

# On Privacy for RFID

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**Abstract.** Many wearable devices identify themselves in a pervasive way. But at the same time, people want to remain anonymous. Modeling anonymity and unlinkability in identification protocols is a delicate issue. In this paper, we revisit the privacy model from Asiacrypt 2007. We show how to achieve forward-privacy (in the V07 sense) using an IND-CCA secure cryptosystem with the PKC protocol. We review the impossibility result of strong privacy and the model extension from CANS 2012 to reach strong privacy (in the OV12 sense) using the PKC protocol with plaintext awareness. We also discuss on the simplified model from ESORICS 2011 and achieve strong-privacy (in the HPVP11 sense) using IND-CCA security only. Finally, we apply these results to add privacy protection in distance bounding protocols.

## 1 Introduction

People wear more and more passive RFID devices, from identity documents or credit cards to smart socks<sup>1</sup>. These devices typically identify with a traceable ID number to whichever device trying to scan them. Clearly, this opens opportunities for malicious people to tracing people based on their ID or to check how frequently they changed their socks.

Concretely, an RFID system defines a set of legitimate tags, readers, and a communication protocol between a tag and a reader. Sometimes, the protocol may also require the reader to communicate with a centralized (authority) server. The input of the tag consists of an internal state (which may contain a certificate and a tag-specific secret key). The private output of the tag may be a new state (for stateful protocols). The input of the reader may contain a root certificate or a database of the secret keys of legitimate tags. The private output of the reader is the ID of the tag. So, the purpose of the RFID protocol is to *identify* the tag to the reader. At the same time, the identification must be secure (i.e., it must *authenticate* the tag). A typical secondary issue is that the protocol must keep *privacy*. I.e., no adversary could infer any non-trivial information about the ID of the tag from the protocol.

A typical example is the GSM protocol: a GSM phone holding a SIM card identifies for the first time to the network cell in clear using its IMSI number. This

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<sup>1</sup> which tell when they stinks so that we can wash them and also how to pair them again after washing.

tells the cell to which home network the SIM card belongs and how to get means to open a secure communication channel with it. Once this is done, the cell gives a pseudonym TMSI to the phone which will be used for the next identification. The TMSI is renewed through the secure channel as often as required. Security is based on symmetric cryptography. Privacy is clearly ineffective for the first connection. Furthermore, active attacks can break the synchronization between a phone and the cell, forcing the phone to identify in clear again. So, privacy protection is very weak in this case.

One difficult task when defining privacy is to model the capabilities of the adversary and his goal. In the early days of secure RFID protocols, some simple protocols were proposed with privacy protection [19,30,45]. These protocols assumed the adversary could not *corrupt* legitimate tags to get their internal state. A step further was made by the Ohkubo-Suzuki-Kinoshita protocol (OSK) [32,33] (see also [17,34]) to model *forward privacy*, i.e., such that uncorrupted tags running the protocol could not be identified in the future after they become corrupted. An early model for RFID privacy was proposed by Avoine-Dysli-Oechslin [3,2]. Their, the adversary chooses two tags; one of them is drawn at random and they must guess which one after interacting with this tag and the reader concurrently. The model was later refined by Juels and Weis [25] by telling the adversary when the reader succeeds to identify a legitimate tag. This information, which is the *result* of the protocol, models a side-channel information that the adversary could exploit.

The most complete privacy model (called the V07 model herein) appeared at Asiacrypt 2007 [39]. It is based on simulation. Essentially, the adversary plays with tags and readers concurrently. He specifies the distribution following which tags are drawn. His goal is to infer some information about identities, but the information must be non-trivial in the sense that it cannot be inferred by simulating the protocol messages. The V07 model defines a  $2 \times 4$ -matrix of privacy levels, depending on whether the adversary has access to the result of the protocol (which are called *narrow* and *wide* adversaries), and depending on how corruption is feasible. With no corruption, we have a *weak* adversary. With corruption which can only happen at the end of the game, we have a *forward* adversary (to address forward privacy). With corruption which destroy tags (i.e., the adversary can no longer play with a corrupted tag), we have a *destructive* adversary. With corruption which happens with no such restriction, we have a *strong* adversary. In [39], a secure RFID protocol protecting both narrow-strong and wide-forward privacy was constructed based on a chosen-ciphertext-secure (IND-CCA) cryptosystem. This protocol based on a cryptosystem is called PKC herein. It was further shown that wide-weak privacy was achievable with just a pseudorandom function (PRF).

In [39], it was proven that an RFID protocol could not offer at the same time wide-destructive and narrow-strong privacy. In particular, wide-strong privacy is impossible. The impossibility result was however quite technical, more showing that the privacy definition was overly restrictive than showing a concrete impossibility result. This made Ng *et al.* [31] propose the notion of *wise* adversary, i.e.,

an adversary who does not ask questions for which he knows the answer. The definition from [31] was not formal enough to be usable, but this made Ouafi and Vaudenay [35] to refine the V07 model by letting the simulator know the input of the adversary (so, know what answer the adversary expects). This model is called the OV12 model herein. Then, they have shown that the PKC protocol is wide-strong-private when the cryptosystem is further plaintext-aware (PA).

Finally, Hermans *et al.* [23] proposed another privacy model (called the HPVP11 model herein) in which the game uses a left-or-right oracle and corruption is not made on anonymous tags. Surprisingly, this makes the notion of “trivial information” obtained by adversaries easy to specify and allows to get rid of the simulation. In addition, the PKC model is shown to be wide-strong private in the HPVP11 sense with only IND-CCA (and not PA) security as an assumption. This makes the model much more easy to use. However, it was shown in [35] that the OV12 model is strictly stronger than the HPVP11 model in the sense that we can construct a protocol being HPVP11-wide-strong-private but not OV12-wide-strong-private. However, the proof that the protocol is not OV12-private does not yield any convincing real privacy threat. So, the definitions of the OV12 model may be too restrictive and the HPVP11 notion of wide-strong privacy may certainly be enough.

