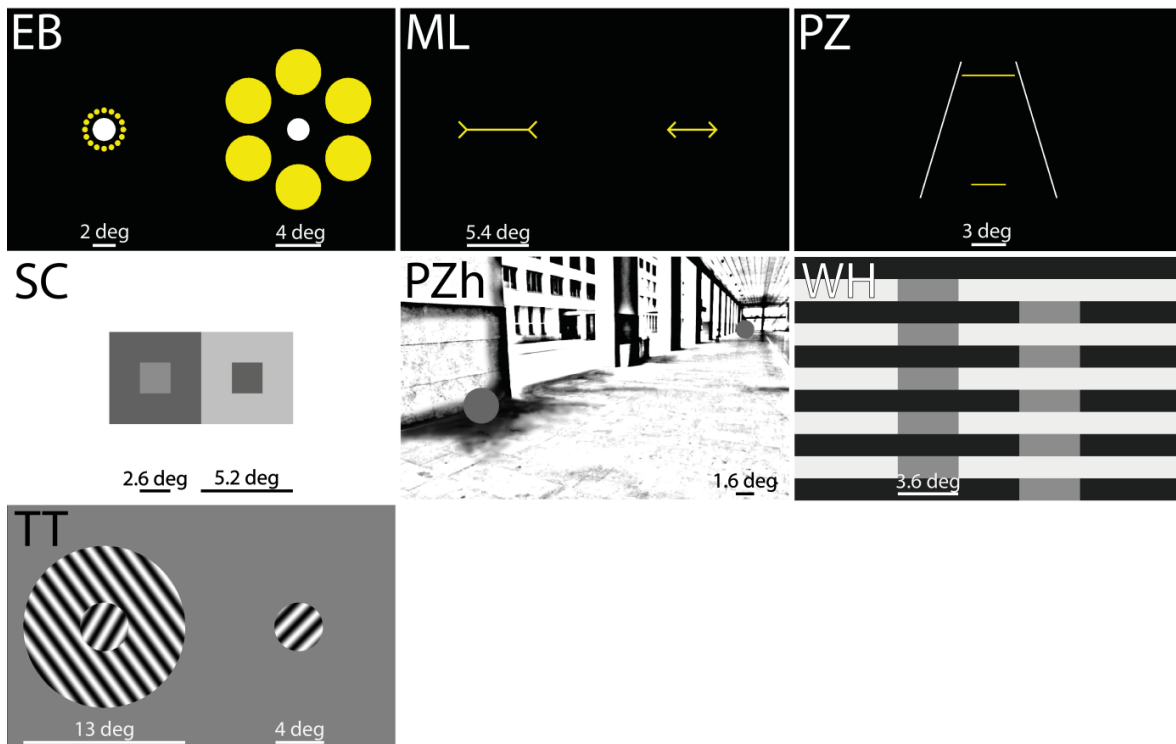
#### 181 2.1.6 *White's illusion (WH)*

182 The background was composed of alternating dark (1 cd/m<sup>2</sup>) and light (128 cd/m<sup>2</sup>) horizontal,  
183 1.75 degree wide stripes. The gray reference rectangles on the left were 1.75 degrees tall and 3.6  
184 degrees wide. They were presented on light bands and their luminance was 15 cd/m<sup>2</sup>. The  
185 adjustable rectangles appearing on the right lay on dark bands and were the same size as their  
186 reference counterparts. All rectangles were at 1.6 degrees from the screen's vertical meridian.  
187 During adjustments, the rightward rectangles changed gradually in luminance, with a starting  
188 luminance chosen randomly at the beginning of each trial from between 0 and 128 cd/m<sup>2</sup>.



189 2.1.7 Tilt illusion (TT)

190 The reference and the adjustable stimuli were disks with a diameter of 4 degrees, each containing  
191 a 0.5 cycles/deg full contrast grating texture. The reference disk was tilted 33 degrees towards the  
192 clockwise direction from vertical and was embedded in a larger disk (13 degrees in diameter) with  
193 the same spatial frequency but tilted 36 degrees towards the counter-clockwise direction. The  
194 background luminance was 15 cd/m<sup>2</sup>. The adjustable disk appeared with a random orientation  
195 between 0 and 360 degrees.



196

197 Figure 1. The seven visual illusions used in Exp. 1. In the Ebbinghaus illusion (EB), participants adjusted  
198 the size of the right white disk to the size of the white disk on the left. In the Müller-Lyer illusion (ML),  
199 participants adjusted the length of the line on the right to the one on the left. In the Ponzo (PZ) illusion,  
200 participants adjusted the length of the upper horizontal yellow line to match that of the lower horizontal  
201 yellow line. In the simultaneous contrast illusion (SC), participants adjusted the luminance of the right  
202 center square to the left center square. In the Ponzo "hallway" illusion (PZh), participants adjusted the size  
203 of the lower-left gray disk to that of the upper-right gray disk. In the White's illusion (WH), participants  
204 adjusted the luminance of gray bars on the right to the luminance of the bars on the left. In the tilt illusion

205 (TT), participants adjusted the orientation of the right disk to that of the left disk embedded in the counter-  
206 clockwise tilted surround. For each illusion, participants performed two adjustment trials.

## 207 **2.2 Vividness of visual imagery questionnaire**

208 Prior to the illusion magnitude assessments, participants completed the vividness of visual  
209 imagery questionnaire (VVIQ; Marks, 1973). Participants were asked to generate mental images  
210 described in each of sixteen items, and then to estimate the vividness of these mental images by  
211 circling the corresponding number in a five-point scale (1 - no image at all, you only « know » you  
212 are thinking of an object; 2 - vague and dim; 3 - moderately clear and vivid; 4 - clear and  
213 reasonably vivid; 5 - perfectly clear and vivid as normal vision). The VVIQ was first completed  
214 with open- and then with closed-eyes when generating mental images. Scores from both eyes  
215 were summed to give a final VVIQ score.

## 216 **2.3 Results**

### 217 **2.3.1 Test-retest reliability**

218 We determined illusions magnitude with 2 trials for each observer. To determine test-retest  
219 reliability, we correlated the two trials. Test-retest reliability was highly significant for the control  
220 group for all the seven illusions (Table 2, second row). For the schizophrenia patients (Table 2,  
221 first row), correlations were significant for five out of seven illusions, but not for the Müller-Lyer  
222 and the White's illusion. After correction for multiple comparisons (Bonferroni,  $p = 0.0036$ ), all  
223 significant correlations except for the tilt illusion remained significant. Test-retest reliability of  
224 VVIQ is high (e.g., Burton and Fogarty, 2003).

