

# 1 Environomic optimal design of power plants with CO<sub>2</sub> 2 capture.

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## 6 **Abstract**

Life cycle impact assessment indicators are integrated for studying the process integration of renewable resources and CO<sub>2</sub> capture and storage (CCS) in power plants. Besides the expected reduction in global warming potential (GWP), CCS induces energy and cost penalties. This paper presents a systematic multi-objective optimisation framework for the optimal design of power plants with CO<sub>2</sub> capture considering environomic criteria to systematically assess the trade-off between environmental impacts, efficiency and costs. Life cycle assessment is combined with flowsheeting, energy integration, economic evaluation and multi-objective optimisation techniques. Post- and pre-combustion CO<sub>2</sub> capture options for electricity generation processes, using fossil and renewable resources, are assessed. Multi-objective optimisations are performed for various objectives to reveal the influence on the decision making. The calculated CO<sub>2</sub> emissions, allow to assess the impact of the CO<sub>2</sub> tax, considering not only the on-site emissions but taking into account the overall life cycle of the fuel supply, electricity generation and CO<sub>2</sub> capture. The results show that the environomic optimal process design and competitiveness of CO<sub>2</sub> capture highly depends on the considered environmental impact and on the introduction of a carbon tax.

7 *Keywords:* CO<sub>2</sub> capture, Life cycle assessment, Multi-objective  
8 optimisation, Process design, Power plants

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9 **Nomenclature**

10 **Abbreviations**

11 ATR Autothermal Reforming

12 BM Biomass

13 BM pre Biomass fed power plant with pre-combustion CO<sub>2</sub> capture

14 CC Carbon Capture

15 CCI Climate Change Impact

16 CCS Carbon Capture and Storage

17 EI99 Ecoindicator 99 impact method

18 EQ Ecosystem Quality

19 FGR Flue Gas Recirculation

20 FICFB Fast Internally Circulating Fluidised Bed

21 FU Functional Unit

22 GHG Greenhouse Gas

23 GWP Global Warming Potential

24 HH Human Health

25 HP High Pressure

26 Imp Impact 2002+ method

27 IPCC International Panel on Climate Change

28 LCA Life Cycle Assessment

29 LCI Life Cycle Inventory

30 LCIA Life Cycle Impact Assessment

31 LP Low Pressure

32 MEA Monoethanolamine

33 MILP Mixed Integer Linear Programming

34 MOO (moo) Multi-Objectif Optimisation

35 Nb Number

36 NG Natural Gas

37 NGCC Natural Gas Combined Cycle

38 NG pre Natural gas fed power plant with pre-combustion CO<sub>2</sub> capture

39 NG post Natural gas fed power plant with post-combustion CO<sub>2</sub> capture

40 PSA Pressure Swing Adsorption

41 Res Resources

42 RME Rape Methyl Ester

43 WGS Water Gas Shift

44 **Greek letters**

45  $\Delta h^o$  Lower heating value, kJ/kg

46	$\epsilon_{tot}$	Energy efficiency, %
47	$\eta_{CO_2}$	CO <sub>2</sub> capture rate, % or -
48	<b>Roman letters</b>	
49	COE	Electricity production cost, \$/GJ <sub>e</sub>
50	$\dot{E}$	Mechanical/electrical power, kW <sub>e</sub>
51	$\dot{m}$	Mass flowrate, kg/s
52	$\dot{n}$	Molar flowrate, kmol/s
53	$\dot{Q}$	Heat, kW
54	<b>Subscripts</b>	
55	cc	Plant with carbon capture
56	ref	Reference plant without carbon capture
57	<b>Superscripts</b>	
58	+	Material/energy stream entering the system
59	-	Material/energy stream leaving the system

## 60 1. Introduction

61 Carbon capture and storage (CCS) is regarded as a promising measure to  
62 reduce the greenhouse gas emissions. For CO<sub>2</sub> capture in power plants three  
63 different concepts can be distinguished: post-, pre- and oxy-combustion.  
64 Post-combustion CO<sub>2</sub> capture consists in the end-of-pipe separation of the  
65 CO<sub>2</sub> from the flue gas of fuel combustion, while in oxy-fuel combustion pure  
66 oxygen is used for the combustion resulting in a flue gas containing mainly  
67 CO<sub>2</sub> and water which is removed by condensation. In pre-combustion CO<sub>2</sub>  
68 capture the CO<sub>2</sub> is separated after the gasification and reforming of fuel and  
69 the remaining H<sub>2</sub> is used in a gas turbine to generate electricity. Different  
70 technologies can be applied for separating the CO<sub>2</sub> the most common ones  
71 being based on absorption principles (Olajire (2010)).

72 The competitiveness of these options depends on the power cycle, the  
73 resources, the capture technology and the economic scenario. Previous stud-  
74 ies made for European ZEP (2011) and OECD countries Finkenrath (2011)  
75 have mainly focused on technology and economy issues, which is a crucial  
76 part but not sufficient for decision making with regard to sustainable de-  
77 velopment. Several studies have investigated the environmental impacts of  
78 CCS. The review of existing LCA literature made by Corsten et al. (2013)  
79 gives a good insight into environmental impacts of CCS chains and high-  
80 lights the large variation in reported data. For CCS, the different literature  
81 data indicate reductions of the greenhouse gas (GHG) emissions of 65-84%

for pulverized hard coal-fired power plants and of 47-80% for natural gas fired plants corresponding to an absolute GWP of  $22-76 kg_{CO_2,eq}/GJ_e$  and of  $21-68 kg_{CO_2,eq}/GJ_e$  respectively. Zapp et al. (2012) identified that the energy penalty, the capture efficiency and the fuel type have a significant impact on the environmental effects of CCS. A change in the capture rate of  $\pm 5\%$  results in a variation of the GWP of  $\pm 20\%$ . The trade-off between the global warming potential (GWP) and other environmental impacts is revealed by Pehnt and Henkel (2009) for coal power plants and by Singh et al. (2011) for different CCS options in natural gas and coal power plants. Singh et al. (2011) state for NGCC plants with pre-combustion  $CO_2$  capture a reduction in GWP of 64%, an increase in terrestrial acidification of 20% and in human toxicity of 62%. The LCA analysis of Volkart et al. (2013) included biomass based CCS options and Viebahn et al. (2007) compared the impacts of CCS with the one of renewables. For biomass based power plants the GWP can become negative with CCS which means that more GHG emissions are removed from than emitted to the atmosphere assuming sustainable usage of biomass ( ranging from -40 to -320  $kg_{CO_2,eq}/GJ_e$  Volkart et al. (2013) ).

So far, only reduced multi-criteria assessments were applied to power plants with CCS. When comparisons are made, they are mostly made for a given process design. Multi-objective optimisation of the process design with regard to objectives resulting from a rigorous life cycle assessment (LCA) such as presented by Bernier et al. (2010) is rarely performed.

Therefore, the objective of this paper is to systematically compare and optimise different  $CO_2$  capture options taking into account thermodynamic, economic and environmental considerations simultaneously. The process design is optimised in terms of operating conditions and energy integration. In Tock and Maréchal (2013) the systematic methodology for thermo-environmental modelling and optimisation presented by Gerber et al. (2011) has already been applied to assess the competitiveness of  $CO_2$  capture options for natural gas (NG) and biomass (BM) fed power plants. This optimisation focused on the minimisation of the energy penalty and of the local  $CO_2$  emissions (i.e. maximisation of the captured  $CO_2$ ). However, since there is a trade-off between GWP and other environmental impacts (i.e. resources depletion), different life cycle impact objectives will be considered here in order to reveal the influence on the environmental optimal process design and on the decision making.

