

Dielectric elastomer generators that stack up

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Abstract

This paper reports the design, fabrication, and testing of a soft dielectric elastomer power generator with a volume of less than 1 cm³. The generator is well suited to harvest energy from ambient and from human body motion as it can harvest from low frequency (sub-Hz) motions, and is compact and lightweight. Dielectric elastomers are highly stretchable variable capacitors. Electrical energy is produced when the deformation of a stretched, charged dielectric elastomer is relaxed; like-charges are compressed together and opposite-charges are pushed apart, resulting in an increased voltage. This technology provides an opportunity to produce soft, high energy density generators with unparalleled robustness. Two major issues block this goal: current configurations require rigid frames that maintain the dielectric elastomer in a prestretched state, and high energy densities have come at the expense of short lifetime. This paper presents a self-supporting stacked generator configuration which does not require rigid frames. The generator consists of 48 generator films stacked on top of each other, resulting in a structure that fits within an 11 mm diameter footprint while containing enough active material to produce useful power. To ensure sustainable power production, we also present a mathematical model for designing the electronic control of the generator which optimizes energy production while limiting the electrical stress on the generator below failure limits. When cyclically compressed at 1.6 Hz, our generator produced 1.8 mW of power, which is sufficient for many low-power wireless sensor nodes. This performance compares favorably with similarly scaled electromagnetic, piezoelectric, and electrostatic generators. The generator's small form factor and ability to harvest useful energy from low frequency motions such as tree swaying or shoe impact provides an opportunity to deliver power to remote wireless sensor nodes or to distributed points in the human body without the need for costly periodic battery replacement.

Keywords: energy harvester, dielectric elastomer, electroactive polymer, DEG, EAP, small scale, internet of things

(Some figures may appear in colour only in the online journal)

Introduction

The internet of things is revolutionizing the way we interact with objects, and the way in which objects themselves interact [1]. One exciting subset of the internet of things is wireless sensor networks. With applications such as sensors monitoring the health of a building or bridge, the activity and performance of an athlete, or transducers to monitor wildlife populations, wireless sensor networks provide an opportunity to obtain an enhanced awareness of both our local and remote environments. One major advantage of a wireless sensor

network is that the cost and inconvenience of wiring between nodes is eliminated [2, 3].

The advantages of wireless sensor networks can be offset if frequent battery replacement or charging is required. One solution is to integrate a compact energy harvester into the wireless sensor nodes. Ongoing improvements in sensor and wireless communication technologies have included substantial reductions in power consumption. Thanks to this, a device that can generate 1 mW and fit within 1 cm³ envelope would allow the powering of a very broad range of devices.

Dielectric elastomer generators (DEGs), a class of electroactive polymers, are highly deformable variable capacitors

