

Construction technology transfer in Shanghai in the nineteenth to twentieth centuries

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ABSTRACT: Shanghai, in the early twentieth century, was a big construction site and attractive experimental field for Western designers. Because of its significant location and international role, the construction activities contributed to some landmarks of the world architecture history. In addition to famous architects, local builders played a crucial role in the realization of these edifices. Introduction and application of new technologies, digestion and diffusion of new knowledge, transportation and production of new materials, the magnification of pre-existing local concerns while dealing with the geological problem, as well as the training of the workers was already solved by the natives at this time. Based on a fresh study of the documents in the Historical Archives of Shanghai Library and in the Shanghai Local Chronicles, this particular context is here used as a case-study that aims at providing new insights on the shifts from traditional construction practices to industrialized dynamics. This paper reveals that the construction technology transfer that happened between Europe and East Asia in Shanghai in the nineteenth to twentieth centuries in a sense was a process of local desire to extract knowledge and technologies from numerous overseas sources for the construction of “Modern Shanghai”.

KEYWORDS: 19th-20th centuries, Shanghai, Modern Architecture, Construction Technology Transfer, Adoption and Improvement

1 THE NATIVE BUILDERS OF THE “ORIENTAL PARIS”

Located in the Yangtze River Delta, Shanghai sits on the south edge of the estuary of the Yangtze in the middle portion of the East China Coast. Its predominant geographical position favoured transport and trade. After the establishment of the Shanghai Customs in 1685, Shanghai became the largest port for marine trade in the Far East. Shanghai's first building boom occurred in the 1920s and 1930s, during the city's heyday as a multinational centre for business and finance. The city's international concessions permitted foreign investment, and with it came architectural styles from the West. Shanghai has been hailed as the "Oriental Paris" for all those reasons.

One of the most famous edifices is Park Hotel (Fig. 1). It was the tallest building in Asia from its completion in 1934 to 1958 (Jiang 2008). With 22 stories above ground it is 83.8 m high. The building was designed by Hungarian-Slovakian architect László Hudec (Poncellini 2013), and built by Chinese Voh Kee Construction Company. Its piling project was



Figure 1. Outside view of Park Hotel, Shanghai, László Hudec, 1934, provided by *Shanghai Pictorial*.

conducted by Danish Aage Corrit Company, operated by Chinese Yah Sing Kee Construction Company.

Park Hotel was considered as the most advanced and modern building in the Far East for the following reasons (Poncellini 2013):

- (1) In China, it was the first time that statistical calculation of wind stress was applied for high-rise steel construction.
- (2) The Steel Sheet Piling was imported from Germany to solve the problem of the soft soils in Shanghai.
- (3) The newly developed steel-framed structure and steel material “*Union Baustahl 52*” were adopted. It was a considerably light copper-chromium-containing alloy steel, patented in 1928, manufactured by Vereinigte Stahlwerke AG in Dortmund Germany, with excellent properties of anti-corrosion and tension. As a result, the weight of the entire building was reduced by 33%, and the construction cost decreased greatly accordingly. Despite its first application in Asia, Voh Kee Co. accomplished the construction perfectly without the guidance of German experts.
- (4) Besides three standard elevators for the guests, another service elevator was installed, which could carry the cars to the parking on the second floor.
- (5) Each room was well equipped with air conditioning and heating system.
- (6) It was the first time that an automatic sprinkler system was adopted for fire control in China.
- (7) There were two sets of water supply systems. One was a regular urban tap water supply, and another was a standby system, equipped with the artesian well. The groundwater could be pumped through compressed air and sent to the roof water tank after gravel filtration.

Table 1. Buildings with reinforced concrete structure in Shanghai from 1908 to 1937 (Haiqing 2004).