## 2. Methodology

To make a consistent evaluation of different post- and pre-combustion CO<sub>2</sub> capture process options for electricity generation with regard to environmental, economic and energetic criteria a systematic methodology is applied. For each process option the same design and performance evaluation principles are applied and the same assumptions are made, which allows to make a thorough competitiveness assessment on a common basis. The applied thermo-environomic optimisation methodology follows the one previously presented (Gassner and Maréchal, 2009a; Gerber et al., 2011; Tock and Maréchal, 2012a) combining flowsheeting and energy integration techniques with economic evaluation and life cycle assessment in a multi-objective optimisation framework (Figure 1).

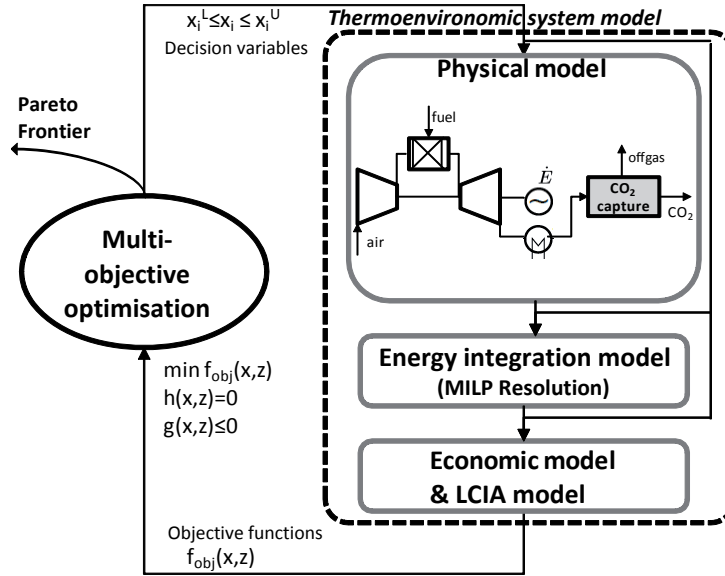


Figure 1: Multi-objective optimisation methodology for environomic optimal process design.

After having summarised potential candidate technologies in a super-structure, flowsheeting models are developed for each unit operation option based on literature data in order to compute the chemical and physical transformations and to identify the process heat transfer requirements. The processes are modelled with the conventional flowsheeting software Belsim Vali and Aspen Plus. The maximal heat recovery and the optimal utility in-

138 tegration are then computed in the energy-integration model by applying  
 139 energy integration techniques (i.e. pinch analysis) solving the heat cascade  
 140 problem to close the thermal energy balance as explained in Maréchal and  
 141 Kalitventzeff (1998). Using the data from the flowsheet and process inte-  
 142 gration models, the costs are estimated based on equipment sizing and cost  
 143 correlations from literature (Turton, 2009; Ulrich and Vasudevan, 2003) and  
 144 the environmental impacts are evaluated by applying the LCA technique.  
 145 Finally, the trade-off between the competing objectives, like investment and  
 146 life cycle emissions or energy efficiency, is assessed by multi-objective op-  
 147 timisation simultaneously optimising several objectives with regard to the  
 148 decision variables (i.e. technology selection and operating conditions). Ap-  
 149 plying an evolutionary algorithm (Molyneaux et al. (2010)) implemented  
 150 in Matlab<sup>®</sup> the Pareto frontiers are generated and the values of the deci-  
 151 sion variables defined. Evolutionary algorithms working with populations  
 152 instead of a single data point, do not generate one single optimal solution  
 153 but multiple promising solutions in the form of a Pareto-optimal frontier.  
 154 The Pareto-optimal solutions correspond to the configurations for which it is  
 155 not possible to improve one objective without simultaneously downgrading  
 156 one of the other objectives. In contrast to multi-criteria evaluations which  
 157 compare given solutions (i.e. process designs), the gain of multi-objective  
 158 optimisation is to generate the best solutions. The advantage of including  
 159 the process integration model and the life cycle assessment model in the de-  
 160 sign process is that the influence of the design and operation is reflected on  
 161 the thermo-environomic performance of the energy balanced system. This  
 162 allows to make a systematic comparison of CO<sub>2</sub> capture options in power  
 163 plants applications.

## 164 *2.1. Process description and modeling*

165 Different pre- and post-combustion CO<sub>2</sub> capture options for electricity  
 166 generation using natural gas (NG) or woody biomass (BM) as a resource are  
 167 investigated and illustrated in Figure 2. Oxy-fuel combustion processes and  
 168 coal fed power plants are not considered in this study. However, based on the  
 169 energy integration analysis made in Urech et al. (2014) these options could  
 170 be included following the same approach.

171  
 172 The three representative CO<sub>2</sub> capture options that are studied are:

- 173 • Post-combustion CO<sub>2</sub> capture by chemical absorption with monoethanolamine  
 174 (MEA) applied to a natural gas combined cycle (NGCC) plant. The

plant size is defined by the thermal input of natural gas being in the order of  $582 \text{ MW}_{th,NG}$ . This option is abbreviated hereafter as *NG post-*. The post-combustion  $\text{CO}_2$  capture process is the same as the one described in Tock and Maréchal (2014).

- Pre-combustion  $\text{CO}_2$  capture by physical absorption with Selexol in a natural gas fueled power plant based on autothermal reforming. The plant size is defined by the thermal input of natural gas of  $725 \text{ MW}_{th,NG}$ . This option is hereafter referred to as *NG pre-*. The natural gas based pre-combustion  $\text{CO}_2$  capture process models have been described and analysed previously in Tock and Maréchal (2012b) and Tock and Maréchal (2012d) for  $\text{H}_2$  production applications.
- Pre-combustion  $\text{CO}_2$  capture by physical absorption with Selexol in a biomass fired power plant based on fast internally circulating fluidised bed gasification. The plant size is defined as  $380 \text{ MW}_{th,BM}$ . The biomass plant's scale is limited by the biomass availability and the logistics of wood transport, as explained in Gerber et al. (2011). The biomass resource is wood characterised by a weight composition of 51.09%C, 5.75%H, 42.97%O and 0.19% N, and a humidity of 50%wt. This option is hereafter labeled as *BM pre-*. The biomass based pre-combustion  $\text{CO}_2$  capture process models have been described and analysed previously in Tock and Maréchal (2012c).

For all the cases  $\text{CO}_2$  compression to 110 bar for subsequent transport and storage is included (Belsim Vali model) to evaluate the thermo-environmental performance. However, the storage itself being beyond the scope of this study is not accounted for. The decision variables for the investigated pre- and post-combustion processes are reported in Tables 2 and 3.

## 2.2. Process modeling

The process models are developed with the conventional flowsheeting software (Aspen Plus for the  $\text{CO}_2$  capture unit and Belsim Vali for the other process units) based on common operating conditions reported in literature.

### 2.2.1. Natural gas reforming

The autothermal reforming reactor is modeled as an isothermal reactor assuming that the reforming with water, the partial oxidation with air and

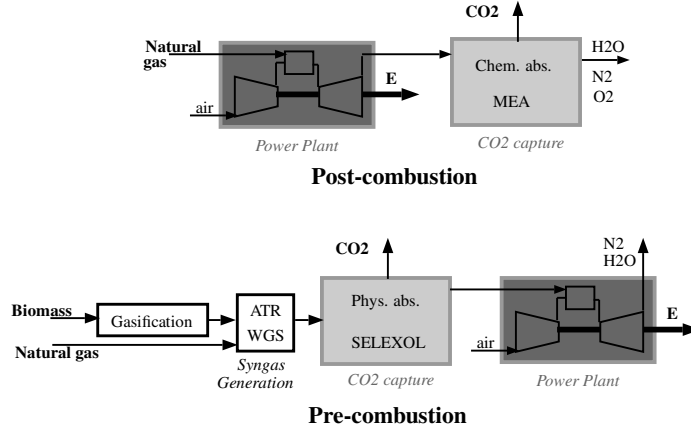


Figure 2: Investigated CO<sub>2</sub> capture process options.