With distance bounding (DB) protocols, the tag wants to prove its proximity to the reader. There are symmetric DB protocols in which the tag and the reader share a secret and public-key DB protocols in which the tag (and sometimes the reader) has a public/private key pair to authenticate. Modeling security for DB protocols is not easy.

The first complete security models and provably secure protocols were independently proposed by Boureau *et al.* [8,9,10,11,12] and by Fischlin and Onete [18,20]. None of these protocols were optimal but by combining both ideas we obtain the DBopt protocols [7,26]. In these protocols, the tag and the reader must share a symmetric secret.

Regarding public-key DB protocols, the DBopt model was adapted for public-key DB in [41,42,43]. Not many public-key DB protocols exist. We list them in Table 1 with the known proven security/insecurity results (see [42,43] for details). The table includes *Man-in-the-Middle* security (MiM), *Distance Fraud* (DF), *Distance Hijacking* (DH), *Collusion Fraud* (CF), *wide-Privacy* (Privacy), and *wide-Strong Privacy* (Strong Privacy). Note that DBPK-Log [15] is broken [4]. As we can see, only the HPO protocol and privDB [24,42] provide some form of privacy. HPO [24] relies on ad-hoc assumptions. Furthermore, it does not provide wide-strong privacy (as shown in [44]). So far, only privDB [42] provides wide-strong privacy. We added in the table the eProProx protocol which is proposed in this paper. It extends ProProx by providing wide-strong privacy.

## 2 The V07 Model and the OV12 Extension

We describe here the V07 model [39] and the OV12 extension [35], as presented in [40]. The V07 model [39] from Asiacrypt 2007 follows up some joint work dur-

**Table 1.** Existing Public-Key Distance Bounding Protocols

protocol	MiM	DF	DH	CF	Privacy	Strong privacy
Brands-Chaum [14]	secure	secure	insecure	insecure	insecure	insecure
DBPK-Log [15]		insecure		insecure	insecure	insecure
HPO [24]	secure	secure		insecure	secure	insecure
GOR [21]	secure	secure	insecure	insecure	insecure	insecure
privDB [42]	secure	secure	secure	insecure	secure	secure
ProProx [43]	secure	secure	secure	secure	insecure	insecure
eProProx (this paper)	secure	secure	secure	secure	secure	secure

ing the MSc Thesis of Bocchetti [6]. The results were also announced in [38]. For completeness, we also indicate that some extension with reader authentication was proposed in [36] ... but with a few incorrect results as shown by Armknecht *et al.* [1].

The V07 model considers a multiparty setting with a malicious adversary and several concurrent honest tags and honest readers which can be activated by the adversary. All readers are assumed to be front ends of a secure server which contains a database. The communication from readers to the central database is assumed to be secure. Although all tags are honest, some belong to the system (these tags are sometimes called *legitimate*) and some do not. The adversary can initiate the creation of new tags (in the system or not). He controls the communications to every participants. Furthermore, the access to random tags in practice is modelled by having the adversary being able to draw anonymous tags with a chosen probability distribution.

*RFID system.* More concretely, there is an algorithm

$$\text{SetupReader} \rightarrow (K_S, K_P)$$

producing a key pair. The key  $K_S$  is secret. It can be used by readers. The key  $K_P$  is public and used to create tags. Indeed, there is an algorithm

$$\text{SetupTag}_{K_P}(\text{ID}) \rightarrow (\text{data}, S)$$

producing an initial state  $S$  for the tag and some data so that the entry  $(\text{ID}, \text{data})$  is inserted into the database when the tag is meant to belong to the system. In addition to **SetupReader** and **SetupTag**, an RFID system specifies an interactive protocol between a tag and a reader. The tag has as input its current state  $S$  and as output a value  $S'$  which becomes the new state of the tag. The reader has as input  $K_S$  and as output some value **out**. If **out** =  $\perp$ , we say that the identification failed. Otherwise, **out** shall corresponds to the ID of the tag.

*Game.* In a game, after **SetupReader** was run, the adversary receives  $K_P$  and can access to an oracle

$$\text{CreateTag}(\text{ID}, b)$$

which runs  $\text{SetupTag}_{K_P}(\text{ID}) \rightarrow (\text{data}, S)$ . Additionally, if  $b = 1$ , the oracle inserts  $(\text{ID}, \text{data})$  into the database. So,  $b = 1$  means that the tag will be recognized as belonging to the system but  $b = 0$  can be used to create “foreign tags”.

The adversary can also access to the

$$\text{DrawTag}(D) \rightarrow (\text{vtag}_1, b_1, \dots, \text{vtag}_n, b_n)$$

with a chosen distribution. This oracle draws a vector  $(\text{ID}_1, \dots, \text{ID}_n)$  following the chosen distribution  $D$ . If any tag  $\text{ID}_i$  is already drawn or was not created, the oracle returns  $\perp$ . Otherwise, it defines some fresh random identifiers  $\text{vtag}_i$  and sets  $b_i$  to 1 if and only if  $\text{ID}_i$  belongs to the system. Additionally, the oracle adds the matching  $\text{vtag}_i \leftrightarrow \text{ID}_i$  in a private table  $\mathcal{T}$ . So, the adversary can draw anonymous tags with a chosen distribution and can see which tag belongs to the system. This assumption is realistic as practical tags often leak their version, manufacturer, and other information from which we can deduce what type of tag it is. Clearly, the drawing oracle is such that a drawn tag cannot be drawn again. However, the adversary can call a

$$\text{Free}(\text{vtag})$$

oracle to free the anonymous tag  $\text{vtag}$  so that it can be drawn again.