Projects	Year	Story	Builder
上海德律风公司 De lv feng Building	1908	6	姚新记 (native) Yah Sing Kee Co.
友邦大厦 North China Daily News Building	1924	9	茂生洋行(American) American Trading Co. of The Far East
上海邮政总局 Shanghai Headpost	1924	8	余洪记 (native) Ah Hong Kee
新亚酒楼 New Asia Hotel	1934	9	陆顺记 (native) Lu Shun Kee
德邻公寓 Derling Apartments	1934	7	怡昌泰 (native) Yi Chang Tai Co.
毕卡第公寓 Picardie Apartments	1935	15	利源公司 (native) Li Yuan Co.
道斐南公寓 Dauphine Apartments	1935	9	安记 (native) An Kee Co.
峻岭寄庐 The Grosvenor House	1935	18	新荪记 (native) Xin Sun Kee Co.
大新公司 The Sun Department	1936	10	馥记 (native) Voh Kee Co.
迦陵大楼 Liza Haroon Building	1937	14	陶桂记 (native) Dao Kwei Kee Co.

After completion, the building was widely reported in European architectural journals, such as the French *L'Architecture d'Aujourd'hui* (Poncellini 2013), and the German *Deutsche Bergwerk-Zeitung* in April 1940 (Poncellini 2013). The realization of this “skyscraper” marked not only the high level of architectural design but also the excellent ability of construction at that time. Moreover, according to statistics in Shanghai Local Chronicles, all the construction projects of 31 high-rise buildings with more than ten stories in Shanghai in the 1930s were undertaken by native construction companies. Information on some significant public buildings and apartments built in 1908-37 are listed in Tables 1 and 2.

Still, for thousands of years in Chinese history, no concept of engineer or architect did exist. Practically, based on the experience of the carpenters and the requirements of the owners, the traditional Chinese buildings were constructed just after several rough sketches. Given such a background, how could these Chinese builders master the most advanced construction technologies and occupy a significant share of the market in the construction industry in Shanghai?

2 FROM TRADITIONAL CONSTRUCTION TO INDUSTRIALIZED DYNAMICS

2.1 Construction before 1845

Before 1845, Western countries had come to Shanghai and engaged in trade and missionary work for about 300 years. During this period, some Western churches were built up. They mainly mix western masonry with Chinese timber framed systems, with western decoration. A representative example is the Qiujiawan Jesus Church in Shanghai Songjiang, which was built in 1658 and rebuilt in 1872. The inside wooden frames were constructed in the form of masonry arches from European churches.

Table 2. Buildings with steel-framed structure in Shanghai from 1917 to 1937 (Haiqing 2004).

Projects	Year	Story	Builder
有利大厦 No. 4 of the Bund	1917	7	裕昌泰 (native) Yu Chang Tai
汇丰银行 The Building of HSBC	1923	6	德罗公司(English) Trollope & Coils
江海关 (第三期) Shanghai Customs III	1927	8	薪仁记 (native) Xin Ren Kee Co.
华懋公寓 Jin Jiang Hotel	1929	14	英商华懋公司 (English)
沙逊大厦 Sassoon House	1929	13	薪仁记 (native) Xin Ren Kee Co.
四行储会大厦 Park Hotel	1934	22	馥记 (native) Voh Kee Co.
百老汇大厦 Broadway Mansions	1934	21	薪仁记 (native) Xin Ren Kee Co.
中国银行 Bank of China	1937	17	陶桂记 (native) Dao Kwei Kee Co.

At that time, the construction of traditional wooden buildings was still the mainstream. Workers and craftsmen were distributed in the countryside. They formed a team and participated in construction in the slack season. Upon completion, the team dissolved automatically.

2.2 1845-80: setup of the concession

Modern industrial buildings were introduced after the opening of the commercial port and the definition of the concessions in 1845, a great deal trade. It was another opportunity for the western construction technologies to be imported into China. The main performances were as follows: new materials and structural typologies were applied, such as steel, concrete and truss structure; and a trend of multi-storied and large-spanned construction grew up.

At the same time, the administrative environment for construction greatly changed as well. The Municipal Council formulated *The Building Regulations* (《建筑章程》), which prescribed the heights, boundary lines and firewalls of the buildings, the distance between them, the drainage system and hygiene requirements.