209 the water gas shift reactions reach thermodynamical equilibrium defined by  
 210 the reaction temperature. The H<sub>2</sub> and CO<sub>2</sub> content is increased after the  
 211 reformer by a dual shift reactor modeled as isothermal reactor following the  
 212 approach outlined in Marechal et al. (2005) applying the minimum exergy  
 213 losses representation. This modeling approach allows to decouple the heat  
 214 transfer from the chemical reaction heat and consequently to maximise the  
 215 energy recovery for power generation. After CO<sub>2</sub> removal (section 2.2.3), the  
 216 H<sub>2</sub> is purified by pressure swing adsorption modeled based on the approach  
 217 of Gassner and Maréchal (2009b) with data for H<sub>2</sub>/CO<sub>2</sub> separation from  
 218 Jee et al. (2001). The purity and the amount of H<sub>2</sub> and CO<sub>2</sub> recovered in  
 219 the respective outlet streams is essentially defined by the PSA cycle design,  
 220 namely the durations of the adsorption, recycling and purging periods. The  
 221 H<sub>2</sub>-rich fuel is fed to a gas turbine for heat and power generation. The main  
 222 operating conditions are reported in Table 3.

### 2.2.2. Biomass gasification

224 The major process steps are wood drying, indirectly heated fast inter-  
 225 nal fluidised bed gasification (FICFB) with steam oxidant, gas cleaning, gas  
 226 treatment by reforming and water gas shift followed by H<sub>2</sub> separation and  
 227 purification as described in Tock and Maréchal (2012c). The chemical con-  
 228 version in the gasifier operating at around 0.1 MPa and 1000 K is modeled  
 229 by equilibrium relationships with an artificial temperature difference as ex-  
 230 plained in Gassner and Maréchal (2009b). After the gasification the syngas



is treated in two sequential water gas shift (WGS) reactors to increase the  $H_2$  and  $CO_2$  concentrations before  $CO_2$  removal. The gas treatment and purification technologies are the same as in the natural gas fed process. The high temperature heat required for the gasification and the reforming is satisfied by the combustion of process off gas and if necessary by burning part of the process syngas. The main operating conditions are reported in Table 3.

### 2.2.3. $CO_2$ capture model

The chemical absorption with monoethanolamine model is based on the one presented in Bernier et al. (2010) and Tock and Maréchal (2014). In the thermodynamic model, the electrolyte NRTL method is used for the liquid phase and the Redlich-Kwong method for the vapour phase. The absorber and desorber are modelled in Aspen Plus as rate based RadFrac columns including reaction kinetics. The  $CO_2$  capture rate is defined by the columns design (i.e. number of stages, diameter, etc.) and the operating conditions summarised in Table 2. The major drawback of chemical absorption is large energy requirement for the solvent regeneration which is in the range of 1.5-3.4 GJ/t $_{CO_2}$  (Metz et al. (2005)).

Compared to chemical absorption the thermodynamic modelling of the physical absorption with Selexol is less complex since no ions are involved and no chemical reactions take place in the absorber/desorber. The model is adapted from the default models for physical solvents available from *AspenTech*. To model the thermo-physical properties the PC-SAFT equation of state model for vapour pressure, liquid density, heat capacity and phase equilibrium is used. The absorber is modelled as a RadFrac column and the desorber as a single stage flash unit. The  $CO_2$  capture rate is defined by the flowrate of the lean solvent and the columns design. The main decision variables of the physical absorption process are reported in Table 3.

### 2.3. Thermo-economic performance

The thermo-economic performance is evaluated based on the following indicators. The energy efficiency  $\epsilon_{tot}$  is defined by the ratio between the net electricity output ( $\Delta\dot{E}^- = \dot{E}^- - \dot{E}^+$ ) and the resources energy input (Eq. 1). The reported efficiencies are expressed on the basis of the lower heating value.

$$\epsilon_{tot} = \frac{\Delta\dot{E}^-}{\Delta h_{feed,in}^o \cdot \dot{m}_{feed,in}} \quad (1)$$

264 The CO<sub>2</sub> capture rate  $\eta_{CO2}$  is expressed by the molar ratio between the  
265 captured CO<sub>2</sub> and the carbon entering the system (Eq. 2). The CO<sub>2</sub> capture  
266 rate depends on the process design, especially on the operating conditions  
267 and on the design of the absorber and desorber units. The CO<sub>2</sub> capture rate  
268 is based on the local CO<sub>2</sub> emissions and does not account for all the CO<sub>2</sub>  
269 emissions from resource extraction and transportation which are evaluated  
270 in the LCA (section 2.4).

$$\eta_{CO2} = \frac{\dot{n}_{CO2,captured}}{\dot{n}_{C,in}} \cdot 100 \quad (2)$$

271 The electricity production costs (COE) include the annual capital invest-  
272 ment and the operation and maintenance costs. The capital investment of  
273 each equipment is update to year 2013 with the Marshall and Swift cost index  
274 accounting for inflation. The total capital investment is annualised taking  
275 into account the interest rate and the plant lifetime. The maintenance costs  
276 are assumed to be 5% of the initial annual investment. The operating costs  
277 mainly consist of the purchase of the resources, which are here the natural  
278 gas and biomass feedstock. The resource price is based on the price of nat-  
279 ural gas reported by ZEP (2011). A sensitivity analysis is made in section  
280 3.2.2 to reveal the influence of the resource price. If indicated a carbon tax  
281 on local or life cycle CO<sub>2</sub> emissions (i.e. tax CO<sub>2</sub> local / LCA) is accounted  
282 in the COE. The influence of the carbon tax is studied in section 3.2.1. The  
283 economic assumptions are summarised in Table 1.

Table 1: Definition of the economic the economic assumptions.

Parameter	Value
Yearly operation [h/y]	7500
Economic lifetime [y]	25
Interest rate [%]	6
Marshall & Swift Index <sup>2003</sup> [-]	1473.3
Resource price [\$ / GJ <sub>res</sub> ]	9.7

284 The CO<sub>2</sub> capture cost is evaluated by the CO<sub>2</sub> avoidance costs, which  
285 are expressed in Eq.3 by the difference of the local CO<sub>2</sub> emissions and the  
286 difference of the total production cost with regard to a reference plant without  
287 CO<sub>2</sub> capture. The competitiveness is compared with a conventional NGCC

288 plant ( $559 \text{ MW}_{th,NG}$ ) without  $\text{CO}_2$  capture yielding an efficiency of 58.8 %  
 289 (Table 4). All the reported cost data refer to year 2013.

$$\$/t_{\text{CO}_2, \text{avoided}} = \frac{COE_{CC} - COE_{ref}}{\dot{m}_{\text{CO}_2, \text{emitted}_{ref}} - \dot{m}_{\text{CO}_2, \text{emitted}_{CC}}} \frac{[\$/GJ]}{[t_{\text{CO}_2}/GJ]} \quad (3)$$

#### 290 2.4. Life cycle assessment model

291 With regard to  $\text{CO}_2$  emissions mitigation, an assessment of the overall life  
 292 cycle environmental impacts from the resource extraction along the produc-  
 293 tion chain to the final product, including off-site emissions and construction  
 294 emissions, is essential. Life cycle assessment (LCA), standardised in ISO  
 295 14040 & 14044, has been proven to be suitable for this scope. In this study  
 296 the objective of the LCA is to get life cycle impact assessment (LCIA) in-  
 297 dicators which reflect the influence of the system design on the performance  
 298 and allow to identify the environomic optimal process design. Therefore, the  
 299 life cycle inventory (LCI) is expressed as a function of the characteristics of  
 300 the thermo-economic model (i.e. design variables, mass and energy balances,  
 301 equipment size) following the adapted LCA methodology for the conceptual  
 302 design presented by Gerber et al. (2011). The four main stages of LCA are  
 303 the mandatory ones of the ISO-norm: the goal and scope definition, the life  
 304 cycle inventory, the impact assessment and the interpretation.