As discussed in [36,35], the oracle  $\text{Free}$  must reset the temporary memory of the anonymous tag before releasing it. This is in order to prevent protocol sessions to span through several anonymous tag instances.

The adversary can call a

$$\text{Launch} \rightarrow \pi$$

oracle which initiates a new reader session which can be called by the identifier  $\pi$ .

The adversary can send messages to a launched reader  $\pi$  or to a drawn tag  $\text{vtag}$  as long as it has not be freed. He can call

$$\text{SendReader}(m, \pi) \rightarrow m'$$

to send  $m$  to  $\pi$  and obtain the response  $m'$  (if any). If the reader initiates the interactive protocol and  $\pi$  did not start yet,  $m$  is empty. If  $\pi$  was not launched or if the protocol terminated on the session  $\pi$ , nothing is returned. He can call

$$\text{SendTag}(m, \text{vtag}) \rightarrow m'$$

to send  $m$  to  $\text{vtag}$  and obtain the response  $m'$  (if any). If the tag initiates the interactive protocol and  $\text{vtag}$  did not start yet,  $m$  is empty. If  $\text{vtag}$  was not drawn, or was freed, or if the protocol terminated on  $\text{vtag}$ , nothing is returned. A new session may start with  $\text{vtag}$  by calling  $\text{SendTag}$  again.

The adversary may use a

$$\text{Result}(\pi) \rightarrow x$$

oracle which tells whether the reader protocol succeeded to identify a tag on session  $\pi$ . (So,  $x = 0$  or  $1$ .) If the adversary is *narrow*, this oracle cannot be used. If the adversary is *wide*, no restriction applies on using this oracle.

Finally, the adversary may use a

$$\text{Corrupt}(\text{vtag}) \rightarrow S$$

oracle which returns the current state of the anonymous tag  $\text{vtag}$ . As  $\text{vtag}$  can only be accessed between the time it is drawn and the time it is freed, the oracle returns nothing at any other time. If the adversary is *weak*, this oracle cannot be used. If the adversary is *forward*, only further  $\text{Corrupt}$  queries can be made after this oracle call but no other oracle can be used. If the adversary is *strong*, no restriction applies on corruption.

*Matching conversation.* We say that two participants have a *matching conversation* at a given time if the sequence of incoming/outgoing messages that they have seen match and are well interleaved. I.e., if the protocol transcript seen by one participant is of form

$$(t_1, \text{in}_1, \text{out}_1), (t_2, \text{in}_2, \text{out}_2), \dots (t_n, \text{in}_n, \text{out}_n),$$

or (when the participant initiates the protocol)

$$(t_1, \perp, \text{out}_1), (t_2, \text{in}_2, \text{out}_2), \dots (t_n, \text{in}_n, \text{out}_n),$$

with  $t_1 < \dots < t_n$  (meaning that at time  $t_i$ , the participant received  $\text{in}_i$  and sent  $\text{out}_i$ ), then the protocol transcript seen by the other participant must be

$$(t'_1, \perp, \text{in}_1), (t'_2, \text{out}_1, \text{in}_2), \dots (t'_n, \text{out}_{n-1}, \text{in}_n),$$

or

$$(t'_2, \text{out}_1, \text{in}_2), \dots (t'_n, \text{out}_{n-1}, \text{in}_n),$$

respectively, for some  $t'_1, \dots, t'_n$  such that  $t'_1 < t_1 < t'_2 < \dots < t'_{n-1} < t'_n < t_n$  (meaning that at time  $t'_i$ , the participant received  $\text{out}_{i-1}$  and sent  $\text{in}_i$ ).

*Correct system.* The protocol is *correct* if for any game, whenever there is a matching conversation between some  $\text{vtag}$  and some  $\pi$ , if  $\text{vtag}$  was drawn by  $\text{DrawTag}$  with the bit  $b$ , then, except with negligible probability, the output of  $\pi$  is  $\text{out} = \perp$  if  $b = 0$  and  $\text{out} = \mathcal{T}(\text{vtag})$  if  $b = 1$ .

*Secure system.* An RFID system is *secure* if for any game, except with negligible probability, for all  $\pi$  which produced  $\text{out} = \text{ID} \neq \perp$ , there must exist  $\text{vtag}$  such that  $\mathcal{T}(\text{vtag}) = \text{ID}$  and either  $\text{vtag}$  has a matching conversation with  $\pi$  or  $\text{vtag}$  was corrupted.

*Privacy.* In the privacy game, the adversary  $\mathcal{A}$  plays with the oracle. When done, he receives the table  $\mathcal{T}$  and produces a binary output. To identify the trivial ways to output 1, we use a simulator based on a *blinder*  $B$ . A blinder sees all oracle queries of the adversary (but cannot see the table  $\mathcal{T}$ ) and simulates the responses of the `Launch`, `SendReader`, `SendTag`, and `Result` oracles to  $\mathcal{A}$ . When  $\mathcal{A}$  interacts with the blinded oracles (instead of the oracles directly), we denote it by  $\mathcal{A}^B$ . A trivial way for  $\mathcal{A}$  to output 1 is such that there exists  $B$  such that  $\mathcal{A}^B$  outputs 1 with nearly the same probability as  $\mathcal{A}$ . Intuitively, it means that  $\mathcal{A}$  learns nothing new from the protocol messages, as he could simulate them by himself. A protocol is  $P$ -private if for any adversary  $\mathcal{A}$  in the class  $P$ , there exists a blinder  $B$  such that  $\mathcal{A}$  and  $\mathcal{A}^B$  produce the same output except with negligible probability. As an example of class  $P$ , we can consider all wide-strong adversaries.