In addition, a group of western architects came and designed a large number of western-style buildings, including municipal, public and private projects. Nevertheless, the life of these western-style houses was very short. The British Consulate, built in 1843, had to be pushed over and rebuilt after three years, because of serious structural movements and wall cracking (Xiaohua 2011). The French Consulate, built in 1867 near the Bund, was demolished in 1884, once it was realised that the foundation was damaged by humidity (Xiaohua 2011).

Why did many construction problems emerge at that time? On the one hand, the climate and geological conditions were quite different from those in the west, particularly the soft soil in Shanghai. On the other hand, the western masonry system was much heavier than the Chinese wooden framed buildings.

Obviously, these new challenges also put forward new requirements on other relevant disciplinary fields as well, such as construction, measuring and mapping, geologic and hydrogeological surveying, and the manufacture of new building materials. It motivated Chinese architecture to enter into a modern time.

2.3 1880-1920: from foreign to native builders

The earliest engineers and architects who were trained abroad did not return until the 1910s to take part in the practice. There is no doubt that these on-site builders and workers were initially involved in applying the Western architectural system. They faced a collision of cultures and at the same time took

the opportunity for direct exchange. Under the context of great reform, the native workers showed a strong capacity of digestion and application.

Piling was particularly critical in the construction in Shanghai. Because Shanghai was created over an accumulation of alluvium and silt in the salt marshes of the Huangpu River, the soil was soft and unfavourable for western masonry construction. Consequently, piling was the most important item to be considered when judging a construction company (Wenda 1997).

In 1880, a local bricklayer YANG Sisheng registered the first native construction company, Yang Rui Tai Co., in the concession. The bricklayer was previously a full-time craftsman employed by Palmer & Turner Group. In 1891, in the construction of the building of Shanghai Customs II, the Italian builder gave up the piling task because of the soft soils and the rise of underground water table. YANG, serving as a subcontractor for a long time, seized the opportunity. He studied the reasons for the designer's choice of yellow fir pile at first, and then experimented repeatedly. Finally, he not only accomplished the piling work but also took over the entire construction project (Xiaohua 2011). When the building was finished, YANG sprung into fame in Shanghai. From then on, more and more significant projects were contracted directly by native companies. Unfortunately, the details of YANG's construction could not be found in the published official documents.

2.4 1920-37: prosper period of the native construction industry

From 1895 to 1920, some competitive foreign enterprises in the construction industry in Shanghai, such as English Trollope & Coils Ltd. and French Shanghai Construction Company, introduced the market. They contracted most of the significant projects in the concession, like the building of HSBC, Macquarie Bank and the Catholic Church in Xujiahui (Xiaohua 2011).

From the 1920s to 1930s, with the booming of the real estate industry, the construction industry entered into a period of prosperity. In particular, the native construction companies increased dramatically. According to the records in the Shanghai Local Chronicles, there existed more than 50 large-scale construction companies in 1916, in which foreign ones accounted for 22, and native ones accounted for 31. In 1930, more than 100 native construction companies were registered, while foreign ones remained at 20. By the end of 1934, the total amount of construction companies and manufactures of building material was up to 2623 (Kun 2011).

In order to keep a healthy and orderly environment for the construction industry in such rapid development, several societies were organized spontaneously

etc.; 3) “Communication” mainly posted the official announcements of the Association. The exchange and activities with the professionals, readers, and other associations were intensively introduced as well; 4) In “Advertisements” (Fig. 3 Right), native builders, domestic suppliers of building materials and equipment, and design companies thronged to gain the opportunity to propagate. The price list of the building materials was monthly offered in this column.

Based on original issues of *The Builder* in the Historical Archive of Shanghai Library, these 46 issues are here introduced from the following three angles: the chief editor, western reports and native reports. The main list of content is translated and attached in the Appendix of this paper.

