

# AUDIO FEATURE EXTRACTION WITH CONVOLUTIONAL AUTOENCODERS WITH APPLICATION TO VOICE CONVERSION

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## ABSTRACT

Feature extraction is a key step in many machine learning and signal processing applications. For speech signals in particular, it is important to derive features that contain both the vocal characteristics of the speaker and the content of the speech. In this paper, we introduce a convolutional auto-encoder (CAE) to extract features from speech represented via proposed short-time discrete cosine transform (STDCT). We then introduce a deep neural mapping at the encoding bottleneck to enable converting a source speaker’s speech to a target speaker’s speech while preserving the source-speech content. We further compare this approach to clustering-based and linear mappings.

**Index Terms**— Feature Extraction, Voice Conversion, Short-Time Discrete Cosine Transformation, Convolutional Autoencoder, Deep Neural Networks, Audio Processing.

## 1. INTRODUCTION

The characteristics of an individual’s voice are in many ways imbued with the *character* of the individual. In animated entertainment making use of human voice actors, much thought is given to the vocal performance for each character, which can become iconic, to ensure that the personality implied by the voice matches what is intended by the character’s creators. The immediately recognizable falsetto of Mickey Mouse, for one example, was originally voiced by Walt Disney himself.

*Voice conversion* is the problem of making a source voice sound like a target voice. It is a challenging problem for humans—very few excel at convincingly imitating the voices of others—and a still-unsolved problem in signal processing and machine learning. The challenge comes from the utter complexity of human speech, the best theoretical models for which are still gross simplifications.

Given the complexity of the speech signal, we must extract features that preserve the characteristics of the speaker and the speech signal while also significantly reducing the dimension of the problem. A recent and thorough overview of how voice conversion methods are traditionally approached is provided in [1].

Our approach in this work focuses on data from a parallel audio corpus—matching sentences recorded from both source and target speakers.<sup>1</sup> Our aim is to extract useful features first and then find a mapping of the source-signal features to those of the target.

Feature-extraction methods for voice fall into two categories: The first assumes that an excitation is passed through the vocal tract,

<sup>1</sup>This work was performed while the first author was at Disney Research.

<sup>1</sup>This need not be a major limitation. Furthermore, voice conversion can effectively become voice synthesis if a synthetic test-to-speech (TTS) system is used as the source voice, in which case generating parallel data is easy.

Param.	Value	Module	Unit	Set
sample-rate	22050	Sampling	–	–
win-size	45 ms	Win/Zdel	(I)STDCT	–
shift-size	12.5 ms	Win/OandA	(I)STDCT	–
n-dct	1024	Zpad/IDCT	(I)STDCT	–
n-coeff	256	DCT/IDCT	(I)STDCT	–
n-frames	8	Concat	STDCT	–
n-offset	1	Concat	STDCT	trn
batch-size	128	–	CAE	trn/val
learning-rate	2e4	–	CAE	trn/val

**Table 1:** Hyperparameters related to the FE network. Column “Set” shows if the parameter is for training (trn) or validation (val).

which is represented by a filter, and tries to extract speech features by estimating this filter [2–6]. The second drops the filter assumption and tries to extract features directly from the speech signal [7–9]. The usual starting place in both approaches is the short-time Fourier transform (STFT) of the speech signal to define the features. However, as we will discuss shortly, using the STFT introduces constraints to the system when, as is often the case, only the magnitude of the spectral data is used. In this work, we instead employ the proposed short-time discrete cosine transform (STDCT) of the speech signal to extract initial features.

We then introduce a deep convolutional auto-encoder (CAE) to learn nonlinear transformations of these initial features, leading to both highly compressed and high-quality representations of the speech signal. We then compare the performance of three methods for mapping source representations to target representations for voice conversion—a linear transformation, clustering-based codebook method, and a deep neural network linking the source and target latent spaces.

The remainder of the paper walks through the full pipeline of our method along with its complete implementation details. We then discuss and compare the proposed source-to-target voice mapping methods and present our results on a test dataset.