##### 305 2.4.1. Goal and scope definition

306 The scope of this study is to evaluate and compare the environmental  
 307 performance accounting for the whole life cycle from cradle-to-grave of dif-  
 308 ferent configurations of power plants with  $\text{CO}_2$  capture for a wide range of  
 309 environmental impacts not only limited to the GWP but as well accounting  
 310 the impacts on human health, ecosystem quality and resources depletion.  
 311 Therefore, the functional unit (FU) is defined as 1  $\text{GJ}_e$  of net electricity pro-  
 312 duced by the plant. The expected lifetime of the plant is assumed to be 25  
 313 years.

##### 314 2.4.2. Life cycle inventory

315 In the LCI phase every flow, crossing the system boundaries as an ex-  
 316 traction or an emission, which is necessary to one of the unit processes, is  
 317 identified and quantified based on the thermo-economic model. For the pro-  
 318 cess equipments of the thermo-economic model, the methodology presented in

319 Gerber et al. (2011) is used for a non-linear impact scaling. The LCI model is  
 320 illustrated in Figure 3 for typical pre-combustion CO<sub>2</sub> capture processes. For  
 321 each LCI element, the data available from the ecoinvent<sup>®</sup> database (Ecoin-  
 322 vent, 2013) are used to compute the different contributions of the process  
 323 modelled in this study. The major process steps are resource extraction and  
 324 transport, heat and power generation and CO<sub>2</sub> removal. The inventory is  
 325 made for the European /Swiss context. The main inputs are the feedstocks  
 326 (natural gas and biomass), the MEA for CO<sub>2</sub> capture, the auxiliary materials  
 327 for the gasification and gas cleaning (olivine, sorbalit, rape methyl ester, cal-  
 328 cium carbonate, limestone) and the catalysts for the reforming and water gas  
 329 shift (zinc, nickel and aluminum oxide catalysts). For natural gas, the stan-  
 330 dard natural gas mix for Switzerland transported by long distance pipeline  
 331 mainly from Germany, Russia, Norway and the Netherlands is considered.  
 332 The biomass is assumed to be a mix of soft and hardwood residues from  
 333 European forests transported to the plant by diesel trucks of 28 t having a  
 334 capacity of 40 m<sup>3</sup>. The average distance for the wood transport is linked to  
 335 the plant size and corresponds for a plant of 350 MWth<sub>BM</sub> to 88 km. The  
 336 main emissions and wastes are the combustion products CO<sub>2</sub>, NO<sub>x</sub> and par-  
 337 ticle matter, and the MEA degradation losses which are assumed to be 1.6  
 338 kg<sub>MEA</sub>/t<sub>CO2</sub>.

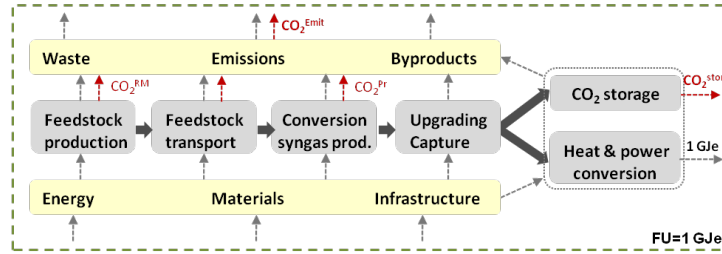


Figure 3: System's boundary for life cycle inventory of pre-combustion CO<sub>2</sub> capture processes.

339 The impacts of the CO<sub>2</sub> transport and storage are not included in the  
 340 inventory because it is not known exactly where and how this will be done,  
 341 as the technology is still in development and there are a lot of uncertainties,  
 342 especially with regard to the environmental consequences. This simplification  
 343 is justifiable for this comparative study, as the specific impact per kg of CO<sub>2</sub>  
 344 captured will be equal in all the cases.

### 2.4.3. Life cycle impact assessment

In the LCIA step the environmental impact is computed by aggregating the vector of the different elementary flows of emissions and extractions obtained for each element of the LCI in indicators of environmental significance, termed as impact categories. The aggregation is performed with an impact assessment method, which is a matrix containing the weightings for the elementary flows. In this study, different impact methods are compared to address the influence on greenhouse gas emissions, ecosystem, human health and resources. The method of the International Panel on Climate Change (IPCC) 2007 (IPCC (2007)) is used to calculate the global warming potential in terms of equivalent CO<sub>2</sub> emissions on a 100 years time-horizon. It has to be noted that the GWP of fossil CO<sub>2</sub> emissions is standardised to 1, while for biogenic CO<sub>2</sub> emissions the GWP is considered as 0. Storage of fossil CO<sub>2</sub> accounts as zero to GWP, while storage of biogenic CO<sub>2</sub> leads to a GWP of -1. The negative balance is due to the fact that the released CO<sub>2</sub> was previously fixed in the plant as hydrocarbon by photosynthesis. In addition to the climate change impact (CCI), the impacts on resources (Res), human health (HH) and ecosystem quality (EQ) are evaluated by the Impact 2002+ method (endpoint categories) and the damage-oriented Ecoindicator-99-(h,a) method (hierarchist perspective, single score). In the Ecoindicator-99 method (Goedkoop and Spriensma (2000)) climate change is accounted in the human health impact aggregating also carcinogenic, ozone layer depletion and respiratory effects. The respective weighting factors are for the Ecoindicator-99 method 40 % HH, 40 % EQ and 20 % Res.

### 2.5. Multi-objective optimisation

The decision variables for the optimisation are the process operating conditions (i.e. T and P of the process units, design of ab- and desorption columns). The details are reported in Tables 2 and 3. Four different multi-objective optimisation problems are considered to study the influence of the environmental objective on the environomic optimal process design. In each multi-objective optimisation problem, the efficiency is maximised, the electricity production costs are minimised and the environmental impact (assessed by different LCA indicators) are minimized. The three objectives are simultaneously optimised without applying any weighting or normalisation.

- MOO CO<sub>2</sub> capt.:  $\max \epsilon_{tot}, \max \eta_{CO2}$
- MOO GWP:  $\max \epsilon_{tot}, \min \text{GWP kg}_{CO2,eq}/\text{GJ}_e, \min \text{COE}$

- MOO EI99: max  $\epsilon_{tot}$ , min total impact Ecoindicator-99, min COE
- MOO Imp.: max  $\epsilon_{tot}$ , min total impact Impact 2002+, min COE

Table 2: Decision variables for the post-combustion CO<sub>2</sub> capture process using chemical absorption process with monoethanolamine.

Operating parameter	Range
FGR [-]	[0-0.56]
Lean solvent CO <sub>2</sub> loading [kmol/kmol]	[0.18-0.25]
Rich solvent CO <sub>2</sub> loading [kmol/kmol]	[0.4-0.5]
Rich solvent pre-heat T [°C]	[95-105]
Rich solvent re-heat T [°C]	[115-125]
LP stripper pressure [bar]	[1.7-2.1]
HP / LP pressure ratio [-]	[1-1.5]
MEA % in solvent [-]	[0.3-0.35]
Absorber steam out [kg <sub>H2O</sub> /t <sub>FG</sub> ]	[306-309.5]
Split fraction [-]	[0-0.7]
Nb stages absorber	[10-17]
Nb stages HP stripper	[8-15]
Nb stages LP stripper	[6-10]
Absorber diameter [m]	[6-12]
HP stripper diameter [m]	[3-6]
LP stripper diameter [m]	[2-5]

### 3. Results

#### 3.1. Base case configurations

Three base case configurations are first analysed and compared to a state of the art natural gas combined cycle. For the post- and pre-combustion capture in natural gas combined cycles a capture rate of 90 % CO<sub>2</sub> is considered, while a CO<sub>2</sub> capture rate of 60 % is considered for the biomass based processes. The thermo-environomic performance are evaluated considering an average Swiss - European context. For the biomass process a lower capture rate is chosen to get a good compromise between efficiency and capture rate, higher capture rates being difficult to reach due to the intrinsic inefficiency of the biomass conversion process. It has however to be highlighted that the carbon captured in the case of the biomass fed processes is biogenic carbon which leads de facto to a reduction of the CO<sub>2</sub> concentration in the atmosphere. Table 4 summarises the thermo-environomic performance. The results show that for natural gas fed power plants, CO<sub>2</sub> capture induces an

Table 3: Decision variables for the pre-combustion CO<sub>2</sub> capture (physical absorption with Selexol solvent) processes using natural gas or biomass as a feedstock.

