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## Topical Review

# Molecular lanthanide single-ion magnets: from bulk to submonolayers

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**Abstract**

Single-ion magnets (SIMs) are mononuclear molecular complexes exhibiting slow relaxation of magnetization. They are currently attracting a lot of interest because of potential applications in spintronics and quantum information processing. However, exploiting SIMs in, e.g. molecule-inorganic hybrid devices requires a fundamental understanding of the effects of molecule–substrate interactions on the SIM magnetic properties. In this review the properties of lanthanide SIMs in the bulk crystalline phase and deposited on surfaces in the (sub)monolayer regime are discussed. As a starting point trivalent lanthanide ions in a ligand field will be described, and the challenges in characterizing the ligand field are illustrated with a focus on several spectroscopic techniques which are able to give direct information on the ligand-field split energy levels. Moreover, the dominant mechanisms of magnetization relaxation in the bulk phase are discussed followed by an overview of SIMs relevant for surface deposition. Further, a short introduction will be given on x-ray absorption spectroscopy, x-ray magnetic circular dichroism and scanning tunneling microscopy. Finally, the recent experiments on surface-deposited SIMs will be reviewed, along with a discussion of future perspectives.

Keywords: single-molecule magnets, single-ion magnets, surfaces, lanthanides, magnetic anisotropy

(Some figures may appear in colour only in the online journal)

**1. Introduction**

There is currently a high level of interest in mononuclear lanthanide (Ln) complexes triggered by Ishikawa's discovery of slow relaxation of magnetization in bis(phthalocyaninato)-terbium about a decade ago [1]. Previously, this phenomenon had been observed in polynuclear transition metal clusters, so-called single-molecule magnets (SMMs) [2–4]. In this context, single-ion magnets (SIMs) can be defined as mononuclear metal-organic complexes which retain their magnetization for a significant amount of time ranging from milliseconds up to several hours. While several transition metal SIMs have been demonstrated [5, 6], Ln ions are particularly good candidates for SIMs because most of them feature large spin

and unquenched orbital angular momenta, and ligand-field splittings are in general larger than those of first row transition metal ions [7]. Similar to polynuclear coordination clusters SIMs are true quantum magnetic entities, that is, they exhibit a discrete spectrum of magnetic quantum states. This quantum magnetism naturally asks for an investigation whether such molecules can be used as qubits to encode and manipulate quantum information [8, 9]. The key quantity for such applications is the transverse relaxation or decoherence time of the angular momentum characterizing on which timescale the stored quantum information decays. First demonstrations of quantum coherence in polynuclear clusters have been made [10–12], however, only very few experiments have been reported on mononuclear 4f systems [13–15].

In order to exploit the molecules' properties, e.g. in spintronics applications [16–18] it is necessary to transfer the molecules from the native molecular crystal to other environments. A promising path is to deposit the molecules on appropriate surfaces [19–23], but also, a number of breakthroughs have been achieved using SIMs anchored on carbon nanotubes (CNTs) [24, 25]. The more simple structure of SIMs which is often less fragile than that of SMMs is advantageous here, because stability is needed for the molecules to survive the thermal evaporation used for vacuum deposition and eventually the interaction with the surface.

The present review attempts to give an overview of the most important aspects of these fascinating nano-objects in view of organizing and addressing them on surfaces. It tries to address fundamental concepts such as ligand field, symmetries and magnetization relaxation processes as well as the most recent research on surface adsorbed SIMs. After this Introduction trivalent lanthanide ions will be discussed. Then an overview of the different relevant mechanisms responsible for magnetization relaxation in bulk SIMs will be given followed by a discussion of mainly those SIMs which currently play an important role in surface experiments. In the last section, a brief summary of x-ray absorption spectroscopy (XAS) and scanning tunneling microscopy (STM) which are useful to study surface deposited SIMs is presented, and results obtained on surface-adsorbed SIMs will be reviewed.

Note that this review does not try to list the many existing SIMs and all applicable techniques. Those topics have been subject to recently published reviews and book chapters [26–29]. This Introduction will be ended with two final remarks regarding the nomenclature: While in this review SIMs are defined as organic complexes it is noted that considerable progress is made in related, but purely inorganic, systems of single metal atoms directly deposited on surfaces [30, 31]. Further, it should be noted that the term SIM will be used in a wider sense, neglecting a possible further discrimination of 'field-induced' SIMs, that is, SIMs which do not show remanence but exhibit slow magnetization relaxation in an applied magnetic field.

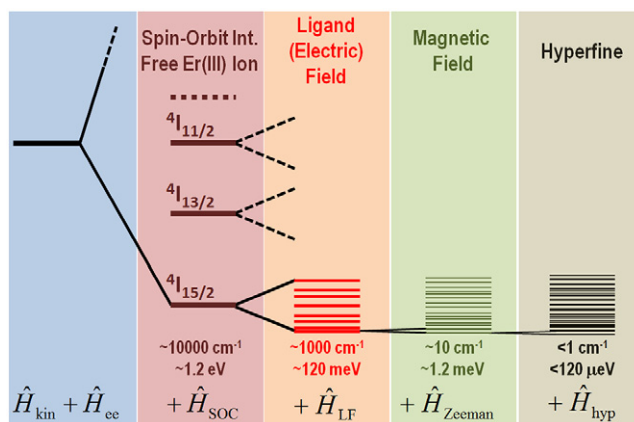
## 2. Physical properties of trivalent lanthanide ions

### 2.1. Free ion

The free Ln(III) ion is well described by the Hamiltonian  $\hat{H}_{\text{free}} = \hat{H}_{\text{kin}} + \hat{H}_{\text{ee}} + \hat{H}_{\text{SOC}}$  including the kinetic energy, electron–electron repulsion and spin–orbit coupling (SOC) in the order of appearance of the terms. When written out, this Hamiltonian reads

$$\hat{H}_{\text{free}} = \sum_i \left( \frac{\hat{p}_i^2}{2m} - \frac{Ze^2}{r_i} \right) + \sum_{i < j} \frac{e^2}{|r_i - r_j|} + \sum_i \xi_i \hat{l}_i \cdot \hat{s}_i. \quad (1)$$

Here, the indices  $i$  and  $j$  refer to the  $i$ th or the  $j$ th electron, respectively.  $\hat{p}_i$  is the linear momentum operator,  $m$  the electron mass,  $Z$  the nuclear charge in units of  $e$ ,  $r_i$  the position vector,  $\hat{l}_i$  and  $\hat{s}_i$  are the orbital and spin angular momentum operators and  $\xi_i$  the SOC strength. As a consequence of



**Figure 1.** Energy level scheme of Er(III) depicting the effect of subsequent applications of the different Hamiltonian terms explained in the text (not to scale).

the Russell–Saunders coupling of the total spin and orbital angular momenta  $S$  and  $L$ , Hamiltonian equation (1) gives rise to a series of multiplets characterized by the total angular momentum  $J$  being a 'good' quantum number. The ground state multiplet is determined by Hund's rules. As an example, the lowest-lying multiplets of the free Er(III) ion are depicted in figure 1. The ground state is well separated from the excited multiplets by several thousands of  $\text{cm}^{-1}$  (hundreds of  $\text{meV}$ ), typical for the whole Ln series. Importantly, since the partially filled 4f shell is screened by the outer closed shells, the Ln magnetic moment is strongly localized.

### 2.2. Ligand field

Ligands are small molecules or ions which bind to the central metal ion forming a metal complex. Ligands provide spatially localized, usually negative, charges which interact via the resulting electrostatic fields with the 4f orbitals of the Ln(III) ions.

Because of the strong SOC in Ln compared to 3d transition metals, and because of the localized nature of the partially filled 4f shell the energy scales of the electron–electron repulsion  $E_{\text{ee}}$  and the SOC  $E_{\text{SOC}}$  are always larger than that of the ligand field  $E_{\text{LF}}$ . This means that for Ln ions  $E_{\text{ee}} > E_{\text{SOC}} > E_{\text{LF}}$  and the ligand-field Hamiltonian  $\hat{H}_{\text{LF}}$  which will be introduced below can be treated as a perturbation. Hence, in first order the multiplet structure of the free Ln(III) ion is not modified by  $\hat{H}_{\text{LF}}$ , and the total angular momentum  $J$  remains a good quantum number. The effect of the ligand field is then to lift the degeneracy of the multiplets of electronic states which become linear combinations of the Zeeman components  $\{|m\rangle\}$  (see figure 1). It should be noted that this situation corresponds exactly to the presence of magnetic anisotropy, meaning that the energy-split electronic states have different magnetic properties, for example different directions of the magnetic moment. Because of the seven 4f orbitals, the magnetic anisotropy 'landscape', that is, the magnetic susceptibility as a function of polar and azimuthal angles  $\chi(\theta, \phi)$ , can be much more complicated than in the simple axial case.

The ligand-field splittings of the multiplets are usually much larger than those obtained in 3d transition metals.

**Table 1.** Examples of Stevens operators.

$\hat{O}_0^2$	$3\hat{J}_z^2 - J(J+1)$
$\hat{O}_0^4$	$35\hat{J}_z^4 - [30J(J+1) - 25]\hat{J}_z^2 + 3J^2(J+1)^2 - 6J(J+1)$
$\hat{O}_4^4$	$\frac{1}{2}[\hat{J}_+^4 + \hat{J}_-^4]$

Furthermore, the orbital angular momentum of the latter is often quenched by the ligand field while this does not happen for the Ln(III) ions giving rise to large magnetic momenta. This holds true especially for the late Ln(III) which exhibit parallel alignment of their spin and orbital angular momenta. The Gd(III) ion with ground state  $^8S_{7/2}$  represents a special case because of its vanishing orbital angular momentum. As a consequence, it does not interact in first order with the ligand field resulting in small ligand-field splittings and weak magnetic anisotropy.

Adding the ligand field term as well as Zeeman and hyperfine interactions to equation (1) yields

$$\hat{H} = \hat{H}_{\text{free}} + \hat{H}_{\text{LF}} + \hat{H}_{\text{Zeeman}} + \hat{H}_{\text{hyp}}. \quad (2)$$

The effect of these Hamiltonian terms on the energy spectrum are visible in figure 1.

In a phenomenological notation the ligand field can be expressed as  $\hat{H}_{\text{LF}} = \sum_{i,k,q} \tilde{B}_k^q \hat{C}_k^q(i)$  ('Wybourne notation'). The  $\hat{C}_k^q(i)$  are the ligand-field one-electron tensor operators acting on electron  $i$  with corresponding coefficients  $\tilde{B}_k^q$  and  $-q \leq k \leq q$ ,  $q = 2, 4, 6$ .

