

# Testing turbulence theories in the ocean: insights from state-of-the-art observations

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# Contents

- A description of temperature fluctuations in the deep ocean
  - inferences on turbulence mechanisms from statistics
  - turbulent flux estimates
- Internal wave kinetic energy spectrum in Lake Geneva
  - linear vs. nonlinear spectra

# A statistical perspective on turbulence

## Statistics of scalar quantities (temperature, salinity,...):

- understanding intermittency (time and space dispersion of turbulence events);
- hints on the mechanisms leading to mixing;
- identification of different regimes at different scales.

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- passive scalars in isotropic turbulent flows (Warhaft, 2000);
- active scalars in convective turbulence (Zhou and Xia, 2002);
- *scalars in stably stratified turbulent flows?*

# A statistical perspective on turbulence

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## In the field:

- We cannot control what we observe in the field
  - e.g. control parameters are variable / undefined
- Statistics can help extracting information from “noisy” data

# Sensors: NIOZ-HST (high-speed thermistors)



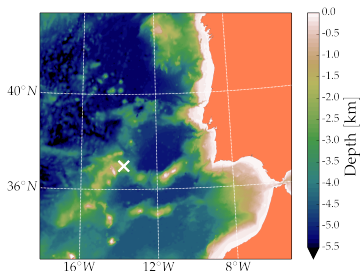
## Main features:

- precision better than  $5 \times 10^{-4} \text{ K}$ ;
- response time 0.25 s;
- sampling frequency  $\leq 2 \text{ Hz}$ ;
- long endurance (up to two years).

van Haren et al. (2009)

## Data

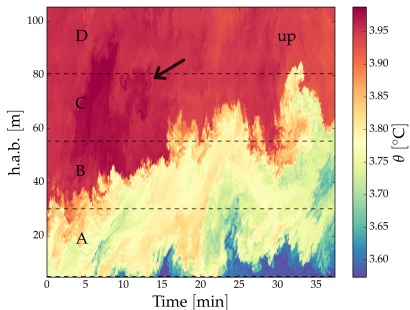
Latitude	36° 58.885' N
Longitude	13° 45.523' W
Max. depth	2205 m
Min. height above seafloor	5 m
Seafloor slope	9.4°
Number of sensors	144
Vertical spacing	0.7 m
Depth range	100.1 m
Deployment	13 Apr 2013
Recovery	12 Ago 2013
Sampling rate	1 Hz



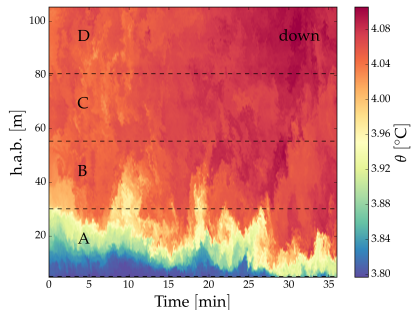
(Supercritical slope,  $\gamma_{crit} \approx 5.7^\circ$  for  $M_2$  tide)

## Data

## Cooling phase (upslope)



## Warming phase (downslope)





# Taylor's hypothesis

Transform data to the spatial domain using  
Taylor's hypothesis (frozen turbulence)

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Using time-dependent velocity from ADCP data  
(only mean flow information)

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Transform data to the spatial domain using Taylor's hypothesis (frozen turbulence)



Using time-dependent velocity from ADCP data  
(only mean flow information)

All results are averages over the 4 months of data for each segment of the mooring, for each tidal phase

# Methods I: generalised structure functions (GSF)

GSFs provide a way to characterise intermittency of the turbulent flow:

$$\gamma_q \equiv \gamma_q(r) = \langle |\Delta_r \theta|^q \rangle$$

In the inertial range:

$$\gamma_q \sim r^{\zeta(q)},$$

with  $\zeta(q) = q/3$  according to the classical (non-intermittent) theory of Kolmogorov-Obukhov-Corrsin, and for  $r$  within the inertial range.