## 2. COMPRESSED FEATURE EXTRACTION

It is common in signal processing problems to reduce the complexity by extracting useful features of a signal while trying to preserve its main characteristics. To this end, signals are presented in a feature space based on their properties and the constraints of the problem at hand. For instance, wavelets are widely used for images [10]. Audio signals, on the other hand, are often transformed into a spectrogram using the short-time Fourier transform (STFT) [11–13]. In this section we take a different approach and combine several well-known

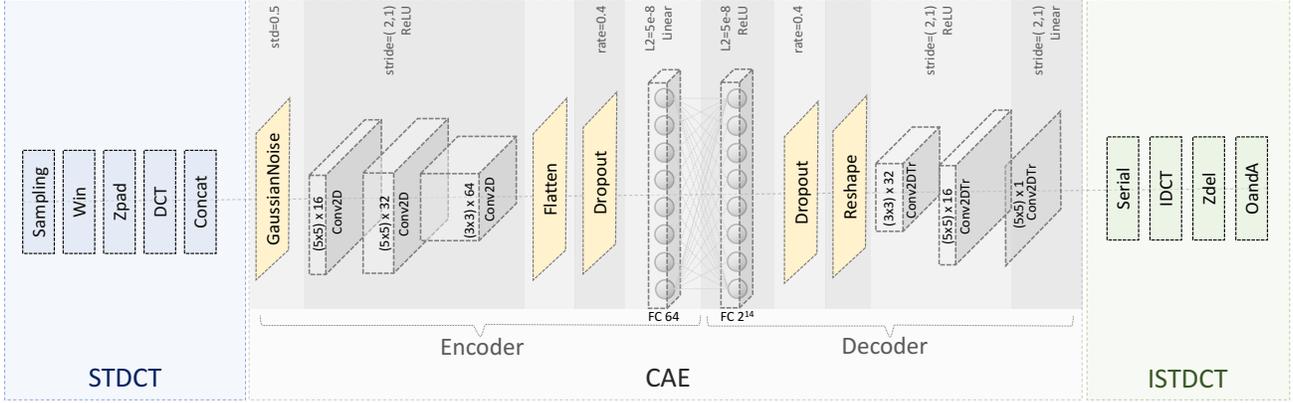


Fig. 1: Feature Extraction Network.

and powerful techniques to propose a new nonlinear feature space for audio signals that allows for high compression ratios on the input audio signal and also preserves the main characteristics of the speech.

Figure 1 shows the overall structure of our proposed feature-extraction framework. The speech signal passes through a pre-processing unit—labeled as STDCT here—which creates spectral samples from the audio. These samples are then fed into a deep convolutional auto-encoder (CAE) unit. The encoder transforms spectral samples into a compressed feature vector while the decoder synthesizes the spectral samples back from the encoded, compressed features. Finally, the ISTDCT unit reverts the reconstructed spectral samples into an audio signal. Details about each component of the proposed feature extraction framework are described in the remainder of this section.

### 2.1. Short Time Discrete Cosine Transform Unit

Many conventional techniques for extracting features of audio signals utilize the STFT [1]. However, using the STFT has one major difficulty, namely that the STFT results in a complex signal that is not straightforward to work with in a neural model. Audio classification models usually only use the *magnitude* of the STFT, which discards phase information. While it is possible to produce a good reconstruction of an audio signal from spectral magnitude data alone, usually using some form of the Griffin-Lim algorithm [14], we explicitly avoid this approach.

Instead, we propose to use the short-time discrete cosine transform (STDCT) to produce the spectral samples. This is similar to the modified discrete cosine transform (MDCT), widely used in audio compression techniques such as MP3 and AAC. STDCT is a time-frequency transformation formed by applying the discrete cosine transform (DCT) [15] over windowed blocks of the audio signal. DCT has many interesting properties, but two of them are particularly interesting for our setting: DCT coefficients of real signals are real and DCT is a sparse representation.

The STDCT unit is shown in the left side of Figure 1. The hyper-parameters corresponding to each module and their assigned values are shown in Table 1. We first sample the input audio signal at a rate of 22050 samples per second. Then we apply a Hamming window with a length of 45 ms and shift size of 12.5 ms. We zero pad each window to the length of  $n\text{-dct}$  (1024 here) and take a DCT with the same size. Since DCT is a sparse representation, we only keep the first  $n\text{-coeff}$  coefficients (256 here). This operation offers us four-

times compression. In the Concat module, every  $n\text{-frames}$  of DCT vectors are concatenated to form a 2D input sample for the CAE network with dimensions  $n\text{-coeff} \times n\text{-frames}$  ( $256 \times 8$  here). For training, an offset value of  $n\text{-offset}$  frames is considered between samples. In validation and testing we assume no overlap.