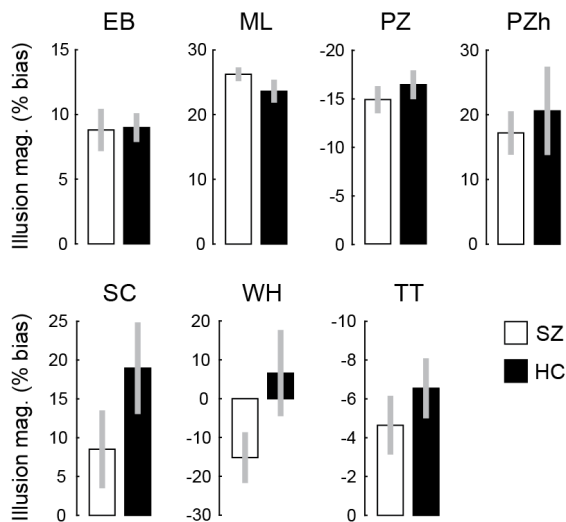
225 Table 2. Test-retest reliability expressed as Bravais-Pearson's *R* correlations between the first and the  
 226 second trial for seven visual illusions for schizophrenia patients (SZ, first row) and age-matched, healthy  
 227 controls (HS, second row).

	EB	ML	PZ	SC	PZh	WH	TT
SZ	.78 ***	.19	.85 ***	.87 ***	.89 ***	.44	.58 **
HC	.82 ***	.84 ***	.90 ***	.89 ***	.98 ***	.76 ***	.74 ***

\*\*  $p < 0.01$ ; \*\*\*  $p < 0.004$  (corrected)

228 2.3.2 *Illusion magnitudes*

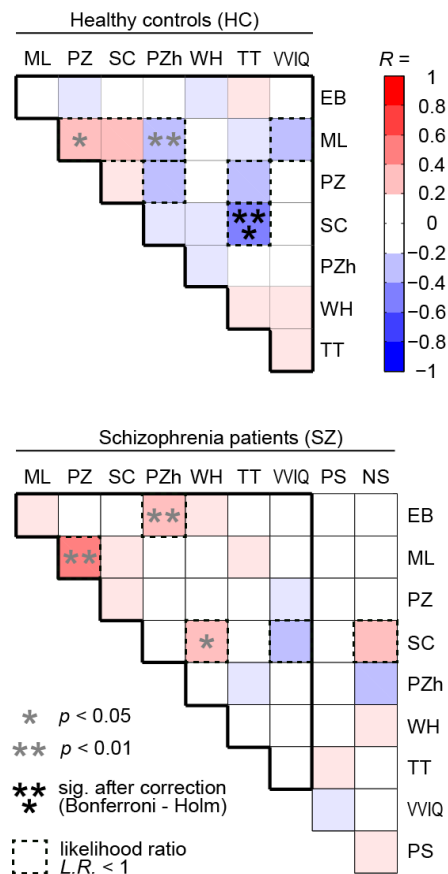
229 Next, we averaged the illusion magnitudes for the 2 trials (Figure 2). Independent samples *t*-tests  
 230 were performed to compare illusion magnitudes between controls and patients separately for each  
 231 illusion. None of the comparisons was significantly different. Corrections for multiple  
 232 comparisons were not applied.



233  
 234 Figure 2. Average illusion magnitudes for healthy controls (HC, white) and schizophrenia  
 235 patients (SZ, black). Illusion magnitudes were not significantly different between patients and  
 236 controls. We did not apply Bonferroni corrections for multiple comparisons. Hence, these null  
 237 results are not caused by the adjustment for multiple comparisons. Error bars denote  $\pm$ SEM. For  
 238 details see supplementary Table 1.

239 2.3.3 *Pairwise correlations*

240 Next, pairwise correlations for all pairs of illusions and imagery scores were calculated for both  
241 groups separately. Additionally, for the patients, SANS and SAPS scores were included into the  
242 analysis. For the group of healthy controls, only three correlations were statistically significant  
243 (Figure 3, upper panel; see supplementary table 2 for details): the Ponzo and Müller-Lyer, the  
244 Ponzo “hallway” and Müller-Lyer, and the tilt and simultaneous contrast. For the group of  
245 schizophrenia patients, the Ponzo and Müller-Lyer, the Ponzo “hallway” and Ebbinghaus, and  
246 the White’s and simultaneous contrast correlations were significant (Figure 3, lower panel; see  
247 supplementary table 3 for details). Because we had a large number of comparisons (28 for the  
248 controls and 45 for schizophrenia patients), we conducted a less conservative correction for  
249 multiple comparisons than the Bonferroni correction, i.e., the Holm-Bonferroni correction. After  
250 correction, only the correlation between the White’s and the simultaneous contrast illusion  
251 remained significant (Figure 3, three black stars; see supplementary table 8 for details relative to  
252 the Holm-Bonferroni correction). On average, correlations including visual illusions and the  
253 VVIQ score were slightly higher for the controls ( $R = 0.28 \pm 0.17$ ) than for the patients ( $R =$   
254  $0.20 \pm 0.19$ ), although that difference was not statistically significant (Table 1)



255

256 Figure 3. Correlograms for controls (HC, upper panel) and patients (SZ, lower panel). in Exp. 1 and  
 257 likelihood ratios for pairwise comparisons. Colors indicate Bravais-Pearson's  $R$  correlation coefficient. Bold  
 258 lines delineate comparisons between the variables tested in both groups, i.e., the seven illusions and the  
 259 vividness of visual mental imagery score (VVIQ). Generally, correlations were low and only a few were  
 260 significant. Correlations were weaker amongst schizophrenia patients. Illusion magnitudes of the Ponzio  
 261 and Müller-Lyer illusions were significantly correlated in both groups. In addition in the control group, the  
 262 Ponzio "hallway" and the Müller-Lyer illusion, and the tilt and the simultaneous contrast illusions were  
 263 significantly correlated. In the schizophrenia group, the Ponzio "hallway" and the Ebbinghaus illusions,  
 264 and the simultaneous contrast and White's illusions were significantly correlated. The VVIQ score did not  
 265 correlate significantly with any other variable in none of the two groups. Similarly, SAPS and SANS scores  
 266 did not correlate significantly with any other variable amongst schizophrenia patients. Only the  
 267 correlations between the tilt and the simultaneous contrast illusion remained significant after correction for  
 268 multiple comparisons (Bonferroni-Holm; shown as three black stars). For details, see supplementary Tables  
 269 2 and 3. A Bayesian analysis was used to evaluate the likelihood of existence or absence of relationship