In presence of intermittency  $\lim_{q \rightarrow \infty} \zeta(q) = \zeta_\infty$   
(in practice for  $q > 10$ ):

- Grid turbulence, shear driven  $\rightarrow \zeta_\infty \approx 1.4$
- Convective turbulence, buoyancy driven  $\rightarrow \zeta_\infty \approx 0.8$

Zhou and Xia (2002)

## Methods II: flux estimates

Estimate the flux of a scalar quantity (temperature) with the method suggested by Winters and D'Asaro (1996):

- enable to resolve the fluxes vertically;
- clear definition of “background” stratification;
- no assumptions on the flow;
- estimate of the irreversible flux.

Flux as a function of the local temperature:

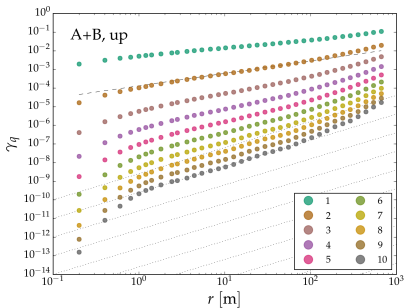
$$\phi_{\theta}(\theta_j) = -\kappa \left( \frac{dz^T}{d\theta} \right) (\theta_j) \langle |\nabla\theta|^2 \rangle (\theta_j)$$

$\theta_j$ : potential temperature at  $j$ -th sensor,  $\kappa$ : molecular diffusivity,  $d\theta/dz^T$ : background temperature gradient.

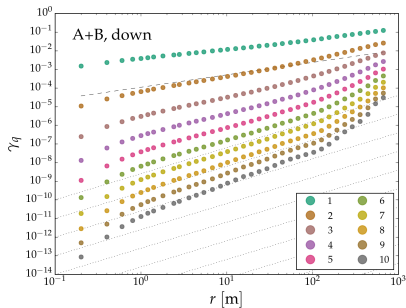
Biased low due to limits in resolution (for gradient estimation), but compensation is possible.

# Generalised structure functions

$$\gamma_q \equiv \gamma_q(r) = \langle |\Delta_r \theta|^q \rangle$$



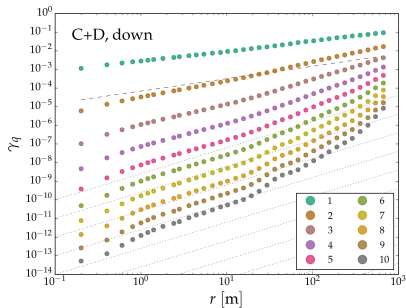
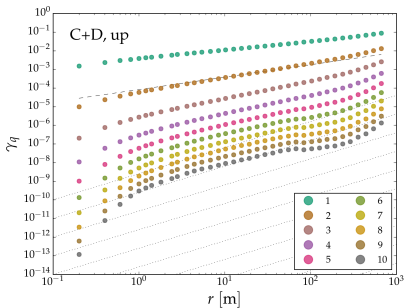
Dashed line:  $\zeta(2) = 2/3$  slope.



Dotted lines: “grid turbulence” slope.

# Generalised structure functions

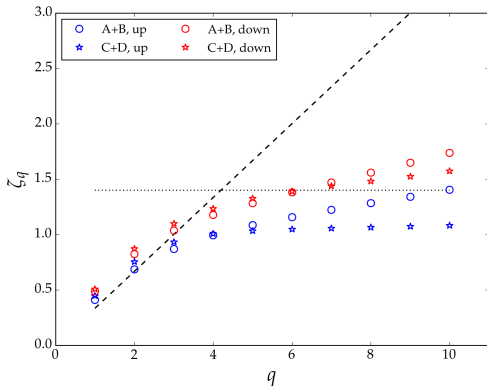
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Dotted lines: “grid turbulence” slope.

# Scaling exponents and saturation of GSFs



Scaling exponent within the turbulence scaling range.