### 2.2. Convolutional Autoencoder

We use a deep convolutional autoencoder (CAE) to learn audio features from the input spectral samples. Deep convolutional autoencoders are widely used for feature extraction in images [16] but not as often in audio processing applications. In the encoder part of the network, we use three convolutional layers to extract hierarchical features of the input spectra. The purpose of convolutional layers is to find generalizable features from the input in the form of recurring patterns. The convolutional layers are then followed by a flattening and a fully connected layer. This layer is in charge of reducing the dimensionality of the input features. The decoder side of the CAE reverses this procedure and synthesizes the spectrum from the compressed features. In the proposed setup, the encoder receives STDCT samples of size  $256 \times 8$  as input and produces feature vectors of length 64 as output. Recall that we start with STDCT samples of size  $1024 \times 8$  and produce feature vectors of size 64. This results in a compression factor of 128 for the proposed feature extraction framework.

We were able to train the network successfully using only 2.5 hours of speech signal as our training set and about 10 minutes of speech signal as our validation set. We use the validation dataset to find the hyper-parameters of the network which are listed in Figure 1. In order to better generalize the network’s performance, we utilized data augmentation [17] by using a shift size of one for building training samples from the STDCT spectrum and perturbing input samples by Gaussian white noise [18]. We also added random dropout layers and  $L_2$  regularization.

### 2.3. Inverse Short-Time Discrete Cosine Transform Unit

In order to reconstruct the speech signal from the estimated spectral samples (the output of the decoder), we use the ISTDCT unit. Note that this unit is only used in the testing phase. Thus, the spectral samples delivered to this unit do not have any overlaps. We start by serializing the input 2D samples into the STDCT spectrum matrix. We then apply an inverse DCT on the reconstructed spectrum. This results into vectors with  $n\text{-dct}$  temporal samples. Using the Zdel

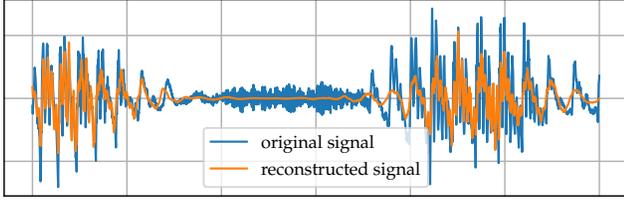


Fig. 2: An example of the original signal versus the output of CAE.

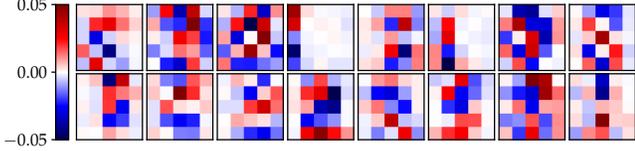


Fig. 3: Filter coefficients in the first conv layer in the proposed CAE.

module, we keep only the first  $\lfloor \text{sample-rate} \times \text{win-size} \times 0.001 \rfloor$  of time samples. Finally in the OandA module, we apply “overlap and add” [19] on the frames to construct the output sequence in the time domain.

#### 2.4. CAE Feature Extraction Results

In order to test our proposed convolutional autoencoder, we applied the above system on the public-domain audio-book *Alice’s Adventures in Wonderland*.<sup>2</sup> We chose the first 2.5 hours of the audio as training set, the next 10 minutes as validation and the remaining five minutes as test data.

In Figure 2, we show part of the original signal in blue compared to the reconstructed signal in orange. We can see that the autoencoder successfully ignores the part of the signal with no speech while reconstructing the rest with high fidelity. Figure 3 shows the weights of the filters in the first convolutional layer after training. It is apparent from the figure that the network captures both low frequency as well as high frequency information from the input spectral samples.

### 3. VOICE CONVERSION

In Section 2, we proposed a new method to compress speech characteristics into a low-dimensional feature space. These features are not only highly compressed but are also representative of speaker vocal characteristics and speech content. The natural question is now whether it is possible to map the speech of a source speaker onto a target speaker in this low-dimensional space. This is the essence of *voice conversion*.

We propose a voice mapper as a solution to the voice conversion problem in the compressed feature space. We separate the training and testing phases in Figure 4 and Figure 5, respectively. During training of the voice mapper, we need to produce matching features of source and target speech. This procedure is done in the *Aligned Sample Generation (ASG)* unit, shown in Figure 4. In the following section, we describe details of this procedure before moving to the voice mapper unit.

#### 3.1. Aligned Sample Generation Unit

The purpose of the ASG unit is to produce samples that correspond to the same part of the speech from source and target speakers.