*Impossibility of wide-strong privacy in the V07 model.* To prove the impossibility of wide-strong privacy by contradiction, we essentially have to make the adversary play against the blinder. Let us assume that the protocol provides wide-strong privacy. We consider a first game in which the adversary creates a legitimate tag  $ID_1$ , draws  $ID_1$ , and corrupts it to get its state  $S_1$ . Then, he runs on its own  $\text{SetupTag}_{K_P}(ID_0) \rightarrow S_0$ . Now, the adversary can simulate either tag  $ID_0$  or tag  $ID_1$  using their state. So, he can flip a coin  $b$ , launch a reader session  $\pi$  and simulate  $ID_b$  to  $\pi$  using  $S_b$ . Finally, the adversary calls  $\text{Result}(\pi)$  and gives it as an output. Clearly, correctness imposes that the result of  $\pi$  is  $b$ . Due to privacy, there must exist a blinder  $B$  such that from the states of the two tags  $S_0$  and  $S_1$  and the messages from the tag  $ID_b$ , then  $B$  can guess  $b$ . This means that we can make a second game in which we create two tags in the system, corrupt both of them to get their states  $S_0$  and  $S_1$ , then draw one at random and play with, and use  $B$  to infer which tag was drawn. This would identify the tag, but there is no blinder to do so. So, there is a contradiction. The crucial point in this argument is that the adversary in the first game knows which tag he simulates and makes the `Result` guess it. So, a blinder must simulate this guess.

*V07 vs OV12.* A big difference between the V07 and OV12 models is that in OV12, the blinder can use the view of the adversary as input. So, he can simulate the internal computations of the adversary and somehow “read his thoughts”. This is an essential technique used with plaintext awareness (PA). Essentially, whenever the adversary issues a ciphertext, we can use a plaintext extractor on the view of the adversary to see what was encrypted. With the previous impossibility result in the V07 model, we can see that now, in the first game, the blinder could now read the bit  $b$  from the thoughts of the adversary and no longer need to guess it from the states and messages. So, the argument of impossibility does not hold in the OV12 model.

There are also tricky issues about the `DrawTag` oracle when the number  $n$  of tags to be drawn is not logarithmic. For instance, if  $n$  is linear, the vector spans in a set of exponential size. So, the representation of the input distribution  $D$  can be large. In [35], it is specified that  $D$  is submitted in the form of an efficient

sampling algorithm  $\text{Samp}$ . It is required that  $D$  must additionally be *inverse-samplable*, i.e., there exists an efficient algorithm  $\text{Samp}^{-1}$  such that  $(\rho, \text{Samp}(\rho))$  and  $(\text{Samp}^{-1}(x), x)$  are indistinguishable. (This is always the case when  $n$  is logarithmic.) Furthermore, [35] requires that there exists a simulator  $S$  such that the pair  $(\text{View}_{\mathcal{A}}, \mathcal{T})$  consisting of the view of the adversary and the table  $\mathcal{T}$  is indistinguishable from the pair  $(\text{View}_{\mathcal{A}}, S(\text{View}_{\mathcal{A}}))$ . This is used to reconstruct some possible random coins which are used in the privacy game so that we can feed the plaintext extractor of the PA game (see [35]).

*PKC protocol.* The PKC RFID system is pretty simple. First,  $\text{SetupReader}$  sets up a key pair  $(\text{sk}, \text{pk})$  using  $\text{Gen}$  for a public-key cryptosystem  $(\text{Gen}, \text{Enc}_{\text{pk}}, \text{Dec}_{\text{sk}})$ . We have  $K_S = \text{sk}$  and  $K_P = \text{pk}$ . Then,  $\text{SetupTag}$  picks a random  $K_{\text{ID}}$  and sets up the state  $S = (\text{pk}, \text{ID}, K_{\text{ID}})$  and  $\text{data} = K_{\text{ID}}$  to be inserted in the database. Then, in the identification protocol, the reader selects a nonce  $N$ , sends it to the tag. The tag then encrypts his ID, his key  $K_{\text{ID}}$  and the nonce  $N$  and sends the ciphertext to the reader. The reader can then decrypt, check that the nonce is correct, and that  $(\text{ID}, K_{\text{ID}})$  is in the database.

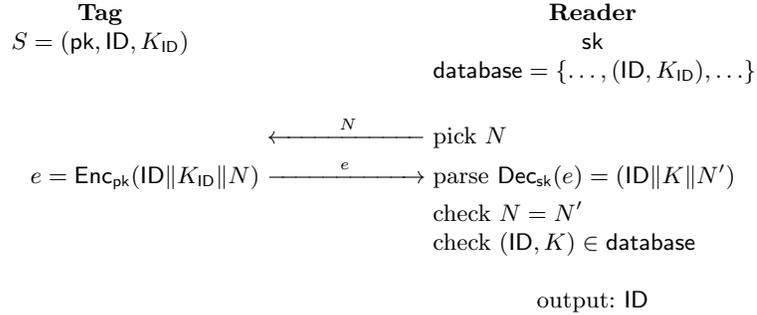
A variant based on a PRF avoids using a database: we add a generation of a secret  $K_M$  for a PRF by  $\text{SetupReader}$  (so  $K_S = (\text{sk}, K_M)$ ) and use  $K_{\text{ID}} = \text{PRF}_{K_M}(\text{ID})$ .