3.1 The chief editor: DU Yangeng

Mr DU Yangeng, one of the initiators of the association, served as chief editor of the journal until its suspension. He was born in 1896 in Shanghai. His father was owner of a native construction company. At the age of 30, he undertook the construction of a seven-story office tower on his own, which was already very tall in Shanghai at that time. In his spare times, he studied construction technologies and English assiduously. After the foundation of the journal, most of his time was devoted to editing and writing work. Under DU Yangeng or the pseudonym of DU Jian, he posted his writings, accounting for more than 15 percent of all the articles in 46 issues. The following columns are created and accomplished by him:

[Building terms in English and Chinese] The building terms across China were not unified at that time, which in the inter-translation with English were always confusing. To facilitate the academic discussion and promote the development of the construction and architecture, as well as inspired from the *English-Japanese Architectural Dictionary*, Mr DU opened the special column of *Building terms in English and Chinese* (Fig. 5 Left) from January 1933 to September 1934. He drafted every manuscript. Extensive discussion was received following the interest of the readers. This column was the original version for *En-Ch, Ch-En Architectural Construction Dictionary*, the earliest bilingual dictionary of architecture and construction in China. It was officially published in June 1936.

[Western Architectural History] From July 1935 to April 1937, *The Builder* serialized DU’s translation of *Western Architectural History*, including Egyptian, Central Asian, Persian, Greek, Roman, early Christian, Muslim, France Roman, German, and Roman architecture. They were described from the perspective of geography, history, ethnic, architectural style and typical examples. Unfortunately, the original book could not be traced in available libraries.

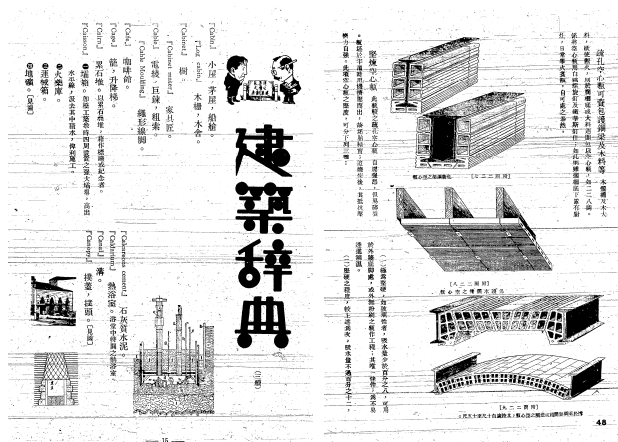


Figure 5. Left: Building terms in English and Chinese, *The Builder*, 1933.04, provided by Shanghai Library. Right: Chapter II of Masonry, *The Builder*, 1936.01, provided by Shanghai Library

[Construction] From July 1935 to April 1937, DU dedicated great efforts on the column of *Construction*. It was divided into five chapters: Masonry with bricks, Masonry with stone, Basement, Carpenter, and Slab. Among which masonry (Fig. 5 Right) has been extremely detailed discussed, from raw materials to manufacture, from tools to machinery and from masonry wall to mortar joint. This section was well received by the readers because numerous masonry constructions were built in this period. Up to now, it is one of the most comprehensive explanation of western masonry technology in Chinese architectural literature. In the chapter on Basement, the construction of traditional Chinese stone base was also addressed.

[Building Estimates] From the first issue to April 1935, DU elaborately compiled *Building Estimates* for 12 chapters, including earthwork, cement and concrete work, brick-walls, stone-walls, carpenter, steel-framed windows, metal, painting, and piping. Based on his practical experience and interviews, this column provided some new approaches for the cost-estimation of materials and workloads. See for instance, the article “*Formulas of Mortar*” published in June 1933.

Other topics were written by DU as well, such as “*The Suggestions of Reform in the Chinese Construction Industry*”, the architecture in Japan, “*Inquiry Bureau*” with the readers, etc. In 1936, DU Yangeng contacted the Society of Chinese Architects and the Society for the Study of Chinese Architecture to organize the first China Architectural Exhibition. It was successfully held in July 1936 in Shanghai.

As stated by Shanghai Local Chronicles, Mr DU Yangeng is the sole person in Chinese history who introduced the western architecture and culture in such a comprehensive way, from building-styles to technologies, from materials to equipment, and from history to practical projects. He has made a significant contribution to the Chinese modern architecture and construction.

