



Atomistic Modeling of Effect of Mg on Oxygen Vacancy Diffusion in α -Alumina

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Atomistic Modeling of Effect of Mg on Oxygen Vacancy Diffusion in α -Alumina

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Abstract

Oxygen diffusion plays an important role in grain growth and densification during the sintering of alumina ceramics and governs high temperature processes such as creep. The atomistic mechanism for oxygen diffusion in alumina is however still debated; atomistic calculations not being able to match experimentally determined activation energies for oxygen vacancy diffusion. These calculations are however usually performed for perfectly pure crystals, whereas virtually every experimental alumina sample contains a significant fraction of impurity/dopants ions. In the present study we use atomistic defect cluster and nudged elastic band calculations to model the effect of Mg impurities/dopants on defect binding energies and migration barriers. We find that oxygen vacancies can form energetically favorable clusters with Mg, which reduces the number of mobile species and leads to an additional 1.5 eV energy barrier for the detachment of a single vacancy from Mg. The migration barriers of diffusive jumps change such that an enhanced concentration of oxygen vacancies is expected around Mg ions. Mg impurities were also found to cause destabilization of certain vacancy configurations as well as enhanced vacancy-vacancy interaction.

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1. Introduction

Alumina (α -Al₂O₃) is one of the most important structural ceramics. Its excellent mechanical properties, biocompatibility and high temperature chemical inertness make it suitable for various applications, such as refractory, dental implants and abrasives. Knowledge of diffusion in alumina is crucial to understand high temperature processes such as diffusional creep, sintering of ceramics, plastic deformation of single crystals and alumina scale formation in Al containing alloys. Experimentally the oxygen self-diffusion coefficient in alumina is determined via O¹⁸ tracer diffusion or dislocation loop annealing experiments at different temperatures. The activation energy for oxygen diffusion in alumina was found to be 5-6 eV in several experimental studies using both these techniques¹. Atomistic computational studies based on empirical potentials or first-principles however report the migration energy for oxygen vacancies in pure alumina to be 1-2 eV^{2,3}. This failure to reconcile experimental and theoretical results for oxygen diffusion in alumina is popularly known as the conundrum of oxygen diffusion in corundum, a phrase coined in⁴.

The formation energy for intrinsic oxygen Frenkel or Schottky defects in alumina is predicted to be about 5 eV^{5,6}, which is too high for a significant intrinsic defect concentration. The defect population in alumina is therefore believed to result from charge compensation around aliovalent impurities or dopants. Although diffusion experiments claim to use pure alumina, all samples contain varying levels of impurities (table 2 in⁷). Even the purest of alumina contains ppm levels of background impurities, like Ca²⁺, Mg²⁺, Si⁴⁺ or Ti⁴⁺. Lagerlof and Grimes⁵ have shown through static lattice calculations of defect energies coupled with mass action calculations that even such small amounts of impurities may control the defect chemistry in alumina. They further pointed out that excess as small as 2 ppm of bivalent or tetravalent impurities can result in a

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3 change of the dominant type of defect (oxygen vacancy for Mg^{2+} , Al interstitials for Ti^{4+}) and
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5 important variations in the overall defect concentration. Hence no alumina sample can
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7 realistically be considered as undoped. In this perspective it may not seem surprising that
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9 independent experimental studies on undoped alumina with varying amount of impurities result
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11 in fairly consistent results. Moreover even results for bulk diffusion of oxygen in alumina vary
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13 only modestly between doped and undoped alumina^{1,8,9,10} suggesting a strong contribution from
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15 extrinsic impurities. Heuer¹ termed this insensitivity as the buffering of oxygen diffusion in
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17 alumina.
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21 Experimental and theoretical studies have attempted to understand the effect of
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23 dopants/impurities on the lattice^{8,9,10} and grain boundary diffusion^{11,12,13} of oxygen in alumina. Ti
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25 doping^{9,10} is reported to decrease the bulk diffusion of oxygen while Mg either increases it⁹ or
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27 leaves it unaffected⁸. In contradiction to what is expected from classical point defect chemistry⁵,
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29 the magnitude of change in the diffusion coefficient is very small (50-100 times)⁹. It has been
30
31 suggested that even in pure alumina impurities affect the concentration of 'available' mobile
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33 defects due to defect cluster formation^{5,6,14}. Extra binding energy is needed to break the defect
34
35 clusters and free the mobile defects for diffusion. The possibility of tightly bound cluster
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37 migration instead of free defect migration has also been postulated in the literature⁹.
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43 Earlier studies on the effects of dopants on the oxygen vacancy diffusion in other ionic solids
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45 (e.g. ceria and zirconia) have shown that the dopant concentration and distribution affect the
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47 diffusion process. Doping with aliovalent elements (Y in ZrO_2 and Sm, Gd in CeO_2) increases
48
49 the ionic diffusivity at low temperatures and low dopant concentrations, with diffusivity reaching
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51 a maximum at an optimum dopant concentration in zirconia and ceria¹⁵. Vacancy-vacancy
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53 interaction as well as dopant-vacancy interaction resulting from effective net charge on the
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3 defects and the elastic strain field could hinder the diffusion process through several
4 mechanisms. At high concentrations, the formation of dopant pairs at nearest neighbor positions
5 is assumed to increase the fraction of blocked sites for diffusion^{16,17,18}. Formation of divacancy
6 complexes in yttria stabilized zirconia could also render certain dopant-vacancy configurations
7 unstable, in turn reducing the number of available diffusive pathways^{16,19,20}. Ordering of defects
8 is also expected due to dopant-vacancy interaction, making nearest neighbor configurations more
9 stable for dopant-vacancy pairs^{16,17}.