In [39], it was proven that if the cryptosystem is IND-CCA secure then the PKC protocol is correct, secure, wide-forward private, and narrow-strong private in the V07 model. In [35], it was proven that if the cryptosystem is further PA (in the PA1+ sense [16] or the PA2 sense [5]), then the PKC protocol is wide-strong private in the OV12 model.

*IND-CCA security is necessary for the security of PKC.* Clearly, it is essential that the cryptosystem is IND-CCA secure: without non-malleability, we could lose security by forging the ciphertext of a legitimate tag. For instance, given a secure cryptosystem  $(\text{Gen}, E, D)$ , defining a malleable yet IND-CPA secure cryptosystem  $\text{Enc}_{\text{pk}}(\text{ID} \parallel K_{\text{ID}} \parallel N) = E_{\text{pk}}(\text{ID} \parallel K_{\text{ID}}) \parallel E_{\text{pk}}(N)$  would allow to take  $\text{Enc}_{\text{pk}}(\text{ID} \parallel K_{\text{ID}})$  as a reusable credential to be used with any fresh nonce. Hence, we could impersonate a legitimate tag.

*IND-CCA security is insufficient for the wide-strong privacy of PKC in the OV12 sense.* In [35], it was further proven that IND-CCA security was not sufficient to achieve wide-strong privacy. To prove this, the authors essentially construct a cryptosystem which is IND-CCA secure but not PA. More concretely, if  $(G^0, E^0, D^0)$  is an IND-CCA cryptosystem and if  $(G^1, E^1, D^1)$  is a homomorphic IND-CPA cryptosystem over the message space  $\{0, 1\}$  such as the Goldwasser-Micali cryptosystem [22], we define

$$\text{Gen} \rightarrow ((\text{sk}_0, \text{sk}_1), (\text{pk}_0, \text{pk}_1, z)) \quad \text{for} \quad \begin{cases} G^0 \rightarrow (\text{sk}_0, \text{pk}_0) \\ G^1 \rightarrow (\text{sk}_1, \text{pk}_1) \\ \xi \in_U \{0, 1\} \\ z = E_{\text{pk}_1}^1(\xi) \end{cases}$$



**Fig. 1.** The PKC Protocol based on a Cryptosystem Enc/Dec.

(note that  $\xi$  is discarded and never used again) and

$$\text{Enc}_{(\text{pk}_0, \text{pk}_1), z}(m_1 \cdots m_n) = E_{\text{pk}_0}^0(E_{\text{pk}_1}^1(m_1) \| \cdots \| E_{\text{pk}_1}^1(m_n))$$

where the  $m_i$  are bits. We can show that (Gen, Enc, Dec) is an IND-CCA-secure cryptosystem. Then, we mount a wide-strong adversary who creates a legitimate tag, corrupts it, then simulate it to the reader, except that the encryption of  $(\text{ID}, K_{\text{ID}}, N) = m_1 \cdots m_n$  is modified as follows: after computing  $E^1(m_i)$  to encrypt each bit of the plaintext, he multiplies them by  $z$ . Finally,

$$e = E_{\text{pk}_0}^0(z \cdot E_{\text{pk}_1}^1(m_1) \| \cdots \| z \cdot E_{\text{pk}_1}^1(m_n))$$

Clearly, the decryption of  $e$  by the reader is unchanged if and only if  $\xi = 0$ . Otherwise, all bits are flipped and lead to an incorrect nonce, so the protocol fails. As the adversary gets  $\text{Result}(\pi)$  from the reader, this bit is thus equal to  $1 - \xi$ . Although the blinder knows how the ciphertext was forged, he cannot compute  $\xi$  when  $(G^1, E^1, D^1)$  is secure. So, no blinder can simulate the  $\text{Result}(\pi)$  oracle and we do not have wide-strong privacy.

*Public-key cryptography is necessary.* We can similarly show that a wide-strong private RFID system can define a public-key cryptosystem. So, it is unlikely that we could construct one based on symmetric cryptography only. More concretely, if we create two tags  $\text{ID}_0$  and  $\text{ID}_1$  then corrupt both of them, their state is equivalent to a public key. Alice could send a bit  $b$  to Bob by simulating  $\text{ID}_b$  using the public key while Bob would simulate the reader with the secret key. We can show that if the scheme is wide-strong private, then we have a public cryptographic scheme in the sense of [37]. Hence, public-key cryptography is necessary.

### 3 The HPVP11 Model

In [23], Hermans *et al.* proposed a quite simpler privacy model (the HPVP11 model herein).

To define the HPVP11 model, we revisit the oracle calls of the adversary. All oracles work the same except `CreateTag`, `DrawTag`, and `Corrupt`. Namely,

$$\text{CreateTag}(\text{ID})$$

always create a legitimate tag.

$$\text{DrawTag}(\text{ID}_0, \text{ID}_1) \rightarrow \text{vtag}$$

draws either the tag  $\text{ID}_0$  (in the left world) or the tag  $\text{ID}_1$  (in the right world), and returns a fresh identifier  $\text{vtag}$ . It is not allowed to use as input an  $\text{ID}_b$  which was input of a previous  $\text{DrawTag} \rightarrow \text{vtag}$  such that  $\text{vtag}$  was not freed. In addition to this,

$$\text{Corrupt}(\text{ID}) \rightarrow S$$

now works on the true identity  $\text{ID}$  of the tag instead of the one of an anonymous tag, and it is not allowed if the corresponding tag was input of a previous  $\text{DrawTag}$  and was not freed since then.<sup>2</sup>

The main difference is that the game first flips a coin  $b$  and uses the left world for  $b = 0$  and the right world for  $b = 1$ . The goal of the adversary is to guess  $b$ . We have  $P$ -privacy if for any adversary in the class  $P$ , the probability to correctly guess  $b$  is lower than  $\frac{1}{2}$  plus some negligible advantage.