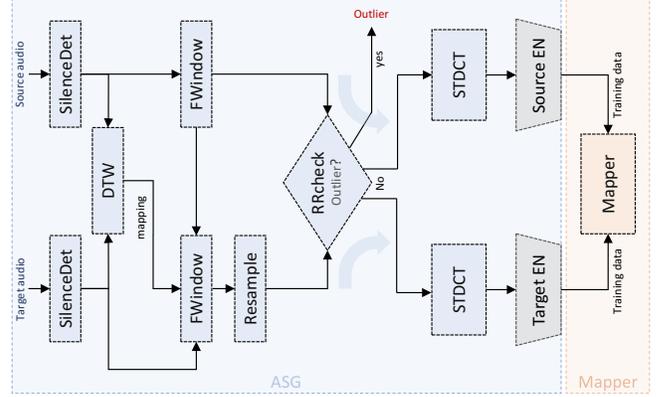


Fig. 4: Voice conversion system in training mode.

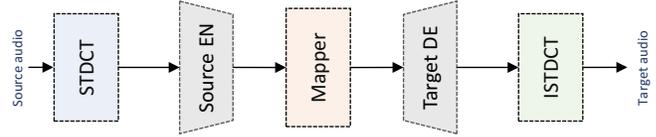


Fig. 5: Voice conversion system in test mode.

We assume to have recordings of source and target speakers for the same speech content. We feed these two speech signals to the ASG unit. We start by detecting silent regions of the two audio signals and remove the ones that are longer than a predefined parameter, *slnt* (here 3 ms). Then we apply dynamic time warping (DTW) [20] on the audio signals with a frame size of *dtw-win* (here 3 ms) and a shift size of *dtw-shift* (here 1.5 ms) to find the mapping between target and source audio frames. We use a relaxed implementation of DTW, called FastDTW [21], which searches for the correspondences between source and target frames only within a specific radius, *dtw-radius* (here 100). The output of this module is the unique correspondence between target and source frames of size *dtw-win*.

For each  $256 \times 8$  spectral sample of the source, we note the start and end of the temporal signal producing the sample and find the corresponding samples in the target data using the unique DTW map constructed above. The corresponding target audio contains the same content that produced the  $256 \times 8$  STDCT source sample. We now resample the selected target audio to the following number of samples,

$$N = \lfloor ((n\text{-frames} - 1) \times \text{shift-size} + \text{win-size}) \times \text{sample-rate} \times 10^{-3} \rfloor.$$

$N$  is the number of temporal samples required for producing eight overlapping frames that form an STDCT sample for target. We record the resampling ratio for producing each pair of source and

Repetition	Layer	Neurons	Description
1 ×	Input	64	–
	GaussianNoise	–	std = 0.1
	BatchNormalization	–	–
	Dropout	–	rate = 0.2
3 ×	Dense	512	–
	BatchNormalization	–	–
	ReLU	–	–
	Dropout	–	rate = 0.2
1 ×	Dense	64	Activation = Linear

Table 2: Structure of our proposed deep neural mapper.

<sup>2</sup><https://youtu.be/jJHBtOu5aX0>

target spectral samples. The average resample ratio  $r_{\text{avg}}$  shows how much faster (or slower) the source speaker speaks compared to the target speaker on average. The standard deviation of resample ratios is also saved as  $r_{\text{std}}$ . In the RRcheck module, we keep the STDCT pair  $i$  only if the resample ratio  $r_i$  satisfies  $r_i \in [r_{\text{avg}} - 2r_{\text{std}}, r_{\text{avg}} + 2r_{\text{std}}]$ . The rest of the samples are considered to be outliers.

Now that the source and target spectral samples are ready, source samples are passed through the source encoder and the target samples are passed through target encoder, which are then trained according to Section 2.1. This results in the paired feature samples for the source and target speakers.

### 3.2. Voice Mapper

The Mapper unit is in charge of finding the mapping between the paired feature vectors of source and target speakers. There are several methods for modeling the mapping. Here we mention a few.

