

Home Search Collections Journals About Contact us My IOPscience

Molecular beam epitaxy of InAs nanowires in SiO<sub>2</sub> nanotube templates: challenges and prospects for integration of III–Vs on Si

This content has been downloaded from IOPscience. Please scroll down to see the full text.

2016 Nanotechnology 27 455601

(http://iopscience.iop.org/0957-4484/27/45/455601)

View the table of contents for this issue, or go to the journal homepage for more

Download details:

IP Address: 128.178.120.45

This content was downloaded on 23/12/2016 at 16:01

Please note that terms and conditions apply.

You may also be interested in:

Conditions for high yield of selective-area epitaxy InAs nanowires on SiOx/Si(111) substrates M T Robson, V G Dubrovskii and R R LaPierre

Catalyst-free growth of InAs nanowires on Si (111) by CBE

U P Gomes, D Ercolani, N V Sibirev et al.

Model of patterned self-assisted nanowire growth

Sandra J Gibson and Ray R LaPierre

Nucleation and growth mechanism of self-catalyzed InAs nanowires on silicon

U P Gomes, D Ercolani, V Zannier et al.

Shadowing and mask opening effects during selective-area vapor–liquid–solid growth of InP nanowires by metalorganic molecular beam epitaxy

A Kelrich, Y Calahorra, Y Greenberg et al.

Growth map for Ga-assisted growth of GaAs nanowires on Si(111) substrates by molecular beam epitaxy Faebian Bastiman, Hanno Küpers, Claudio Somaschini et al.

High yield of self-catalyzed GaAs nanowire arrays grown on silicon via gallium dropletpositioning S Plissard, G Larrieu, X Wallart et al.

III-V nanowire arrays: growth and light interaction

M Heiss, E Russo-Averchi, A Dalmau-Mallorquí et al.

Growth by molecular beam epitaxy and properties of inclined GaN nanowires on Si(001) substrate J Borysiuk, Z R Zytkiewicz, M Sobanska et al.

IOP Publishing Nanotechnology

Nanotechnology 27 (2016) 455601 (9pp)

doi:10.1088/0957-4484/27/45/455601

# Molecular beam epitaxy of InAs nanowires in SiO<sub>2</sub> nanotube templates: challenges and prospects for integration of III–Vs on Si

Jelena Vukajlovic-Plestina<sup>1</sup>, Vladimir G Dubrovskii<sup>2,3</sup>, Gözde Tütüncuoğlu<sup>1</sup>, Heidi Potts<sup>1</sup>, Ruben Ricca<sup>1</sup>, Frank Meyer<sup>1</sup>, Federico Matteini<sup>1</sup>, Jean-Baptiste Leran<sup>1</sup> and Anna Fontcuberta i Morral<sup>1</sup>

E-mail: anna.fontcuberta-morral@epfl.ch

Received 5 June 2016, revised 16 July 2016 Accepted for publication 26 July 2016 Published 3 October 2016



### Abstract

Guided growth of semiconductor nanowires in nanotube templates has been considered as a potential platform for reproducible integration of III–Vs on silicon or other mismatched substrates. Herein, we report on the challenges and prospects of molecular beam epitaxy of InAs nanowires in SiO<sub>2</sub>/Si nanotube templates. We show how and under which conditions the nanowire growth is initiated by In-assisted vapor–liquid–solid growth enabled by the local conditions inside the nanotube template. The conditions for high yield of vertical nanowires are investigated in terms of the nanotube depth, diameter and V/III flux ratios. We present a model that further substantiates our findings. This work opens new perspectives for monolithic integration of III–Vs on the silicon platform enabling new applications in the electronics, optoelectronics and energy harvesting arena.

S Online supplementary data available from stacks.iop.org/NANO/27/455601/mmedia

Keywords: nanowire, organized growth, templated growth, molecular beam epitaxy, integration of III–Vs on silicon, InAs

(Some figures may appear in colour only in the online journal)

### 1. Introduction

One-dimensional geometry of semiconductor nanowires (NWs) gives rise to many interesting physical properties which are not seen in bulk materials, such as electron quantum confinement [1–5] and optical resonances [6, 7]. Furthermore, small diameters of NWs allow for their dislocation-free growth on lattice-mismatched substrates. This feature may enable monolithic integration of high-quality III–V semiconductors with silicon electronic platform, overcoming the fundamental issues such as lattice, polarity and thermal expansion mismatch [8, 9]. To fully exploit the huge

potential provided by the combination of NW geometry and excellent III–V semiconductors properties (direct band gap, superior carrier mobility and high absorption coefficient), the growth of these structures needs to be achieved in a Au-free and position-controlled way to avoid possible Au contamination [10–12] and ensure the required spatial positioning of NWs. In gold-free growth, the NW position is usually controlled by defining the arrays of holes in a dielectric mask using electron beam lithography for surface patterning [13–15]. This provides the nanoscale precision, but on the other hand the process is extremely time-consuming on a large (wafer) scale and unable to satisfy the condition of cost

<sup>&</sup>lt;sup>1</sup> Laboratory of Semiconductor Materials, Institute of Materials, Ecole Polytechnique Fédérale de Lausanne (EPFL), 1015 Lausanne, Switzerland

<sup>&</sup>lt;sup>2</sup> St. Petersburg Academic University, Khlopina 8/3, 194021 St. Petersburg, Russia

<sup>&</sup>lt;sup>3</sup> ITMO University, Kronverkskiy pr. 49, 197101 St. Petersburg, Russia

<sup>&</sup>lt;sup>4</sup> Ioffe Physical Technical Institute of the Russian Academy of Sciences, Politekhnicheskaya 26, 194021 St. Petersburg, Russia

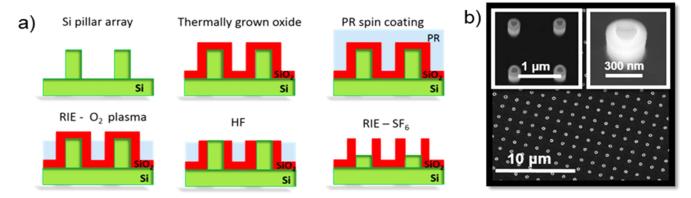


Figure 1. (a) Illustration of fabrication steps for silicon oxide nanotube template (ONT) and b) SEM images of an ONT array and close-view of a single nanotube.

effectiveness. Other techniques such as nanoimprint lithography [12, 16, 17] and phase shift lithography (PSL) [18] have previously been used to create large scale patterns for ordered NW growth.