Since the interaction with the ligand field is small compared to the spin-orbit interaction it can be expressed in first order as Stevens operators  $\hat{H}_{\text{LF},J} = \sum_{k,q} B_k^q \hat{O}_k^q(J)$  [32]. Here, the operators are simple functions of the components of  $\mathbf{J}$  and their power. A few examples of relevant Stevens operators are given in table 1. The restriction of the basis set to the ground state multiplet provides an efficient simplification in which  $\hat{H}_{\text{free}}$  is only implicitly contained. This is reasonable for the description of the magnetic properties but of course it fails in describing optical absorption or luminescence which have different multiplets as initial and final states. With these considerations one has

$$\hat{H}_J = \hat{H}_{\text{LF},J} + \hat{H}_{\text{Zeeman},J} + \hat{H}_{\text{hyp},J}, \quad (3)$$

with  $\hat{H}_{\text{LF},J}$  as previously defined and  $\hat{H}_{\text{Zeeman},J} = g_J \mu_B \mathbf{J} \cdot \mathbf{B}$ . The Zeeman term has been rewritten using the Landé  $g$ -factor which is given by  $g_J = (3/2) + ((S(S+1) - L(L+1))/2J(J+1))$  and  $\mu_B$  is the Bohr magneton. The hyperfine interaction  $\hat{H}_{\text{hyp},J}$  will not be discussed here in detail, and its energy scale is too small to be directly observed in most spectroscopic experiments on SIMs. However, anticipating the discussion in section 3.1, it plays a crucial role regarding the magnetization relaxation.

The different coefficients  $B_k^q$  in  $\hat{H}_{\text{LF},J}$  are related to the  $\tilde{B}_k^q$  by the tabulated Stevens factors  $\alpha, \beta, \gamma$ . This and the relation of the coefficients with point charge approaches have been summarized in great detail, e.g. in [33, 34]. The  $\tilde{B}_k^q - B_k^q$  transformation bears important consequences for the nature of the magnetic anisotropy: Different Ln(III) ions exhibit different magnetic anisotropies when subjected to the *same* ligand field [35, 36]. The changes can be as drastic as going

from easy-plane to easy-axis type anisotropy upon exchanging the Ln(III) ion [37, 38].

Neglecting the hyperfine interaction, one is left with the experimental determination of the Stevens parameters  $B_k^q$  in order to understand and describe the magnetic properties of SIMs. As it will be discussed below, the ligand-field symmetry determines which of the 27 possible Stevens parameters are allowed to be non-zero. At this point it should also be mentioned that an alternative to describing the ligand field by Stevens parameters as done here is provided by the angular overlap model [39–41] in which the parameterization is performed in a chemically more intuitive way.

### 2.3. Symmetries

Time-reversal and point-group symmetries have a severe impact on the magnetic properties of the Ln ions. In the following the effect of these symmetries will be described.

**2.3.1. Time-reversal symmetry.** Depending on the integer or half-integer nature of  $J$  there is a fundamental difference regarding the energy spectrum at zero magnetic field: In the case of half-integer  $J$  it is impossible to lift all degeneracies by a purely electric field, e.g. the ligand field, without any symmetry whatsoever. Kramers theorem [42] states that there is at least a double degeneracy left. In contrast, in the presence of magnetic fields the degeneracy is fully lifted. Kramers theorem does not hold for integer- $J$  systems, i.e. the electronic states can be nondegenerate if the ligand field exhibits a low enough symmetry. The aspect of time-reversal symmetry becomes more complicated when also the nuclear spin is included: In both integer and half-integer  $J$  systems it has a pronounced effect on quantum tunneling of magnetization (QTM) (see section 3.1).

**2.3.2. Point-group symmetries.** As already mentioned, in the absence of any ligand-field symmetry, there are 27 non-zero Stevens parameters for Ln ions. It is obvious that the reliable determination of this many parameters by, e.g. fitting models to experimental data is close to impossible, even when single-crystal magnetization  $M(B)$  data and magnetic susceptibility  $\chi(T)$  are available. In the presence of symmetries the number of non-zero coefficients in  $\hat{H}_{\text{LF},J}$  can be drastically reduced because those which are not invariant under the symmetry operations of the point group of the ion's site must be identically zero. The allowed Stevens coefficients for different ligand-field symmetries are given in table 2. The cases of other symmetries not mentioned in this table are listed in [33, 34]. Obviously, in the presence of high symmetry, there are only few allowed coefficients to be determined facilitating the description of the magnetic properties by Hamiltonians equations (2) and (3).

### 2.4. Pseudospin-1/2 Hamiltonian

The low-lying doublet states are most relevant in SIMs since their composition and wavefunctions have a strong influence on the speed of magnetization relaxation. Usually these states

**Table 2.** Allowed Stevens operator coefficients for selected ligand-field symmetries. Adapted from [34].

	$B_0^2$	$B_{\pm 1}^2$	$B_{\pm 2}^2$	$B_0^4$	$B_{\pm 1}^4$	$B_{\pm 2}^4$	$B_{\pm 3}^4$	$B_{\pm 4}^4$	$B_0^6$	$B_{\pm 1}^6$	$B_{\pm 2}^6$	$B_{\pm 3}^6$	$B_{\pm 4}^6$	$B_{\pm 5}^6$	$B_{\pm 6}^6$
$C_4   S_4   C_{4h}$	+			+				$\pm$	+					$\pm$	
$D_4   C_{4v}   D_{2d}   D_{4h}$	+			+				+	+					+	
$C_3   S_6$	+			+			$\pm$		+			$\pm$			$\pm$
$D_3   C_{3v}   D_{3d}$	+			+			+		+			+			+
$C_6   C_{3h}   C_{6h}$	+			+					+						$\pm$
$D_6   C_{6v}   D_{3h}   D_{6h}$	+			+					+						+
$T_d   O   O_h$				+				+	+					+	

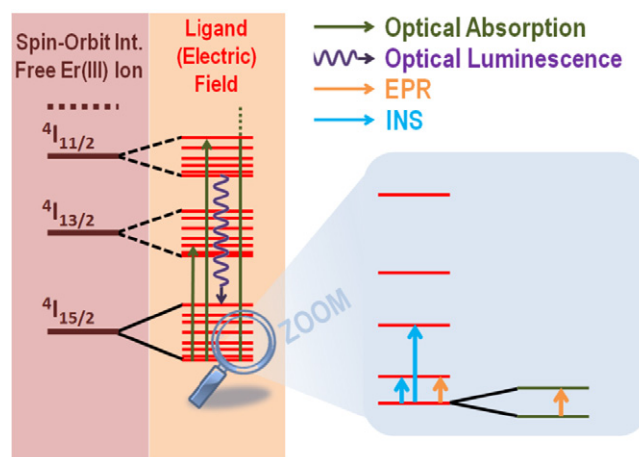
are well separated in energy from excited states giving rise to an Ising magnet behaviour. Since mainly the lowest-lying states are involved in the SIM dynamics, one could conclude that all other states can be neglected. Section 3 reveals that this is not true, especially when magnetization relaxation is dominated by spin–phonon coupling. Furthermore, the ligand field determines the wavefunctions of the electronic states. Condensing the basis set down to two states and working with a pseudospin  $\tau = 1/2$  Hamiltonian, however, can be useful if ligand-field symmetry is too low to facilitate an experimental determination of all allowed Stevens parameter values. The number of parameters is then strongly reduced since there are no ligand-field coefficients, and  $\tau = 1/2$  can only be split by a magnetic field. The magnetic anisotropy is reintroduced via an anisotropic, effective  $g$  tensor  $\hat{H} = \mu_B \hat{\tau} \cdot \mathbf{g} \cdot \mathbf{B}$  [43, 44]. Other similar models based on a restricted but larger Hilbert space have also been successfully applied to polynuclear clusters containing lanthanides [45, 46].

### 2.5. Determination of ligand-field parameters

As mentioned before, in order to understand magnetic properties of SIMs it is necessary to determine ligand-field parameters, e.g. expressed as Stevens operator coefficients, from experimental data. Powder magnetization  $M(B)$  and susceptibility  $\chi(T)$  data are barely sufficient for multiple parameter determination. The availability of single-crystal magnetic data improves the situation, however, even in such a case the accuracy of the excited energy levels and the corresponding wave functions will be limited since at the elevated temperatures needed to populate these energy levels many other levels are populated, too, leading to an average magnetic response.

Thus the most preferred techniques, in addition to the magnetization measurements, are spectroscopic techniques such as electron paramagnetic resonance (EPR) [43], inelastic neutron scattering (INS) [47, 48] or optical absorption and luminescence [49–51]. Spectroscopic techniques allow for the addressing of the energies and wave functions of each multiplet substates separately because they directly probe the energetic splitting between an initial state, which is the ground state at low temperatures, and a final state.

The typical initial and final states associated with the different spectroscopic techniques are sketched in figure 2. The lowest energy scales are typically probed by EPR spectroscopy covering energies of  $\sim 0.3$ – $30 \text{ cm}^{-1}$  (0.04–3.7 meV). This yields the effective  $g$ -tensor within the pseudospin-1/2 framework described earlier in the text. With high-frequency



**Figure 2.** Energy level scheme of Er(III) depicting the typical energy levels involved in optical, neutron and magnetic resonance spectroscopic techniques.

EPR [52–57] it is often possible to measure the energy of the first excited state. However, many Ln SIMs exhibit a rather large excited-state separation which is too large even for state-of-the-art high-frequency EPR setups. INS, in which the energy loss of neutrons upon, e.g. exciting magnetic transitions in a SIM, is measured, has only been exploited rarely [58, 59] and earlier in an Yb complex [50]. It covers an energy range of up to several hundreds of  $\text{cm}^{-1}$  ( $\sim 50 \text{ meV}$ ), yet, this is still not enough to reach the highest energy levels of ligand-field split multiplets. High-resolution optical spectroscopy is probably the most powerful technique in this respect, however, the resulting absorption and luminescence spectra can be difficult to interpret because of the presence of vibrational bands [51, 60]. Also the 4f–4f optical transitions may be dominated by strong ligand absorption bands.

Because of the many experimental obstacles there is a lot of interest in determining ligand-field parameters from first principles methods. The reason is obvious: If reliable predictions are available, promising molecular structures can be readily identified and research efforts can be focused on the most attractive candidates. It turns out, however, that such calculations are by no means an easy task. Multi-configurational self-consistent field methods such as CASSCF, initially employed for the study of the magnetic properties of a Cu(II)–Gd(III) complex [61], have turned out to be quite successful for the *ab initio* calculation of magnetic properties of Ln ions [62–64]. The transverse  $g$ -factors ( $g_{xx}$ ,  $g_{yy}$ ) found in the calculations provide a measure of the ground-state mixing introduced by non-axial ligand-field contributions.

This mixing strength, in turn, indicates how prone a system is to QTM induced by intermolecular and hyperfine interaction, e.g. [65, 66]. This indeed has been explicitly demonstrated to correlate with the SIM behavior for the case of Dy mononuclear complexes [65]. The exact energy spectrum could be obtained within an accuracy of  $\sim 30\%$  [59].

The program CONDON [67–69] allows for a treatment of the full Hilbert space of all possible microstates of the 4f shell and outputs magnetic properties such as magnetic susceptibility and field-dependent magnetization. Similarly, the recently developed software SIMPRE [70] is able to calculate magnetic properties of SIMs from sets of Stevens parameters, and it works with effective point-charge models which can provide a more intuitive insight than full *ab initio* calculations. However, their performance depends crucially on the procedure of obtaining the effective coordinates and the magnitude of the effective point charges which do not have to coincide with the coordinates and the formal oxidation states of the ligand atoms. Nevertheless, effective point-charge models have been demonstrated to be useful tools when working with SIMs [36, 71–73].