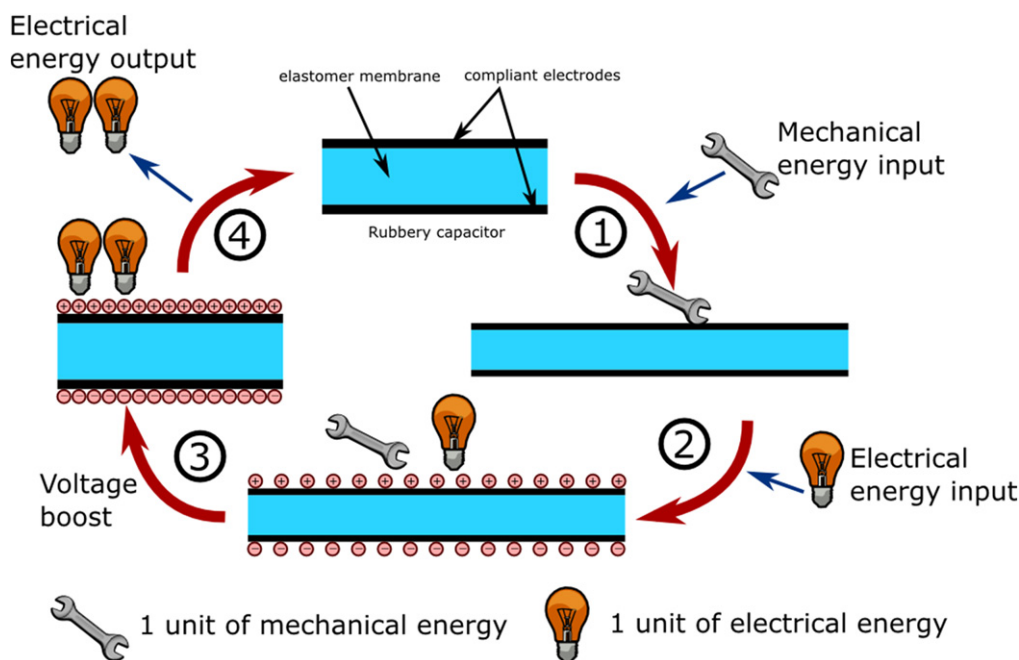


Figure 1. The DEG energy harvesting cycle. (1) Mechanical energy is used to stretch the generator; (2) the generator is electrically charged; (3) the generator is mechanically relaxed which pushes opposite charges apart and compresses like charges together, this action boosts the electrical energy of the charges; (4) the charges are then extracted in this higher energy state.

that show great promise for sub-cm³ scale energy harvesters. Electrical energy is produced when the deformation of a stretched, charged DEG is relaxed; like-charges are compressed together and opposite-charges are pushed apart, resulting in an increased voltage (see figure 1). DEG lend themselves well to low complexity, small-scale energy harvesters because the energy harvesting mechanism is theoretically scale invariant, they can be directly coupled to rectilinear motions [4], they can produce high energy densities [5, 6], and can harvest energy efficiently from a wide range of frequencies without the requirement for operation at or near a resonant frequency. Much energy harvesting research has focused on resonant spring–mass systems, optimized for vibrations often at a predetermined frequency [7]. A key benefit of DEG is that they can harvest from extremely low frequency motion (e.g. the swaying of a tree branch [8] and ocean waves [9–11]) and by virtue of their softness can obtain energy from every-day human motions unobtrusively [12, 13].

Although complete DEG systems, including the electronics required for controlling the energy harvesting cycle, have been fabricated from soft rubbers [14], they have been based on prestretched films with thicknesses of tens to hundreds of micrometers [4–6]. These thin film generators require rigid frames to maintain their desired shape and high level of pre-stretch. The framing is an additional part that is rigid and relatively heavy, so it reduces the generator's energy density, deformability, simplicity, and introduces potentially catastrophic stress concentrations.

In this paper we present a stacked membrane generator configuration which allows the fabrication of self-supporting generators that do not require rigid frames. The stacked

configuration also allows a large quantity of generator material to be fabricated onto a much smaller footprint than that achievable using a single thin membrane. Another advantage of the stack generator configuration is that, when compressed, the generator membranes take on a state that is equivalent to equi-biaxial tension. Equi-biaxial tension has been shown to be an ideal deformation mode for DEG because, for a given area stretch, it maximizes the capacitance change [6].

This paper describes the manufacturing process used to fabricate the stack generator; a model of the energy harvesting cycle is then presented which determines operating conditions to maximize energy output using our charge control strategy. Experimental results, which use the optimized control strategy, are then presented.

Methods

Our generator consisted of 48, 65 μm thick, 11 mm diameter DEG layers stacked on top of each other and electrically connected in parallel. The generator stack was then sandwiched between 3 mm thick silicone end caps, forming a structure with a height of 9 mm and a volume of 0.86 cm³ (see figure 2). The end caps were included because the most convenient mechanism for coupling a stack to a source of mechanical deformation is to adhere the ends of the stack between two structures. The constrained ends do not deform and the structure barrels when compressed. Thus the end caps ensure that the active DEG zone is essentially homogeneously deformed [3, 15], which means that the electric field is approximately the same for each layer of the stack generator. It is advantageous for the electric field to be uniform because