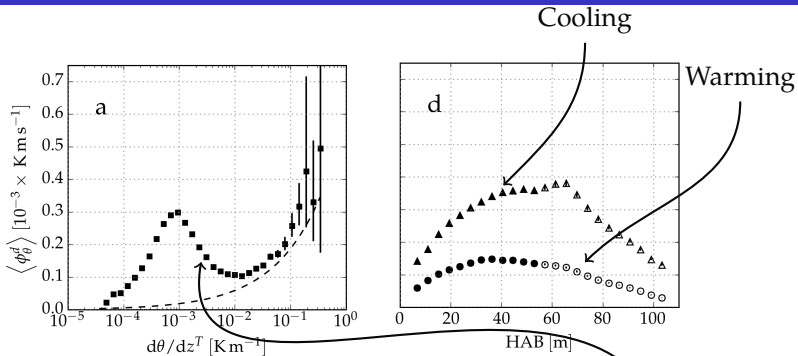
Dashed line:  $\zeta(q) = q/3$  slope.

Dotted line: “grid turbulence” asymptote.

Much more on statistics in Cimatoribus and van Haren (2015)



## Estimates of the flux



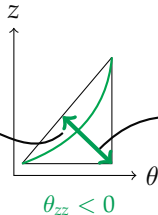
$$\frac{\partial \theta}{\partial t} = \frac{\partial \phi}{\partial z} = \frac{d\phi}{d\theta_z} \theta_{zz}$$

Phillips (1972), Posmentier (1977)

Stable

$$\frac{d\phi}{d\theta_z} > 0$$

$$\frac{\partial \theta}{\partial t} < 0$$

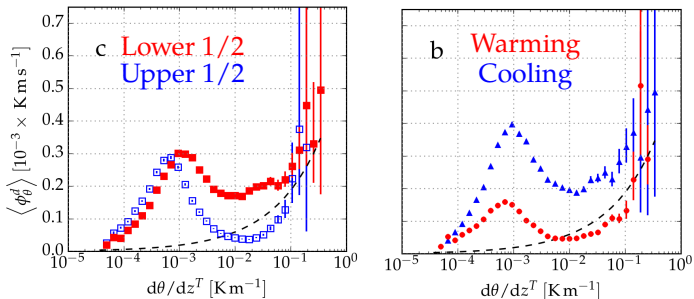


Unstable

$$\frac{d\phi}{d\theta_z} < 0$$

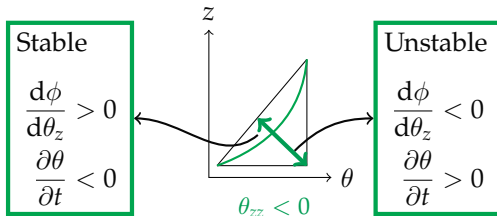
$$\frac{\partial \theta}{\partial t} > 0$$

# Estimates of the flux



$$\frac{\partial \theta}{\partial t} = \frac{\partial \phi}{\partial z} = \frac{d\phi}{d\theta_z} \theta_{zz}$$

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# A framework for interpretation

A minimal analytical model:

- Based on Balmforth et al. (1998),
- steady states for kinetic energy density  $e$  and density gradient  $g$ .
- Mixing length ( $l$ ) model (turbulent flux  $\propto l$ ),
- horizontally homogeneous (1D vertical model).
- $l$  constrained by the density gradient and by the height above the seafloor ( $h$ ).
- Energy production = internal waves breaking at a particular scale  $\lambda$  (scaling break of  $\gamma_q$ ).

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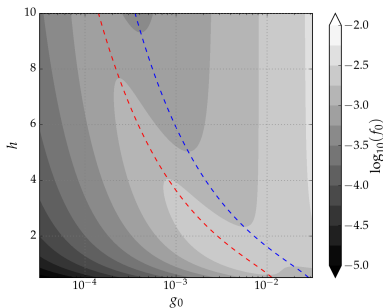
...after non-dimensionalisation, and some algebra...

$$rh^2eg - (e + h^2g) \left( \frac{h^2}{1 + h^2} - e \right) = 0,$$

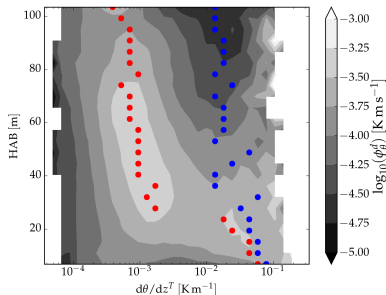
with  $r$  a non-dimensional constant.