10
11 In spite of previous studies on the effect of dopants/impurities on bulk oxygen diffusion in
12 alumina it is still unclear how and to what extent impurities can account for the inconsistency
13 between theoretical and experimental activation energies. In the present work we first calculate
14 the migration barriers in pure alumina using nudged elastic band²¹ and Metadynamics²² methods
15 based on empirical potentials to compare the accuracy of different methods (section 3.1).
16 Thereafter, defect cluster formation energies and oxygen vacancy migration barriers in the
17 neighborhood of an Mg impurity are calculated to characterize the effect of an Mg impurity on
18 oxygen vacancy diffusion in alumina (sections 3.2 and 3.3). Other effects such as vacancy
19 destabilization and vacancy-vacancy interaction are observed due to the presence of Mg, which
20 are discussed in detail in sections 3.4 and 3.5.

2. Computational Method

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22 The description of the energetics is based on the Born model for solids including long-range
23 electrostatic interactions and short-range attractive and repulsive forces described by empirical
24 Buckingham pair-potentials. A core-shell model²³ was adopted to account for the polarizable
25 nature of the oxygen ions. The potential parameters developed by Lewis and Catlow²⁴ were used
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3 in the present work and the bulk structure of α -alumina was taken from Liu et al.²⁵. The General
4 Utility Lattice Program (GULP)^{26,27} was used for all defect and migration energy calculations.
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8 Defect energies were calculated using the Mott-Littleton approach with 10 Å and 25 Å for the
9 radii of region 1 and 2 respectively, which leads to well-converged energies even for the largest
10 clusters. Distance dependent binding energies between oxygen vacancies (denoted as V_O from
11 here on) and an Mg impurity (denoted as Mg_{Al} from here on) were calculated by substituting Mg
12 onto an Al site and subsequently creating one V_O at a time on all O sites within a 6 Å radius of
13 the Mg. After structural relaxation, the binding energy was computed by subtracting the defect
14 energies of the V_O and Mg_{Al} computed separately. Defect cluster energies were calculated
15 similarly by creating an Mg_{Al} and multiple V_O 's within a 6 Å radius of the Mg_{Al} . The average
16 distance of the vacancy cluster was calculated as the mean of the distances of different V_O 's from
17 the Mg_{Al} and average defect binding energy per V_O was calculated to evaluate the effect of
18 defect cluster composition on the binding energy.
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34 Nudged elastic band (NEB)²¹ calculations were performed in a periodic $3 \times 3 \times 1$ supercell of the
35 hexagonal alumina unit cell. This size represents a good tradeoff between avoiding spurious
36 interaction with periodic images and an affordable computational cost. Two methods were tested
37 to neutralize the 1e charge introduced by the combination of an Mg_{Al} and a V_O : Smearing of the
38 excess charge over the Al sublattice or adding a neutralizing background charge. We found both
39 options to give identical results for relative energies, such as binding energies and migration
40 barriers. Migration barriers for a total of 208 diffusive jumps within a radius of 6 Å of the Mg_{Al}
41 were calculated. Initial and final states for the NEB calculations were constructed by removing
42 oxygen ions from the initial and final position of the V_O migration pathway respectively. After
43 relaxation of these endpoint structures, NEB calculations were carried out using 10 intermediate
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3 images to discretize the minimum energy pathway (MEP). The migration barrier of a jump is
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5 extracted as the difference in energy between the initial point and the highest energy point
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7 (transition state) of the pathway.
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10 It should be noted here that the lower computational cost of the force field method in comparison
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12 to first principle DFT calculations allows us to consider fairly large (6 Å) defect cluster radii as
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14 well as a large number (208) of migration barrier calculations. To verify the accuracy of the
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16 force field, migration barriers in pure alumina were also calculated using DFT as well as with
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18 different potential sets²⁸ details being given in the supplementary material. This comparison
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20 shows that while absolute values for the diffusion dominating jumps can vary by ~0.5 eV for
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22 different computational methods (Figure S1), the relative changes induced by the presence of Mg
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24 are unaffected (Figure S2). Our results hence accurately capture the relative effects resulting
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26 from the presence of Mg, which is the focus of the present study.
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32 33 **3. Results and Discussion**