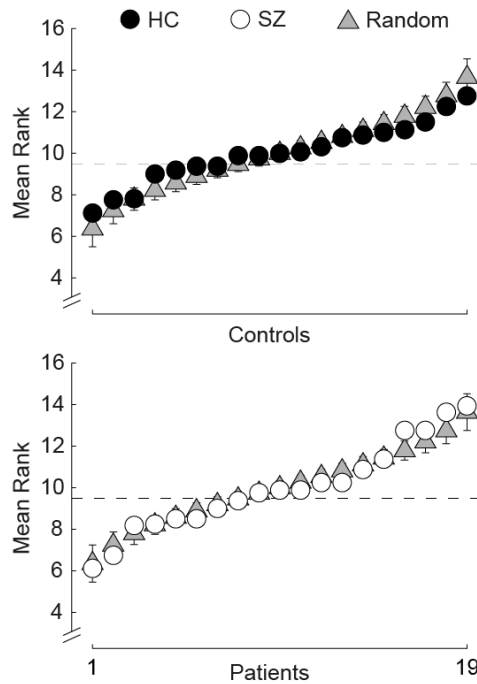
270 between pairwise comparisons. Bayesian likelihood ratios (L.R.) greater than one indicate support for the  
271 null hypothesis (supporting the absence of an effect) and values lower than one indicate support for the  
272 alternative hypothesis (supporting the existence of an effect; dashed line boxes).

#### 273 2.3.4 Bayes analysis

274 We adopted a Bayesian approach in order to make statements beyond the usual “*reject or fail to*  
275 *reject* the null hypothesis” outlined by Gallistel (2009) and implemented a method that was  
276 previously reported (Cappe et al., 2014). We measured for which comparisons the null hypothesis  
277 was more likely than the alternative hypothesis, given the data. The Bayesian analysis showed  
278 that the alternative hypothesis is more probable than the null hypothesis for all the pairwise  
279 comparisons that were shown to correlate significantly within the control group (Figure 3, dashed  
280 line; Ponzo - Müller-Lyer, Ponzo “hallway” - Müller-Lyer and tilt – simultaneous contrast) and  
281 amongst the schizophrenia patients (Ponzo - Müller-Lyer, Ponzo “hallway” - Ebbinghaus,  
282 Whites’s – simultaneous contrast) and for some other pairwise comparisons in each group  
283 (controls, simultaneous contrast - Müller-Lyer, Ponzo “hallway” - Ponzo, tilt - Ponzo, VVIQ-  
284 Müller-Lyer; patients, VVIQ - simultaneous contrast, SANS - simultaneous contrast).

#### 285 2.3.5 Rank analysis

286 One could expect that a participant highly susceptible to one illusion is also highly susceptible to  
287 other illusions. Similarly, if a given participant has a very vivid mental imagery, one could expect  
288 that the participant is strongly susceptible to all illusions. To the contrary, if there is no  
289 relationship between variables (here, illusion magnitudes and the VVIQ score), then participants’  
290 mean ranks are expected to be no different from chance. To test this hypothesis, we calculated  
291 each participant’s rank for each variable. Then, we computed their mean ranks and compared the  
292 ranks with the ranks that would be expected from participants with random ranks (with random  
293 ranks averaged over 10,000 simulations). Results showed that neither the ranks of schizophrenia  
294 patients ( $\chi^2_{(18)} = 0.36, p = 1$ ) nor of the controls ( $\chi^2_{(18)} = 0.48, p = 1$ ) were significantly different  
295 from chance (Figure 4).



296

297 Figure 4. Ranks for each participant averaged over seven illusion magnitudes and the VVIQ score for the  
 298 controls (upper panel, black disks) and the schizophrenia patients (lower panel, white disks) sorted by mean  
 299 rank. Random simulated ranks, sorted by mean rank ( $\pm 1$  SD over 10,000 simulations, gray triangles). For  
 300 both groups, i.e., patients and controls, mean ranks were not different from chance.

### 301 **3 Experiment 2**

302 Here, additionally to assessing the problem of the sample size in Exp. 1, we also asked whether  
 303 different components of the same visual illusion are related and to which extent different illusions  
 304 of the same kind are related. Thus, we measured the over- and the under-estimated components  
 305 of the Ebbinghaus illusion separately (Figure 5; EBs: Ebbinghaus “small” and EBb: Ebbinghaus  
 306 “big”, respectively) and simultaneously (Figure 5; Ebbinghaus) and the susceptibility to three  
 307 different variants of the Ponzo illusion (Ponzo, PZ; Ponzo “wide”, PZw; and Ponzo “grid”,  
 308 PZg; Figure 5). Finally, for the schizophrenia patients, we included the medication type and its  
 309 quantity to the analysis in order to test for their potential effects.

310            **3.1 Methods**

311    *3.1.1 Stimuli*

312    We determined illusion magnitudes for ten visual illusions (Figure 5). Six of them, namely the  
313    Ebbinghaus, the Müller-Lyer, the Ponzo, the White's, the simultaneous contrast and, the tilt  
314    illusions were the same as in Exp. 1. Stimuli layout and proportions were the same as in Exp. 1  
315    but scaled by a factor of  $\approx 1.5$  because they were presented on a larger screen. Additionally, we  
316    measured the Ebbinghaus illusion with small (EBs) and big (EBb) inducers separately, and two  
317    different variants of the Ponzo illusion (PZw and PZg).