# A framework for interpretation

Model



Observations



$$\text{equilibrium flux: } f_0 = le_0^{1/2} g_0 = \frac{he_0 g_0}{(e_0 + h^2 g_0)^{1/2}}.$$

Cimatoribus and van Haren (2016)

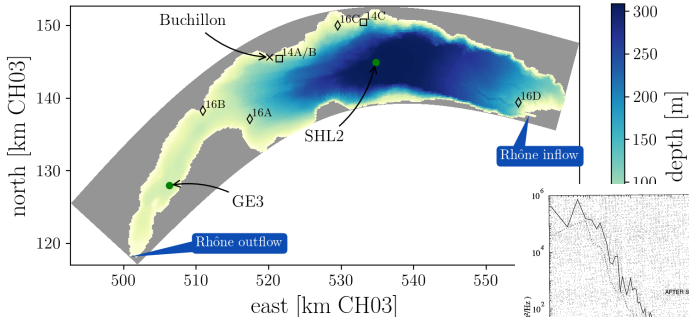
# Conclusions (partial)

- A detailed “statistical” view on turbulence in the deep ocean.
- Generalised structure functions have some points of contact with laboratory results...
- ...but show some specific behaviour too (“outer intermittency”).
- Scaling break suggests that the forcing, from internal waves, takes place at a specific length scale.
- Flux estimates show smooth, simple *average* behaviour,
  - supports idea of “spontaneous” layer formation by stratified turbulence.
- The model suggests:
  - validity of mixing length hypothesis,
  - seafloor limits both the mixing length and the forcing,
  - irrelevance of friction at the seafloor.
- Convection: in the statistics, but not in the model!



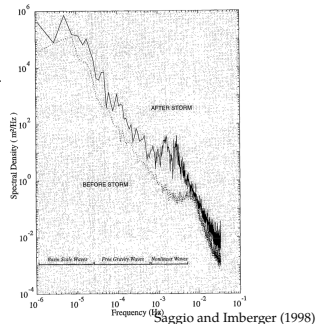
# A simpler (?) case: internal variability in a lake

## Lake Geneva



“Standard” interpretation of observations:

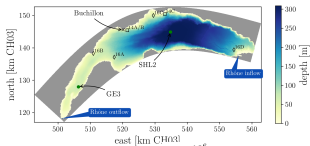
- combination of long internal waves (seiches)
- linear or weakly nonlinear



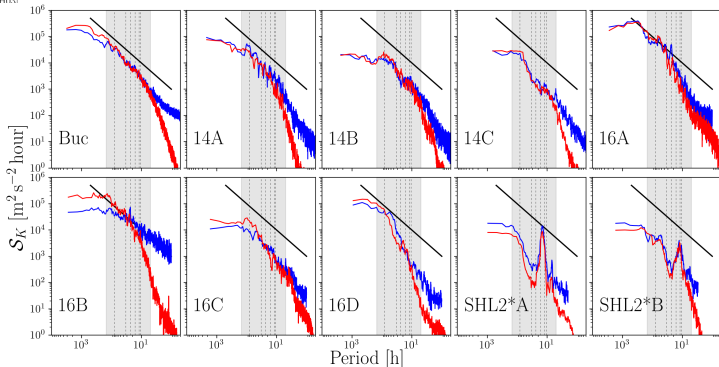


# A simpler (?) case: internal variability in a lake

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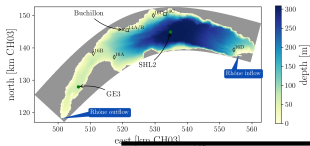
## Kinetic energy spectra