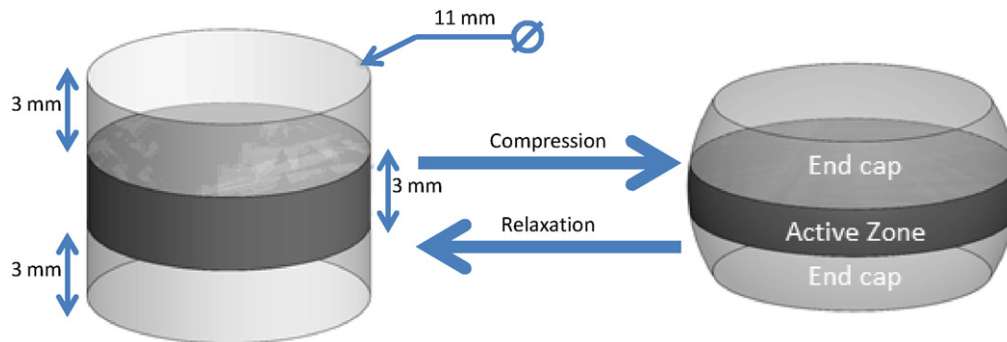
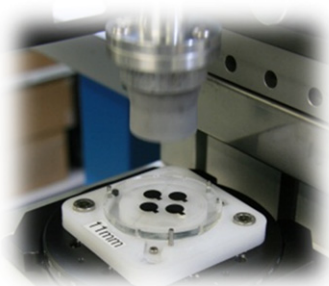
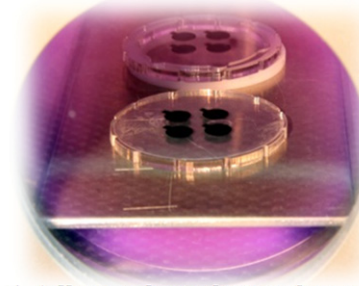


Figure 2. The stack DEG is coupled to a mechanical energy source by adhering its ends between two bodies that move relative to each other. The active generator zone is deformed when the stack is compressed. The end caps do not deform as much as the the active zone because of the fixed boundary condition between the generator stack and the mechanical energy source.

1. Pad-print electrodes onto silicone film



2. Plasma-bond layers together



3. Laser-cut the perimeter of the stacks



4. Adhere sub-stacks together, attach end caps, and apply interconnecting electrodes

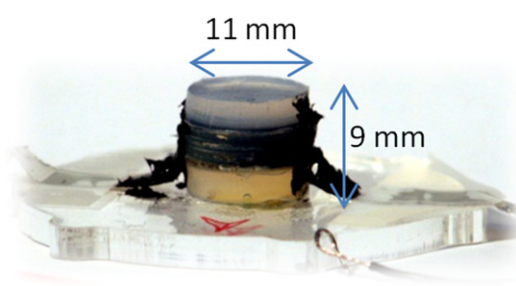


Figure 3. An overview of the process which was developed for fabrication of stacked DEG. (1) Four carbon-based electrodes were pad-printed onto the silicone dielectric layers; (2) a plasma bonder was used to adhere 12 electroded dielectric layers together; (3) each of the four sub-stacks were cut free using a laser-cutter; (4) the sub-stacks were glued on top of each other using a silicone glue and a carbon electrode mix electrically connected each sub-stack in parallel.

DEG energy production increases with electric field. If the electric field is not uniform, then regions of the generator operating at lower electric fields will contribute to a reduced energy density.

An overview of our fabrication process is given in figure 3. 65 μm thick Wacker Chemie AG Elastosil® silicone membranes were used as the dielectric. Electrodes consisting of 90% by weight Silbione LSR 4305 silicone, Ketjenblack EC-300J carbon black (Akzo-Nobel) (5% by weight), and Cabot Black Pearl 2000 carbon black (5% by weight) were applied to the membranes using a TPM-101 pad printer from Teca-Print. A Diener Zepto plasma system was then used to bond the layers together with a short exposure to oxygen plasma, until four sub-stacks of 12 active membranes were formed. Once the sub-stacks were formed, a Trotec Speedy

300 laser cutter was used to cut the sub-stacks to shape. The substacks were then bonded together to form one large generator before LSR-20 silicone (factor 2) endcaps were bonded to the stack. The layers were then interconnected using the silicone/Ketjenblack/Cabot carbon black electrode mix.

The stack generator was tested using the energy harvesting circuit illustrated in figure 4, which controls the charge on the generator using an energy harvesting cycle that has been previously studied both theoretically and experimentally [5, 6, 12, 16]. The generator transfers charge from a priming source up to a higher voltage where the energy was supplied to a load. Thus our energy harvester provides a gain in electrical energy by boosting the voltage of the charge. We replicated the approach of Huang *et al* who used a zener diode as the load (simulating an infinitely large harvesting

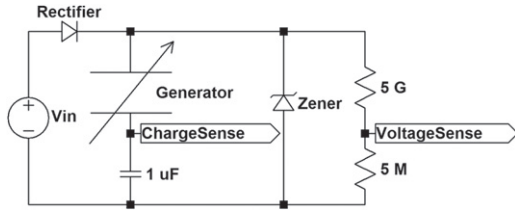


Figure 4. A schematic of the circuit used for analysis of the stack generator. The generator is placed in series with a capacitor ($1 \mu\text{F}$) whose voltage (ChargeSense) tracks the charge state of the generator. The generator was charged using a high voltage power supply (V_{in}) through a rectifier. The zener diode fixed the maximum voltage across the generator. The voltage across the generator was measured using a $5 \text{ G}:5 \text{ M}$ voltage divider (VoltageSense).