Section	Specification	Range
Biomass drying	T [K]	473
Biomass pyrolysis	T [K]	533
Biomass gasification	$\theta_{wood,gasif\_in}$ [%wt]	[5-35]
	T [K]	[1000-1200]
	P [bar]	[1-15]
SMR after gasification	T [K]	[950-1200]
ATR	T [K]	[780-1400]
	P [bar]	[1-30]
	S/C [-]	[0.5- 6]
WGS	T <sub>HTS</sub> (NG/BM) [K]	[523-683]/[573-683]
	T <sub>LTS</sub> (NG/BM) [K]	[423-523]/[423-573]
	P (BM) [bar]	[1-25]
	S/C (BM) [-]	[0.2-4]
CO <sub>2</sub> capture	DEPG/CO <sub>2</sub> ratio [kg/kg]	[8-14]
	Absorber T [ $^{\circ}$ C]	[-18-173]
	Absorber P [bar]	[10-60]
	Nb stages absorber	10
	Absorber packing	Pall ring
	Regeneration P [bar]	[1-10]
	Regeneration T [ $^{\circ}$ C]	[25-100]

energy penalty of 6-9 percentage points and a cost penalty of about 5-6 \$/GJ<sub>e</sub> yielding CO<sub>2</sub> avoidance cost around 60-66 \$/t<sub>CO<sub>2</sub>,avoided</sub>. The penalty of CO<sub>2</sub> capture is explained by the additional cost and energy consumption for CO<sub>2</sub> capture (4-7 %) and compression (2 %).

402

### 3.1.1. Environmental performance

The life cycle environmental performance assessed with different impact methods is illustrated in Figures 4 and 5. The detailed contributions buildup of the impact categories (HH, Res, EQ) assessed with the Ecoindicator-99 method are reported in Appendix Figures 12-14.

With regard to the climate change impact assessed with the IPCC 2007 method (Figure 4) the benefit of capturing CO<sub>2</sub> is clearly seen compared to a plant without CO<sub>2</sub> capture. With a capture rate of 90 %, the GWP is reduced to 34 kg<sub>CO<sub>2</sub>,eq</sub>/GJ<sub>e</sub> with post-combustion CO<sub>2</sub> capture compared to a

Table 4: Thermo-economic performance of the base case configurations.

Process	NGCC	NG post-	NG pre-	BM pre-
Feed [ $\text{MW}_{th,NG/BM}$ ]	559	587	725	380
CO <sub>2</sub> capture [%]	0	89.5	89.1	59
$\epsilon_{tot}$ [%]	58.75	49.6	52.6	34.8
Power Balance				
Net electricity [ $\text{MW}_e$ ]	328	291	375	132
$\dot{E}_{Consumption}^+$ [ $\text{MJ}_e/\text{GJ}_{e,net}$ ]	-	108.3	146.6	342.4
$\dot{E}_{SteamNetwork}^-$ [ $\text{MJ}_e/\text{GJ}_{e,net}$ ]	340.7	341.3	177.6	346.2
$\dot{E}_{GasTurbine}^-$ [ $\text{MJ}_e/\text{GJ}_{e,net}$ ]	659.3	767	969	996.2
Economic Performance				
Invest. [ $\$/\text{kW}_e$ ]	555	909	813	3880
COE no CO <sub>2</sub> tax [ $\$/\text{GJ}_e$ ]	18.3	23.7	24.5	49.5
Avoidance costs [ $\$/\text{t}_{CO_2,avoided}$ ]	-	60	66	113
Environmental Performance				
Local CO <sub>2</sub> emissions [ $\text{kg}_{CO_2}/\text{GJ}_e$ ]	105	14.9	11.5	0
IPCC GWP [ $\text{kg}_{CO_2,eq}/\text{GJ}_e$ ]	120	34	31.9	-134.2
El99 [pts/ $\text{GJ}_e$ ]	7.48	7.7	8.1	6.1
Impact 2002 [ $10^{-3}\text{pts}/\text{GJ}_e$ ]	28.9	20.8	22.4	3.2

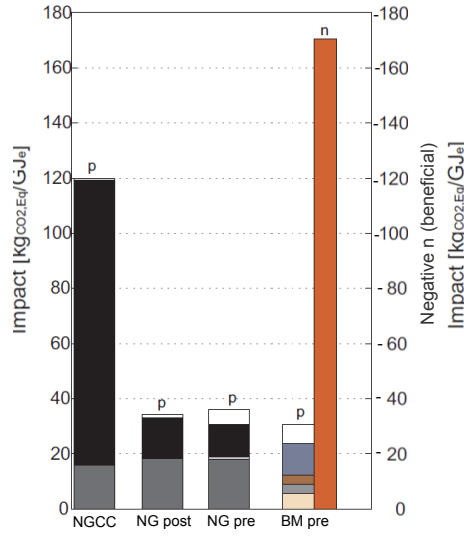


Figure 4: Comparison of the climate change impact based on the impact method IPCC 07. Positive (harmful) contributions are label with a  $p$ , and negative (beneficial) contributions are labeled with an  $n$ .



conventional NGCC plant ( $120 \text{ kg}_{CO_2,eq}/\text{GJ}_e$ ). Pre-combustion  $\text{CO}_2$  capture (60 %) in biomass fed power plants even leads to a negative balance of  $-135 \text{ kg}_{CO_2,eq}/\text{GJ}_e$  due to the advantage of capturing biogenic  $\text{CO}_2$ . In Figure 4 the positive emissions of the plant are distinguished from the negative contributions related to the  $\text{CO}_2$  captured from the atmospheric  $\text{CO}_2$  by the photosynthesis during the biomass production. For the natural gas fed processes the major contributions to the greenhouse gas emissions are coming from the natural gas extraction and transport, and from the uncaptured  $\text{CO}_2$ . With  $\text{CO}_2$  capture, the contribution from the natural gas is slightly larger because of the lower power plant efficiency. Due to the energy demand for  $\text{CO}_2$  capture and compression, the natural gas consumption is increased to produce 1 GJ of electricity compared to a conventional NGCC having a higher productivity.

With the Impact 2002+ method, the benefit of capturing  $\text{CO}_2$  is also revealed (Figure 5). The overall environmental impact of the power plants with  $\text{CO}_2$  capture is lower than for the plants without capture due to the reduced climate change impact, even if the resources impact is increased. However, with the Ecoindicator-99 method, the overall impact of  $\text{CO}_2$  capture in a NGCC plant is 3 % higher than without capture because of the impact on the depletion of fossil resources. In this method the resources impact overweighs the climate change benefit (included in the human health impact). For natural gas fed processes, the largest impact is coming from the resources depletion followed by the human health and the ecosystem. For  $\text{CO}_2$  capture in a biomass fed power plant the overall impact is however lower than for the reference plant without  $\text{CO}_2$  capture, even if the impact on the ecosystem is much more important. The impact on the ecosystem is large, due to the extraction of a renewable resource and due to the contribution of the rape methyl ether (RME) used in the syngas cleaning step. When using palm biodiesel instead of RME, the ecosystem impact could be reduced by 35%. This results from the Ecoinvent data reporting  $2.08 \text{ kg}_{CO_2,eq}/\text{kg}_{RME}$  and  $1.71 \text{ kg}_{CO_2,eq}/\text{kg}_{PalmOil}$  respectively for the GWP assessed with the IPCC method.