318    *3.1.1.1 Ebbinghaus Illusions (EB, EBs and EBb)*

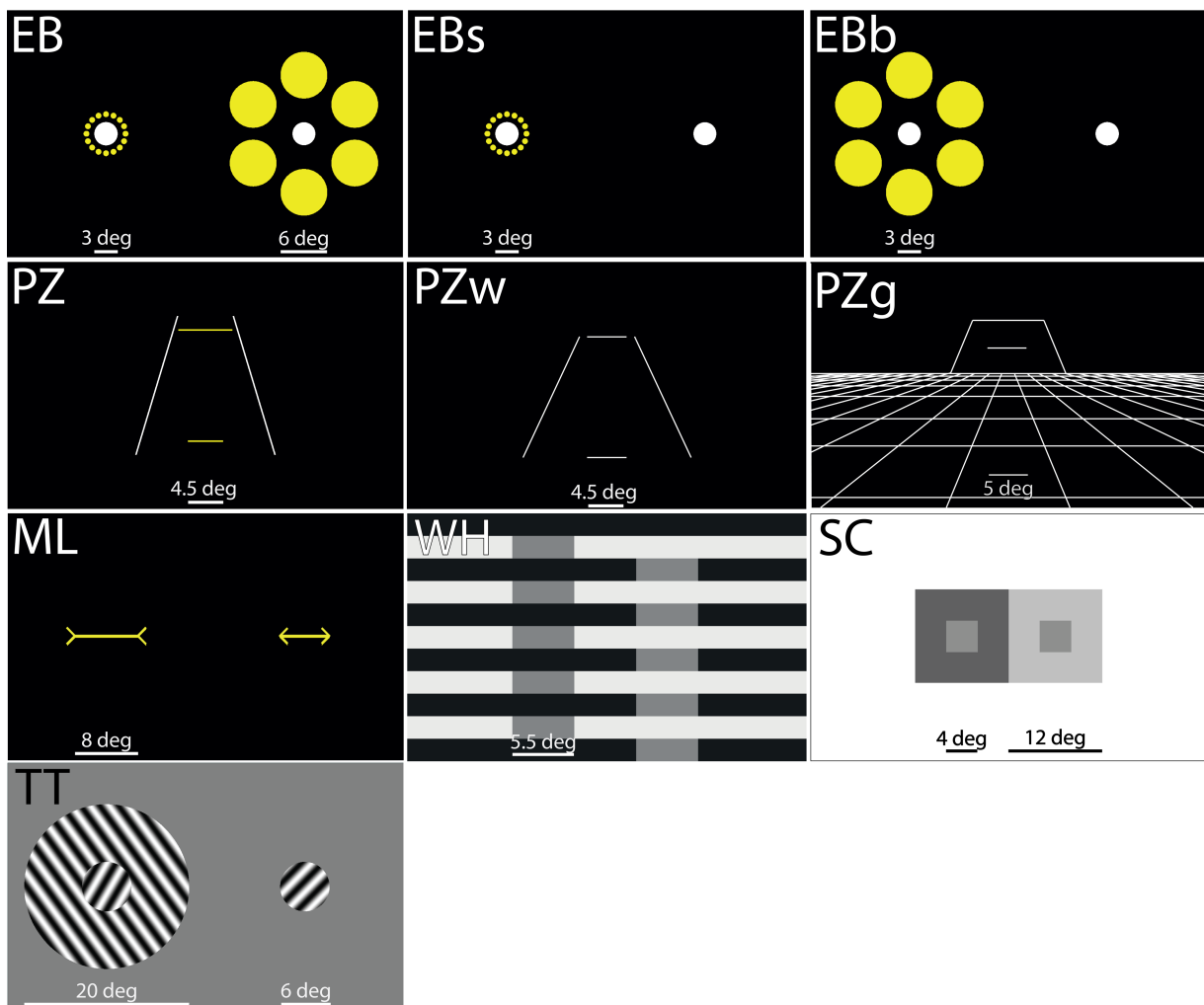
319    Illusion susceptibility to three variants of the Ebbinghaus illusion was tested. First variant (EB)  
320    was the same as in Exp. 1. The second variant of the illusion (EBs) did not contain large  
321    inducers, thus the small inducers were surrounding the reference disk and the rightward,  
322    adjustable disk was not surrounded by any inducers. In the third variant (EBb), large inducers  
323    were placed around the leftward reference disk while the adjustable disk was not surrounded by  
324    any inducers.

325    *3.1.1.2 Additional Ponzo illusions (PZw and PZg)*

326    In the Ponzo "wide" illusion (PZw), participants adjusted the upper horizontal line to match its  
327    length to the lower horizontal reference line. The reference was a 4.5 degrees long line. All lines  
328    were gray ( $\approx 30.6 \text{ cd/m}^2$ ). The initial length of the adjustable line was randomized from trial to  
329    trial and varied from 0 to 12 degrees. Both, the reference and the adjustable lines were centered  
330    on the vertical midline of the screen and were placed at 7.2 degrees from the screen's horizontal  
331    midline. The ends of the white diagonal lines (illusion inducers) were placed at 7.2 degrees from  
332    the screen's horizontal midline. The two upper and lower line ends of inducer lines were 6 and 18  
333    degrees apart, respectively. In the Ponzo "grid" illusion (PZg), the reference stimulus was 5  
334    degrees long, horizontal, lower line embed in a trapezoid which was embed in a grid aiming to



335 induce perspective (Figure 5). The adjustable line was the horizontal, upper line embed in  
 336 another trapezoid of the same size placed on the horizon line. Both trapezoids were isosceles  
 337 trapezoids whose big (lower) and small (upper) edges were 15 and 9.2 degrees long, respectively.  
 338 The starting length of the adjustable line was randomized at each trial within a range of 0 to 22  
 339 degrees. Both, the reference and the adjustable lines were centered on the screen's vertical midline  
 340 and were placed at horizontal distances from the screen's midline of 10 and 4.5 degrees,  
 341 respectively. All lines had approximately the same luminance of 30.6 cd/m<sup>2</sup>.



342

343 Figure 5. The susceptibility to ten visual illusions was tested in Exp. 2. We tested the susceptibility to the  
 344 Ebbinghaus illusion with small and big inducers at the same time (EB) and separately (EBs and EBb). The  
 345 susceptibility to three variants of the Ponzo illusions was measured: the same as in Exp. 1 (PZ), a wider  
 346 version aiming to maximize the illusion (PZw) and a version with an inducing perspective grid (PZg). The

347 Müller-Lyer (ML), White's (WH), simultaneous contrast (SC) and the tilt (TT) illusions were the same as  
 348 in Exp. 1. Likewise in Exp. 1, the task was to adjust the adjustable element of each illusion to its reference  
 349 by using the computer mouse.

350 *3.1.2 Medication*

351 The medication type (MED) and its quantity (CPZ) were included in the part of the analysis.  
 352 Schizophrenia patients were classified depending on the medication type they receive as no  
 353 medication (0) typical (1), atypical (2), mixture of both (3), containing benzodiazepines (4).

354 **3.2 Results**

355 *3.2.1 Test-retest reliability*

356 Similarly to Exp. 1, the test-retest reliability was measured for each illusion by calculating  
 357 Bravais-Pearson's correlations between both trials for each illusion. All correlations were highly  
 358 significant for both groups (Table 3). Except from the patient's White's illusion, all correlations  
 359 remained significant after Bonferroni correction ( $p = 0.0025$ ).

360 Table 3. Test-retest reliability in Exp. 2. Bravais-Pearson's  $R$  correlations coefficients between the first and  
 361 the second trial for ten visual illusions for schizophrenia patients (SZ, first row) and age-matched, healthy  
 362 controls (HC, second row). All correlations were highly significant suggesting high reliability.