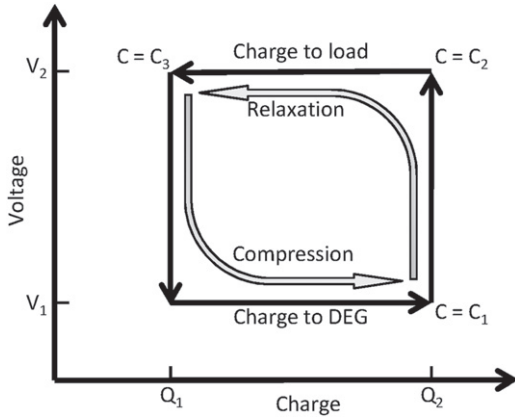


Figure 5. A schematic of the priming circuit's energy harvesting cycle mapped on the voltage charge plane. The solid arrows follow the voltage/charge state of the DEG throughout the cycle, whereas the curved arrows demonstrate the mechanical state. C_1 refers to the capacitance of the generator when it is fully compressed. The capacitance of the generator reduces as it is decompressed and when it's capacitance is reduced to C_2 , its voltage reaches the breakdown voltage of the zener array (V_2). As the generator continues to decompress to a capacitance of C_3 , charge is transferred to the zener array, which is our simulated load.

capacitor) [6]. When the voltage reaches the breakdown voltage of the zener array, charge is transferred from the generator to the zener load which consisted of several 1N5388BG 200 V zener diodes in series. The generator was primed to V_{in} using a Biomimetics Lab (Auckland) EAP controller power supply. The voltage across the DEG was measured using a $5 \text{ G}\Omega:5 \text{ M}\Omega$ resistor ladder. The charge on the generator was measured using a $1 \mu\text{F}$ current integration capacitor.

With reference to our circuit in figure 4, the generator's input voltage V_{in} and the breakdown voltage of the zener array need to be carefully chosen because they dictate the charge state of the DEG. The energy harvesting cycle produced using the circuit is schematically described on the voltage charge plane in figure 5. The greater the area of the energy harvesting cycle, the more energy is harvested [5, 16, 17]. We were interested in optimizing the amount of energy that we can harvest from a specific generator.

A model was developed to determine the operating voltages, V_1 and V_2 , that optimize energy production without driving the generator to failure.

The energy production in a single cycle is the area of the energy harvesting cycle on the voltage charge plane in figure 5, as described in equation (1) [6]

$$W_{\text{elect}} = (Q_2 - Q_1)(V_2 - V_1). \quad (1)$$

As the capacitance decreases from C_1 to C_2 , the charge remains constant at Q_2 and we can therefore relate the input voltage V_1 to the zener breakdown voltage V_2 using equation (2):

$$\begin{aligned} Q &= CV, \\ \therefore C_1 V_1 &= C_2 V_2, \\ \therefore V_1 &= \frac{C_2 V_2}{C_1}. \end{aligned} \quad (2)$$

When the DEG capacitance decreases from C_2 to C_3 the voltage is held constant at V_2 so we can relate the charge on the fully relaxed generator Q_1 to the stretched generator's charge Q_2 using equation (3):

$$\begin{aligned} V &= \frac{Q}{C}, \\ \therefore \frac{Q_2}{C_2} &= \frac{Q_1}{C_3}. \end{aligned} \quad (3)$$

We can then get an expression for the energy generated in one compression cycle of a generator that has a stretched and one relaxed capacitance of C_1 and C_3 , respectively, by substituting equations (2) and (3) into (1):

$$W_{\text{elect}} = Q_2 V_2 \left(1 - \frac{C_3}{C_2}\right) \left(1 - \frac{C_2}{C_1}\right). \quad (4)$$