Observations, model, slope = -1, dashed lines: linear modes frequencies

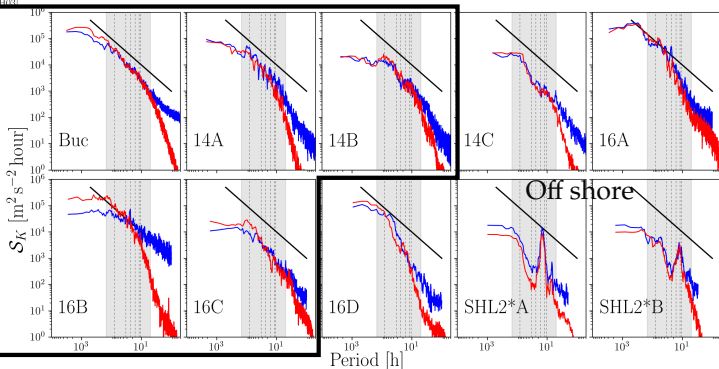
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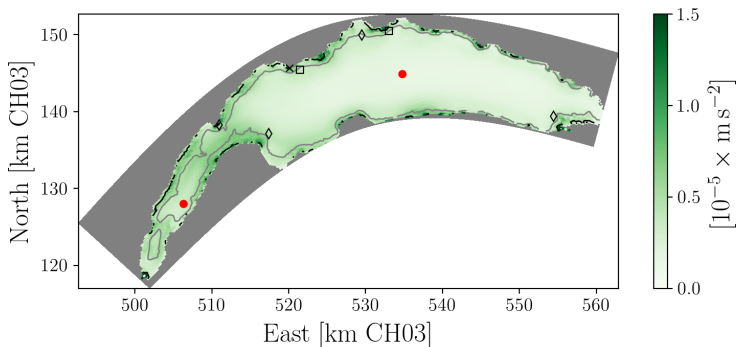
Near shore



Observations, model, slope = -1, dashed lines: linear modes frequencies

# A simpler (?) case: internal variability in a lake

$$\frac{\partial \mathbf{v}_h}{\partial t} = \mathcal{N} + \mathcal{C} + \mathcal{P} + \mathcal{F} - \mathcal{D} = -\mathbf{v} \cdot \nabla \mathbf{v}_h - 2\boldsymbol{\Omega} \times \mathbf{v}_h - \nabla_h p + \text{forcing} - \text{dissipation}$$



**Greens:**  $\|\mathcal{N}\|$ , gray contour:  $\|\mathcal{F} - \mathcal{D}\| = 10^{-6} \text{ ms}^{-2}$ , black contour:  $\|\mathcal{F} - \mathcal{D}\| = 10^{-5} \text{ ms}^{-2}$

# Conclusions

- Field observations begin to enable the characterisation of probability density functions of different quantities
- A statistical description enables to test theories in a natural (uncontrolled) environment
- Sometimes, statistical quantities can surprise:
  - Simple behaviour out of highly turbulent environments
  - Nonlinear behaviour (instabilities? vortices?) in a low energy environment
    - from very common power spectra!

Z. Warhaft, *Annual Review of Fluid Mechanics* **32**, 203 (2000).

S.-Q. Zhou and K.-Q. Xia, *Phys. Rev. Lett.* **89**, 184502 (2002).

H. van Haren, M. Laan, D.-J. Buijsman, L. Gostiaux, M. Smit, and E. Keijzer, *IEEE Journal of Oceanic Engineering* **34**, 315 (2009).

K. B. Winters and E. A. D'Asaro, *J. Fluid Mech.* **317**, 179 (1996).

A. A. Cimattorus and H. van Haren, *Journal of Fluid Mechanics* **775**, 415 (2015).

O. M. Phillips, *Deep-Sea Res.* **19**, 79 (1972).

E. S. Posmentier, *J. Phys. Oceanogr.* **7**, 298 (1977).

N. J. Balmforth, S. G. Llewellyn Smith, and W. R. Young, *J. Fluid Mech.* **355**, 329 (1998).

A. A. Cimattorus and H. van Haren, *Journal of Fluid Mechanics* **793**, 504 (2016).

A. Saggio and J. Imberger, *Limnology and Oceanography* **43**, 1780 (1998).

*Thanks for listening.*



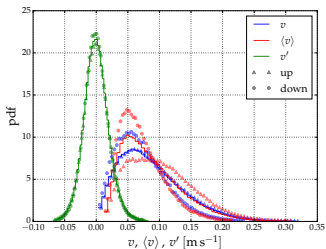
*Andrea.Cimatoribus@epfl.ch*

[La Palma, Islas Canarias]