### 3. Relaxation of magnetization

Magnetization relaxation in SIMs arises from the coupling of the magnetic moment to different environments such as the lattice of the molecular crystal, other neighboring SIMs in the lattice or the conduction band electrons in a metallic substrate on which SIMs are deposited. Relaxation leads to the time evolution of the SIM magnetization towards thermal equilibrium with the environment, after it has been brought out-of-equilibrium by an external perturbation. The response to the perturbation is related to the fluctuations of the single magnetic moments by the fluctuation-dissipation theorem. Since in SIMs the magnetization dynamics takes place between the lowest energy Ising doublet states as already mentioned in the previous section, relatively simple models involving two quantum states (and partially a third excited state) are able to grasp the mechanisms of QTM and spin–phonon coupling.

For an angular momentum there is longitudinal and transverse relaxation, with associated timescales  $\tau_1$  and  $\tau_2$ . The first one involves an exchange of energy with the lattice or phonon bath, while the second one does not. Here, the longitudinal relaxation is referred to as magnetization relaxation while the transverse relaxation is the decoherence, i.e. the loss of phase information. Obviously, for classical data storage applications, the decoherence is less important, while long decoherence times are of high interest for quantum computing schemes exploiting SIMs.

Magnetization relaxation in Ln salts has been a topic of interest since the 1960s [43, 74–76] being relevant nowadays for mononuclear and polynuclear Ln-containing complexes [77, 78]. While the mechanisms of magnetization relaxation in SIMs are at least partially understood, the knowledge about relaxation in surface-deposited SIMs is a nearly blank sheet. Yet, it is certainly a topic of high interest.

For a molecular complex to be a SIM, simply all magnetization relaxation mechanisms have to be inefficient.

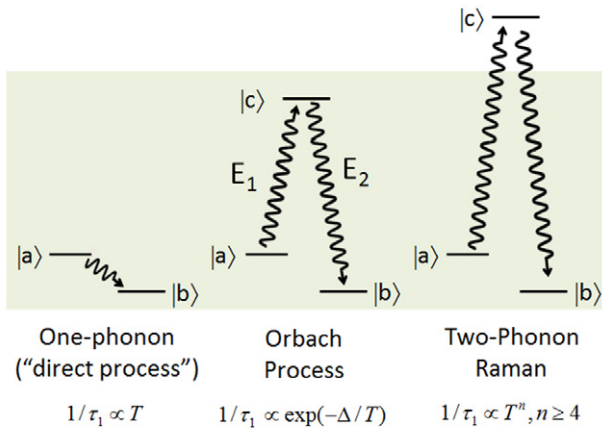
At the lowest temperatures of a few Kelvin the dominant relaxation mechanism is usually hyperfine-mediated QTM, which is governed by the nature of the involved Ln(III) ion and its nuclear spin as well as by the ligand-field symmetry. At slightly higher temperatures, spin–phonon coupling becomes important. Spin–phonon coupling gives rise to a variety of magnetization relaxation mechanisms. The associated relaxation rates exhibit different dependencies on temperature and magnetic field. In the following the different processes relevant for magnetization relaxation in SIMs will be discussed in detail. Their distinct dependence on temperature and magnetic field, which allows to separate out which mechanism is dominant in which regime, will be given.

#### 3.1. Quantum tunneling of magnetization

Since its celebrated first observation [4, 79–82] magnetization tunneling, most frequently termed as QTM, is omnipresent in the field of SMMs and SIMs, and it almost has become a nuisance because it limits the magnetization relaxation times at the lowest temperatures. The introduction to QTM presented here will be short and more details can be found in dedicated reviews or book chapters [4, 83, 84].

In analogy to the example presented in [4], one can define the Hamiltonian of a two-state system  $\{|\downarrow\rangle, |\uparrow\rangle\}$  such as for  $S = 1/2$  and  $\hat{S}_z|\downarrow\rangle = -1/2|\downarrow\rangle$ ,  $\hat{S}_z|\uparrow\rangle = +1/2|\uparrow\rangle$ , with the matrix representation  $H = \begin{pmatrix} -\Delta & \hbar\omega_T \\ \hbar\omega_T & \Delta \end{pmatrix}$ . The energy eigenvalues of this Hamiltonian are  $E_{\pm} = \pm\sqrt{\Delta^2 + (\hbar\omega_T)^2}$ . For the imagination of the reader, the parameters  $\Delta$  and  $\hbar\omega_T$  can be identified with a longitudinal and a transverse Zeeman splitting  $\Delta = g\mu_B B_z \hat{S}_z$  and  $\hbar\omega_T = g\mu_B B_x \hat{S}_x$ . It is further useful to call the quantity  $\omega_T$  the tunnel frequency for reasons detailed below. Obviously,  $H(\Delta)$  exhibits an energy level crossing for  $\hbar\omega_T = 0$  and an anticrossing, or avoided crossing, for  $\hbar\omega_T \neq 0$ , with a minimum splitting of  $E_+ - E_- = 2\hbar\omega_T$ . It can be shown that the time evolution obtained from the Schrödinger equation is given by  $|\psi(t)\rangle = x(t)|\downarrow\rangle + y(t)|\uparrow\rangle$ . The functions  $x(t)$  and  $y(t)$  depend on the initial conditions at  $t = 0$  as well as on whether the states are on resonance ( $\Delta = 0$ ) or if they are far from resonance ( $\Delta \gg \hbar\omega_T$ ). Regarding the on resonance case it is assumed that  $\hbar\omega_T$  is smaller than the width of the energy levels. Far from resonance,  $x(t) = \exp(-i\omega_T t)$  and  $y(t) = 0$ , meaning that the system always remains in the  $|\downarrow\rangle$  state. However, on resonance  $x(t) = \cos(\omega_T t)$  and  $y(t) = \pm \sin(\omega_T t)$ , i.e. the system undergoes an oscillation between the two states with angular frequency  $\omega_T$ . This is exactly the tunneling phenomenon, and it has the off-diagonal terms in  $H$  at its origin. Note that these solutions only represent examples for the initial condition  $|\psi|_{t=0}\rangle = |\downarrow\rangle$  but they reflect nicely the basic mechanism of QTM. Importantly, this simple yet fundamental treatment can be generalized to  $S > 1/2$ . Furthermore, the exact physical meaning of the quantities  $\Delta$  and  $\hbar\omega_T$  depends on the system under investigation. The main point is that QTM is caused by small perturbations of any kind leading to off-diagonal elements in the Hamiltonian.

As a second example a system possessing an easy-axis magnetic anisotropy parallel to the  $z$  direction is given by  $\hat{H} = D[\hat{S}_z^2 - S(S+1)/3] + g\mu_B \hat{S}_z B_z + E(\hat{S}_x^2 - \hat{S}_y^2)$  with  $D < 0$



**Figure 3.** Most relevant magnetization relaxation mechanisms arising from spin–phonon coupling and their temperature dependence. The shaded region denotes the phonon continuum.

and initially  $E = 0$ .  $D$  and  $E$  are related to the previously introduced Stevens coefficients by  $D = 3B_0^2$  and  $E = B_2^2$ . For  $E = 0$  its eigenstates are well described by the  $m_s$  quantum numbers, and the states with  $+m_s$  and  $-m_s$  are degenerate in the absence of a magnetic field. If a weak perturbation  $|E| \ll |D|$  is turned on, the eigenstates of  $\hat{H}$  become admixtures of different  $m_s$  states. In the case of even number of electrons in the 4f shell ('non-Kramers ion'), this leads to a small energy splitting and QTM when the Zeeman energy becomes small compared to the tunnel splitting. Importantly, QTM is a resonant effect, which becomes relevant only at or in the vicinity of energy level crossings. This implies that for the  $S = 1/2$  system described before, there is only one resonance, but there are more than one in a system with larger spin.

The simple examples showed that tunneling is strongly dependent on the applied magnetic field. In SIMs it is particularly efficient at or close to zero magnetic field, which often gives rise to so-called butterfly type or waist-restricted hysteresis loops. It should be further noted that the hyperfine interaction as well as dipolar fields resulting from the interaction with neighboring magnetic ions can introduce off-diagonal terms and thus promote QTM. This can happen when the splitting between two electronic states is smaller than the maximum hyperfine splitting or the dipolar-field induced splitting, respectively. If the number of electrons in the open shell is odd ('Kramers ion'), that is, for half-integer electronic spin, there is strict double degeneracy of the electronic states because of Kramers theorem. This should, in principle, preclude QTM at  $\mu_0 H_z = 0$  T, however, experiments on  $\text{LnPc}_2$  show that hyperfine interaction indeed promotes QTM also in the case of an odd number of electrons [85, 86]. Finally, QTM between magnetic ground states is naturally independent of temperature. However, relaxation becomes temperature dependent when tunneling proceeds across excited states [77, 81, 87].

### 3.2. Spin–phonon interaction

In contrast to the temperature-independent QTM, spin–phonon interaction gives rise to a number of temperature dependent

relaxation mechanisms. The most relevant ones are sketched in figure 3. The Zeeman-split Ising doublet ground states between which the relaxation process takes place are denoted as states  $|a\rangle$  and  $|b\rangle$ . State  $|c\rangle$  is an excited state which is involved in the two-phonon relaxation mechanisms as described below.

**3.2.1. One-phonon (direct) process.** In the so-called *direct* process [43, 75, 88] the relaxation from  $|a\rangle$  to  $|b\rangle$  takes place via the emission or absorption of a single phonon of the energy difference  $E_{\text{phon}} = E_a - E_b$  with  $E_i$  denoting the energy of state  $|i\rangle$ . It was shown that the corresponding relaxation rate, that is the inverse of the relaxation time, for Kramers ions varies as  $\Gamma_{\text{dir,K}} = 1/\tau_1 \propto H^5 \coth(g\mu_B\mu_0 H/2k_B T) \approx H^4 T$  and for non-Kramers ions as  $\Gamma_{\text{dir,NK}} = 1/\tau_1 \propto H^3 \coth(g\mu_B\mu_0 H/2k_B T) \approx H^2 T$ , respectively. Here,  $g$  is the ion's  $g$ -factor,  $\mu_B$  is the Bohr magneton,  $T$  is the temperature,  $H$  is the applied field and  $k_B$  is the Boltzmann constant. The last approximations are valid if the Zeeman splitting is much smaller than the thermal energy  $g\mu_B\mu_0 H \ll 2k_B T$  for both Kramers and non-Kramers cases.