The electric field increases when Q is held constant and the capacitance is reduced because the voltage climbs at a greater rate than the thickness of the generator increases [18]. The electric field drops when the voltage is held constant and the capacitance is reduced, thus the highest electric field occurs when $C = C_2$. At C_2 the voltage is equal to V_2 and the thickness, t_2 , can be found by relating C_3 to C_2 as follows:

$$t_2 = \sqrt{\frac{C_3}{C_2}} t_0. \quad (5)$$

And we can find the generator's maximum electric field by calculating the field when the generator is at a capacitance of C_2 :

$$E_{\text{max}} = \frac{V_2}{t_2}. \quad (6)$$

Equations (7) and (8) then give us V_2 and Q_2 required to operate at E_{max} :

$$V_2 = E_{\text{max}} t_2 = E_{\text{max}} \sqrt{\frac{C_3}{C_2}} t_0, \quad (7)$$

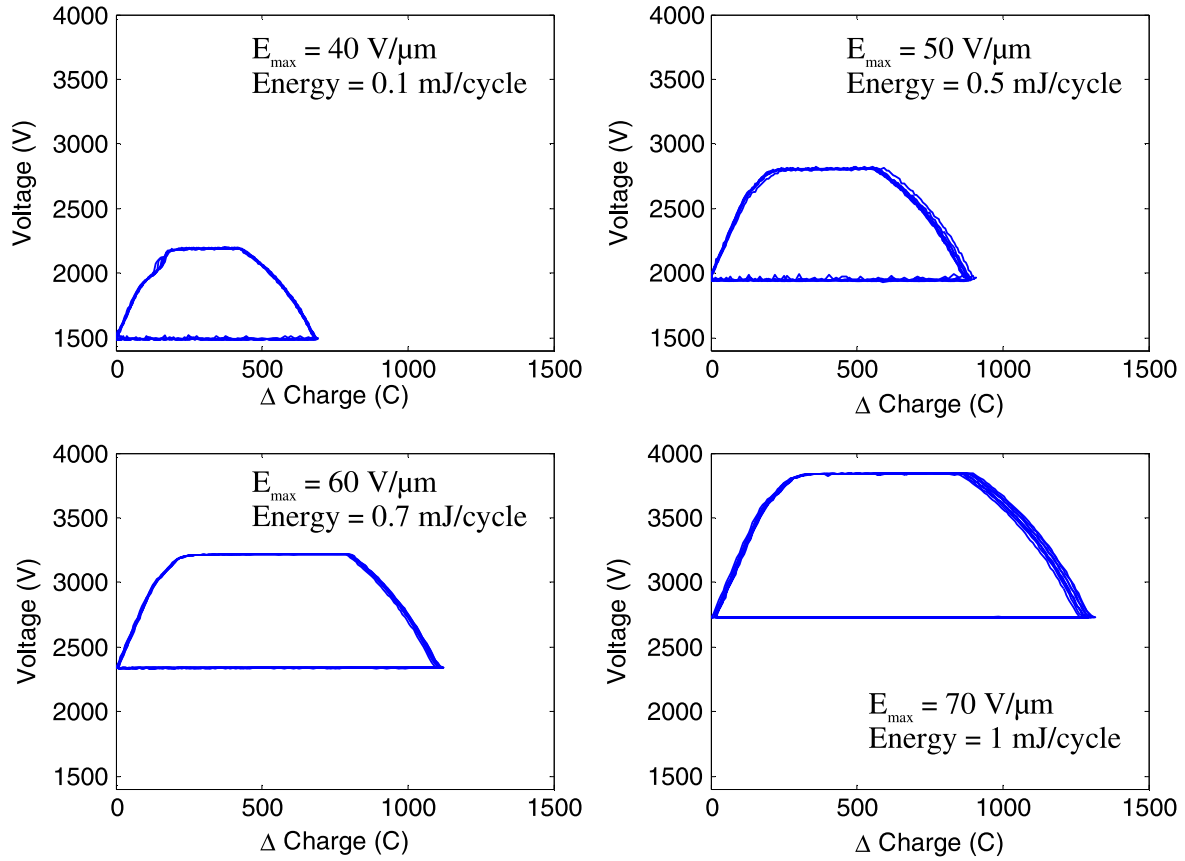


Figure 6. Voltage versus change in charge measured during nine compression cycles of our generator at a maximum electric field of $40 \text{ V } \mu\text{m}^{-1}$ (top left), $50 \text{ V } \mu\text{m}^{-1}$ (top right), $60 \text{ V } \mu\text{m}^{-1}$ (bottom left) and $70 \text{ V } \mu\text{m}^{-1}$ (bottom right).