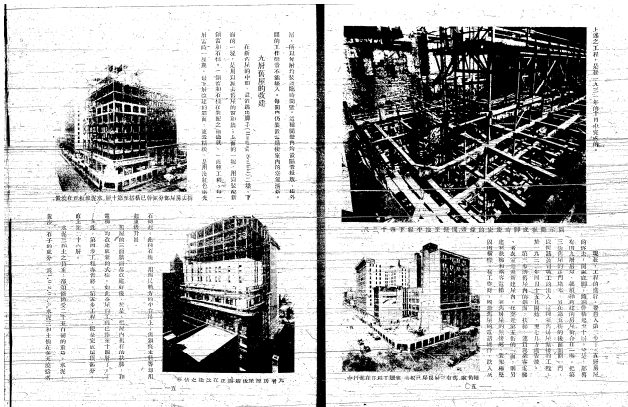


Figure 6. The article of “The twenty-six story building of AT&T in America”, *The Builder*, 1932.12, provided by Shanghai Library.

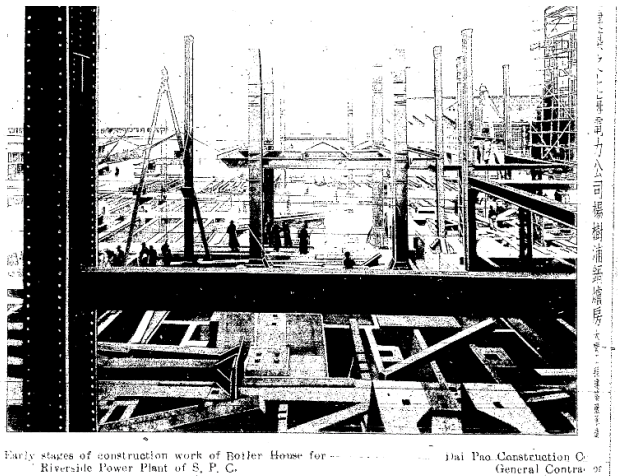


Figure 7. Construction work of Boiler House for Riverside Power Plant of S.P.C., *The Builder*, 1933.05, provided by Shanghai Library.

3.2 Dissemination of western construction

Reporting on western construction and technology was the major task of this journal. Most of the articles were provided by professionals returning from abroad. The main contents could be catalogued into the following three sections:

[Latest western projects] In addition to the finished works, *The Builder* paid great attention to the construction process and offered detailed interpretation on the applied technologies. In the article of “*The twenty-six story building of AT&T in America*” (Fig. 6), issued in December 1932, the construction of foundation, the proportion of cement and sands, the method of pouring concrete, etc. were introduced. Further, there existed many reports on European bridges and tunnels as well, which was in line with China’s desire to build infrastructures.

[Latest western technologies, equipment, and materials] In this section, the concrete pouring technology was always an important topic. Some newly developed steel with specific index of properties were also introduced. Because of the great success of Park Hotel, the applied Steel “*Union Baustahl 52*” was introduced in focus in August 1936. In addition, civil

engineering was intensively discussed with substantial emphasis. From January 1934 to January 1936, the well-known structural engineer LIN Tung-yen, contributed to more than ten articles, such as “*Hardy Cross Methods of Moment Distribution*” and “*Calculating the C, K, F Properties of a Member*”.

[Latest reports of exhibition, conference, education, and association in the west] The journal also presented the western latest exhibition and congress in time. The 50th Anniversary of the Illinois Institute of Engineers was held from January 31 to February 2 in 1935, the news and reports were published in the issue of February 1935 immediately. In February 1937, the preview report about the “*1939 Architecture Expo in New York*” was carried out. The education for architects in different universities in the USA and professional associations like RIBA were compressively introduced as well.