It is interesting to note that with respect to the selected environmental indicator, the  $\text{CO}_2$  capture options on fossil fuel have a higher impact than the configurations without  $\text{CO}_2$  capture. This is explained by the decrease of the process efficiency that translates into a higher consumption of resources to produce the same amount of electricity. This highlights the difficulty of the single score life cycle assessment methods where the weighting factors may create biases in the analysis. This also stresses on the need of conduct-

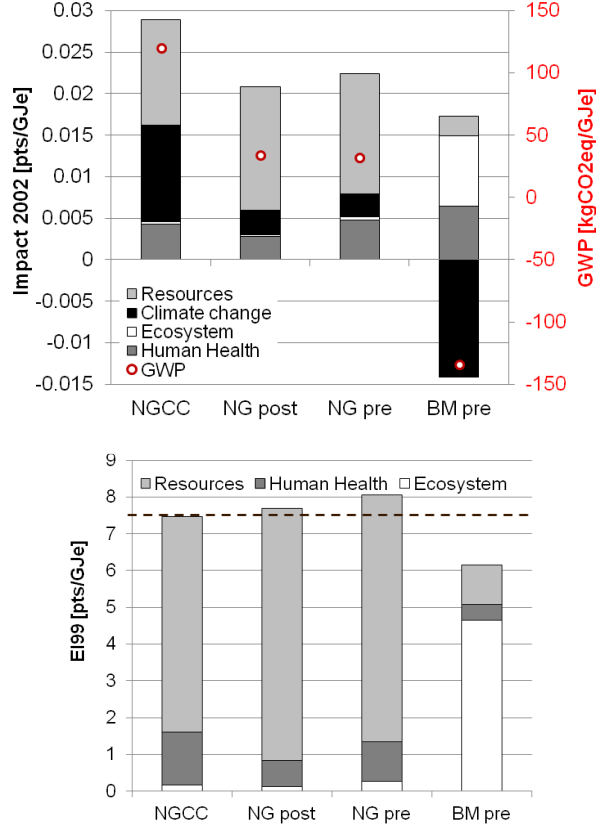


Figure 5: Environmental impacts comparison for base case power plant designs without and with CO<sub>2</sub> capture. Top: Impact method Impact 2002+, Bottom: Impact method Ecoindicator-99 (h,a).

ing multi-objective optimisation strategies, if such indicators are used for optimising the system design as the choice of the impact method influences the CO<sub>2</sub> capture options performance evaluation and thus on the selection of the optimal process design.

### 3.2. Thermo-environmental optimisation

In order to see the influence of the choice of the environmental objective on the environomic optimal process design, different multi-objective optimisations, defined in Section 2.5, are performed. The trade-off between the competing objectives is illustrated by the Pareto frontiers in Figure 6.

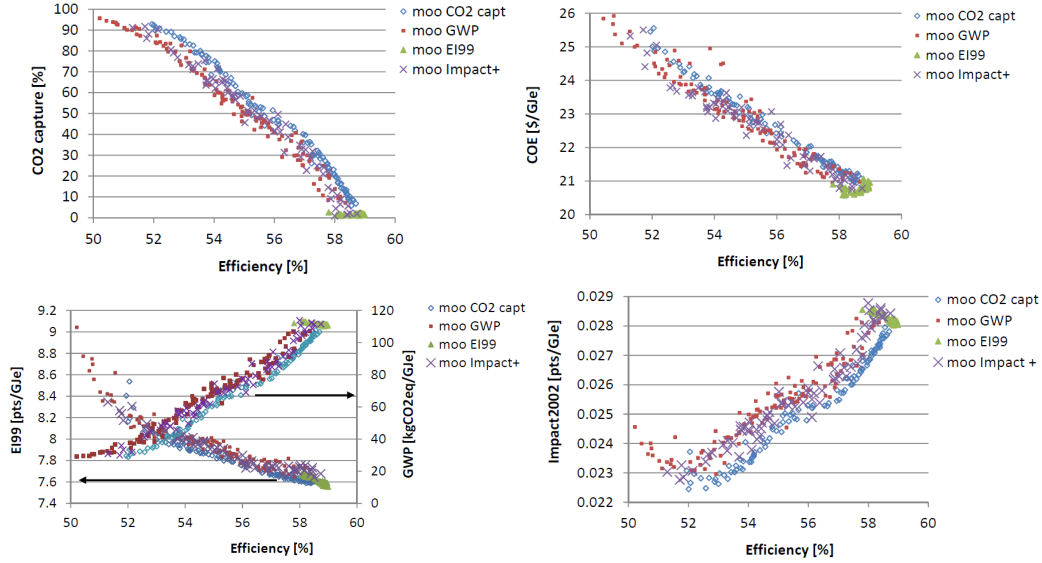


Figure 6: Influence of the objective function on the Pareto optimal solutions for the natural gas fed power plant with pre-combustion CO<sub>2</sub> capture: Thermo-economic and environmental trade-off.

459 The efficiency and cost penalty of CO<sub>2</sub> capture can clearly be seen (Fig-  
 460 ure 6 top). At high capture rates the efficiency is decreased by around 8  
 461 percentage points and the COE is increased by 5\$/GJ<sub>e</sub> due to the additional  
 462 energy consumption and equipments for CO<sub>2</sub> capture and compression. In  
 463 terms of environmental performance, the opposite behaviour between the  
 464 Ecoindicator-99 and GWP impact is clearly revealed for the option of a nat-  
 465 ural gas fed plant with pre-combustion CO<sub>2</sub> capture. Optimising local CO<sub>2</sub>  
 466 emissions or the GWP or the total impact assessed with the Impact 2002+  
 467 method leads to the same process designs. That is to say that the assessed  
 468 process operating conditions (i.e. the decision variables values) are the same  
 469 for the same CO<sub>2</sub> capture rate, which leads consequently also to the same  
 470 performance. However, when minimising the Ecoindicator-99 total impact,  
 471 the optimisation leads to solutions which yield high efficiencies and low CO<sub>2</sub>  
 472 capture rates (i.e. high emissions). This trend can be explained by the in-  
 473 creased impact on the resources which overweights the decreased impact on  
 474 the human health (incl. climate change) at high capture rates. The same con-  
 475 clusions can be drawn from the optimisation results of the post-combustion  
 476 CO<sub>2</sub> capture process. While for CO<sub>2</sub> capture in biomass fed power plants,

the optimisation with the objective function Ecoindicator-99 impact leads to the same optimal solutions as with the other impact methods, because the capture of biogenic CO<sub>2</sub> results in a decrease of the environmental burdens assessed with any of the three impact methods.

### 3.2.1. Carbon tax influence

To evaluate the economic competitiveness of each process design generated by the optimisation and to support decision making, the impact of the introduction of a carbon tax on the local CO<sub>2</sub> emissions and on the whole life cycle CO<sub>2</sub> emissions is assessed. Figure 7 reveals that for low CO<sub>2</sub> taxes process designs with high GWP (i.e. low  $\eta_{CO_2}$ , high  $\epsilon_{tot}$ ) lead to the lowest COE, while for taxes higher than 50 \$/tCO<sub>2</sub> process designs with low GWP become profitable.

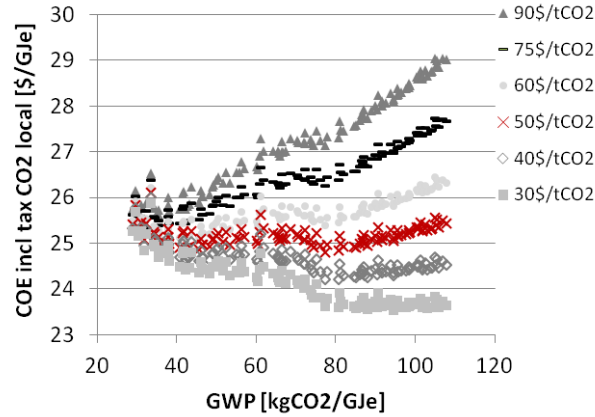


Figure 7: Influence of carbon tax on the COE of the natural gas fed power plant with pre-combustion capture.