In this work, we employ PSL as a patterning technique [18-20] to fabricate large areas of SiO<sub>2</sub> nanotubes on Si substrates to guide the subsequent growth of InAs nanowires by molecular beam epitaxy (MBE). A similar concept has recently been demonstrated by Borg et al with metal-organic vapor phase epitaxy (MOVPE). This MOVPE technique is usually referred to as template-assisted selective epitaxy (TASE) [21, 22]. MBE is characterized by highly directional material influxes, which makes it fundamentally different from MOVPE. The MBE template growth should be much more challenging since the material supply to the bottom of the nanotubes strongly depends on their depth. Consequently, we conduct a detailed experimental study of the template geometry influencing the yield of InAs nanowires, followed by a supporting theoretical model. Our results indicate that growth inside the nanotubes proceeds in the In-assisted vaporliquid-solid mode rather than by selective area epitaxy. Furthermore, we demonstrate the nanowire growth in the tubes with a high aspect ratio, where the direct impingement onto the bottom of the tubes is no longer possible and the group III growth species are supplied only through surface diffusion and re-emission.

### 2. Experimental details

Large scale arrays of SiO<sub>2</sub> nanotubes were fabricated on (111) Si substrates. The fabrication process is shown in figure 1(a). First, Si nanopillars were defined by PSL followed by reactive ion etching, as in [18, 23]. The pillars were ~500 nm high and with diameters ranging from 150 to 450 nm. A 50 nm thick layer of SiO<sub>2</sub> was grown around them by thermal oxidation. Further processing steps are shown in figure 1(a) comprised coating with protective photoresist layer, controlled etching of photoresist up to the desired pillar height, oxide etching using HF and finally using reactive ion etching (RIE) to empty the silicon inside the nanopillars and form a SiO<sub>2</sub> nanotube. The

entire process was optimized for 4 inch wafers, which were diced in four substrates for growth in our MBE machine. Each growth substrate contained five arrays with different geometries, with pitches of 1, 1.5 and 2  $\mu$ m pillars and diameters varying from 150 nm up to 450 nm. The depth of the tubes varied between 50 and 400 nm. A scanning electron microscopy (SEM) image of a typical oxide nanotube template (ONT) array is shown in figure 1(b). The arrays and the nanotube morphology appear very homogenous across the wafer.

InAs nanowires were then grown in the ONTs in a DCA P600 MBE system. Before introduction into the MBE growth chamber, samples were dipped for 2 s in poly-silicon etch solution [HNO<sub>3</sub>(70%):HF(49%):H<sub>2</sub>O] in order to remove the native oxide and smoothen the silicon surface [24]. Growth parameters (the substrate temperature  $T_{\rm S}$ , the growth time t, the In and As<sub>4</sub> beam equivalent pressures  $P_{In}$  and  $P_{As}$ ) were systematically varied one parameter at the time. The optimal growth temperature was found to be 500 °C, regardless of the nanotube geometry. At this temperature, we obtain growth inside the ONTs and at the same time avoid parasitic growth outside the nanotubes. The In and As4 beam equivalent pressures were varied between 1.1 and  $1.8 \times 10^{-7} \, \text{Torr}$  and 0.7 and  $1.3 \times 10^{-5}$  Torr, respectively, similar to the conditions used in prior works for InAs nanowire growth [7]. Growth time was varied between 30 min and 5 h. No dependence on the array pitch was observed.

## 3. Results and discussion

# 3.1. Optimizing material supply for InAs nanowire growth: 200 nm deep tubes

We start by recounting how the nanotube aspect ratio affects the material supply onto the bottom of the tube. A sketch of the nanotube geometry is shown in figure 2(a). As mentioned above, the As and In beams are directional, In adatoms are able to diffuse on the surface while As is highly volatile and almost non-diffusive [25–27]. The depth H and diameter D of the nanotube determine the amount of material reaching its bottom to start the nanowire growth. In our MBE system,

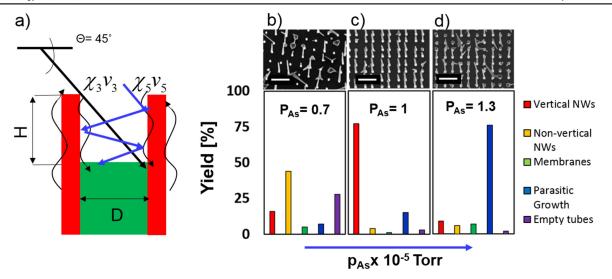


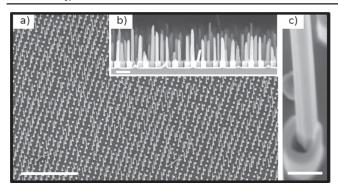
Figure 2. (a) Sketch of the SiO<sub>2</sub>/Si nanotube with the effective In and As fluxes  $\chi_3 v_3$  and  $\chi_5 v_5$ , respectively. (b) to (d) Arsenic series of the InAs nanowire growth in the ONT with the resulting morphology presented top right. All samples were grown at  $T_S = 500$  °C and  $P_{In} = 1.4 \times 10^{-7}$  Torr for 90 min. The histograms show the yields of different structures obtained from the statistics analysis of the SEM images. The scale bar for the SEM images is 2  $\mu$ m and the tilt angle is 20°. The  $P_{As}$  values are given in the units of  $10^{-5}$  Torr.