$$Q_2 = C_2 V_2 = C_2 E_{\max} \sqrt{\frac{C_3}{C_2}} t_0. \quad (8)$$

Substituting (7) and (8) into (4) we get an equation that describes the energy harvesting cycle that operates at a specified maximum electric field of E_{\max} :

$$W_{\text{elect}} = C_3 E_{\max}^2 t_0^2 \left(1 - \frac{C_3}{C_2}\right) \left(1 - \frac{C_2}{C_1}\right). \quad (9)$$

To find our optimal operating conditions we want to find C_2 that maximizes electrical energy production. To find this maximum, we need to differentiate equation (9) with respect to C_2 and set the derivative to zero:

$$\frac{dW_{\text{elect}}}{dC_2} = \frac{E_{\max}^2 t_0^2 C_3^2}{C_2^2} - \frac{E_{\max}^2 t_0^2 C_3}{C_1} = 0, \quad (10)$$

$$\therefore C_2 = \sqrt{C_3 C_1}.$$

Given that we know the thickness of the un-deformed generator (t_0), the maximum and minimum generator capacitance (C_1 and C_3 , respectively), and the maximum desired operating field (E_{\max}) we can use equations (10), (7), and (2) to determine the optimal values for operating voltages V_1 and V_2 for the energy harvesting cycle used in this study.

The stacked generator was tested by cyclically compressing the stack by 2.75 mm at a frequency of 0.47 Hz using an Instron 3342 dynamic test rig. This deformation was

enough to approximately double the capacitance from a fully compressed value (C_1) of 1.15 nF to a relaxed value (C_3) of 0.56 nF. The tests were performed at maximum electric fields of $40 \text{ V } \mu\text{m}^{-1}$, $50 \text{ V } \mu\text{m}^{-1}$, $60 \text{ V } \mu\text{m}^{-1}$, and $70 \text{ V } \mu\text{m}^{-1}$ which, as calculated using our model, corresponded to the following input voltages (V_1) and zener breakdown voltages (V_2).

Results and discussion

The measured voltage versus charge relationships are given in figure 6 for nine cycles operating at each of the maximum electric fields listed in table 1. A notable feature of these plots is that the energy harvesting cycle does not follow the expected square perimeter as shown in the schematic of figure 5. The sloped sides of the energy harvesting cycle indicate that a significant amount of charge is being drawn off the generator during the stages of the energy harvesting cycle when the DEG charge is intended to be fixed. This charge reduction is due to the current drawn by the $5 \text{ G}\Omega$ sensor which as illustrated in figure 4, that is placed in parallel with the DEG. Leakage current through the zener array will also contribute to charge losses from the generator.

The charge loss mechanisms that we have identified are voltage dependent and the losses do not depend on the rate at which the DEG is deformed. Thus, with a goal of enhancing energy production per stroke, the generator was cycled at a

Table 1. Operating conditions used to operate the DEG at maximum electric fields ranging from $40 \text{ V } \mu\text{m}^{-1}$ to $70 \text{ V } \mu\text{m}^{-1}$.

Maximum electric field	V_1 (charging voltage)	V_2 (discharging voltage)
$40 \text{ V } \mu\text{m}^{-1}$	1.5 kV	2.2 kV
$50 \text{ V } \mu\text{m}^{-1}$	1.95 kV	2.8 kV
$60 \text{ V } \mu\text{m}^{-1}$	2.3 kV	3.2 kV
$70 \text{ V } \mu\text{m}^{-1}$	2.7 kV	3.8 kV

faster rate of 0.9 Hz and 1.6 Hz. As shown in figure 7, the sides of the voltage charge plot are steeper when operating at the higher frequencies, illustrating that the charge losses are less significant.

As described by Huang *et al* the energy produced by the generator in a single cycle was found by computing the area within the voltage–charge envelope [6]. The average energy produced in a single cycle for each operating electric field and investigated frequency is provided in figure 8. Consistent with previous studies [4, 19, 20] and equation (9), energy production increased with the operating electric field.