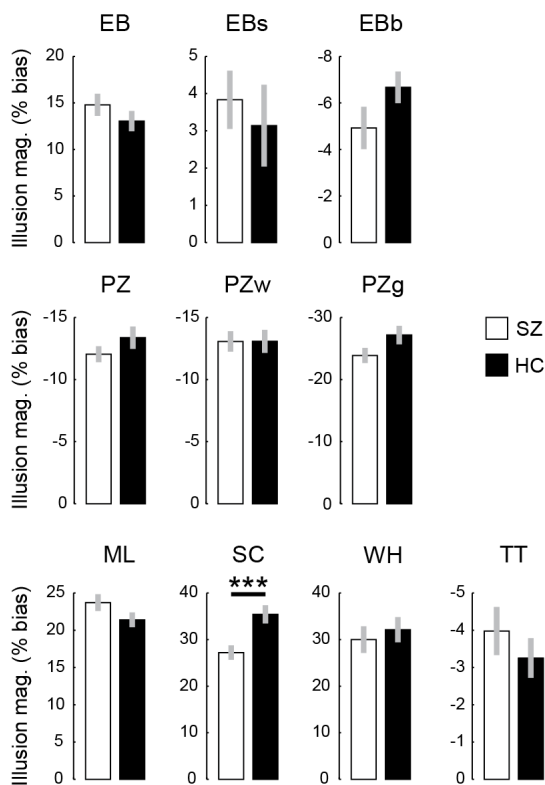
	EB	EBs	EBb	ML	PZ	PZw	PZg	SC	WH	TT
SZ	.55 ***	.49 ***	.58 ***	.60 ***	.61 ***	.59 ***	.86 ***	.41 ***	.35 **	.59 ***
HC	.56 ***	.72 ***	.48 ***	.45 ***	.76 ***	.61 ***	.83 ***	.85 ***	.52 ***	.48 ***

\*\*  $p < 0.01$ ; \*\*\*  $p < 0.0025$  (corrected)

363 *3.2.2 Illusion magnitudes*

364 Individual illusion magnitudes were calculated by averaging the adjusted bias (or error) from both  
 365 trials. We compared illusion magnitudes of patients and controls for each illusion by calculating  
 366 independent samples  $t$ -tests without the assumption of equal variances. Satterthwaite's  
 367 approximation for the effective degrees of freedom was calculated. Amongst ten comparisons,  
 18

368 only one comparison was significantly different (Figure 6; see supplementary Table 4 for details).  
 369 Schizophrenia patients were less susceptible to the simultaneous contrast illusion (SC) than  
 370 controls ( $t_{103} = 3.33, p = 0.0012$ ). According to Cohen (1988), that effect size is medium size ( $d =$   
 371  $0.46$ ). The effect remains significant after correcting for multiple comparisons ( $\alpha = 0.05/10 =$   
 372  $0.005$ ; Bonferroni correction).

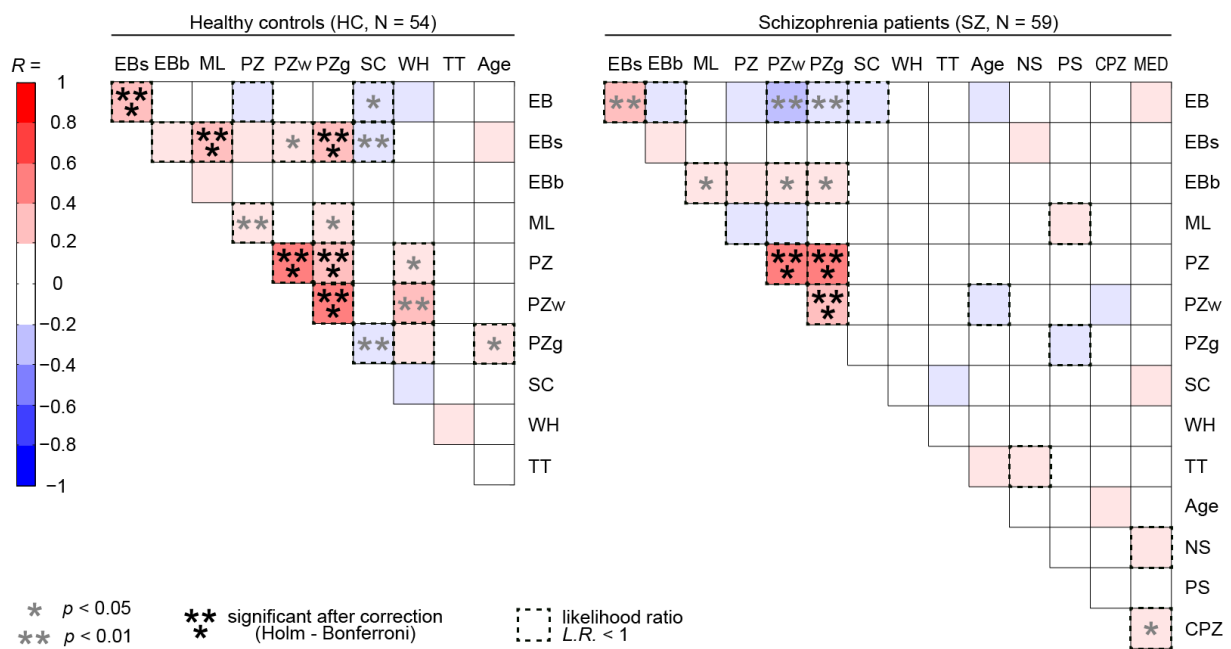


373  
 374 Figure 6. Exp 2: Mean illusion magnitudes as the percentage of bias (or error) for schizophrenia patients  
 375 (SZ, white) and healthy controls (HC, black). Note that scales for different illusions vary. The higher the  
 376 absolute value of the magnitude, the higher the illusion susceptibility. Significant difference between  
 377 patients and controls was found only for the simultaneous contrast illusion (SC). Patients were significantly  
 378 less susceptible to the illusion than the controls ( $p = 0.0012, d = 0.46$ ) even after Bonferroni correction for  
 379 multiple comparisons. For more details see supplementary Table 4. Error bars represent  $\pm$ SEM.

### 380 3.2.3 Pairwise correlations

381 For both groups, correlations were calculated in the same manner as in Exp. 1. For the controls,  
 382 correlations were calculated for the ten illusions and the age of the participants. For

383 schizophrenia patients, the ten illusions, age, SANS and SAPS scores, the medication type  
 384 (MED) and its overall quantity, expressed as chlorpromazine-equivalent dosage (CPZ) were  
 385 inter-correlated. Similarly to Exp.1, a large number of correlations was calculated (45 for the  
 386 controls and 105 for schizophrenia patients), thus, we conducted a less conservative, Holm-  
 387 Bonferroni correction for multiple comparisons instead of the Bonferroni correction. Expectedly,  
 388 the three variants of the Ponzo illusion (PZ, PZw and PZg) were strongly and positively  
 389 correlated for both groups (for details, see supplementary Tables 5 and 6). For the control group,  
 390 the Ebbinghaus with small inducers (EBs) was strongly correlated to the Ebbinghaus containing  
 391 both, the small and the big inducers (EB), the Müller-Lyer illusion (ML) and, the Ponzo illusion  
 392 with the perspective grid (PZg) but not to the Ebbinghaus with large inducers only (EBb). All  
 393 other comparisons were not significantly correlated after Holm-Bonferroni correction (see the  
 394 supplementary table 8 for details concerning the corrected p-values).