both cells are positioned at 45  $^{\circ}$ . Hence, at H > D there is no direct impingement of both species at the bottom of the nanotube. In this case, initiation of the nanowire growth should be an extremely slow process as only diffusing species can reach the silicon bottom of the nanotube. Diffusion lengths of In on SiO<sub>2</sub> are typically between 0.5 and 0.8  $\mu$ m, depending on the growth conditions [7, 15, 25]. The arsenic species (As<sub>2</sub> and As<sub>4</sub>) should have the diffusion lengths of a few nm at most, however, arsenic can be re-emitted from the ONT surfaces and thereby contribute to the InAs growth even in the shadowed areas [26, 27]. In fact, the ONT creates local growth conditions inside the nanotubes which can be significantly different from the nominal conditions for twodimensional (2D) growth. Therefore, in figure 2(a) the effective In (labeled '3') and As (labeled '5') atomic fluxes that reach the bottom of the nanotube are denoted  $\chi_3 v_3$  and  $\chi_5 v_5$ , with  $\chi_k$  containing information on the geometry and reemission of the growth species within the nanotube.

We first investigated growth in the nanotubes whose diameters are similar to the depth  $(D \simeq H, \text{ aspect ratio})$  $H/D \simeq 1$ ) using the growth conditions that yield InAs NW arrays on patterned Si substrates  $(T_S = 500 \,^{\circ}\text{C}, P_{In} = 1.2 \times 10^{-7} \,^{7}\text{Torr}, P_{As} = 6 \times 10^{-6} \,^{7}\text{Torr})$  and the growth time of 60 min [5]. These conditions did not produce any nanowires inside the nanotubes, supporting the assumption that the effective V/III flux ratio inside the nanotube is different from the nominal value. As the diffusion length of In is much larger than that of As, much more In is collected inside the nanotube and the actual V/III ratio might be too low for nanowire growth. We gradually increased the growth time, the V/III ratio as well as the absolute fluxes of both As and In fluxes. Figures 2(b) to (d) show the effect of increasing the  $P_{As}$  value at the fixed  $T_S = 500$  °C,  $P_{ln} = 1.4 \times 10^{-7}$  Torr and 90 min growth time. Below the SEM images, we show the corresponding yields measured for all types of structures: vertical nanowires, non-vertical nanowires, nanoscale V-shaped membranes [28], quasi-2D parasitic growth and empty nanotubes (i.e., absence of any growth). It is important to note that in many cases non-vertical nanowires and nanoscale V-shaped membranes nucleate on the oxide layer rather than on Si surface inside the nanotubes (see online supporting information 1).

For the lowest values of  $P_{As}$  around  $0.7 \times 10^{-5}$  Torr we observe a very low yield of vertical nanowires (less than 20%). Many non-vertical nanowires, some membranes and parasitic structures nucleate on the surface and many tubes remain empty. One can conclude that this  $P_{As}$  is insufficient to nucleate vertical nanowires in the desired nucleation position, i.e. on bare Si surface in the bottom of the nanotubes. Increasing  $P_{As}$  to  $1 \times 10^{-5}$  Torr leads to a significant increase in the yield of vertical NWs up to 77%. The number of unwanted non-vertical nanowires and membranes is strongly decreased (down to 8% in total); the parasitic growth was found in 10% of the tubes, and 5% of the nanotubes remain empty. By further increasing  $P_{As}$  to  $1.3 \times 10^{-5}$  Torr, we again observe a dramatic change. In this case, less than 5% of empty tubes are observed, while vertical nanowires (~10%) are replaced by parasitic structures (almost 75%), non-vertical nanowires and membranes (about 10% in total). These noticeable differences in the yields of vertical NWs versus other structures are clearly visible on the SEM images shown in figures 2(b) to (d). Qualitatively, parasitic growth could be due to the reduction of the In diffusivity for higher As fluxes [29, 30].

Figure 3 shows the representative SEM images of the sample with the highest yield of vertical nanowires after 90 min of growth. The high yield is homogeneous over the area of the array. In table 1, we compare the optimized growth conditions for the high yield of vertical InAs nanowires on standard patterned Si substrates [5] and in the 200 nm nanotubes with  $D \simeq H$ . Clearly, higher fluxes and V/III ratios are required to grow nanowires in the ONTs.



**Figure 3.** (a) InAs nanowires grown under optimized conditions in the ONTs with the tube depth of 200 nm and the aspect ratio of one. The scale bar is  $10~\mu m$  and the tilt angle is  $20^{\circ}$ . (b) Cross section of the same sample. The scale bar is  $1~\mu m$ . (c) Single NW growing from the nanotube, the scale bar is  $20^{\circ}$  nm and the tilt angle is  $20^{\circ}$ .

In order to understand the growth mechanism, we now take a closer look at the nanowires growing in the tubes. A typical SEM image of an InAs nanowire growing vertically from a nanotube is shown in figure 3(c). It is clearly seen that the nanowire does not fully fill the nanotube volume. Therefore, InAs nanowires do not start growing on the entire available area of bare Si in the bottom of the nanotube. This strongly suggests that the growth inside the nanotube does not proceed via the selective area epitaxy mode. Rather, at a low effective V/III ratio inside the nanotube (compared to its nominal value for 2D growth), locally In-rich conditions are very favorable for nucleation of In droplets that can subsequently promote the In-catalyzed vapor-liquid-growth of InAs nanowires [31, 32]. A similar reasoning was recently reported by Robson et al for the growth of InAs nanowires on patterned Si substrates where the initial nucleation step was Inassisted [33]. The nanowires should then be positioned at the edges of the nanotubes because In droplets have better chances to nucleate at the tube corners for surface energetic reasons (i.e., replacing the energetically costly liquid-vapor surface to less energetic liquid-solid interface [34, 35]).