# Taylor's hypothesis of frozen turbulence

Time series (time rate 1 s)  $\rightarrow$  Spatial series (spatial resolution 0.2 m)

## Pdf of velocity



$$v = \langle v \rangle + v'$$

$\langle v \rangle$ : lowpass filter  
 $1/\sigma = 3000 \text{ s}$

- Lowpass filter defines the mean flow component
- Average velocity within each segment is used
- Velocity is not constant, thus the spatial time series obtained has variable step
- Interpolation to have a constant spatial step
- Dataset size is reduced to 1/2
- Increments are computed close in time (approximately one hour maximum)

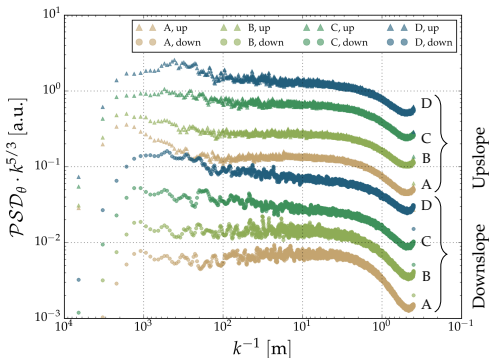
# NIOZ-HST thermistors data processing



- 1 Read raw data from the thermistor memory (integer numbers)
  - Often subsampling is necessary due to the large amount of data recorded
- 2 Calibrate raw data using data from a calibration bath, or CTD data
- 3 Remove sensor drift by requiring a stable (or at least “smooth”) stratification on “long” time scales

# Wavenumber spectra

Spectra averaged by tidal phase and mooring segment

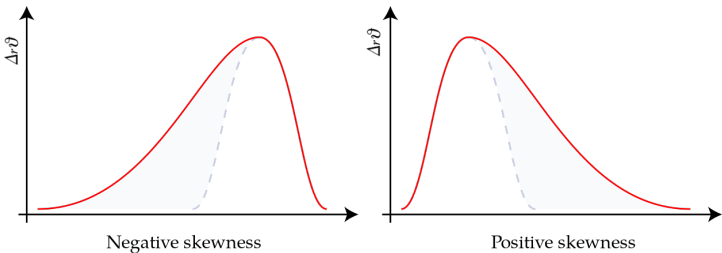


A, B, C, D, from bottom to top of the mooring



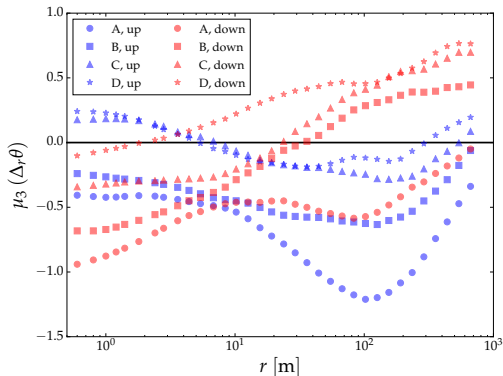
# Skewness of temperature increments

$$\mu_3(\Delta_r\theta) = \frac{\langle (\Delta_r\theta - \langle \Delta_r\theta \rangle)^3 \rangle}{\langle (\Delta_r\theta - \langle \Delta_r\theta \rangle)^2 \rangle^{3/2}}, \text{ for increments } \Delta_r\theta = \theta(r + r_0) - \theta(r_0)$$



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# Convective structures and plus/minus increments

Convective structures have been studied using “plus” and “minus” increments:

$$\text{horizontal: } \Delta_r \theta^\pm = (|\Delta_r \theta| \pm \Delta_r \theta) / 2$$

$$\text{vertical: } \Delta_z \theta^\pm = (|\Delta_z \theta| \pm \Delta_z \theta) / 2$$

- Convective plume = sharp front, gentle tail
- The skewness of plus and minus increments is sensitive to this difference