396 Figure 7. Correlograms for controls (left panel) and patients (right panel) in Exp. 2 and likelihood ratios for  
 397 pairwise comparisons. Colors indicate Bravais-Pearson's  $R$  correlation coefficients. Significant correlations  
 398 are marked by gray stars, those of them remaining significant after correction for multiple comparisons  
 399 (Holm-Bonferroni) are marked by black stars. Unsurprisingly, all three Ponzo illusions (PZ, PZw and PZg)

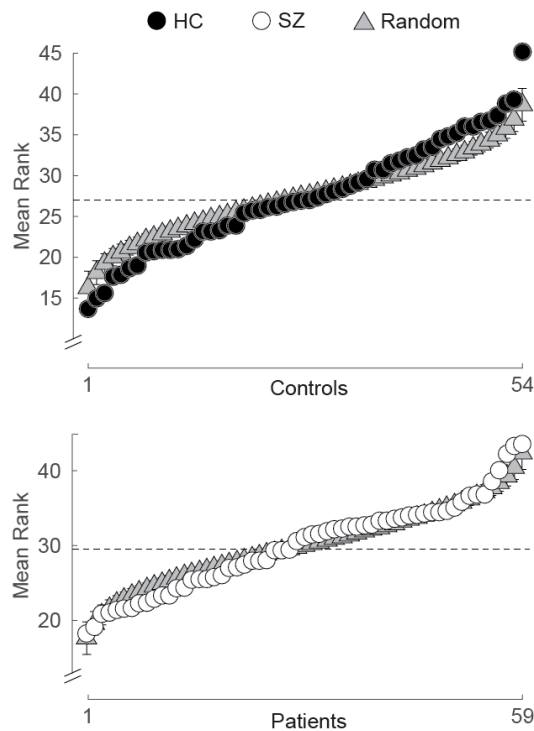
400 were strongly correlated in both groups. For the controls, the Ebbinghaus with small inducers (EBs) was  
401 strongly correlated to the Ebbinghaus with small and big inducers (EB), the Müller-Lyer illusion (ML),  
402 and, the Ponzo illusion with a perspective grid (PZg). All other correlations were not statistically significant  
403 after correction for multiple comparisons (Holm-Bonferroni). Amongst these significant correlations, only  
404 three were strong, namely the White's (WH) and Ponzo "wide" (PZw) correlation amongst the controls,  
405 and the Ebbinghaus (EB) and Ebbinghaus "small" (EBs), and the Ebbinghaus (EB) and the Ponzo "wide"  
406 (PZw) correlations amongst the patients.. Similarly to Exp. 1, negative (NS) and positive (PS) symptoms  
407 scores assessed with SANS and SAPS inventories, respectively, did not correlate significantly with any  
408 other variable. Interestingly, the type of medication (MED), or its overall amount (CPZ) were not related  
409 to any other variable. For all the details, see supplementary Tables 5 and 6. Bayesian likelihood ratios  
410 (L.R.) greater than one (dashed line boxes) indicate support for the null hypothesis (supporting the absence  
411 of an effect) and values lower than one indicate support for the alternative hypothesis (supporting the  
412 existence of an effect). For most of the comparisons, the L.R.s support the absence of effects.

#### 413 3.2.4 Bayesian analysis

414 We adopted the same Bayesian analysis on all pairwise comparisons as in Exp.1 (Cappe et al.,  
415 2014; Gallistel, 2009). For both patients and controls, we measured for which comparisons the  
416 null hypothesis was more likely than the alternative hypothesis, given the data. The alternative  
417 hypothesis, (suggesting existence of an effect) was more probable than the null hypothesis  
418 (suggesting the absence of an effect) for all significantly correlated pairs within both groups  
419 (Figure 7, dashed line boxes). Additionally, Bayes analysis suggested the existence of an effect for  
420 three other, non-correlated comparisons amongst controls and nine amongst patients.

#### 421 3.2.5 Rank analysis

422 As in Exp. 1, we calculated mean ranks for controls and patients in order to verify if some  
423 participants are generally more or less susceptible to visual illusions. Results showed that neither  
424 the ranks of schizophrenia patients ( $\chi^2_{(18)} = 0.36, p = 1$ ) nor of the controls ( $\chi^2_{(18)} = 0.48, p = 1$ )  
425 were significantly different from simulated, random ranks averaged over 10,000 simulations  
426 (Figure 8).