**3.2.2. Two-phonon processes.** The one-phonon process described before is inefficient because the applicable phonon density of states is small at the typical energies of ground state Zeeman splittings. Therefore, two-phonon processes involving phonons of much larger energy and larger densities of states can be more efficient in certain temperature ranges. The most important two-phonon processes are Orbach [89] and Raman [43, 75] processes. In both cases a phonon of energy  $E_1$  is absorbed and another phonon of energy  $E_2$  is emitted with the energy difference  $E_1 - E_2$  equal to the Zeeman splitting. The two processes differ in the energies of the involved phonons: While in the case of an Orbach process the phonons are below the maximum phonon energy, the Raman process corresponds to an absorption and reemission of virtual phonons above that energy. Raman and Orbach processes can be distinguished by their characteristic temperature dependence. In the case of the Orbach process the magnetization relaxation rate depends exponentially on the temperature:

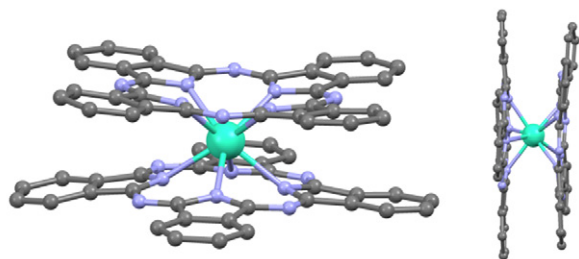
$$\Gamma_{\text{Orb}} = 1/\tau_1 \propto \Delta^3 \exp[-\Delta/(k_B T)] \quad \text{for } \Delta \gg T. \quad (4)$$

Here,  $\Delta \sim E_1 \sim E_2$  is the energy barrier that has to be overcome to reverse the magnetization. The dependences of Raman processes on temperature and magnetic field are given by [43, 75].

$$\Gamma_{\text{Ram,K}} = 1/\tau_1 = R_r T^9 + \alpha_H R_r' T^7 H^2, \quad (5a)$$

$$\Gamma_{\text{Ram,NK}} = 1/\tau_1 = R_r T^7. \quad (5b)$$

Here,  $R_r$  and  $R_r'$  are material specific parameters, and  $\alpha_H$  is a constant. Equations (5a) and (5b) hold for Kramers ions and non-Kramers ions, respectively (see section 2.3). Note that the low-lying energy level structure has a crucial influence on the relaxation dynamics, and if there are several energy levels close to the ground state the exponent in the temperature dependence of equations (5) can be significantly lower [90–92].



**Figure 4.** Molecular structure of the TbPc<sub>2</sub> SIM. (Left) Oblique view; (right) side view. Color code: terbium, turquoise; carbon, gray; nitrogen, blue. Hydrogen atoms have been omitted.

#### 4. SIMs in the bulk phase

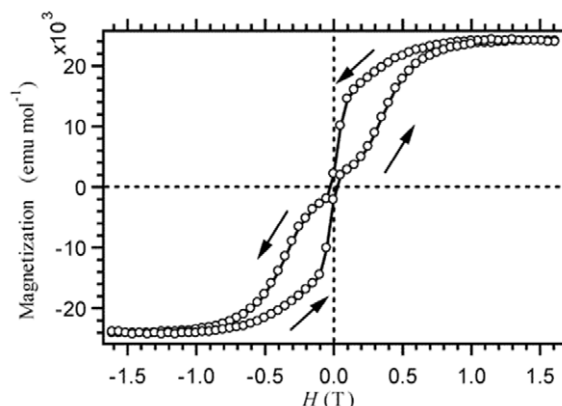
It is important to achieve a deep understanding of the static and dynamic magnetic properties of SIMs in the bulk crystalline phase in order to understand the effect of the surface deposition. In this Chapter some surface-relevant SIMs will be described. As it will be discussed later, it is of interest to sublime SIMs in ultrahigh vacuum (UHV). Therefore, this review highlights the few species which have been shown to be sublimable. Those can be considered as model systems useful to study the on-surface magnetic properties of this interesting class of magnetic systems. At the end of this Chapter, some additional systems will be discussed which may be of future interest.

##### 4.1. LnPc<sub>2</sub>

This class of mononuclear Ln(III) [LnPc<sub>2</sub>]<sup>−</sup> TBA<sup>+</sup> (with TBA<sup>+</sup> = N(C<sub>4</sub>H<sub>9</sub>)<sub>4</sub><sup>+</sup>) complexes is currently attracting a lot of interest. Here, a Ln(III) ion is sandwiched ('double decker' structure) between two phthalocyaninato dianions (Pc) giving rise to an eight-coordinated ligand field. The skew or twist angle between the Pc sheets and thus the symmetry of the ligand field depends crucially on the central metal ion. For the earlier lanthanides it is close to 0°, while for the late lanthanides it is close to 45° [93] in the latter case corresponding to a square antiprism with *D*<sub>4d</sub> symmetry.

Most studies are focused on the Tb(III) congener because of its particularly slow relaxation of magnetization. It has been shown that [TbPc<sub>2</sub>] exists in three different oxidation states as anion [TbPc<sub>2</sub>]<sup>−</sup>, cation [TbPc<sub>2</sub>]<sup>+</sup> and in neutral form [TbPc<sub>2</sub>]<sup>0</sup> with the structure of the latter depicted in figure 4. They exhibit small differences of their structures associated with slightly different dynamic magnetic properties [94, 95]. For a fluorinated species even the doubly negatively charged complex has been demonstrated [96]. It should be noted that because of the ligand oxidation the neutral species hosts one unpaired  $\pi$  electron delocalized over the two Pc ligands giving rise to an organic radical with  $S = 1/2$ . Hence, counting also the Tb electronic spin of  $J = 6$  and the nuclear spin  $I = 3/2$ , the TbPc<sub>2</sub><sup>0</sup> molecule is actually a coupled three-spin system. It was found from temperature dependent magnetic behavior of bulk material, that in TbPc<sub>2</sub><sup>0</sup> the  $J$  and  $S$  are antiferromagnetically coupled [97, 98].

At very low temperatures ( $T \sim 2$  K) the magnetization relaxation becomes slow enough such that a magnetic



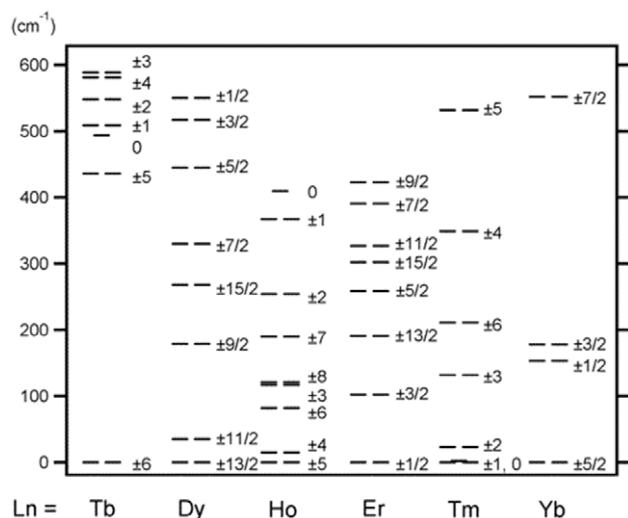
**Figure 5.** Magnetic hysteresis at  $T = 1.7$  K of [TbPc<sub>2</sub>]<sup>−</sup> TBA<sup>+</sup> (with TBA<sup>+</sup> = N(C<sub>4</sub>H<sub>9</sub>)<sub>4</sub><sup>+</sup>) diluted in the diamagnetic [YPc<sub>2</sub>]<sup>−</sup> TBA<sup>+</sup>. Reprinted with permission from [103]. Copyright (2004) American Chemical Society.

hysteresis can be detected in a SQUID magnetometer measurement as shown in figure 5 for the anionic complex. At these low temperatures the magnetization relaxation is determined by QTM [99, 100] which has been demonstrated to be driven by hyperfine interaction [85]. It was demonstrated that in TbPc<sub>2</sub><sup>−</sup> the direct process (see section 3.2) dominates the magnetization relaxation in the intermediate temperature range of 12–20 K [101]. At slightly elevated temperatures of  $\sim 30$  K it was observed that the neutral complex exhibits a slower magnetization relaxation than the anionic form [94] which was later attributed to an increase of the ligand-field strength because of the removal of one electron from the antibonding highest occupied molecular orbital (HOMO) [102]. Above that temperature, starting from  $\sim 50$  K muon spin relaxation and nuclear magnetic resonance experiments revealed that the magnetization relaxation in TbPc<sub>2</sub><sup>−</sup> and TbPc<sub>2</sub><sup>0</sup> is thermally activated, i.e. dominated by an Orbach process (see section 3.2) with effective barriers of around 600 cm<sup>−1</sup>.

The ligand-field parameters of the LnPc<sub>2</sub><sup>−</sup> series were determined by Ishikawa *et al* by studying the paramagnetic shifts in nuclear magnetic resonance spectra, taking into account that the ligand-field parameters vary in a linear fashion across the lanthanide series [37, 104]. This yielded the energy spectra of the LnPc<sub>2</sub><sup>−</sup> series shown in figure 6 indicating that the TbPc<sub>2</sub><sup>−</sup> anion has the largest separation between the ground and first excited state of more than 400 cm<sup>−1</sup>. The ground state is characterized by the maximum projection  $|J_z| = 6$  corresponding to a strong easy-axis magnetic anisotropy. These findings are in line with the very long magnetization relaxation times of TbPc<sub>2</sub><sup>−</sup>. The energy level diagram also nicely illustrates the very different magnetic properties of different Ln(III) ions in the same ligand field (see section 2.2). A very recent spectroscopic investigation [59] is in good agreement with Ishikawa's prediction for DyPc<sub>2</sub><sup>−</sup> however, it put forward some corrections to the spectra of HoPc<sub>2</sub><sup>−</sup> and TbPc<sub>2</sub><sup>−</sup>.

Because of the high symmetry of the TbPc<sub>2</sub> family, QTM is very slow, and it should be completely suppressed in the case of perfect *D*<sub>4d</sub> symmetry. It was demonstrated that strong changes occur in the appearance of the hysteresis openings





**Figure 6.** Energy spectra of various  $\text{LnPc}_2^- \text{TBA}^+$ . Reprinted with permission from [103]. Copyright (2004) American Chemical Society.

of  $\text{TbPc}_2^-$  upon heating and sublimation [105] which were assigned to small structural differences because of, e.g. a change in packing of molecules.

Finally, it should be noted that related Ln double-decker complexes were also obtained employing ligands other than Pc, namely tetraphenylporphyrin [106] and octaethylporphyrin [107].

#### 4.2. Ln(trensals)

Another family of Ln SIMs which has been studied in detail is the Ln(trensals) series, with  $\text{H}_3\text{trensals} = 2,2',2''\text{-tris(salicylideneimino)triethylamine}$ . Its structure is shown in figure 7.

Originally synthesized by Kanetsato and Yokoyama [109], thorough studies of the ligand field and 4f electronic structures of the Ln ions across the Ln(trensals) series were undertaken by Flanagan *et al* [108, 110]. The Ln(trensals) systems are excellent model systems because their 4f–4f transitions in the visible to near infrared optical range are accessible in contrast to the  $\text{LnPc}_2$  double deckers described before. The 4f–4f optical transitions are a reliable direct probe of the energy levels in ligand-field split multiplets of the  $\text{Ln}^{\text{III}}$  ions (see section 2.5), whereas in the  $\text{LnPc}_2$  case the 4f–4f transitions are masked by strong ligand absorption. The lowest energy levels of Er(trensals) were recently characterized by EPR and INS spectroscopy [58, 111]. The recent spectroscopic studies of the Ln(trensals) systems revealed that upon functionalization in the remote part of the ligand shell drastic changes in the magnetic properties can occur, as depicted in figure 8. Yet the first coordination spheres of the pristine and functionalized compounds are nearly identical as can be seen from the structural overlays shown in figure 8. Interestingly, both Er(trensals) and Dy(trensals) exhibit slow relaxation of magnetization, while they are easy-axis and easy-plane anisotropic systems, respectively. This was attributed to barrier-independent (non-Orbach) relaxation processes [58, 111]. This is important since it suggests that

in such a case maximizing the magnetic anisotropy may not lead to longer magnetization relaxation times. The drastic changes in the SIM spectroscopic and magnetic properties upon the functionalization in the ligand periphery suggest that the deposition on strongly interacting surfaces will have a similar effect.