We now analyze the influence of the nanotube diameter on the vertical nanowire yield, keeping the nanotube depth at 200 nm. The representative SEM images are shown in figure 4. For 160 nm diameter, we observe a large variety of structures: non-vertical wires, membranes and empty tubes (figure 4(a)). For diameters between 200 and 350 nm, uniform vertical nanowires are obtained (figures 4(b) and (c)). Interestingly, InAs nanowires stop growing for diameters larger than 500 nm (figure 4(d)). These results further support the idea of local growth conditions created inside the nanotubes. They also show that the different template openings will require different growth conditions to produce vertical nanowires.

### 3.2. Effect of nanotube depth

The next parameter explored was the nanotube depth H. Clearly, the H (or H/D) value strongly influences the local V/III ratio inside the ONTs, with the case of H > D resulting in a very poor supply of the growth species onto the bottom of

the nanotubes. This should lead to a longer delay before the nanowire growth can start. Figure 5 shows the nanowire arrays obtained after 90 min of growth with the parameters optimized for 200 nm deep tubes ( $T_{\rm S}=500\,^{\circ}{\rm C}$ ,  $P_{\rm In}=2\times10^{-7}\,{\rm Torr}$ ,  $P_{\rm As}=1\times10^{-5}\,90$  min growth time) and variable H=50, 200 and 400 nm. The exact tube depths were determined from the cross-sections prepared by ion beam thinning, as shown in the insets of figure 5. The yield of vertical nanowires in shallow nanotubes (50 nm) is about 70%; however, we observe more V-shaped membranes than in 200 nm-deep tubes. In this particular case, most membranes nucleate on Si surface inside the nanotubes rather than on the oxide surface (see section 2 in the supporting information). Since smaller depths should relate to higher arsenic inputs, these results are in agreement with [28], where higher V/III ratios gave higher yield of membranes.

As expected, increase of the depth to 400 nm leads to the growth of very few structures and instead most nanotubes remain empty. The absence of growth in this case could be due to insufficient time to nucleate the structures under a low material supply and probably inappropriate effective V/III ratio in the bottom of the nanotubes under these conditions. Therefore, below we present a more detailed growth study in 400 nm deep nanotubes.

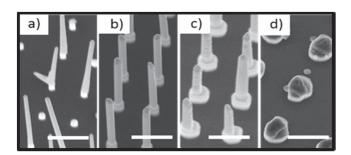
### 3.3. Growth in deep nanotubes

Considering that the growth in nanotubes with H > D is controlled by surface diffusion and re-emission and hence should be much slower than in shallow tubes, we have first explored the effect of growth time under the same growth conditions as in sections 3.2 and 3.3. The representative SEM images for this time series are shown in figure 6. Clearly, increasing the growth time has a positive effect on the yield. After 1.5 h of growth, very few wires were obtained and tubes mainly remained empty, as presented in the (figure 6(a)). The insert in the same figure show closer view to a spontaneous NW growing from the nanotube (left) and the mostly empty tubes (right). For the 5 h growth the yield is pointedly improved (figure 6(c)). The measured overall yield of all structures (mainly vertical and tilted wires) is about 2%, 4% and 67% for the 1.5, 3 and 5 h growths, respectively. A closer look at the 5 h growth results reveals a remarkable difference in the nanowire morphologies that co-exist in one sample. One can observe thin and single crystalline nanowires, similar to those grown for shorter times, and nanowires with multigrain structure whose crustal quality has significantly degraded with respect to the shorter growth times. These differences are shown in the inset of figure 6(c).

The images shown in the insert to figure 6(a) reveal that some nanowires exhibit different morphologies inside and outside the nanotube, e.g. the diameter shrinks outside of the nanotube and the morphology becomes visibly more defective. This is consistent with the local environments for growth being different in the initial nucleation stage and after the nanowire leaves the template. As in section 3.1, the nanowires are positioned at the nanotube edges. We also tried to improve the vertical yield in the 400 nm-deep nanotubes by increasing

**Table 1.** Comparison between the optimal growth conditions for InAs nanowires on standard patterned Si substrates and ONTs with a tube depth of 200 nm and aspect ratio of one.

Substrate type	$T_{\rm S}$ [°C]	$P_{\rm In} \times 10^{-7}  [{ m Torr}]$	$P_{\rm As} \times 10^{-5}  [{ m Torr}]$	t [min]
Standard patterned Si substrate [5]	500	1.2	0.6	60
Nanotube templates; depth 200 nm	500	1.4	1	90



**Figure 4.** SEM images of InAs NWs grown in ONTs. The tube depth H=200 nm and the growth conditions were the same for all samples. The only parameter varied was the tube diameter D: (a) 160 nm, (b) 200 nm, (c) 350 nm and (d) 550 nm. The scale bar is  $1~\mu m$  and the tilt angle is  $20^{\circ}$  for all images.

the material fluxes and keeping the growth time at 90 min. However, this just led to an increase in parasitic growth. The details of this study are given in the online supporting information (section 3).

### 3.4. Theoretical model

We now turn to physical modeling of MBE growth of InAs nanowires in ONTs. We will try to explain the experimentally observed trends such as

- (i) The optimum arsenic flux to obtain high vertical yield should be neither too low nor too high;
- (ii) It is more difficult to grow regular nanowires in deep tubes (with H > D);
- (iii) There is an optimum tube diameter range for a given tube height giving the highest vertical yield (for example, D = 200-350 nm for H = 200 nm).