The journal has tried to expand its international influence. The contents were monthly translated from Chinese into English (Fig. 4) from April 1933 to December 1934. In November 1933, the American Institute of Architects sent an invitation letter to the Association: “*We are very pleased to receive the journal ‘The Builder’ by your association... We would like to invite Mr DU Yangeng warmly to attend the Fourth International Congress of Building Societies held in Salzburg, Austria.*” Details of the conference were published in March 1934.

3.3 Native construction

The Builder has made a lot of coverage of the practice in the 1930s in Shanghai. It is an important treasure for research on the architecture and construction at that time.

[Projects] From 1933 to 1935, the Illustration column covered a large number of significant construction. It was mainly focused on the public projects, municipal engineering and some high-rise apartments. A large number of photos of site constructions (Fig. 7) and their full set of drawings were published. The famous Park Hotel and Broadway Mansion have been detailed in several periodicals. Sometimes, the budget of proposed projects was announced for supervision as well.

[Translation of Building Regulations] Since the Building Regulation was promulgated by the concession, the Chinese builders have been long beset by the administrative document because of the language barrier. To help the builders understand the regulation comprehensively and timely, its translation was serialized three times since the first issue. As soon as Municipal Councils made edits, they were translated in the journal.

[Technologies and buildings materials] To introduce foreign technologies was not sufficient since they also had to be applied appropriately locally. A lot of space involved the favourable foreign building

materials cement and concrete, such as the articles “*The Influence of Depositing Cement under the Air*”, “*Fundamental Requirements for good concrete*”. Especially, the unique technical inventions and typical experience of application in Shanghai were highly encouraged; see the article “*7 Important Points of The Artisan Well*” in May 1933 for example. The promotion of domestic materials was another primary mission of the journal. The production and sales of domestic cement, steel windows, paint, and steel were annually reported. In the 1930s, these building materials allowed locals to move away from their dependence on imports.

[Others] The journal was not limited to construction and technology; it also assumed a certain amount of communication and business work. In the “*Architects’ and Builders’ Inquiry Bureau*” column, questions on published articles or opinions from readers were answered and discussed. Legal knowledge was important for the builders when signing a contract in the concession. The “*Construction and Court*” column was specially opened to advice and support native builders on that matter.

In August 1937, the Battle of Shanghai broke out. The journal had to be suspended, and editor DU led all the staff to the front line.

4 ZHENGJI CONSTRUCTION TUTORIAL SCHOOL

In 1930, the Association registered the Zhengji Construction Tutorial School in the Shanghai Municipal Bureau of Education, aiming to train the highly skilled construction professionals. The literal meaning of “Zhengji” (正基) in Chinese is “correctly placed foundation”. Mr TANG Jingxian and Mr DU Yangeng served as president and dean respectively. By 1934, the number of students increased to more than 100, and the number of faculty members reached 14.

It was a six-year program, divided into junior and senior parts. The main courses of the primary part were Chinese, English, mathematics, physics, chemistry and other basic disciplines. The statistics (Haiqing 2004) showed that there were 1440 credit hours in junior stage. The courses in math accounted for 720 credit hours, including Complete Arithmetic, Elementary Algebra, Plan & Solid Geometry, Plane Trigonometry & Tables, and Analytic Geometry. English courses occupied 380 credit hours, about 26.4% of the total. The ability to speak fluent English was a necessary condition for the builders in Shanghai. The curriculum in senior stage concentrated on civil engineering, including Applied Mechanics, Strength of Materials, Engineering Drawing, Surveying, Building Construction, and Reinforced Concrete Construction (The Builder 1936, April).

Favouring quality over quantity, recruiting standards were very high. Students were mainly composed of junior workers from construction companies or draftsmen from design companies. The “Zhengji” lasted for a total of seven years. More than 50 participants formally graduated (Haiqing 2004). They played an important role in the Shanghai construction industry.



Figure 8. The reports on Zhengji Construction Tutorial School, *The Builder*, 1936.04, provided by Shanghai Library.