Regarding the surface deposition, the Ln(trensals) complexes have been shown to be sublimable (see section 5.5). Their three-dimensional trigonal pyramidal structure, very different from the  $\text{TbPc}_2$  molecules, could allow for a stronger decoupling from the surface, weaker magnetic exchange coupling to ferromagnetic surfaces (see section 5.5) and for non-flat molecular architectures.

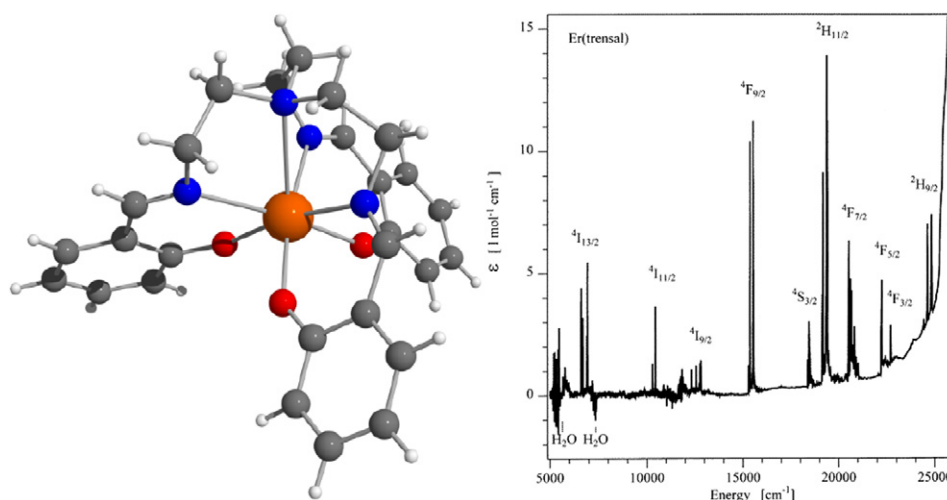
#### 4.3. Endohedral metallofullerenes

Ln-containing endohedral metallofullerenes [112, 113] are a special class of SIMs. Here, a small metal nitride molecule including three trivalent metal atoms is encapsulated in a  $\text{C}_{80}$  cage. The  $\text{C}_{80}$  cage carries a formal 6-fold negative charge, and it is thus diamagnetic, while the nitride molecule has the corresponding positive charge. Almost all Ln and some other, e.g. 3d metals, can be incorporated. In contrast to all other SIMs discussed in this review, endohedral metallofullerenes are not synthesized via conventional coordination chemistry approaches but by an arc discharge technique [113]. Slow relaxation in the endohedral metallofullerenes  $\text{DySc}_2\text{N}@C_{80}$  and  $\text{HoSc}_2\text{N}@C_{80}$  has been reported [114–117], with magnetization relaxation times of  $\text{DySc}_2\text{N}@C_{80}$  exceeding several hours at 2 K. Since these molecules can be sublimed in UHV [118, 119] they are appealing candidates for surface studies alike the other two families of SIMs mentioned before. The molecular structure obtained from density-functional theory (DFT) geometry optimization is shown in figure 9 along with the magnetic hysteresis observed on  $\text{DySc}_2\text{N}@C_{80}$ . Recent CASSCF calculations suggested that the short Dy–N bond gives rise to a very strong axial ligand field responsible for the slow relaxation of magnetization [66, 120].

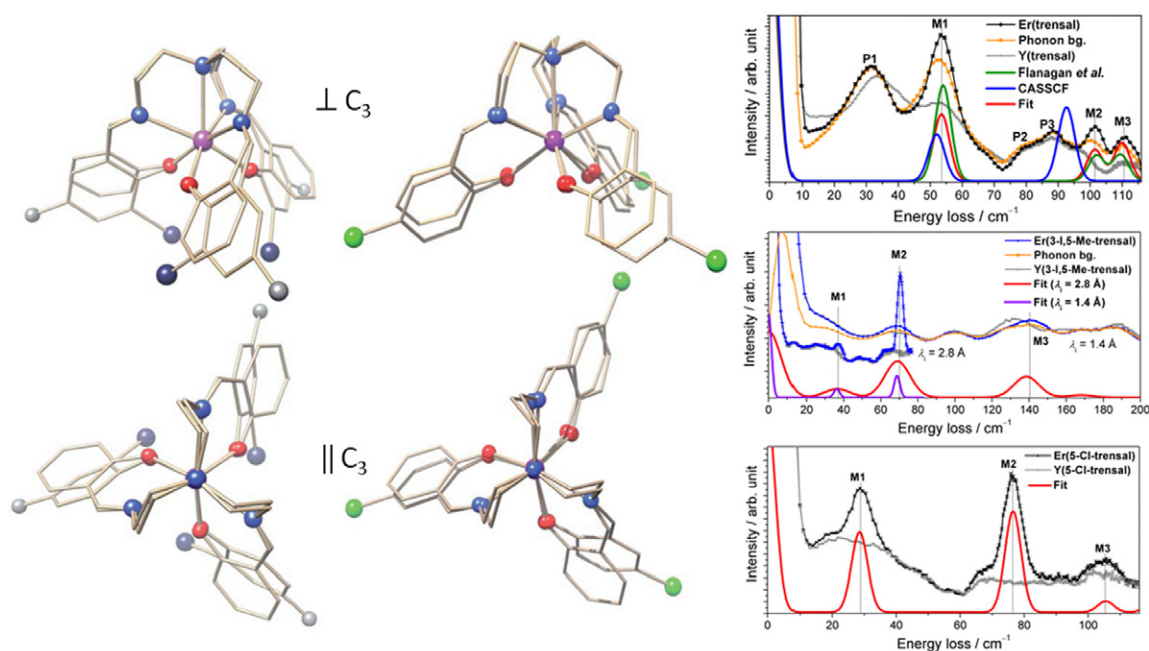
#### 4.4. Other systems

It can be very enlightening to study SIMs of different nature even if the structure leaves only little hope for the necessary stability to sustain thermal sublimation. The polyoxometalate SIM  $[\text{ErW}_{10}\text{O}_{36}]^{9-}$  was reported in 2008 [38, 121], and its Gd congener has been used to demonstrate the possibility of Rabi oscillations [14]. These are a first step towards full coherent control or to achieve coherent manipulation of the electronic angular momentum and, hence, identify it as a qubit candidate besides the pioneering work on manipulating the nuclear spin in  $\text{TbPc}_2$  [15].

A further series of Ln sandwich complexes partially showing SIM behavior was demonstrated recently. Here, the Ln ion is placed between pentamethylcyclopentadiene ( $\text{Cp}^*$ ) and  $\text{COT}_2^-$  rings [122–124]. Moreover, an attractive class of SIMs exhibiting remarkable magnetic behavior are the sandwich-type complexes including two cyclooctatetraene dianions (COT) as ligands. While the  $\text{Er}(\text{COT})_2^-$  complex was reported in 2007 [125], the opening of a magnetic hysteresis



**Figure 7.** (Left) Molecular structure of Ln(trensal). Color code: erbium, orange; nitrogen, blue; oxygen, red; carbon, gray; hydrogen, white. (Right) Optical absorption spectrum of Er(trensal). Reprinted with permission from [108]. Copyright (2001) American Chemical Society.



**Figure 8.** Overlays of Er(trensal) with Er(3-I,5-Me-trensal) (left) and with Er(5-Cl-trensal) (center), visualizing the differences in molecular structures. (Right) INS spectra of Er(trensal), Er(3-I,5-Me-trensal), Er(5-Cl-trensal), along with spectra of the diamagnetic yttrium analogues and the estimated phonon backgrounds revealing peaks of magnetic origin. Adapted from [58]—published by The Royal Society of Chemistry.

at remarkably high temperatures up to 10 K was recently demonstrated on structurally similar complexes [126–128] crystallized with different counter ions.

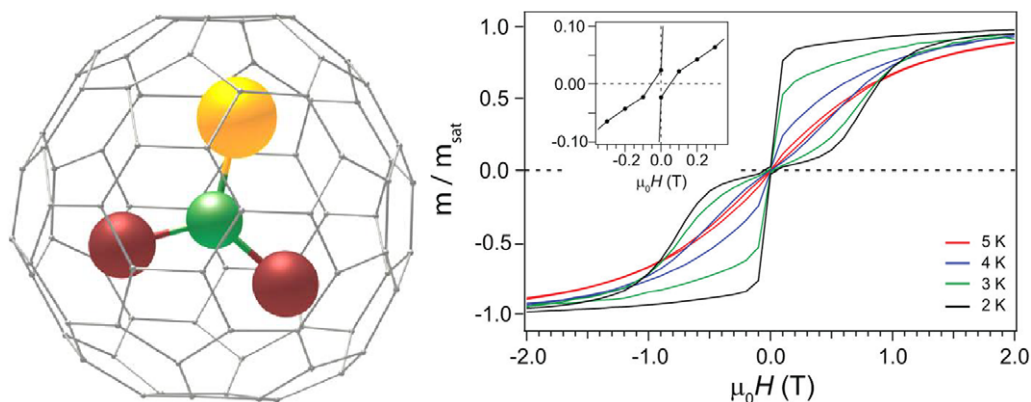
## 5. SIMs on surfaces

There is currently a great deal of interest in the surface deposition of organic molecules and biomolecules on surfaces. These studies are both fundamentally and technologically motivated by the observation that by using such complex adsorbates new regimes and a great diversity of self-assembled structures with interesting properties can be realized. This is of relevance in many applications in information technology, catalysis, sensing, etc. The surface studies of SIMs are

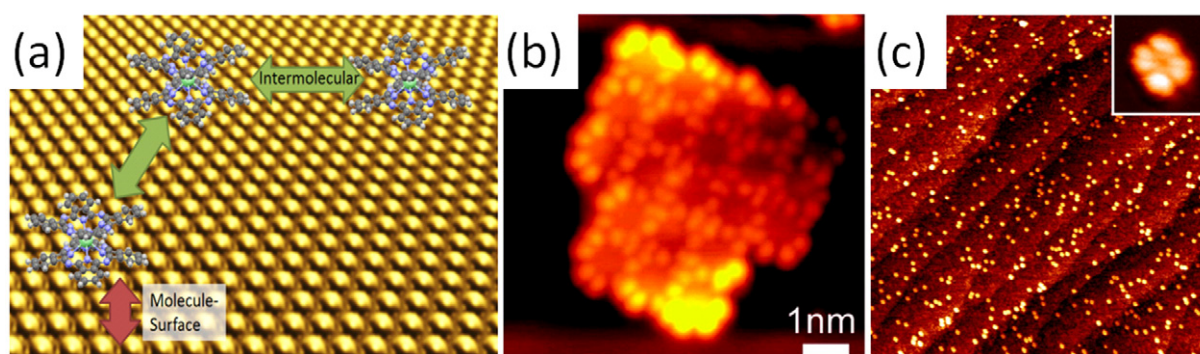
probably most related to information processing or storage applications, but at this stage it is difficult to judge which other opportunities may arise in the near future. In this Chapter, the interactions relevant for the organization of SIMs on surfaces will be discussed followed by a brief introduction of XAS, x-ray magnetic circular dichroism (XMCD) and STM which are relevant techniques for the study of SIMs on surfaces. Then, the recent results gathered on surface-deposited SIMs will be discussed.