#### 3.2.1. Linear Mapper

We can make the strong assumption that the mapping between the source and target features is a linear transformation. That is, we are looking for a transformation matrix  $\mathbf{A}$  such that  $\mathbf{SA} = \mathbf{T}$ , where  $\mathbf{A}$  is a  $64 \times 64$  matrix that maps the source features  $\mathbf{S}$  into target features  $\mathbf{T}$ , with  $\mathbf{S}, \mathbf{T} \in \mathbb{R}^{M \times 64}$  and  $M$  the number of training sample pairs. The least-squares solution for such a transformation is  $\hat{\mathbf{A}} = (\mathbf{S}^T \mathbf{S})^{-1} \mathbf{S}^T \mathbf{T}$ . We can also assume an *affine* transformation between spaces, the solution for which follows the same form as above if the source matrix  $\mathbf{S}$  is augmented with a column of ones (in which case  $\hat{\mathbf{A}}$  would be a  $65 \times 64$  matrix).

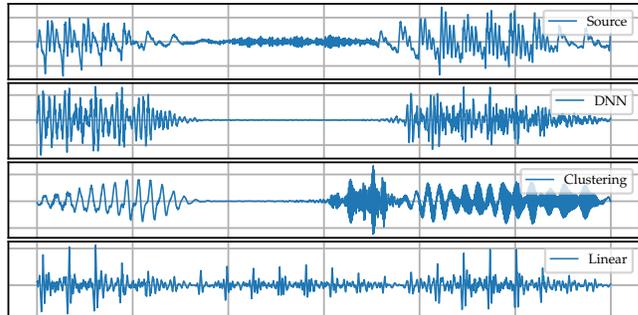
#### 3.2.2. Clustering Mapper

Another approach to mapping the source audio features into target features is to construct a codebook dictionary with unique mappings between source code-words and target code-words. To this end, some of the feature vectors from the training set that are the most representative of each set would be selected to be the code-words and the corresponding link between source and target codes needs to be derived. In our application, each 64-dimensional source feature vector and its paired 64-dimensional target feature vector are concatenated to form a vector of length 128. We then use an off-the-shelf k-means algorithm to cluster these 128-dimensional vectors. The number of clusters in our case is a hyper-parameter, which we set to 2000. The source code-words are defined as the first 64 elements of the resulting cluster representatives and the corresponding target code-words are the remaining 64 elements of the cluster representatives.

In testing mode, for a given source feature vector, we find the cluster with minimum  $L_2$  distance to the source feature. We then use the constructed look-up table to identify the corresponding target feature vector.

#### 3.2.3. Deep Neural Mapper

The mapping between feature vectors of two independent speakers is more likely to be a complex transformation that cannot be fully represented using a linear mapping. To estimate complex behaviors and conveniently model the nonlinearity of the mapping, deep neural networks (DNN) are a promising method. We utilize a deep neural network to regress the target features on the source features. The structure of our proposed DNN is detailed in Table 2. Note that the



**Fig. 6:** Source signal compared to the converted audio for the studied mapping methods.

part of the table in blue is repeated three times as a whole, indicating a DNN with three dense hidden layers.

In order to address the potential problem of vanishing gradients [22], we add batch normalization [23] layers in the input and also before each hidden activation. Furthermore, in order to improve the generalization of the network performance, we add a Gaussian white noise layer to the input [18], as well as random dropout layers in the input and after each dense layer.

### 3.3. Voice Conversion Results

In order to test the performance of the above voice mappers, we trained two separate convolutional autoencoders on the audio-books of *Alice's Adventures in Wonderland*, one to represent the version narrated by a male (source) and the other by a female (target). We then applied the above mappers on the extracted source features. Figure 6 shows a small sample of the source speech together with the transformed target speech.

In order to define a more objective metric for evaluating the produced speech signals, we use the so-called *mel-cepstral distance* [24], defined as

$$C(x, y) = \frac{1}{N} \sum_{k=1}^N 20 \log_{10} \|C_x(k) - C_y(k)\|, \quad (1)$$

where  $C_x(k)$  contains the cepstral coefficients of frame  $k$  of signal  $x$ , and  $N$  is the total number of frames. The resulting mel-cepstral distance between the reconstructed target audio and the original target speech is 26.36, 26.58, and 26.64 for DNN, clustering, and linear methods, respectively. Note that the distance between the original source and target speech signals is 26.80. We can see that all the studied methods improve the mel-cepstral distance. Moreover, the deep neural mapper yields the smallest distance compared to other mappers in our test.

## 4. CONCLUSIONS

In this work, we introduced a framework for voice conversion using source and target convolutional autoencoders operating on the short-time discrete cosine transform of audio signals. This framework results in highly compressed features that carry a faithful representation of the audio characteristics. We demonstrated several methods for linking the representation spaces of source and target signals. The result is a single pipeline for transforming source speech into target speech.

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