As in [33], we assume that high vertical yields are achieved by the mononuclear vapor-liquid-solid growth in the initial stage, assisted by In droplets as illustrated by scenario (I) in figure 7(a). This view is supported by the fact that most vertical nanowires do not fully cover the template bottom. Scenario (II) in figure 7(a) corresponds to strongly Asrich conditions, leading to the true selective area epitaxy. The signature of this growth mode would be the completely filled template, which was not observed (one should not mix this case with the template filled by the radial nanowire growth in a later stage, as seen in figures 6(b) and (c)). On the other hand, in scenario (III) with the excessive In influx, the droplet will inflate too quickly and the nanotube will soon be filled with In liquid. This liquid will subsequently spread out of the tube, producing multiple and irregular structures. Scenario (IV) in figure 7(a) illustrates the polynuclear growth regime [36] in which two or more droplets emerge in one tube, enabling the growth of more than one nanowires per tube. This is not desirable for growing regular and single-crystalline nanowires, because radial merging of the neighboring nanowires often lead to the formation of poly-crystallites [37].

Continuous In liquid starts forming in the tube in the limiting geometry shown in figure 7(b). The nanowire length including the droplet height reaches the tube height when  $L + H_{\text{drop}} = H$ , where L is the nanowire length. Assuming that the nanowire is half a cylinder, the arsenic-limited regime of axial nanowire growth [38–41] yields the linear time dependence  $L=2\chi_5\nu_5t$ . If we assume that all In atoms arriving into the tube at the rate  $\chi_3 v_3$  are subsequently collected by the droplet whose contact angle  $\beta$  remains timeindependent, the radius of the droplet base R will grow with time as in [38]:  $R = [2\Omega_3/\Omega_{35}f(\beta)](\chi_3v_3 - \chi_5v_5)t$ . Here,  $\Omega_3$  is the elementary volume in the In liquid,  $\Omega_{35}$  is the volume of InAs pair in the solid state and  $f(\beta)$  is the geometrical function relating the volume of half a spherical cap to the radius of its base. Now, the droplet width  $R_{\rm drop}(t_*) = R(t_*)/\sin\beta$  in scenario (I) must remain smaller than the tube diameter D by the moment of time  $t_*$  at which  $L(t_*) + R(t_*)(1 - \cos \beta) / \sin \beta = H$ . Using the above equations for L(t) and R(t), this condition is quantified as

$$\frac{\chi_5 \nu_5}{\chi_3 \nu_3} > \frac{c_3 [H - D(1 - \cos \beta)}{D + c_3 [H - D(1 - \cos \beta)]},\tag{1}$$

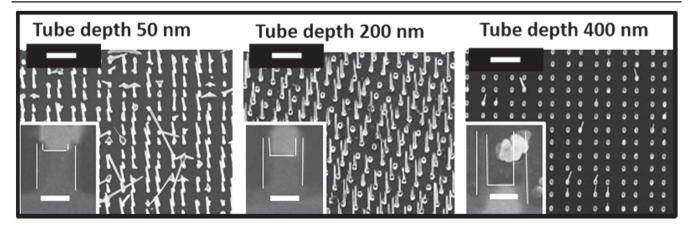
where  $c_3 = \Omega_3/[\Omega_{35}f(\beta)\sin\beta]$  is the shape constant.

On the other hand, the mononuclear growth within the tubes requires that the waiting time between two successive nucleation events for In droplets,  $t_{\rm nucl} = 1 (\pi D r_{\rm c} J_{\rm corner})$ , is longer than the time  $t_{\rm growth}$  required to fill the template bottom by one nanowire base [36]. Here,  $r_{\rm c}$  is the radius of the critical nucleus and  $J_{\rm corner}$  is the nucleation rate at the corner of the tube. The  $t_{\rm growth}$  can be approximated as  $t_{\rm growth} \cong D/[2c_3(\chi_3v_3-\chi_5v_5)]$ . This yields a lower limit for the tube diameter of the form  $D^2 < [2c_3(\chi_3v_3-\chi_5v_5)]/[\pi r_{\rm c} J_{\rm corner}]$ . Combining this with equation (1), we obtain the two conditions for high vertical yield

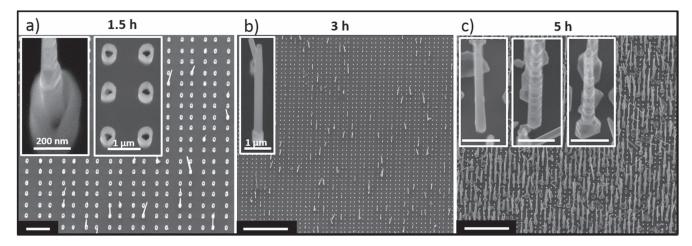
$$1 - \frac{D^2}{D_{nucl}^2} > \frac{\chi_5 v_5}{\chi_3 v_3} > \frac{c_3 [H - D(1 - \cos \beta)]}{D + c_3 [H - D(1 - \cos \beta)]}$$
 (2)

Here, the characteristic 'nucleation' diameter is given by  $D_{\rm nucl}^2 = (2c_3\chi_3v_3)/(\pi r_{\rm c}J_{\rm corner})$  and increases with the In flux  $v_3$ . Therefore, for a given geometry, there is an optimal range of the effective V/III flux ratios to avoid both polynucleation (lower limit) and overloading the template with liquid In (upper limit). The SEM images shown in figure 7(c) perfectly support the existence of scenarios (I), (III) and (IV).