### 5.1. Molecules, surfaces and interactions

In order to understand the behaviour of surface-deposited molecules, it is important to look at the relevant interactions



**Figure 9.** (Left) Structure of the endohedral metallofullerene SIM  $\text{DySc}_2\text{N}@C_{80}$ . Color code: Dy, yellow; Sc, red; green: N. The  $C_{80}$  cage is shown as a gray wireframe. (Right) Temperature-dependent hysteresis loops of  $\text{DySc}_2\text{N}@C_{80}$  recorded by SQUID magnetometry. Adapted with permission from [114]. Copyright (2012) American Chemical Society.



**Figure 10.** (a) Relevant interactions. (b)  $\text{TbPc}_2$  deposited on  $\text{Au}(1\ 1\ 1)$ . (c)  $\text{TbPc}_2$  deposited on  $\text{Cu}(1\ 0\ 0)$ ,  $200 \times 200\ \text{nm}^2$ . Adapted with permission from (b) [131] and (c) [132]. Copyright (2009, 2010) American Chemical Society.

that govern the adsorption of the molecules on the surface. Since the adsorption of organic molecules on a surface is an extended research topic by itself a comprehensive discussion is beyond the scope of this work, and the reader is referred to dedicated reviews, as e.g. [129, 130]. Importantly, interactions can be classified through the way they are effective into intermolecular interactions and molecule–substrate interactions, as depicted in figure 10(a). Among the former ones are hydrogen bonding, metal–ligand interactions and interactions between delocalized electron systems. Molecule–substrate interactions comprise charge transfer and covalent interaction, electric dipolar interactions of static and dynamic (van der Waals) nature and mirror charge(s). Adsorption can be weak (physisorption) or strong (chemisorption). Further, energy barriers for surface migration and rotation can play a role. The delicate balance of these interactions determines whether, e.g. self-assembled structures are formed or whether the molecules are adsorbed one-by-one at random sites.

The substrate surface certainly plays an important role as together with the molecular properties it determines the adsorption energy, migration and rotation barriers of molecules. Largely inert noble metal surfaces most often result in low adsorption energy, low migration and rotational barriers and in consequence the realization of the physisorption case. This is in contrast to more reactive transition metal surfaces which tend to form covalent bonds, or hybridize, with the

adsorbates. Strong hybridization or covalent bonding is most often accompanied by the formation of sizeable migration barriers such that the molecules become immobile on the surface even at room temperature. Two example cases are shown in figure 10 where  $\text{TbPc}_2$  on  $\text{Au}(1\ 1\ 1)$  are mobile enough to form patches of ordered molecules (b) while on  $\text{Cu}(1\ 0\ 0)$  the molecules are strongly attached to the surface (c).

There are considerably less studies on semiconducting or oxide surfaces. These surfaces have a more complex structure, and they are more difficult to prepare and to image than metal surfaces. Most of the ‘classical’ surface science studies employing small inorganic or organic molecules are performed on  $\text{Si}(1\ 0\ 0)$  [133–135] or  $\text{TiO}_2(1\ 1\ 0)$  surfaces [136, 137].

The preparation of high-quality surfaces and the study of molecular adsorbates in UHV requires a considerable pool of equipment. Furthermore, the *in-situ* deposition of molecules by sublimation in UHV puts strong constraints on the thermal stability of the SIMs. Nevertheless, UHV surface preparation and *in-situ* molecule deposition allow that the surface is free of adsorbates prior to the molecule deposition which is of crucial importance when studying the influence of molecule–surface interactions which arise from the direct contact between molecules and the substrate. These interactions can be weaker or completely absent if (unwanted) adsorbates are present. Wet chemistry type approaches have been demonstrated to be very successful to anchor molecular magnetic clusters, SMMs and SIMs to a variety of surfaces [21, 138–141]. This has

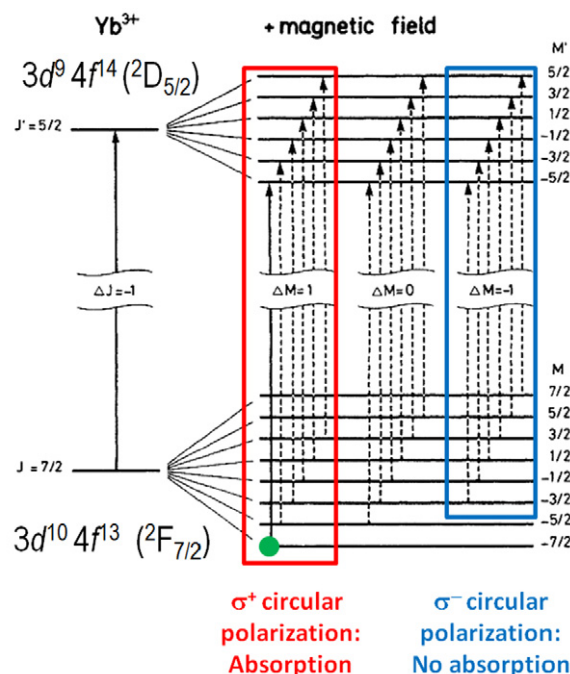
proven to be very useful to decouple and isolate SMMs and SIMs from the surface, however, achieving direct molecule–surface electronic and magnetic coupling on purpose is rather challenging with this approach, with some few exceptions of rather inert surfaces such as Au(1 1 1) or highly oriented pyrolytic graphite (HOPG). Electrospaying is a promising path to the deposition of molecules while keeping the substrate free from adsorbates. More details about structuring and depositing molecules on surfaces can be found in dedicated reviews [19, 20].

A topic that has been largely neglected in the field of SIMs is that the structure of surface-adsorbed molecules does not have to be identical to that in the bulk crystalline phase. There are several reasons for that: Simply, there could be damage to the molecules during the sublimation process, or modifications can be induced by, e.g. charge transfer, or distortions and symmetry breaking occur because of the molecule–surface interactions quoted above. This issue should not be neglected when dealing with surface-adsorbed SIMs, and it will be discussed more specifically in the sections below reviewing the experiments on the different adsorbed species of SIMs. It is noted that the on-surface experimental study of changes of the Ln electronic structure because of distortions of the molecular structure or because of charge transfer and molecule–surface hybridization is very challenging. The study of the lowest electronic states by, e.g. inelastic STM spectroscopy mentioned below or other applicable techniques could deliver some insight here.

### 5.2. X-ray absorption spectroscopy

XAS and XMCD in the soft x-ray range (photon energies of ~0.1–5 keV) [142–144] are very powerful techniques to investigate the magnetic properties of surface-deposited SIMs and SMMs in the sub- and few monolayer range. XMCD is element specific because the magnetic signal from the element under consideration can be strongly increased with respect to the unwanted background. The absorption cross sections are very large in the soft x-ray range, resulting in ultrahigh sensitivity of ca.  $10^{11}$  molecules which is far superior to that of a state-of-the-art SQUID magnetometer. Moreover, XAS in total electron yield (TEY) mode is strongly surface sensitive and sum rules [145, 146] allow for the extraction of absolute values of spin and orbital magnetic moments.

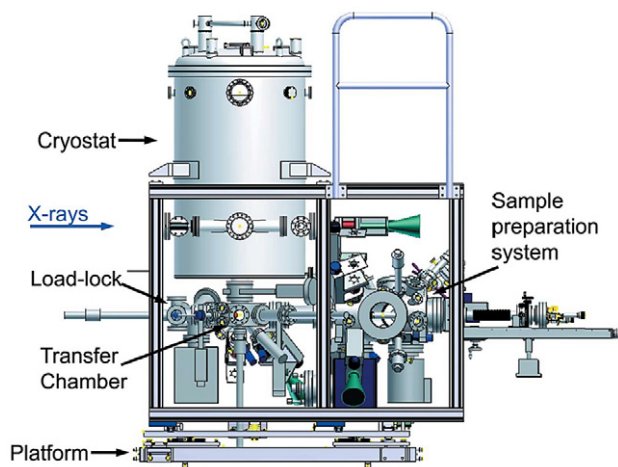
XMCD refers to the difference between two absorption spectra recorded with the opposite circular polarizations of the incident x-rays, i.e.  $I_{\text{XMCD}}(\hbar\omega_{\text{ph}}) = I_+(\hbar\omega_{\text{ph}}) - I_-(\hbar\omega_{\text{ph}})$  with  $\hbar\omega_{\text{ph}} = E_{\text{ph}}$  the photon energy. The strength and sign of the integrated XMCD spectrum is proportional to the projection of the element-specific magnetic moment  $\mathbf{M}$  onto the beam propagation direction  $\mathcal{S}$ , i.e.  $\int I_{\text{XMCD}} dE_{\text{ph}} \propto \mathbf{M} \cdot \mathcal{S}$ . To rationalize the XMCD effect in the atomic-like lanthanides, it is highly instructive to consider the simple case of the Yb(III) ion depicted in figure 11 which was treated already in the early days of XMCD by Goedkoop *et al* [147]. At the Yb  $M_5$  edge an electron is excited from the filled  $3d_{5/2}$  core levels to the open  $4f$  shell. Hence, the initial and final configurations are  $3d^{10}4f^{13}$  and  $3d^9 4f^{14}$ , respectively, giving rise to  $^2F_{7/2}$  and



**Figure 11.** Origin of the XMCD effect in Yb(III). Adapted figure with permission from [147]. Copyright (1988) by the American Physical Society.

$^2D_{5/2}$  ground state multiplets. According to the selection rules for electric dipole transitions, the transition between these multiplets is allowed with  $J' - J = \Delta J = -1$ . By choosing the circular polarizations of the light, the transitions with either  $M' - M = \Delta M = +1$  or  $\Delta M = -1$  are selected. Here  $J$ ,  $M$  and  $J'$ ,  $M'$  are the quantum numbers of the total angular momentum and its  $z$ -projection of the initial and final states, respectively. In the extreme case where only the  $|M = -7/2\rangle$  state is populated which can be realized in a strong magnetic field at low temperatures, this leads to the presence of absorption with  $\Delta M = +1$ , i.e. for  $\sigma^+$  circularly polarized light and the absence of absorption for  $\Delta M = -1$ , i.e.  $\sigma^-$  circularly polarized light as depicted in figure 11 by red and blue boxes. The relationship of the absorption cross sections for the different  $|M\rangle$  states is given by the Wigner- $3j$  symbol,  $\left(\begin{matrix} J & 1 & J' \\ M & m & -M' \end{matrix}\right)^2$ . The parameter  $m$  refers to the polarization of the light where  $m = \pm 1$  for the two circular polarizations. Since the magnetic moment of the studied Ln(III) ion is determined by the occupation of  $|M\rangle$  states or their superpositions, it is mapped into the XMCD via the polarization-dependent absorption.