The generator produced 1.1 mJ in a single cycle when operating at an electric field of $70 \text{ V } \mu\text{m}^{-1}$. When the Instron cyclically deformed the DE generator at 1.6 Hz, the 1.1 mJ per cycle corresponded to an average power production of 1.8 mW; considering our generator has a volume of 0.86 cm^3 (including end-caps), we achieved a power density of 2.1 mW cm^{-3} .

The performance of our energy harvester compares well with other technologies. For instance, Hudak and Amatucci provide a review of small scale energy harvesters, and none of the sub- cm^3 electromagnetic, electrostatic, or piezoelectric energy harvesters produced over 1.8 mW with a stimulus frequency of less than 50 Hz [21]. In fact they summarized the power density of 19 small-scale energy harvesters, and only three research groups reported on small-scale energy harvesters with a power density of more than 2.1 mW cm^{-3} . All three energy harvesters that exceeded the power density of our device were mechanically stimulated at frequencies greater than 322 Hz [21], thus occupying a different design space from our low frequency energy harvester.

Figure 8 highlights that our generator performance was similar to that predicted by our model, with the predicted energy production generally slightly out-performing the measured generator performance. Such a discrepancy between the model and the fabricated device are expected as the model assumes lossless operation. However, the model qualitatively tracks the trend of our data and is therefore a sufficient representation of the real system to use as a tool for assisting the design of the generator's operating conditions.

We optimized the operating conditions of our stacked generator, so a comparison between the performance of our generator and the best reported performance is appropriate. A common parameter for comparing the performance of energy harvesters is the energy density, which is the amount of energy that is produced per unit mass of the energy harvester in one stroke. Our energy harvester, with a mass of 0.32 g

(excluding the mass of the end-caps and priming electronics) and peak energy output of 1.1 mJ achieved an energy density of 3.5 mJ g^{-1} stroke.

This performance is low compared to the greatest energy density recorded for DE-generators of 560 mJ g^{-1} stroke reported by Huang *et al* [6]. However Huang *et al* who were set on breaking the world record for energy density without regard for reliable operation, achieved their high energy density using a different material (3M VHB4905) which they operated at an electric field of $184 \text{ V } \mu\text{m}^{-1}$ and a maximum capacitance of 76 nF and minimum capacitance of 0.19 nF. Under these conditions, their generator lasted less than ten cycles.

Our focus has been on the development of a compact device that could be used as a power source to supplement or replace batteries. To be a genuine alternative to batteries, the generator must be reliable. To achieve sufficient reliability, our generator was operated well within its failure limits. For instance, in preliminary tests, we were able to drive dielectric elastomers fabricated from the same Wacker Elastosil material up to electric fields of 90 MV m^{-1} before they would break down. Limiting our operating field below 70 MV m^{-1} and selecting mechanical operating conditions that restricted the material's linear strain below 20% allowed us to produce all of the results presented in this paper from a single generator. Further steps can be taken to improve reliability such as the inclusion of properly designed end caps that will promote more homogeneous deformation across the active layers of a DEG [22] thus promoting greater efficacy for energy harvesting by enabling the DEG to work at higher fields. Furthermore, careful end-cap design can improve the generator's energy density. For instance we fabricated a second generator that had the same dimensions as the one described in this study, except it consisted of 128 layers instead of 48 (thus the end-caps contributed a smaller portion of the generator's total height). The 128 layer generator was compressed, doubling its capacitance at 0.9 Hz to produce 5.4 mW. This corresponded to a power density of 3.8 mW cm^{-3} , which is an 81% improvement on our 48 layer generator. This illustrates that substantial improvements to generator performance are possible within a suitable operating envelope.

In summary, our work illustrates that one can reliably extract power at a scale that is useful for applications such as wireless sensor networks. Careful attention to design such as the provision for end caps and the stack's compression mode of operation will contribute to improved reliability. Good reliability will enhance confidence that we believe will lead to a widespread uptake of this technology for portable energy harvesting solutions in a power hungry world.