34 35 36 **3.1. Migration barriers in pure alumina**

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38 Oxygen vacancy diffusion in alumina has been computed by different methods in the
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40 past^{3,7,14,29,30}. Due to the high migration barriers, metadynamics²² and NEB calculations based
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42 either on first principles or empirical potentials were the most efficient. We performed a
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44 preliminary study to check the consistency of these two methods. As shown in previous work,
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46 three different classes of primary jumps for oxygen vacancies exist in bulk alumina^{3,7}. The
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48 migration barriers for these three primary jumps were calculated using metadynamics and NEB
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50 based on empirical potentials. Details about the metadynamics calculations can be found in our
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52 earlier work⁷. We note here that due to an inappropriate choice of the collective variable
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3 (anchoring to a fixed point instead of the center of mass of the remaining ions), migration
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6 barriers were largely overestimated in that work and we report corrected values in the following
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8 section. In figure 1 we show the migration barriers of the three classes of primary jumps
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10 computed using metadynamics and NEB. The metadynamics energy barriers slightly
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12 overestimate the NEB barriers, by an amount, which seems proportional to the barrier height.
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14 This is most likely due to the lack of directional resolution of the collective variable as discussed
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16 in⁷.

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20 **Figure 1 (top panel) shows the schematic diagram of the three different classes of jumps.** The
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22 first class of jumps with a jump distance of 2.42 Å has the lowest migration barrier but does not
23
24 contribute to macroscopic diffusion because the V_O motion is restricted to a closed loop as
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26 previously discussed^{3,7}. The second (2.52 Å) and third (2.67 Å) class of jumps form an
27
28 interconnected network of jumps and could contribute to macroscopic diffusion. The second
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30 class of jumps will however not significantly contribute to diffusion due to its high migration
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32 energy; therefore diffusion predominantly occurs by the third class of jumps. The calculated
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34 migration barriers are in good agreement with previous calculations^{2,3}, but still much lower than
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36 the experimentally measured activation energies. As it yields more detailed information on the
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38 minimum energy pathway, we have chosen to use the NEB method in the remainder of this
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40 study.
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46 **3.2. Binding Energy of Oxygen Vacancies**

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49 The binding energy of V_O with Mg_{Al} decreases asymptotically with increasing distance as shown
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51 in figure 2. The highest binding energies of about 1.5 eV are observed for V_O located in nearest
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53 neighbor sites to Mg_{Al} . These binding energies are in good agreement with 1.36 eV reported by
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55 Lagerlof et al.⁵ and to a lesser extent with 0.9 eV reported by Harding et al.¹⁴. The interaction
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3 between the two defects is of very long range, still leading to an energy gain of 0.5 eV at 5 Å
4 separation. It is important to note that not only the distance between Mg_{Al} and the V_O , but also
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6 the local configuration of the cluster determines the binding energy, as can be seen from the
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8 scatter in the data.
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12 This implies that V_O will be strongly attracted by Mg_{Al} over a long distance. This attraction
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14 could dramatically decrease the number of mobile defects as an additional activation energy of
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16 ~1.5 eV is required for the detachment of V_O in nearest neighbor positions of Mg_{Al} .
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20 This is in agreement with the predictions by Lagerlof et al.⁵ that the positively charged cluster
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22 consisting of one Mg_{Al} and one V_O is dominant in samples with excess Mg^{2+} compared to Ti^{4+}
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24 impurities. Lagerlof et al.⁹ also suggested that defect clusters formed in experimental pure
25
26 alumina due to the presence of trace cation impurities could dominate the diffusion process and
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28 that an additional binding energy would need to be overcome to free the mobile defects for
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30 diffusion through V_O mechanism.
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34 Given that a cluster of one Mg_{Al} and one V_O has a net positive charge it is interesting to verify if
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36 additional vacancies can still bind to this cluster. In figure 3, we therefore report the binding
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38 energy per V_O for clusters with an increasing number of V_O . The red data points are the same as
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40 in the previous figure and are repeated here for clarity. We can see that independent of the cluster
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42 size, arrangements restricted to the nearest neighbor shell (around 1.9 Å distance) of Mg_{Al} are
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44 energetically the most favorable. There are however only six of these nearest neighbor sites,
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46 which restricts the maximum number of favorably bound V_O while still retaining a reasonable
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48 coordination of the Mg_{Al} . This is reflected in the rapid increase in the magnitude of the binding
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50 energy with increasing number of V_O and the fact that beyond three V_O the nearest neighbor
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52 cluster becomes energetically unstable. For clusters with larger numbers of V_O the
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3 accommodation in mixed nearest and next-nearest neighbor or clusters of even larger radius
4 becomes more and more favorable. We note here that the formation of such highly charged
5 clusters might seem counterintuitive at first. However one can construct a model by assuming
6 coulomb energetics between relative charges of -1 (Mg_{Al}) and 2 (V_O), which are located on the
7 surface of a sphere with radius equal to the Mg-O distance (1.8 Å). Using this model it can be
8 shown that for two V_O the sum of attractive ($Mg_{Al}-V_O$) energies is still larger than the sum of
9 repulsive (V_O-V_O) energies when the V_O are located at maximum possible separation (i.e. at
10 opposite poles of the sphere). For three V_O the overall interaction within this model is slightly
11 repulsive, indicating that either the atomistic geometry relaxes, resulting in the favorable
12 interaction in figure 3 or that other energy contributions such as short-range forces also play a
13 role. Our evaluation of clusters with a larger number of V_O and larger radii is restricted by the
14 computational cost. It is therefore not impossible that an energetically favorable cluster with four
15 V_O exists, however not with sites only in the nearest and next nearest neighbor shells.