Technically, the soft-XAS is often measured in TEY mode, i.e. the current originating from electrons emitted from the sample by the x-rays is measured. Since the electron escape depth is only a few nanometers, the TEY signal is strongly surface sensitive. Because of the very large absorption cross sections in the soft x-ray regime the XAS and XMCD experiments are usually performed in UHV environment. The need for energy and polarization-tunable x-rays requires a synchrotron as x-ray source. There are a few beam lines specialized in high-field, low-temperature XMCD and which are equipped with *in-situ* surface-science tools necessary for



**Figure 12.** Preparation and measurement chambers (Cryostat) at the X-Treme beam line at the Swiss Light Source. Reproduced from [148] with permission from the International Union of Crystallography.

the preparation of surface-adsorbed molecule samples. In figure 12 the vacuum chamber system of the X-Treme beam line [148] at the Swiss Light Source, Paul Scherrer Institut, is shown. Besides a fast entry lock and a transfer chamber, this system includes the measurement chamber, a preparation chamber and an STM chamber. Similar equipment exists e.g. at the ID08 (now ID32) beam line at European Synchrotron Radiation Facility (ESRF) and at the DEIMOS beam line at SOLEIL [149].

### 5.3. Scanning tunneling microscopy

In an STM, an atomically sharp tip is scanned over the surface of a sample while the tunnel current  $I_t$  is measured. In most cases, the so-called constant-current mode is used in which the current is kept constant by adjusting the distance between tip and the sample. The image is then formed from the distance, i.e. tip height signal. Because of the exponential dependence of the tunnel current on the tip sample distance the technique is extremely sensitive with vertical resolution below 1 Å. Surface-adsorbed species such as single atoms and molecules can be imaged. The tunnel current is given by

$$I_t \propto \int_0^{eV} \rho_s(E_F - eV + \epsilon) \cdot \rho_t(E_F + \epsilon) |M|^2 d\epsilon, \quad (6)$$

in which  $\rho_s$  and  $\rho_t$  are the sample and tip local densities of states (LDOS),  $E_F$  is the Fermi energy,  $V$  the applied voltage and  $M$  is the tunnel matrix element. Hence the STM images have to be interpreted as maps of electronic properties at the surface which do not have to coincide with a geometric topography. This is in particular important when imaging molecules which often have a LDOS at the Fermi energy much lower than a metal substrate, implying that the apparent height at which the molecules appear is less than the geometric height.

Among the many aspects of STM, there is the possibility of performing local spectroscopy of electronic, vibrational and magnetic states. Also, the option of working with spin-polarized tips is certainly of great interest when dealing with

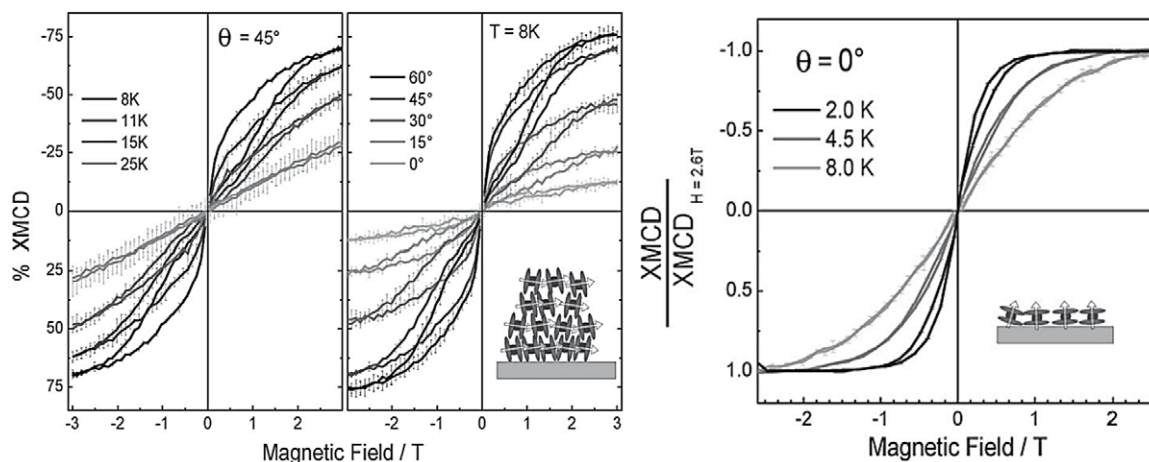
SIMs on surfaces. It should also be mentioned here that spin-excitation spectroscopy (or inelastic electron tunneling spectroscopy) is able to reveal magnetic excitations of single surface-adsorbed atoms and molecules [150–156] such that this type of STM spectroscopy is certainly of high interest in view of the Ln SIMs discussed in this review.

### 5.4. LnPc<sub>2</sub>

In this Chapter, the surface studies on TbPc<sub>2</sub> and relatives will be reviewed, loosely grouped by the substrates used.

**5.4.1. Nonmagnetic metal surfaces.** In a first effort, this SIM was deposited on a Cu(111) surface using a printing technique [157]. It was found that the molecules exhibited a characteristic eight-lobed structure in STM images. Further, the study suggested that the Tb 4f states could indeed be accessed in STM experiments. In a subsequent x-ray study, Stepanow *et al* demonstrated by x-ray linear dichroism and XMCD that TbPc<sub>2</sub> SIMs are oriented and exhibit strong magnetic anisotropy when deposited by thermal sublimation as a submonolayer on a Cu(100) surface [132]. No hysteresis was observed which was attributed to the temperature of the experiment of 8 K and the data acquisition time of 20 min for a magnetization curve. Katoh and colleagues reported detailed STM addressing the Kondo effect in TbPc<sub>2</sub>, DyPc<sub>2</sub> and YPc<sub>2</sub> on Au(111) prepared by sublimation [131, 158, 159]. A mixture of the eight-lobed and four-lobed structures was observed, and the four-lobed structure was attributed to TbPc molecules, i.e. double-decker molecules which have lost one of the two ligands. It was further reported that the skew angle of the upper Pc ligand can be modified in STM experiments on single TbPc<sub>2</sub> molecules on Au(111) and Ir(111) surfaces [160, 161]. STM results were complemented by an XMCD study of a thick and a thin film of TbPc<sub>2</sub> on Au(111) which showed the presence of a hysteresis in the thick film, and in contrast only a small opening in the thin film deposit [162] (see figure 13). The structural integrity of NdPc<sub>2</sub> molecules on different metal surfaces was investigated [163] revealing that on Au(111) the majority of molecules are of single-decker type or Pc only in agreement with the observations in [131]. Further work on NdPc<sub>2</sub> deposited on Cu(100) addressed explicitly the issue of whether the Nd 4f states can be accessed in STM experiments [164]. The dynamic magnetic properties in the thin-film to bulk crossover regime were recently investigated in a muon-spin relaxation study [165], revealing that the magnetic fluctuations in the films are much stronger than in the bulk, which was attributed to differences in the packing of the molecules.

**5.4.2. HOPG and graphene.** An STM study of alkyl-functionalized TbPc<sub>2</sub> molecules deposited on HOPG from the liquid phase revealed the formation of self-assembled checkerboard-type patches [166]. In a XMCD investigation, submonolayers and multilayers of the neutral and anionic TbPc<sub>2</sub> were investigated [167] with samples prepared from solution. It was found that in the submonolayers both anionic and neutral molecules adsorb flat on the HOPG



**Figure 13.** Magnetic hysteresis of a thick (left double panel) and a thin (right) film of  $\text{TbPc}_2$  on  $\text{Au}(111)$ . Reproduced with permission from [162].

surface. However, in the thick films the neutral molecules still exhibit orientation while there is an increased disorder for the anionic species. In a follow-up study Gonidec *et al* [168] reported a small hysteresis opening in a thick film as well as in a monolayer deposit. A similar experiment was carried out by Klar *et al* using UHV sublimation of the pristine neutral  $\text{TbPc}_2$  confirming the appearance of a hysteresis [169]. Moreover, the magnetic behavior of  $\text{DyPc}_2$  deposited on HOPG was studied, revealing a small hysteresis and remanence at sub-Kelvin temperatures. The magnetic properties of the protonated, neutral and anionic forms of the octaethylporphyrin- $\text{Tb}^{\text{III}}$  double decker molecules deposited on HOPG were investigated [107], and a derivative functionalized with long alkyl chains was studied. In [170] pyrene-functionalized  $\text{TbPc}_2$  molecules were deposited on a graphene nanoconstriction. The signature of the magnetic hysteresis could then be observed imprinted on the magnetoconductivity of the device.

**5.4.3. Oxides, semiconductors, ferromagnetic and antiferromagnetic metal surfaces.** Several studies have been addressing the magnetic coupling of  $\text{TbPc}_2$  SIMs to ferromagnetic metals using XAS and XMCD, with partially non-congruent results. Lodi Rizzini *et al* demonstrated in a comprehensive study that  $\text{TbPc}_2$  shows magnetic exchange coupling to ferromagnetic substrates [171, 172] (see figure 14). The influence of different orientations of the substrate remanent magnetization (in-plane versus out-of-plane) was investigated as well as the effect of Li doping. In a further study by Klar *et al* [173] the coupling of  $\text{TbPc}_2$  to a  $\text{Ni}/\text{Cu}(100)$  appeared to be significantly lower than in [171]. With the in-plane system  $\text{Co}/\text{Cu}(100)$  a very weak antiferromagnetic coupling was found, in contrast to a later study by Malavolti *et al* [174]. In that study  $\text{TbPc}_2$  molecules were also deposited on the ferromagnetic oxide  $\text{La}_{0.3}\text{Sr}_{0.7}\text{MnO}_3$  (LSMO), and no exchange coupling could be observed. It was found that the molecules adopt a standing geometry on LSMO, in contrast to the behaviour on noble metal surfaces. Moreover, in [175] the possibility of exchange-biasing SIMs was demonstrated using antiferromagnetic Mn thin films. In a spin-polarized STM study, spin-split molecular

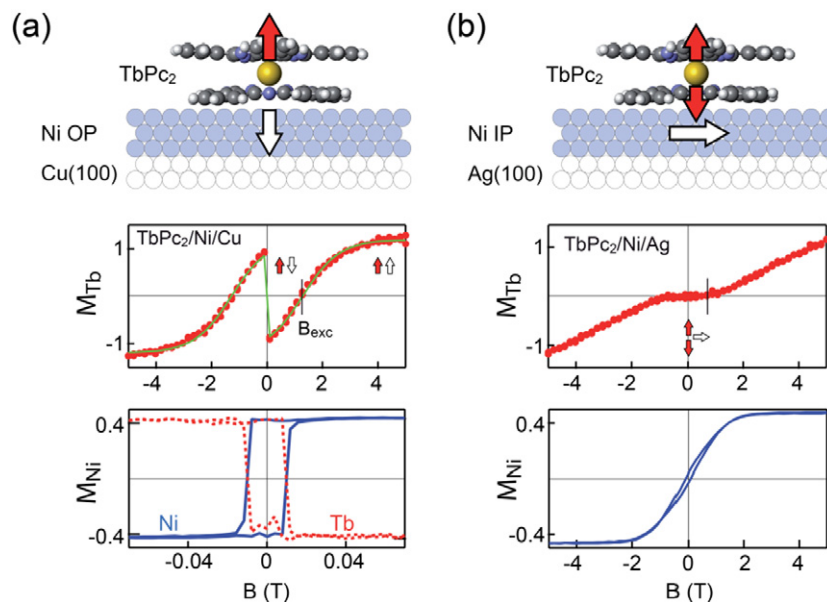
ligand orbitals could be observed directly on  $\text{TbPc}_2$  deposited on Co islands on  $\text{Ir}(111)$  [176].