Figure 8(a) shows the corresponding diagrams for the typical  $\beta = 120^{\circ}$  [38–40],  $c_3 = 0.27$  and  $D_{\text{nucl}} = 350$  nm, at



**Figure 5.** InAs NWs growth in the ONTs with different tube depth. The scale bar in the SEM images is  $1 \mu m$  and the tilt angle is  $20^{\circ}$ . The scale bars in the cross-sectional images is 200 nm.



**Figure 6.**  $20^{\circ}$  tilted SEM images illustrating the time evolution of the morphology in 400 nm deep ONTs. The scale bar is  $2 \mu m$  in the 1.5 h image and  $10 \mu m$  otherwise.

three different tube depths H. These graphs explain qualitatively the major effects. First, the vapor–liquid–solid nucleation of InAs NWs is more difficult in deeper tubes. In fact, increasing H can reduce the optimum region in figure 8(a) to nothing. Second, for a given H, there are the optimum regions in both the nanotube diameters D and effective V/III flux ratios  $\chi_5 v_5/\chi_3 v_3$  to grow nanowires with high yields, as observed experimentally (sections 3.1 and 3.2).

Up to now, we have focused on the initial growth stage which proceeds as long as the nanowire reaches its full length within the template. After the nanowire leaves the template, the In collection becomes less effective and the As flux onto the droplet increases, both effects leading to increasing the actual V/III influx ratio into the droplet. According to the diagram shown in figure 8(a), this should reduce the droplet size until it disappears completely, as in [33, 39]. After that, the growth is transitioned to the vapor–solid mode and becomes limited by the material transport of In atoms to the nanowire top [42, 43]. Consistent with our experimental observations, we assume that the nanowire radius continues increasing linearly with time due to the In incorporation on

the sidewalls:

$$R = R_0 + v_R t. (3)$$

Here,  $R_0$  is the initial nanowire radius at the beginning of this growth stage and  $v_R$  is the radial growth rate. The axial elongation can be written in the form [42]

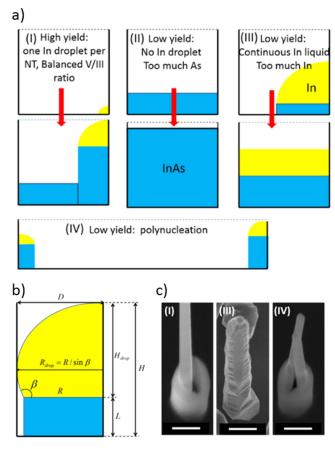
$$\frac{\mathrm{d}L}{\mathrm{d}t} = v_3 \left( 1 + \frac{2\varphi_3}{\pi} \frac{\lambda_3}{R} \right),\tag{4}$$

with  $\varphi_3$  as the indium collection efficiency at the nanowire sidewalls and  $\lambda_3$  as the diffusion length of In adatoms.

Using equations (3) in (4) and integrating, we obtain

$$L = v_3 t + \Lambda_3 \ln \left( 1 + \frac{v_R t}{R_0} \right), \tag{5}$$

with  $\Lambda_3 = (2\varphi_3 v_3/\pi v_R)\lambda_3$  as the effective collection length of indium on the top part of the NW sidewalls. The unusual logarithmic dependence arises due to lateral growth, and is converged to the more common expression  $L = v_3 t \left[1 + (2\varphi_3 \lambda_3)/(\pi R_0)\right]$  only for small times. For long enough growth times, the nanowires elongate at a lower rate according to  $L \cong v_3 t$ , as observed in our experiments. Figure 8(b)) shows the reasonable fits by equations (3) and (5)

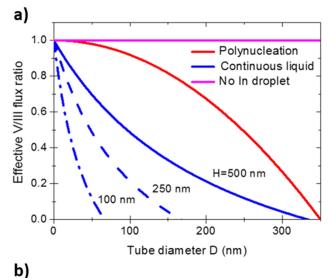


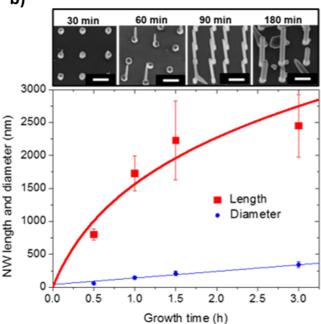
**Figure 7.** (a) Cross-sectional sketches of the nanotubes. (I) High vertical yield under a balanced V/III ratio resulting in mononuclear In-assisted nucleation of nanowires. (II) Strongly As-rich conditions corresponding to the selective area growth. (III) Strongly In-rich conditions producing continuous In liquid within the tube. (IV) Polynucleation resulting in irregular poly-crystallites. (b) Model geometry showing the maximum droplet size within the nanotube template. (c) 20° tilt SEM images supporting scenarios (I), (III) and (IV). The scale bar is 200 nm for all SEM images.

to the measured time dependences of the mean length and radius, obtained with  $R_0 = 20 \text{ nm}$ ,  $v_R = 50 \text{ nm h}^{-1}$ ,  $v_3 = 60 \text{ nm h}^{-1}$  and  $\Lambda_3 = 1200 \text{ nm}$ . The representative SEM images of the corresponding time series are shown in the inserts to figure 8(b). More details on the radial growth are given in section 4 of the online supporting information.