Surprisingly, they even proved that based on IND-CCA security, the PKC RFID system is wide-strong private in their model. Hence, our proof that IND-CCA security is not sufficient shows that the PKC protocol can be wide-strong private in the HPVP11 sense but not in the OV12 sense. So, HPVP11 privacy does not imply OV12 privacy. However, looking closer at what it means in practice, we can wonder to what extent the proof that IND-CCA security is not enough for OV12 privacy implies any privacy threat. Indeed, the inability to simulate the `Result` oracle in our counterexample does not seem to imply any leakage in identifying information. So, HPVP11 privacy may be enough in practice.

## 4 Strong Privacy in Distance Bounding

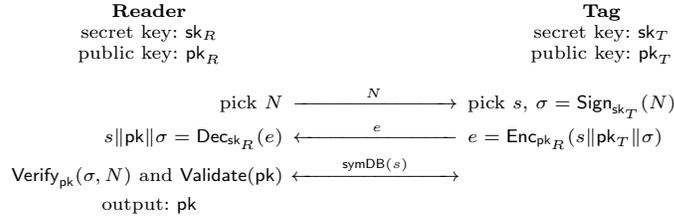
In distance bounding (DB) protocols, the tag wants to prove its proximity to the reader. There are several threat models. With honest tags, we have to face to *man-in-the-middle* attacks (MiM) trying to make the reader accept a proof of proximity although no tag is actually close. MiM-security is also called HP-security (as for *Honest Prover*) in [41,43]. With malicious tags, we consider *distance fraud* (DF), where no tag is close to the reader, *distance hijacking* (DH), where a honest tag is close to the reader but the malicious one far away tries to pass the protocol, and *collusion fraud* (CF), where the malicious tag can be

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<sup>2</sup> Some variants allow this but do not disclose states depending on possible ongoing sessions (typically: the volatile memory). So, extra care must be taken with stateful protocols.

helped by a close-by malicious adversary. CF-resistance is formalized in [41,43] in terms of *soundness* of the proximity proof: essentially, we show that if the protocol succeeds, then we can extract the secret of the identified tag from the view of participants which are close to the reader. So, there is no better CF than the trivial one consisting of giving the secret of the tag to the close-by adversary. In symmetric DB, the tag and the reader are assumed to share a secret. In public-key DB, the tag holds a key pair but shares no secret with the reader. In this paper, we concentrate on strong privacy. Since this requires public-key cryptography (as already mentioned), there is no need for limiting ourselves to symmetric DB. So, we only consider public-key DB.

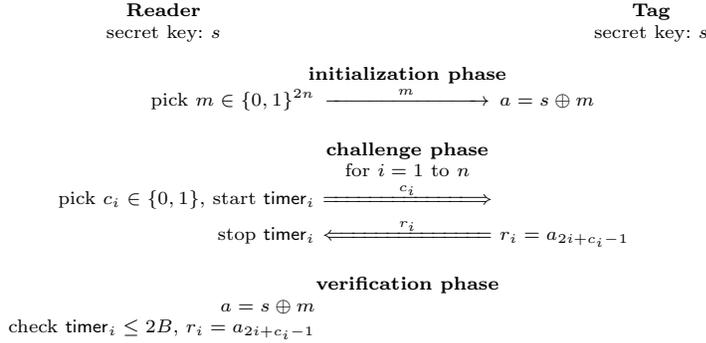
*Privacy in public-key DB.* The first public-key DB protocol to offer privacy is the HPO protocol [24]. However, it does not offer strong privacy [44]. In [13], it was suggested to transform a symmetric DB protocol into a public-key DB protocol using a key agreement protocol. We can wonder how privacy can be preserved. The first concrete example is the `privDB` protocol [42]. It is depicted on Fig. 2 (taken from [42]). There, `symDB` denotes a one-time symmetric DB protocol (such as `OTDB` on Fig 3). We use a signature scheme `Sign/Verify` and a cryptosystem `Enc/Dec`. The function `Validate(pk)` is used to “validate” a public key, i.e. either to check that it belongs to a database, or to check a certificate (which could be `pk` itself).



**Fig. 2.** `privDB`: Private Public-Key DB [42].

In public-key DB, the tag has a key pair  $(sk, pk)$  and the public key  $K_P$  of the system. We modify the PKC protocol as follows: instead of encrypting  $ID || K_{ID} || N$ , we now encrypt  $s || pk || \text{Sign}_{sk}(N)$  where  $s$  is a random key. Then, the reader no longer needs the secret of the tag. The identity is obtained by  $pk$  and it is enough to authenticate the tag using the signature on  $N$ . The value  $s$  can further be used as the result of a key agreement. Hence, the tag and the reader can now use  $s$  to run a symmetric DB protocol. This is the principle of the `privDB` protocol [42] which is wide-strong private (in the HPVP11 sense) and secure DB<sup>3</sup>.

<sup>3</sup> More precisely, it defeats distance fraud, man-in-the-middle attacks, and distance hijacking, but not collusion fraud, as shown on Table 1.