Conclusions

We have fabricated and characterized a miniature soft dielectric elastomer energy harvester which generated 1.8 mW when excited at a frequency of 1.6 Hz. The operating voltages were optimized based on an analytical model of the

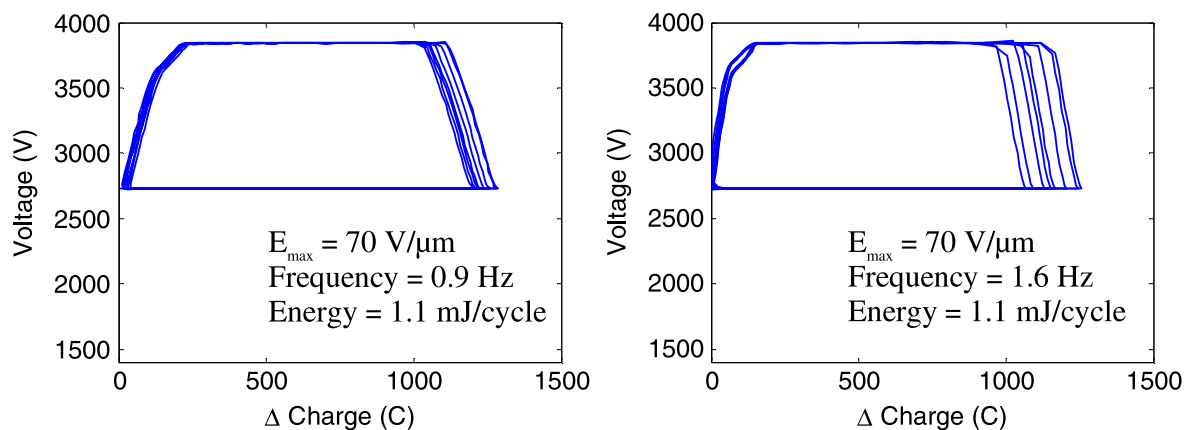


Figure 7. Voltage versus change in charge measurements during nine compression cycles of our generator at a maximum electric field of $70 \text{ V } \mu\text{m}^{-1}$ and cycling frequency of 0.9 Hz (left) and 1.6 Hz (right).

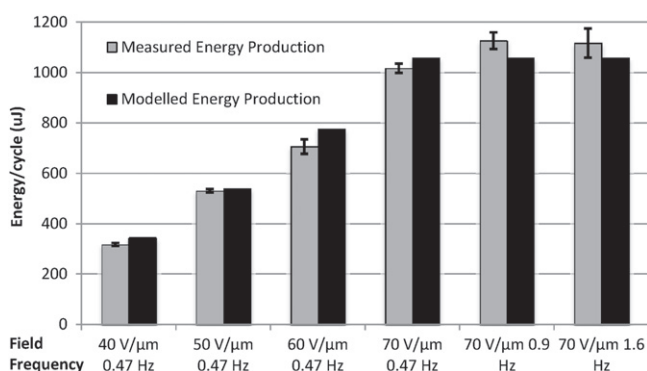


Figure 8. Energy produced by the stack DEG at electric fields ranging from $40 \text{ V } \mu\text{m}^{-1}$ to $70 \text{ V } \mu\text{m}^{-1}$ when operated at 0.47 Hz and when operated at an electric field of $70 \text{ V } \mu\text{m}^{-1}$ and frequency of 0.9 Hz and 1.6 Hz. The error bars represent ± 1 standard deviation.

charging cycle. The 1.8 mW produced by our miniature generator is at a useful level for a broad range of applications, including activity trackers, athletics, and wireless sensor networks. One milliwatt is sufficient power for many types of wireless sensor nodes. Ten years ago already, Rhee *et al* developed wireless sensor network nodes that consumed $300 \mu\text{W}$ to transmit 1 packet of sensor data per second [3]. Furthermore the power output of electroactive polymer energy harvesters scales linearly with frequency, so power hungry nodes could be powered using a stacked DEG like the one described here if a higher frequency energy source was available.

The combination of our generator's small form factor, simple coupling mechanism, and ability to harvest useful energy from low frequency motions such as arm or leg swinging or tree swaying provides an opportunity to deploy complex unobtrusive wireless sensor nodes without the need for periodic, costly battery replacement. We previously used DEGs to harvest energy from a tree branch swaying in the breeze [8] for example to power wireless sensor nodes that provide an alarm if a forest fire develops. We have also embedded the stack configuration presented in this paper into the sole of a shoe (see URL: <http://www.youtube.com/watch?v=G11MAv-Jqg>) to harvest energy dissipated during heel-

strike. The higher energy density, long lifetime, and compliance of the miniature stacked generator we report here enables energy harvesting to be used widely in wearable and environmental monitoring applications.

Acknowledgments

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