# 4. Conclusion

We have demonstrated the MBE template growth of InAs nanowires in large scale silicon dioxide nanotubes on silicon. Geometrical parameters such as the nanotube depth and diameter have been investigated in order to maximize the vertical nanowire yield. The most critical parameter for such growth is the As flux or the effective V/III flux ratio. It has been shown that the maximum vertical yield is achieved for a balanced V/III ratio which should be neither too high nor too small for a given geometry of the ONT. We have presented evidences of In-assisted vapor–liquid–solid growth in the initial stage within the nanotubes under local conditions that are different





**Figure 8.** (a) Diagram showing the optimum regions for high yield of vertical InAs nanowires in the ONTs in terms of the effective V/III flux ratio and the nanotube diameter. The best zone is separated by the upper limiting curve corresponding to polynucleation in the tubes and the lower limiting curve corresponding to the formation of continuous In liquid in the tubes. The V/III ratio of one corresponds to the absence of the droplet nucleation or their consumption. (b) Mean nanowire radius and length versus time: experimental data (symbols) fitted by the model (lines) with the corresponding  $20^{\circ}$  tilted SEM images shown above. The nanowires were grown in the ONTs with nominal depths 50–100 nm. The growth conditions used were  $T_{\rm S} = 500~{\rm ^{\circ}C}$ ,  $P_{\rm In} = 1.4 \times 10^{-7}~{\rm Torr}$ ,  $P_{\rm As} = 1 \times 10^{-5}$ . The scale bar is  $1~\mu{\rm m}$ .

from the vapor environment. Our theoretical model explains satisfactorily the relation between the growth conditions and the nanotube geometry for obtaining the high vertical yield, as well as the nanowire growth kinetics in a later stage. Overall, this study constitutes the first step toward using the  $\rm SiO_2$  nanotubes as templates for the cost-effective and Au-free MBE growth of III–V nanowires on large area silicon substrates.

### **Acknowledgments**

The authors thank the CIME and in particular D Laub for the fabrication of the cross-sections. VGD acknowledges financial support received from the Ministry of Education and Science of the Russian Federation under grant 14.613.21.0055 (project ID: RFMEFI61316X0055). VGD, AFiM and JVP are grateful for FP7 funding through the project Nanoembrace. AFiM is grateful for funding from the Eranet program of SNF through project No. IZLRZ2\_163861, the NCCR QSIT, the ERC Starting Grant UpCon as well as H2020 through project INDEEd.

### References

- [1] Thelander C 2004 Electrical properties of InAs-based nanowires *AIP Conf. Proc.* **723** 449–54
- [2] Plissard S R et al 2013 Formation and electronic properties of InSb nanocrosses Nat. Nanotechnol. 8 859–64
- [3] Zhong Z, Qian F, Wang D and Lieber C M 2003 Synthesis of p-type gallium nitride nanowires for electronic and photonic nanodevices *Nano Lett.* 3 343–6
- [4] Atlasov K A, Karlsson K F, Deichsel E, Rudra A, Dwir B and Kapon E 2007 Site-controlled single quantum wire integrated into a photonic-crystal membrane microcavity *Appl. Phys. Lett.* 90 8–11
- [5] Kapon E, Hwang D M and Bhat R 1989 Stimulated emission in semiconductor quantum wire heterostructures *Phys. Rev.* Lett. 63 430–4
- [6] Brongersma M L, Cui Y and Fan S 2014 Light management for photovoltaics using high-index nanostructures *Nat. Mater.* 13 451–60
- [7] Heiss M et al 2014 III-V nanowire arrays: growth and light interaction Nanotechnology 25 014015
- [8] Tomioka K, Yoshimura M and Fukui T 2012 A III–V nanowire channel on silicon for high-performance vertical transistors *Nature* 488 189–92
- [9] Glas F 2006 Critical dimensions for the plastic relaxation of strained axial heterostructures in free-standing nanowires *Phys. Rev.* B 74 2–5
- [10] Wagner R S and Ellis W C 1964 Vapor-liquid-solid mechanism of single crystal growth Appl. Phys. Lett. 4 89–90
- [11] Johansson J, Karlsson L S, Dick K A, Bolinsson J, Wacaser B A, Deppert K and Samuelson L 2009 Effects of supersaturation on the crystal structure of gold seeded III-V nanowires Cryst. Growth Des. 9 766–73
- [12] Mårtensson T and Carlberg P 2004 Nanowire arrays defined by nanoimprint lithography *Nano Lett.* 4 699–702
- [13] Gao Q et al 2014 Selective-area epitaxy of pure wurtzite InP nanowires: high quantum efficiency and room-temperature lasing Nano Lett. 14 5206–52011
- [14] Mohan P, Motohisa J and Fukui T 2005 Controlled growth of highly uniform, axial/radial direction-defined, individually addressable InP nanowire arrays *Nanotechnology* 16 2903–7
- [15] Hertenberger S, Rudolph D, Bichler M, Finley JJ, Abstreiter G and Koblmüller G 2010 Growth kinetics in position-controlled and catalyst-free InAs nanowire arrays on Si(111) grown by selective area molecular beam epitaxy J. Appl. Phys. 108 114316
- [16] Lee K D, Heidari B, Helvoort A T J V, Fimland B O and Weman H 2014 Position-controlled uniform GaAs nanowires on silicon using nanoimprint lithography *Nano Lett.* 14 960–6
- [17] Pierret A, Hocevar M, Diedenhofen S L, Algra R E, Vlieg E, Timmering E C, Verschuuren M A, Immink G W G,