**Fig. 3.** OTDB: One-Time Symmetric DB [42].

*Strengthening ProProx.* We recall on Fig. 4 [43] a (simplified) version of ProProx. There, we use a homomorphic bit commitment scheme  $\text{Com}$  such that

$$\text{Com}(b; \rho) = \theta^b \rho^2$$

in a group such that  $\theta^2 = 1$  and  $\theta$  has no square root, and a deterministic vector commitment scheme

$$\text{Com}_H(\text{sk}) = (\text{Com}(\text{sk}_1; H(\text{sk}, 1)), \dots, \text{Com}(\text{sk}_s; H(\text{sk}, s)))$$

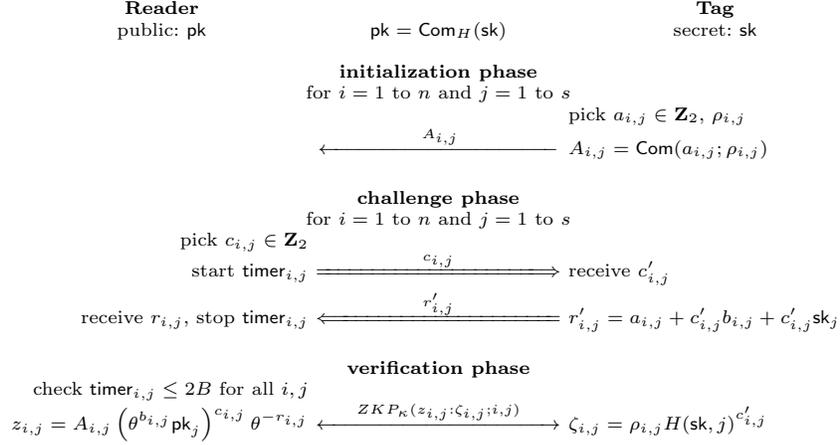
There is no required assumption on the hash function  $H$  except that  $\text{Com}_H$  must be one-way. This is the case when  $H$  is a random oracle (and  $\text{sk}$  is not too small), but  $H$  does not necessarily need to be a random oracle in this construction. We also use a zero-knowledge proof  $\text{ZKP}_\kappa(z_{i,j}; \zeta_{i,j})$  that there exists some  $\zeta_{i,j}$  such that  $z_{i,j} = \zeta_{i,j}^2$  for all  $i, j$ . We can use parallel instances of the protocol from Fig. 5 [43] with enough challenges so that the soundness probability is  $\kappa$ . There, we use a trapdoor commitment scheme ( $\text{Gen}, \text{Commit}, \text{Equiv}$ ).

As shown on Table 1, ProProx is the only public-key DB protocol with full security. However, it does not protect privacy. We can extend ProProx into a protocol eProProx as shown on Fig. 6 to add privacy protection. If  $\text{ProProx}_H(\text{pk})$  denotes the protocol from Fig. 4, we just change the function  $H$  (as used by the tag) into a function  $H'$  and the public key  $\text{pk}$  (as used by the reader) into  $\text{pk}'$ . Essentially, we blind the public key of the tag so that it does not leak from ZKP. Interestingly, the encryption step in this extension is similar to the PKC protocol from Fig. 1. We can indeed use this encrypted channel to identify by transmitting  $\text{pk}$ : now,  $\text{pk}$  is given as an output of the reader instead as an input.

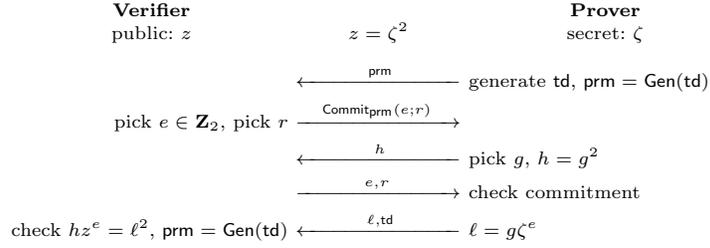
We state the security results for eProProx as they are stated for ProProx in [43]. We however simplified it (in more details, we took  $p_{\text{noise}} = 0$  and  $\tau = n$ ).

**Theorem 1.** *The eProProx protocol is a sound, MiM-secure, DF-resistant, and DH-resistant proof of proximity under the assumption that*

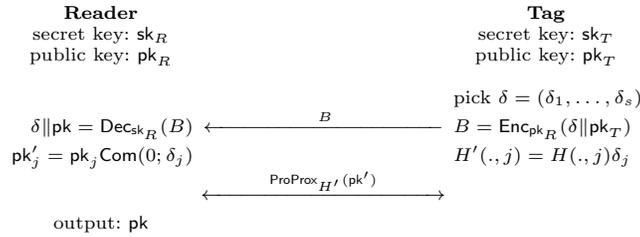
- $\text{Com}$  is a perfectly binding, computationally hiding, and homomorphic bit commitment;



**Fig. 4.** ProProx: Sound Public-Key DB [43].



**Fig. 5.** ZKP( $z : \zeta$ ): a Zero-Knowledge Proof of Existence of  $\zeta$  such that  $z = \zeta^2$  [43].



**Fig. 6.** eProProx: a Privacy Extension for ProProx.

- $\text{Com}_H$  is one-way;
- $\text{ZKP}_\kappa$  is a complete and  $\kappa$ -sound computationally zero-knowledge proof of membership for  $\kappa = \text{negl}$ .

*Proof.* Since the cryptosystem plays no role in the security, we assume without loss of generality that  $\delta \parallel \text{pk}_T$  is sent in clear in  $B$ . We let  $\Gamma_0$  be a security game. We make a new game  $\Gamma_1$  which first picks one tag  $\text{pk}$  at random and succeeds in this is the tag which is identified in the attack. So, the target  $\text{pk}$  is given first. If this game succeeds with negligible probability, then  $\Gamma_0$  succeeds with negligible probability as well. So, we can concentrate on  $\Gamma_1$ .