For a given carbon tax, the process design yielding the lowest COE (incl. tax) has been identified from all the Pareto optimal solutions generated for the the post- and pre-combustion options fed with biomass or natural gas. The results are illustrated in Figure 8 which highlights also the break-even carbon tax for which the CO<sub>2</sub> capture becomes competitive compared to a conventional NGCC plant. The slope change is related to a switch of the optimal process design with CO<sub>2</sub> capture. The decrease in COE (incl. tax CO<sub>2</sub> LCA) after the maximum is due to a transition of the resource from natural gas to biomass. The performance results of the most competitive

processes designs for different carbon tax values are reported in Table 5 and Figures 9 and 10.

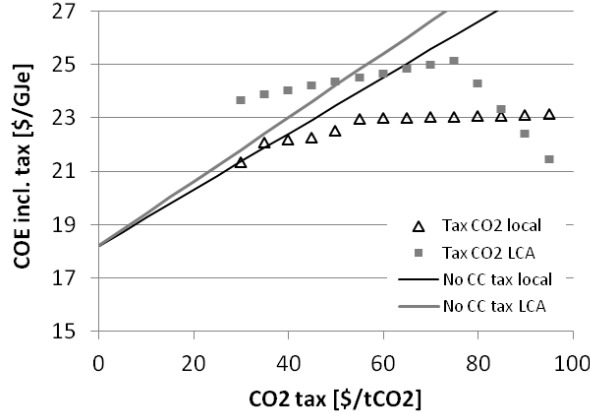


Figure 8: Influence of carbon tax on the COE of the most economically competitive process identified among all the investigated options (NG-post, NG-pre, BM-pre).

Table 5: Performance of the optimal process designs yielding the lowest COE (Figures 9 and 10 ).

Carbon tax [\$/t <sub>CO2</sub> ]	tax local CO <sub>2</sub>				tax LCA CO <sub>2</sub>		
	30	35	50	55	30	35	80
Process	NG pre-	NG pre-	NG pre-	NG post-	NG post-	NG post-	BM pre-
CO <sub>2</sub> capture rate [%]	1.2	33.6	38.8	83.9	76.6	83.9	71.9
Efficiency [%]	58.2	56.8	56.5	50.6	51.6	50.5	39.3
COE incl. tax [\$/GJ <sub>e</sub> ]	21.3	22.1	22.5	23.0	23.7	23.9	24.9

With a carbon tax up to 50 \$/t<sub>CO2</sub> on the local CO<sub>2</sub> emissions, pre-combustion designs with capture rates up to 38 % are competitive, while post-combustion capture with high capture rates becomes interesting for taxes above 55 \$/t<sub>CO2</sub>. Figure 9 shows the reduction of the climate change impact with the increasing tax, leading to a lower overall environmental impact evaluated with the Impact 2002+ and IPCC method and a slightly higher one with the Ecoindicator-99 method due to the resources impact. If a tax is introduced on the life cycle CO<sub>2</sub> emissions, then high capture rates (80 % post-combustion) reducing the climate change impact (Figure 10) already become competitive for low taxes 30-75 \$/t<sub>CO2</sub>, while for higher taxes biomass processes emerge due to the environmental benefit of capturing biogenic CO<sub>2</sub>.

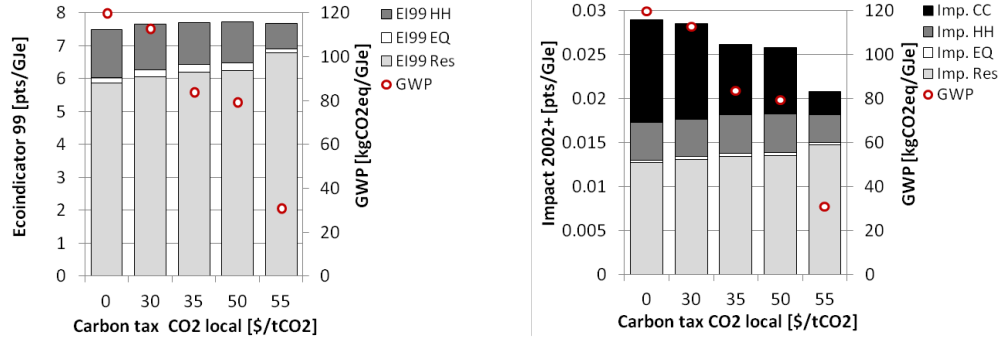


Figure 9: Environmental impact of the process designs with the lowest COE including a tax on the local CO<sub>2</sub> emissions (Table 5).

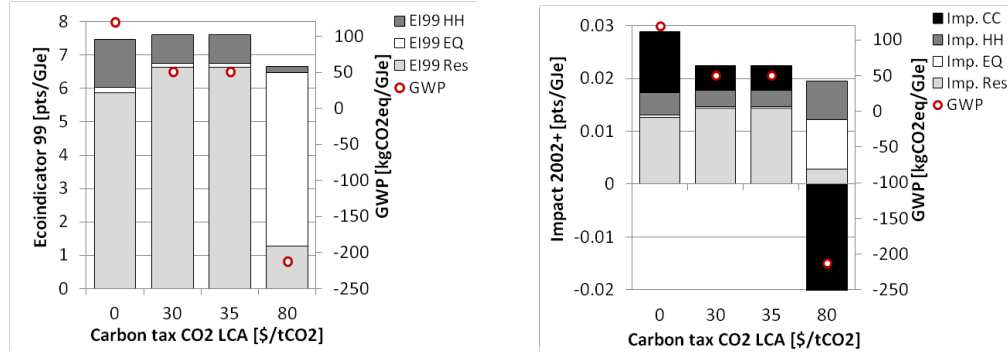


Figure 10: Environmental impact of the process designs with the lowest COE including a tax on the life cycle CO<sub>2</sub> emissions (Table 5).

511 These results reveal that the environomically optimal process design is highly  
 512 influenced by the introduction of a carbon tax.

### 513 3.2.2. Resource price influence

514 The environomic optimal process design is not only influenced by the in-  
 515 troduction of a carbon tax but also by the resource price. In the previous  
 516 analysis it was assumed that the biomass and the natural gas price are the  
 517 same ( $9.7 \text{ } \$/GJ_{res}$ ). However, if in the future biomass becomes available at a  
 518 lower price ( $6.5 \text{ } \$/GJ_{BM}$ ) and the natural gas price increases ( $10 \text{ } \$/GJ_{NG}$ ),  
 519 the competitiveness of CO<sub>2</sub> capture in power plants will be influenced. Us-  
 520 ing these resource prices, the COE of the pareto solutions obtained for the  
 521 different objective functions have been recalculated and the optimal enviro-

522 nomic process design has been identified for different carbon taxes. Figure  
 523 11 shows the influence of the economic conditions on the optimal process  
 524 design. With a natural gas price of 10  $\$/GJ_{NG}$  the break-even carbon tax  
 525 (on local  $CO_2$  emissions) for which carbon capture becomes competitive with  
 526 conventional NGCC plants is 35  $\$/t_{CO_2}$ . Under these conditions biomass fed  
 527 processes emerge as being the best environomic solution for a carbon tax  
 528 above 80 $\$/t_{CO_2}$ . These results highlight the influence of the resource price  
 529 and of the introduction of a carbon tax on the competitiveness of carbon  
 530 capture.

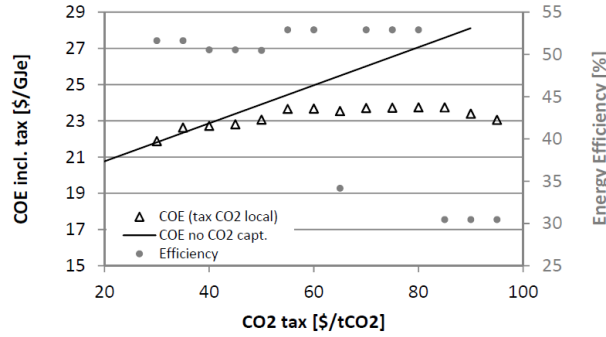


Figure 11: Influence of carbon tax on the COE (incl. tax local  $CO_2$ ) and efficiency of the most economically competitive process (resource price 6.5  $\$/GJ_{BM}$  and 10  $\$/GJ_{NG}$ ) identified among all the investigated options (NG-post, NG-pre, BM-pre).