Besides oxide surfaces, semiconductors are the least explored substrates for the  $\text{TbPc}_2$  molecules. A recent study addresses this topic using a hydrogen-terminated  $\text{Si}(100)$  surface [141]. The  $\text{TbPc}_2$  molecules were functionalized with long alkyl chains and chemically grafted onto the surface via the thermal hydrosilylation process. It was found that the molecules were partially oriented, and remarkably a hysteresis opening larger than in the bulk phase was observed in the monolayer.

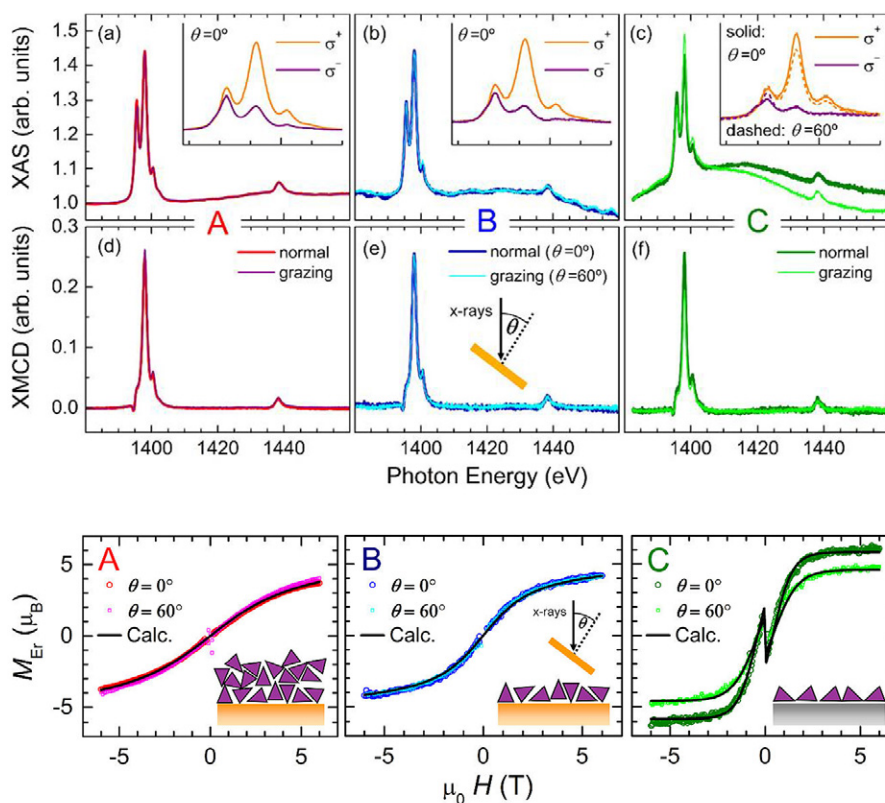
**5.4.4. Non-planar surfaces.** Although the surfaces so far dealt with were planar, the grafting of  $\text{TbPc}_2$  molecules on to the non-planar surfaces of (single-walled) CNTs represents a directly related topic of as high interest. The underlying idea is to use the current passing through the nanotube to read out the magnetization state of single attached SIMs. An important fundamental aspect was the noncovalent grafting of SMMs and SIMs to the CNTs [177–179] such that isolated molecules can be attached without reducing the conductivity of the CNT. In consequence, pyrene-functionalized  $\text{TbPc}_2$  grafted on CNTs were used in a series of pioneering experiments demonstrating the feasibility of a supramolecular spin valve [24] as well as the observation of strong spin–phonon coupling between the CNT and a single attached molecule [25].

## 5.5. Ln(trensals)

Out of the Ln(trensals) family [58, 108, 110, 111], the Er(trensals) SIM was studied in the monolayer regime on  $\text{Au}(111)$  and  $\text{Ni}/\text{Cu}(100)$  surfaces [180]. The shape of the ligand is tripodal with the three legs being linked at an apex nitrogen atom as described in section 4.2. It has been shown that Er(trensals) can be sublimed in UHV and it was checked by x-ray photoelectron spectroscopy (XPS) that it is deposited as a whole on the surfaces. On  $\text{Au}(111)$  studies were performed on a multilayer and on a monolayer of molecules, which turned out to exhibit rather similar behavior, without a preferred orientation of the magnetic easy axis



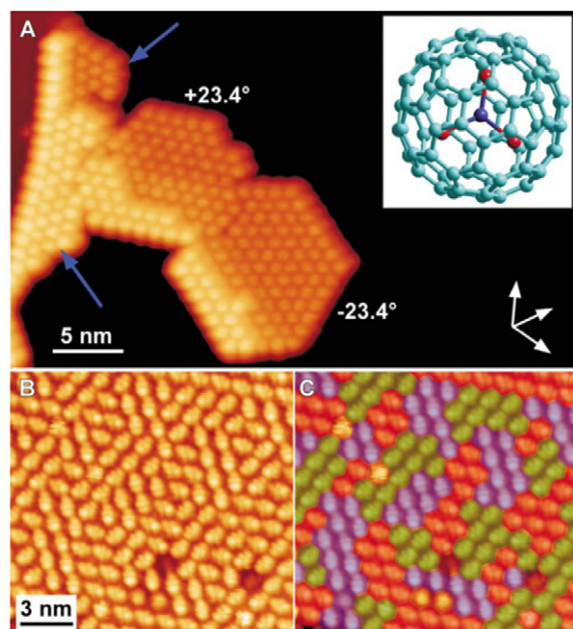
**Figure 14.** Element-specific magnetization from XMCD recorded on a submonolayer of TbPc<sub>2</sub> deposited on ferromagnetic Ni thin films with (a) out-of-plane and (b) in-plane magnetic anisotropy. Reprinted figure with permission from [171]. Copyright (2011) by the American Physical Society.



**Figure 15.** XAS and XMCD spectra recorded at the Er M<sub>4,5</sub> edges and Er *M*(*H*) of (A) a multilayer of Er(trenal)/Au(1 1 1), (B) a monolayer of Er(trenal)/Au(1 1 1) and (C) a monolayer of Er(trenal)/Ni/Cu(1 0 0). The XAS were recorded at  $\mu_0 H = -6$  T and *T* = 11 K (A), 6 K (B) and 4 K (C). Adapted with permission from [180]. Copyright (2014) American Chemical Society.

(see figure 15). On a ferromagnetic Ni thin film grown on Cu(1 0 0), the situation is different because of the more reactive surface leading to hybridization between the molecules and the surface. This results in a preferential adsorption geometry of molecules lying on their sides trying to maximize interaction of their phenyl rings with the metal surface as suggested

from DFT calculations. The molecules were shown to couple antiferromagnetically to the Ni substrate, as visible from the element-specific magnetization of sample C plotted in figure 15. The strength of the coupling is weak because of the three-dimensional nature of the molecules and the resulting long superexchange pathway as indicated by DFT calculations.



**Figure 16.** STM images showing (A) islands of  $\text{Dy}_3\text{N}@C_{80}$  endohedral metallofullerenes on  $\text{Cu}(1\ 1\ 1)$ , (B) formation of domains of differently oriented molecules and (C) same as (B), but with color-coded domains. Reprinted figure with permission from [118]. Copyright (2009) by the American Physical Society.

Up to now several studies have demonstrated that the electronic and magnetic structure of Ln SIMs is extremely sensitive to subtle perturbations of the ligand field [58, 94, 181]. The magnetic behaviour of Er (trensai) on  $\text{Ni}/\text{Cu}(1\ 0\ 0)$  suggests that this plays a role also for surface-deposited SIMs, however, the small structural distortions are difficult to quantify experimentally in a submonolayer of surface-adsorbed molecules.

### 5.6. Endohedral metallofullerenes

Endohedral metal-nitride fullerenes of type  $\text{M}_x\text{Sc}_{3-x}\text{N}@C_{80}$  are thermally very stable and sublimable in UHV. Although reports on single-ion magnetic species adsorbed on surfaces are still lacking, experiments have been performed with the structurally similar polynuclear species  $\text{Dy}_3\text{N}@C_{80}$  [118],  $\text{Dy}_2\text{ScN}@C_{80}$  [182] and  $\text{Gd}_3\text{N}@C_{80}$  [119]. Nevertheless, work on these species will be briefly reviewed here because their structure is closely related to the corresponding SIMs such as  $\text{DySc}_2\text{N}@C_{80}$  [114]. The icosahedral  $I_h$  symmetry of the carbon cage and the rotational degrees of freedom of the endohedral unit give rise to a complex adsorption behavior. When deposited on  $\text{Cu}(1\ 1\ 1)$ , the  $\text{Dy}_3\text{N}@C_{80}$  molecules form differently ordered domains as shown in figure 16 [118]. It was found by an x-ray photoelectron diffraction experiment that the endohedral unit takes at least two different orientations, with the N atom resting at the center position of the cage. In  $\text{Dy}_2\text{ScN}@C_{80}$  molecules deposited on  $\text{Rh}(1\ 1\ 1)$  it was demonstrated by XAS and XMCD that the Dy(III) ions which carry the magnetic moment possess a net in-plane magnetic anisotropy implying that the plane of the endohedral unit must be parallel to the  $\text{Rh}(1\ 1\ 1)$  surface [182]. Most interestingly,

this compound exhibits a wide hysteresis with a sizeable opening at zero field. It was observed that the molecules in direct contact with the metal surface exhibit a smaller hysteresis opening than those in the multilayer. Remarkably, for the  $\text{Gd}_3\text{N}@C_{80}$  species magnetic exchange coupling of the endohedral unit through the  $C_{80}$  cage to a thin ferromagnetic Ni film [119] was observed.

## 6. Future prospects

While the theoretical foundations of the magnetism and electronic structure of lanthanides have been laid decades ago, these fundamental results appear under a new light in the age of molecular spintronics. Surface deposition experiments, elusive in earlier times, have become possible, and physicists and chemists alike work closely together to achieve challenging goals such as the creation of sophisticated devices.

Looking back to the recent years many promising results have been obtained in the relatively young field described in this review. However, there are many challenges ahead: Certainly on the wish list there are more sublimable molecules with long magnetization relaxation times. Moreover, sophisticated experimental and theoretical tools are needed to advance the understanding of changes of the molecular structure of surface-deposited species and the resulting changes of ligand field and/or magnetic properties. As an example the effect of the free conduction-band electrons present in a metal substrate on the magnetization relaxation times is not well understood yet and further work needs to be devoted to that topic. Abundant questions remain: What is the influence of oxide or semiconductor surfaces on the behavior of SIMs? Can information be written to or read from a single SIM on a planar surface on which SIMs could be organized, going beyond the pioneering experiments on SIMs anchored on carbon nanotubes? The interesting optical properties of some SIMs have not been further addressed yet apart from the study of absorption and luminescence spectra to understand the energy levels of SIMs in very few studies up to now. Studies reporting optical properties of surface-deposited SIMs are scarce [183, 184].

There is a great deal of experience from surface and interface studies of non-SIM mononuclear transition metal complexes as well as from research on molecular spin valves and tunneling devices. Hence the associated communities should be more strongly linked with those studying surface-adsorbed SIMs, or in other words, the different involved fields should be brought closer together. If the relevant interactions and mechanisms are understood, they can possibly be controlled and harnessed in order to go beyond the current approach of using SIMs as independent building blocks that are put on surfaces, but rather the surface could be used to enhance the properties of SIMs.

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