- Verheijen M A and Bakkers E P A M 2010 Generic nanoimprint process for fabrication of nanowire arrays *Nanotechnology* **21** 65305
- [18] Subannajui K, Güder F and Zacharias M 2011 Bringing order to the world of nanowire devices by phase shift lithography Nano Lett. 11 3513–8
- [19] Wang F, Weaver K E, Lakhtakia A and Horn M W 2005 Electromagnetic modeling of near-field phase-shifting contact lithography with broadband ultraviolet illumination *Optik* 116 1–9
- [20] Maria J, Malyarchuk V, White J and Rogers J A 2006 Experimental and computational studies of phase shift lithography with binary elastomeric masks J. Vac. Sci. Technol. B 24 828
- [21] Borg M, Schmid H, Moselund K E, Cutaia D and Riel H 2015 Mechanisms of template-assisted selective epitaxy of InAs nanowires on Si J. Appl. Phys. 117 144303
- [22] Das K P, Schmid H, Björk M T, Gignac L M, Breslin C, Bruley J, Bessire C D and Riel H 2013 Selective area growth of III–V nanowires and their heterostructures on silicon in a nanotube template: towards monolithic integration of nanodevices *Nanotechnology* 24 225304
- [23] Mallorquí A D, Alarcón-Lladó E, Mundet I C, Kiani A, Demaurex B, De Wolf S, Menzel A, Zacharias M and Fontcuberta I Morral A 2014 Field-effect passivation on silicon nanowire solar cells *Nano Res.* 8 673–81
- [24] Russo-Averchi E, Tütüncüoglu G, Dalmau-Mallorqui A, Canales Mundet I, de la Mata M, Rüffer D, Arbiol J, Conesa-Boj S and Fontcuberta I Morral A 2015 Bottom-up engineering of InAs at the nanoscale: from V-shaped nanomembranes to nanowires J. Cryst. Growth 420 47–56
- [25] Mandl B, Stangl J, Hilner E, Zakharov A A, Hillerich K, Dey A W, Samuelson L, Deppert K and Mikkelsen A 2010 Growth mechanism of self-catalyzed group *Nano Lett.* 10 4443–9
- [26] Krogstrup P, Jørgensen H I, Johnson E, Madsen M H, Sørensen C B, Fontcuberta A, Aagesen M, Nygård J and Glas F 2013 Advances in the theory of III–V nanowire growth dynamics J Phys. D: Appl. Phys. 46 313001
- [27] Ramdani M R, Harmand J C, Glas F, Patriarche G and Travers L 2013 Arsenic pathways in self-catalyzed growth of GaAs nanowires Cryst. Growth Des. 13 91–6
- [28] Russo-Averchi E, Dalmau-Mallorquí a, Canales-Mundet I, Tütüncüoğlu G, Alarcon-Llado E, Heiss M, Rüffer D, Conesa-Boj S, Caroff P and Fontcuberta I Morral A 2013 Growth mechanisms and process window for InAs V-shaped nanoscale membranes on Si[001] Nanotechnology 24 435603
- [29] Shen X-Q and Nishinaga T 1993 Arsenic pressure dependence of the surface diffusion in molecular beam epitaxy on (111) B-(001) mesa-etched GaAs substrates studied by in situ scanning microprobe reflection high-energy electron diffraction Jpn. J. Appl. Phys. 32 L1117
- [30] Lobo C and Leon R 1998 InGaAs island shapes and adatom migration behavior on (100), (110), (111), and (311) GaAs surfaces J. Appl. Phys. 83 4168
- [31] Dimakis E, Jonas L, Jahn U, Breuer S, Hilse M, Geelhaar L and Riechert H 2011 Self-assisted nucleation and vapor–solid growth of InAs nanowires on bare Si(111) Cryst. Growth Des. 11 4001–8
- [32] Rieger T, Ion M, Sch T and Gr D 2013 Controlled wurtzite inclusions in self-catalyzed zinc blende III–V semiconductor nanowires J. Cryst. Growth 378 506–10
- [33] Robson M T, Dubrovskii V G and LaPierre R R 2015 Conditions for high yield of selective-area epitaxy InAs nanowires on SiO<sub>x</sub>/Si(111) substrates *Nanotechnology* 26 465301
- [34] Matteini F, Tütüncüoglu G, Mikulik D, Vukajlovic-Plestina J, Potts H, Leran J-B, Carter W C and Fontcuberta i Morral A

- Impact of the Ga droplet wetting, morphology, and pinholes on the orientation of GaAs nanowires *Cryst. Growth Des.* at press (doi:10.1021/acs.cgd.6b00858)
- [35] Plissard S, Larrieu G, Wallart X and Caroff P 2011 High yield of self-catalyzed GaAs nanowire arrays grown on silicon via gallium droplet positioning *Nanotechnology* **22** 275602
- [36] Dubrovskii V G 2015 Mono- and polynucleation, atomistic growth, and crystal phase of III-V nanowires under varying group V flow J. Chem. Phys. 142 204702
- [37] Schumann T, Gotschke T, Limbach F and Stoica T 2011 Selective-area catalyst-free MBE growth of GaN nanowires using a patterned oxide *Nanotechnology* 22 095603
- [38] Dubrovskii V G, Xu T, Álvarez A D, Plissard S R, Caroff P, Glas F and Grandidier B 2015 Self-equilibration of the diameter of Ga-Catalyzed GaAs nanowires *Nano Lett.* 15 5580–4

- [39] Priante G, Ambrosini S, Dubrovskii V G, Franciosi A and Rubini S 2013 Stopping and resuming at will the growth of GaAs nanowires *Cryst. Growth Des.* 13 3976–84
- [40] Glas F, Ramdani M R, Patriarche G and Harmand J C 2013 Predictive modeling of self-catalyzed III-V nanowire growth Phys. Rev. B 88 1–14
- [41] Colombo C, Spirkoska D, Frimmer M, Abstreiter G and Fontcuberta I Morral A 2008 Ga-assisted catalyst-free growth mechanism of GaAs nanowires by molecular beam epitaxy *Phys. Rev.* B **77** 2–6
- [42] Dubrovskii V G, Consonni V, Geelhaar L, Trampert A and Riechert H 2012 Scaling growth kinetics of self-induced GaN nanowires Appl. Phys. Lett. 100 153101
- [43] Dubrovskii V G 2014 Influence of the group V element on the chemical potential and crystal structure of Au-catalyzed III-V nanowires Appl. Phys. Lett. 104 053110