### 531 3.3. Comment on the use of the LCIA for the process design and process 532 comparison

533 *The use of life cycle assessment indicators for the design of processes*  
 534 *has to be considered with care, since it relies on the quality of the inventory*  
 535 *data and on the acceptance of the weighting factors used in the calculation*  
 536 *of the indicators. The use of LCIA indicators in the optimisation is a new*  
 537 *application of such indicators, which are most of the time used for compar-*  
 538 *ing different scenarios. Here the design decisions are taken as a function*  
 539 *of the selected objectives and therefore depend on sensitivity of such param-*  
 540 *eters. As a consequence, the evaluation methodology should be revisited to*  
 541 *consider the impact of these assumptions not only on the performance in-*  
 542 *indicator value of the process configurations but also on their sensitivity on*  
 543 *the decision variables. The uncertainty of the inventory data needs to be*

considered in the optimisation strategies. Provided that the uncertainty distributions are available in the inventory data bases (as it is the case in the ECOINVENT data base), optimisation under uncertainty like the stochastic programming approaches (Dubuis and Maréchal (2012)) or uncertainty analysis method (Tock and Maréchal (2015)) should therefore be considered to select the most probable best options in the Pareto front generated by the multi-objective optimisation. In such context, the comparison of process options should then be based on probability tests and each solution should be reported with an error bar. Adopting a life cycle impact assessment approach introduces the question of the substitution options and allocation assumptions in the process design boundary conditions. Supply chains of feedstocks and equipments become therefore part of the optimisation problem and should be considered as decision variables. This requires extending the system boundaries up to the decision scope of the engineers in charge of the design. As a consequence, not only mean values from the observed market have to be used in the system design, but more precise values allowing to distinguish the suppliers should be used. This would therefore require a comprehensive approach where each supplier will be advertising its own LCIA indicators using certified and validated methodologies that make the data comparable. Finally, in the proposed approach, Life Cycle Inventory data are used to estimate the impact of a carbon tax on the resource supply chain. This assumes that the supply chain will not be affected by the carbon tax and that business as usual operation will be continued. This to some extent contradicts the principle of the approach, since engineers responsible for the processes in the supply chain could apply the same methodology to optimise the processing steps to reduce the CO<sub>2</sub> emissions and so limit the economic impact of the carbon tax. This would mean that the system boundaries should be extended and that mitigation options should be included into the supply chain model as decision options (Bernier et al. (2013)).

#### 4. Conclusion

Different CO<sub>2</sub> capture options using natural gas and biomass resources are systematically compared and optimised in terms of energetic, economic and environmental considerations. By including LCA impacts as an objective function in the multi-objective optimisation it is highlighted how the environmental target influences the environmental optimal process design and consequently the decision making. Different impact methods are compared to



580 address the influence on greenhouse gas emissions, ecosystem, human health  
 581 and resources depletion. It is interesting to note that different endpoint indi-  
 582 cators lead to different conclusions. With the Ecoindicator-99-(h,a) method  
 583 the environmental impact of natural gas fed power plants with CO<sub>2</sub> capture  
 584 appears to be worse than without capture because of the larger resources  
 585 depletion impact, related to the energy penalty, over-weighting the climate  
 586 change benefit aggregated in the human health impact. When the climate  
 587 change impact is accounted in a separate impact category as in the Impact  
 588 2002+ and IPCC impact methods, CO<sub>2</sub> capture shows a clear environmental  
 589 benefit. The introduction of a carbon tax favours power plants with CO<sub>2</sub> cap-  
 590 ture. For a tax on the local CO<sub>2</sub> above 50 \$/t<sub>CO2</sub>, natural gas power plants  
 591 with 80 % post-combustion capture are the most competitive and allow to  
 592 reduce the GWP by around 75 % to 31 kg<sub>CO2,eq</sub>/GJ<sub>e</sub>. Biomass plants become  
 593 competitive with a tax on the life cycle CO<sub>2</sub> emissions around 80 \$/t<sub>CO2</sub> and  
 594 lead to a negative GWP of -187 kg<sub>CO2,eq</sub>/GJ<sub>e</sub>. Consequently, the optimal  
 595 CO<sub>2</sub> capture process design highly depends on the chosen impact method to  
 596 evaluate the environmental impact and on the introduction of a carbon tax.  
 597 To complete the evaluation of CCS options, the impact of the CO<sub>2</sub> transport  
 598 and storage has to be included in a future study and the sensitivity analysis  
 599 of the economic parameters has to be extended.

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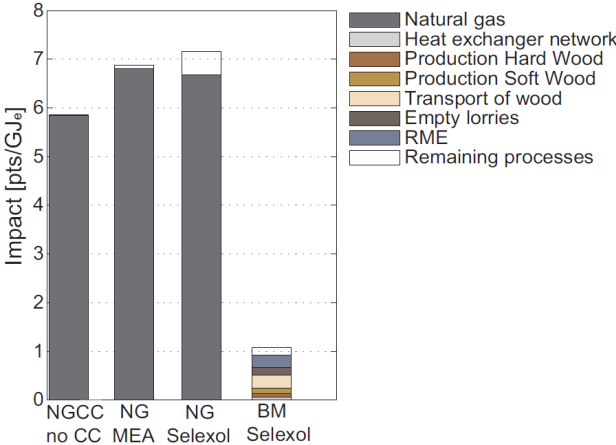


Figure 12: Contributions to the resources impact based on the impact method Ecoindicator-99 (h,a).

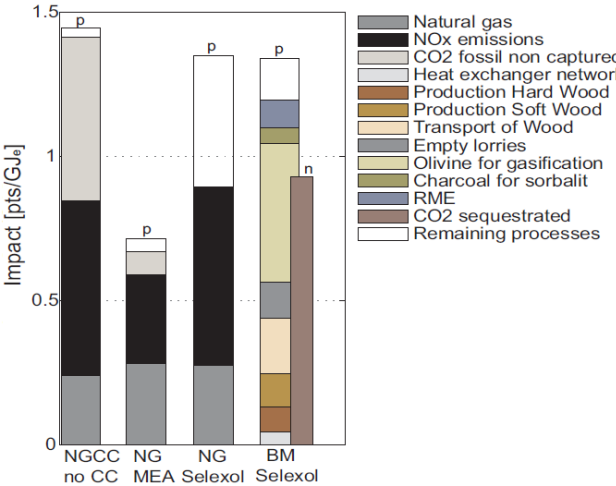


Figure 13: Contributions to the human health impact based on the impact method Ecoindicator-99 (h,a). Positive (harmful) contributions are labeled with a p, and negative (beneficial) contributions are labeled with an n.

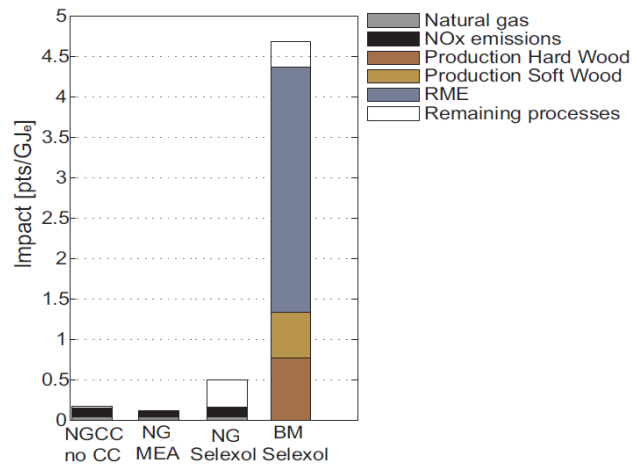


Figure 14: Contributions to the ecosystem impact based on the impact method Ecoindicator-99 (h,a).