Reader sessions who do not receive  $\text{pk}$  in  $B$  can just be simulated by the adversary without affecting the success probability. So, we obtain a new game  $\Gamma_2$  in which all readers are dedicated to  $\text{pk}$ .

Since the adversary knows  $\delta$ , he knows the multiplicative factors to change  $\text{pk}_j$  into  $\text{pk}'_j$  in each  $z_{i,j}$  and  $H(\text{sk}, j)$  into  $H'(\text{sk}, j)$  in each  $\zeta_{i,j}$ . So, we can construct an adversary against  $\text{ProProx}_H(\text{pk})$ . Then, we apply the security results from [41,43].  $\square$

**Theorem 2.** *The eProProx protocol is wide-strong private in the HPVP11 sense under the assumption that*

- $\text{Enc/Dec}$  is an IND-CCA-secure cryptosystem;
- $\text{Com}$  is a computationally hiding homomorphic bit commitment;
- $\text{ZKP}_\kappa$  is a computationally zero-knowledge proof of membership.

*Proof.* We consider the HPVP11 game  $\Gamma_0$ . Without loss of generality, we assume that drawn tags run a single session of the protocol (indeed, they can be freed and drawn again to run more sessions).

We first reduce to a game in which no different tag sessions pick the same  $\delta$  vector. So, they never produce the same  $B$ , due to the correctness of the cryptosystem. We obtain a game  $\Gamma_1$  producing the same output as  $\Gamma_0$ , except with negligible probability.

We observe that in the privacy game, the output  $\text{pk}$  on the reader side plays no role and that the reader only needs  $\text{pk}'$  to run  $\text{ProProx}_{H'}(\text{pk}')$ . Namely,  $\delta$  and  $H'$  are of no use to the reader. So, we can change the protocol by having the reader saying to the adversary whether  $\text{pk}$  is a valid key, returning  $\text{pk}'$ , and stopping. Then, the reader messages as in  $\Gamma_1$  can be fully simulated by the adversary. We obtain a game  $\Gamma_2$  in which the reader is only decrypting  $B$ , checking  $\text{pk}$ , computing  $\text{pk}'$ , and releasing it.

Next, we change the game by making sure that if a session  $\pi$  receives  $B$  which was previously issued by one  $\text{vtag}$  after encrypting some  $\delta \parallel \text{pk}_T$ , then  $\pi$  does not use the decryption algorithm but rather continues directly with  $\delta$  and  $\text{pk} = \text{pk}_T$ . Clearly, the simulation is perfect. We let  $\Gamma_3$  denote the new game.

Then, by using hybrids, we replace in  $\Gamma_3$  every  $B$  issued by  $\text{vtag}$  by the encryption of some random junk string. Thanks to the IND-CCA security, we obtain a new game  $\Gamma_4$  which produces the same output, except with negligible probability. So, in  $\Gamma_4$ , it is as if we had a protocol in which  $\text{vtag}$  has some perfectly

secure channel to transmit  $\delta$  and  $\text{pk}_T$  to  $\pi$  but the adversary can plug or unplug this channel.

Then, we change again the protocol by having the tag to release  $\text{pk}'$ . It is already known that  $\text{pk}_T$  is valid. So, the adversary need not ask for it to the reader. Hence, we can now suppress the private channel to the reader, then even give  $\text{sk}_R$  to the adversary and completely get rid of the reader. We obtain a privacy game  $\Gamma_5$  against a protocol in which there are only tags who first pick  $\delta$ , compute  $\text{pk}'$ , release  $\text{pk}'$ , and run  $\text{ProProx}_{H'}(\text{pk}')$ .

We now construct hybrid games of  $\Gamma_5$ . The  $i$ th hybrid is using the right world for the first  $i - 1$   $\text{DrawTag}$  queries and the left world for all queries starting from the  $(i + 1)$ th one. Let  $\text{vtag}$  be the  $i$ th drawn tag. Note that  $\text{vtag}$  runs a single session of the protocol. By giving the secret of all tags to the adversary, we can get rid of all tags except  $\text{vtag}$ . We can then apply the zero-knowledge property of  $\text{ProProx}_{H'}(\text{pk}')$  [41,43] to simulate the view of the adversary and produce the same output in the left or right world of the hybrid, except with negligible probability, by only getting  $\text{pk}'$  from  $\text{vtag}$ . Clearly,  $\text{pk}'$  is just a random commitment of  $\text{sk}$ . So, we can use the hiding property of  $\text{Com}$  to deduce that the left and right worlds of the hybrid produce the same output except with negligible probability.

So, the left and the right worlds of  $\Gamma_5$  are producing the same output except with negligible probability.  $\square$

## 5 Conclusion

As we have seen, we now have pretty mature privacy models for RFID and protocols reaching their stronger flavors. So far, these models fully cover *unilateral authenticated identification* protocols, in which a tag identifies to a reader. These models could be enriched to cover other protocols: we could consider DB protocols in which the tag is already identified, we could consider *bilateral identification* protocols, we could consider protocols with *mutual authentication* (such as in [1,36]). In general, even if we do have a general model for elementary protocol, it is not clear that we could compose private elementary protocols for free. More research must be done for *composable privacy*.

For completeness, we mention that we only discussed privacy related to identifying information. Some other forms of privacy are discussed in the literature, such as the *location privacy* [27,28,29].

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