5 CONCLUSION

By focusing on the domestic agency and its publication, this paper has shown native builders’ strong capacity of digestion, assimilation and diffusion of new western knowledge and technologies on industrialized construction techniques in a highly traditional context. Further, the local additions to otherwise acquired knowledge created a hybrid form of construction that would be further disseminated through the local context. Also, this paper highlights the construction technology transfer as a process of local desire to extract knowledge from numerous overseas sources, rather than a unidirectional colonial transfer. Thanks to the extensive exchange in the 1930s, some world-renowned buildings could be realized in Shanghai.

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APPENDIX

The following table references the contents of the main articles in the 46 issues of *The Builder*.

Reports on western architecture

Latest projects in the west:

1932.12	The twenty-six story building of AT&T in America
1933.06	Diversion Tunnels at Hoover Dam
1933.10	Modern Cinema Planning (by Mr J R Leathert)
1934.01	Highway Construction in USA
1934.05	* Farm Building in the USA
1934.06	* Bridge-Building Practices in the USSR
1934.06	* First All-welded Highway Bridge in England Recently completed
1934.06	* Concrete Cantilever Roof Shelter Grandstand
1934.09	* Sea-wall Construction of Fort Monroe in USA
1934.11	* A Trip to Japan
1935.01	* The Bridges in Germany
1936.07	Underground fortress in French
1936.10	Modern museum
Technologies, equipment and materials in the west:	
1932.11	the method of pouring cement in USA
1933.04	* Manufactured of colored cement
1933.04	* Modern Kitchen Equipment
1933.03	Two inventions: Cement pump, electromagnetic motor for pouring concrete
1933.06	The invention of Flush Toilet
1933.09	* The Use of X-rays in architecture
1933.09	* Cold Applied Bitumen
1935.01	Concrete septic tank

1936.04	Quick-Setting Cement
1936.08	The steel applied in Park Hotel
1936.10	Long tube light bulb
1936.10	* Steel “Krupp Isteg”
1936.12	Modern Bathroom
1937.04	New electric crane
Civil engineering:	
1934.01	* Hardy Cross Methods of Moment Distribution (by LIN)
1934.02	* Calculating the C,K,F Properties of a Member (by LIN)
1934.03	* Indirect Applications of the Cross' Method (by LIN)
1934.05	* Rigid Frame Concrete Bridge (by LIN)
1934.06	* Secondary Stress Analyzed by the Cross' Method (by LIN)
1934.07	* Multi-story Frame Analyzed by the Cross' Method (by LIN)
1934.09	* An “Exact” Moment Distribution Methods of Continuous Frame Analysis (by LIN)
1934.11	* Some Fundamental Laws in Advanced structural Theory (by LIN)
+12	
1935.01	* Hardy Cross Methods of Moment Distribution and Calculating the C, K, F Properties of a Member
1935.02	* Distribution and Load Factor for Arches (by LIN)
1935.09	Analysis of Miscellaneous Frames (by LIN)
1936.01	The construction of modern bridges (by LIN)
1936.11	The provision of live loads of the major cities in the USA.

Latest developments in the west:

1934.05	* Fourth International Congress of Building Societies
1934.05	* Building Employment in America
1934.07	* National Housing Act of USA
1935.02	50th Anniversary of the Illinois Institute of Engineers
1935.06	The History of RIBA
1936.08	The education of architects in the USA
1936.11	The preview of Architecture Expo in New York, America
1937.04	Paris Expo

Reports on native architecture

Illustrations:

1932.12, 1933.01/02/03	* Park Hotel
1933.05/06	* Shanghai Power Co.
1933.07+08	* Shanghai Race Club
1933.07+08, 1935.03	* Picardie Apartment
1934.01	* Hamilton House
1934.01, 1934.03, 1935.02	* Broadway Mansion
1934.08	* “Times Square” Shanghai
1935.04, 1935.06	* The Sun Co.
1935.08	* Dauphine Apartment

Domestic Materials:

1932.12, 1933.02, 1934.11+12, 1936.01/09,	Cement Industry of China
1933.01	Steel frame Industry of SH
1933.03	The sales of domestic paint
1935.01	Steel Industry of China
1936.03	Real Estate of Shanghai

* The content was originally in English when published.