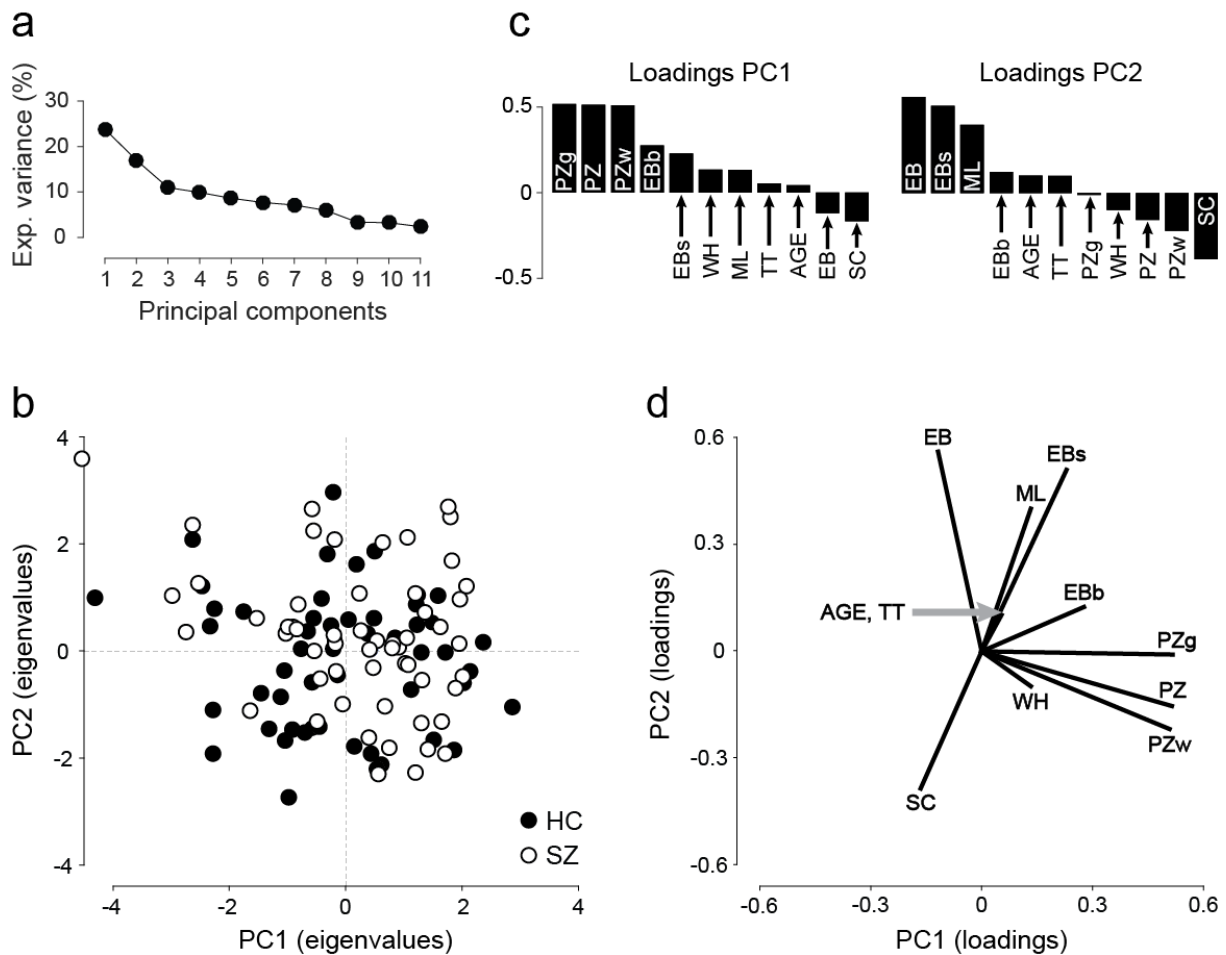
427

428 Figure 8. Mean ranks for each control participant (upper panel, black disks) and patient (lower panel, white  
 429 disks) averaged over ten illusion magnitudes and sorted by mean rank. Random simulated ranks, sorted by  
 430 mean rank ( $\pm 1$  SD over 10,000 simulations, gray triangles). Mean ranks for patients and controls were not  
 431 different from chance.

### 432 3.2.6 Principal component analysis (PCA)

433 In order to reduce the dimensionality of our data and to identify potential hidden factors, we  
 434 conducted a principal component analysis (PCA). PCA included both patients and controls and  
 435 was conducted on eleven variables, i.e., the ten illusions magnitudes and age. Two principal  
 436 components (PC1 and PC2) were identified by the means of the scree plot inspection (Figure 9a).  
 437 The PC1, explaining 23.8% of the variability in the data was mainly composed by the three  
 438 Ponzo illusions (PZg, PZ and PZw) with respective loadings of 0.52, 0.51 and 0.51 (Figure 9c,  
 439 left panel; Figure 9d). The PC2, explained 17% of the variance and was dominated by loadings of  
 440 EB, EBs and ML illusion, with loadings of 0.56, 0.51 and 0.40, respectively (Figure 9c, right  
 441 panel; Figure 9d). For more details see supplementary Table 7. Importantly, patients did not  
 442 differ from controls in their eigenvalues for PC1 and PC2 (Figure 9b), suggesting that the

443 cumulated explained variance (PC1 + PC2 = 40.8%) was unrelated to the belonging of the  
 444 participants to the patient or control group. Age did not load importantly on any of the two  
 445 principal components.



446

447 Figure 9. A principal component analysis (PCA) was performed on the data of patients (SZ) and controls  
 448 (HC). The ten illusion magnitudes and the age of each observer were included in the PCA. (a) Two  
 449 principal components were identified (PC1 and PC2) on the basis of scree plot inspection, accounting for  
 450 23.8% and 17% of the variability of the data. (b) Eigenvalue score plot for all the observers for PC1 and  
 451 PC2. Neither PC1 nor PC2 was able to separate patients (white disks) from the controls (black disks),  
 452 suggesting that most of the variability in the data (cumulated explained variance for PC1 and PC2, i.e.,  
 453 40.8%) was unrelated to the disease. These results suggest that other factors than schizophrenia account for  
 454 that data variability. (c) Expectedly, component coefficients (or loadings) for PC1 were mainly composed  
 455 by the three Ponzio illusions (PZg, PZ and PZw). The PC2, was mainly composed by the Ebbinghaus with

456 small inducers (EBs), Ebbinghaus with both, small and big inducers (EB), and the Müller-Lyer illusion  
457 (ML). (d) Loading plot for the two principal components. Surprisingly, the Ebbinghaus illusion with big  
458 inducers (EBb) was more related to the Ponzo illusions rather than other two Ebbinghaus illusions (EB and  
459 EBs) whereas the Müller-Lyer illusion was related to the Ebbinghaus with small (EBs) and with both (EB)  
460 inducers but not to the Ponzo illusions. For more details see supplementary Table 7.

## 461 **4 Discussion**

462 Since the early days of schizophrenia research, it has been reported that patients perceive the  
463 world in a different phenomenological way than healthy controls (Bleuler, 1950; Butler et al.,  
464 2008; Sergi et al., 2006). Here, we tested whether patients perceive illusions differently than  
465 controls.

466 *Illusion magnitude and the quest for a common factor.* We tested 19 and 59 patients and 19 and 54  
467 controls in Exp. 1 and 2, respectively. First, we found that illusion magnitudes were roughly the  
468 same in patients and controls. Second, we found very few significant correlations between the  
469 illusions in both groups and experiments. In the first experiment, we found only one significant  
470 correlation between the tilt and the simultaneous contrast illusion after we corrected for multiple  
471 comparisons (Holm-Bonferroni). In the second experiment with a higher power, the three Ponzo  
472 illusions correlated significantly for both the patients and the controls. In addition, the  
473 Ebbinghaus illusion with small inducers (EBs) was correlated to the Ponzo “grid” (PZg), to the  
474 Müller-Lyer, and unsurprisingly to the Ebbinghaus illusion with both, the big and small inducers  
475 (EB). However, other spatial illusions, such as the Ponzo and the Ebbinghaus with small and big  
476 inducers (EB) illusion did not significantly correlate with each other, in line with previous  
477 findings (Schwarzkopf et al., 2011). In general, except for these significant correlations, only 14  
478 out of the remaining 81 correlations were significant without correction for multiple comparisons  
479 (6 for patients, 8 for controls; none of the significant correlations were the same for patients and  
480 controls; Figure 7). Thus, correlations between different visual illusions are sparse and this is even



481 more true for schizophrenia patients, which is in line with previous results (Tibber et al., 2013;  
482 Yang et al., 2013). For instance, Tibber and colleagues (2013) found only 1 significant correlation  
483 out of 8 comparisons. Yang et al., (2013) did not find any significant inter-illusion correlations for  
484 four measures. In summary, illusion magnitudes do not strongly differ between patients and  
485 controls. In addition, there are not more correlations in the patients than in the controls. Hence,  
486 the disease does not seem to induce a common factor for illusion perception. The perception of  
487 illusions seems to be roughly intact in the patients.