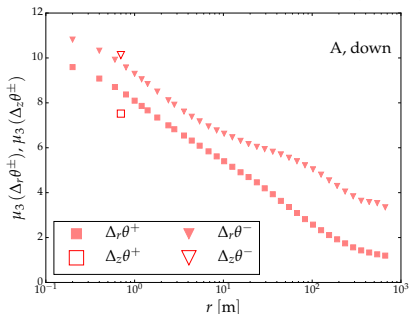
$$\text{warm plume} \begin{cases} \mu_3(\Delta_z \theta^+) < \mu_3(\Delta_z \theta^-) \\ \mu_3(\Delta_r \theta^+) > \mu_3(\Delta_r \theta^-) \end{cases}$$

- More in general:  
characterise the spatial asymmetry of temperature anomalies

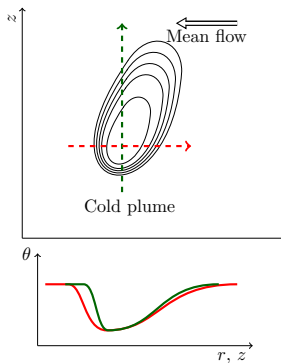
Zhou and Xia (2002)

# Plus/minus increments – results

## Bottom of the mooring



- Cold plumes during downslope phase
- No plumes during upslope phase



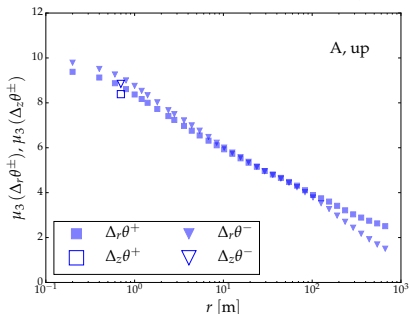
$$\mu_3 (\Delta_r \theta) < 0 \text{ (?)}$$

$$\mu_3 (\Delta_z \theta^+) < \mu_3 (\Delta_z \theta^-)$$

$$\mu_3 (\Delta_r \theta^+) < \mu_3 (\Delta_r \theta^-)$$

# Plus/minus increments – results

## Bottom of the mooring



$$\mu_3(\Delta_r \theta) \neq 0 (?)$$

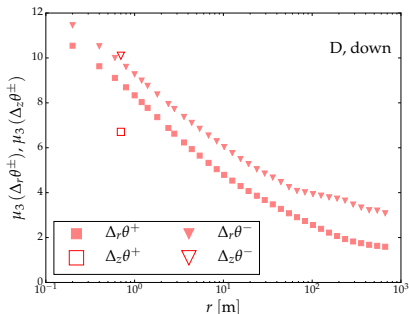
$$\mu_3(\Delta_z \theta^+) \approx \mu_3(\Delta_z \theta^-)$$

$$\mu_3(\Delta_r \theta^+) \approx \mu_3(\Delta_r \theta^-)$$

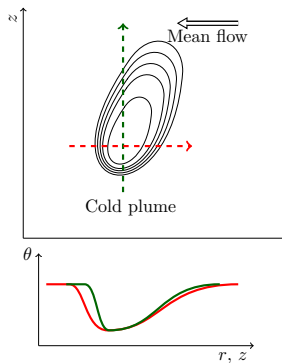
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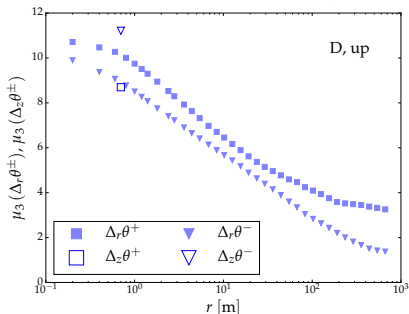
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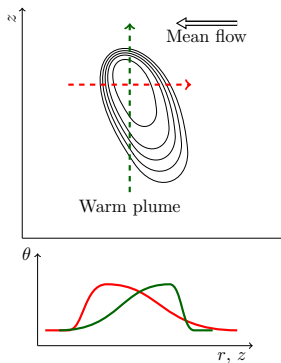
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