488 Numerous theories have proposed that the perception of illusions should be different in patients  
489 and controls. For example, it was proposed that schizophrenia patients have different visual  
490 priors than controls, making their vision more veridical and leading to a decrease in illusion  
491 magnitude (Fletcher and Frith, 2009). Similarly, it has also been argued that patients have a  
492 “failure to attenuate sensory precision”, which means they cannot call upon their prior  
493 experiences to interpret the current stimuli (Frith and Friston, 2013). Other theories have  
494 suggested a deficit in contextual modulation and surround inhibition in patients, which might be  
495 the consequence of a weaker interaction between adjacent neurons and, therefore, a weaker gain  
496 control in schizophrenia (e.g., Butler et al., 2008; Phillips and Silverstein, 2013; Tadin et al.,  
497 2006; Tibber et al., 2013; Yang et al., 2013). Potential mechanisms for these deficits might  
498 include reduced modulation of cortical responses in the primary visual cortex (Seymour et al.,  
499 2013) or a reduction in the population of receptive fields in the early visual cortex (Anderson et  
500 al., 2017). As a consequence, patients tend to be less affected by helpful or deleterious contexts  
501 (Dakin et al., 2005; Robol et al., 2013) and for this reason, illusion magnitudes might be smaller.  
502 Our results do not support these claims, since we found the perception of illusions is largely intact  
503 in the patients, both in terms of illusions magnitudes and their correlation structure.

504 *Mental imagery, positive symptoms, and illusions strength.* In addition, we found no correlations  
505 between illusion magnitudes and positive or negative symptoms, as determined by the SAPS and  
506 SANS, respectively, despite a wide range of symptoms in our patients (Table 1). We also found

507 only weak correlations between mental imagery and illusion magnitudes. VVIQ scores were  
508 actually higher in controls than patients but the effect was not significant (Table 1). The VVIQ  
509 scores of the control group in this study were slightly higher than the scores of the healthy  
510 participants in a previous study (Grzechkowski et al., 2017;  $118 \pm 25$  vs.  $113 \pm 28$ ). Taken together,  
511 it seems that illusion magnitudes and vividness of mental imagery are comparable to the results of  
512 healthy controls.

513 *Test-retest reliability and statistical power.* Our null results cannot be explained by poor test-retest  
514 reliability or low statistical power. First, our test-retest correlations were significant for most of  
515 the illusions in both experiments (Tables 2 and 3). For the Ponzo illusion in Exp. 1 for example,  
516 our test-retest reliability was  $R = 0.89$  for the patients and  $R = 0.98$  for the controls. In Exp. 2, all  
517 ten illusions showed significant test-retest correlations for both groups. Moreover, we found  
518 significant correlations between illusions that were expected to correlate, such as the Ponzo  
519 illusions in Exp 2 (Figure 7). Therefore, our method seems to be sensitive to observe differences  
520 when differences exist.

521 Second, with 59 patients, we had 99%, 65%, and 12% power to detect large ( $R = 0.5$ ), medium ( $R$   
522  $= 0.3$ ), and small ( $R = 0.1$ ) effect sizes, respectively (Cohen,1988). Third, our null results are  
523 supported by a Bayes analysis showing that the acceptance of the null hypothesis is more likely  
524 than its rejection for most pairwise comparisons (Figure 3 and Figure 7, boxes with dashed line).  
525 A rank analysis further confirmed that there are no participants who are *more* or *less* susceptible to  
526 visual illusions in general (Figure 4 and Figure 8).

527 We like to mention that even higher test-retests might potentially be achieved by using 2 AFC  
528 tasks and more trials. For example, test-retest reliability of our healthy controls was smaller than  
529 the one of healthy controls in a previous study by Schwarzkopf et al., (2011), which used a binary  
530 procedure and had more trials per illusion. Nevertheless, Ponzo and Ebbinghaus illusions did not

531 correlate in that study either. Here, we refrained from using a binary method to reduce  
532 attentional demands and to keep the experiment short.

533 *Why do results differ in the literature?* As mentioned, various studies have found increased or  
534 decreased illusion magnitudes in the patients as compared to controls, while other studies have  
535 found non-significant results (for a review, see King et al., 2016, Notredame et al., 2014). We  
536 found a higher variance in the performance of the patients compared to the one of controls. This  
537 may be one reason why previous results are mixed (for a review, see King et al., 2016;  
538 Notredame et al., 2014). Another reason for mixed results may be the response measure used. In  
539 our study, we used a mouse adjustment procedure, which allows participants to demonstrate  
540 quickly and directly how they perceive the illusion. In most other studies, staircase procedures  
541 were employed. Potentially, this procedure requires attentional and decisional resources that  
542 might be deficient in the patients (King et al., 2016; Chkonia et al., 2010). Finally, as mentioned  
543 above, samples are small in most studies and samples in schizophrenia research are usually  
544 heterogeneous because of the heterogeneity of the disease, differences in medication and  
545 hospitalization, and genetic differences of the different populations.

546 *Limitations.* We used an adjustment method that allowed us to rapidly and directly probe the  
547 susceptibility to illusions within a few trials. We used two trials per illusion and for most of the  
548 illusions, the correlations between these two trials were strong and significant (Table 2 and Table  
549 3). It remains an open question whether better estimates of illusions strength could be achieved by  
550 the method of constant stimuli, which may increase both inter-illusion correlations and test-retest  
551 reliability. In addition, it may be worth to increase the number of adjustments per illusion to  
552 obtain better estimates. We measured the susceptibility to only seven but frequently used  
553 illusions. It remains an open question whether also for other illusions low correlations are found.

554 *Conclusions.* Illusion magnitudes of patients were similar to the ones of controls. In addition, we  
555 found only weak correlations between illusions magnitudes in both patients and controls. We

556 think that it is important to publish such null results and not only significant results, as it is  
557 common practice (Francis, 2012a, 2012b; Francis et al., 2014). Otherwise, the impression may  
558 occur that patients are deteriorated in most paradigms, which is not the case. We have previously  
559 reported that contextual modulation (Roinishvili et al., 2015) and complex motion perception  
560 (Lauffs et al., 2016) are intact in schizophrenia patients, and here we report that patients perceive  
561 visual illusions in a similar way to controls.

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