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34 We want to note here that besides the formation of $V_O-Mg_{Al}-V_O$ clusters, $Mg_{Al}-V_O-Mg_{Al}$ clusters
35 may also form⁵. In the next section we will treat the effect of the former type of cluster on
36 diffusion kinetics. To complement this discussion we show in the supporting information (S3)
37 some preliminary results on the effect of the latter type of clusters on the diffusion kinetics. A
38 complete evaluation is out of the scope of this work but will be an interesting topic for future
39 research.

40 41 42 43 44 45 46 47 48 49 **3.3. Effect of Mg on migration barriers**

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52 The effect of Mg_{Al} on the migration barrier of V_O jumps is characterized by three parameters: the
53 initial distance between V_O and Mg_{Al} , whether the V_O moves 'closer to' or 'away from' Mg_{Al}
54 and the migration trajectory.

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3 Figure 4 a), b) and c) show the migration barriers for the jumps of class I, II and III, respectively
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5 in the presence of Mg_{Al} . Compared to undoped alumina the migration barriers for all three
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7 classes of jumps increase or remain unchanged if the vacancies move away from Mg_{Al} . For all
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9 three classes of jumps the migration barrier increases by up to a factor 2 for jumps where V_O
10
11 moves from the first nearest neighbor position of Mg_{Al} to a farther position. On the other hand,
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13 the migration barrier is lower than in undoped alumina if the V_O approaches Mg_{Al} . The activation
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15 energy decreases by almost 4 times if V_O moves from a second nearest neighbor to a nearest
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17 neighbor position of Mg_{Al} , which is observed for jumps of class I and III.

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20 In figure 5, we plot the data for jumps of the diffusion dominating class III as a function of the
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22 change in distance between V_O and Mg_{Al} in the saddle point state and the initial state. Negative
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24 values on the x-axis correspond to the jumps where V_O approaches Mg_{Al} in the saddle point state
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26 in comparison to initial state and positive values to jumps increasing the distance between the
27
28 two. Some points on the negative side of x-axis have higher migration barriers than in undoped
29
30 alumina. It should be noted however that the change in distance (0.1-0.2 Å) is fairly small for
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32 these points and except for two jumps, the change in the migration barrier is also very small
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34 (<0.1 eV). Therefore not only the initial and final distance of V_O from Mg_{Al} , but also the
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36 migration path affects the migration barrier of a particular jump.

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39 The Brønsted-Evans-Polanyi relation was shown to relate thermodynamic and kinetic parameters
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41 of physical properties, such as diffusion and has the potential to be used as a predictive tool³¹.
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43 The validity of this relation was checked in the present context by plotting the migration barrier
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45 as a function of the energy difference between the initial and the final state for all class III jumps
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47 (figure 6). Although the linear fit to the data points is not perfect, it could likely serve as a
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49 predictive tool for diffusion activation barriers in alumina containing several cationic trace
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3 impurities. The advantage of this approach would be the much-reduced computational cost of
4 structural relaxations of the end-points compared to full nudged-elastic-band calculations.
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8 These results show that Mg_{Al} also has a rather strong effect on the migration of the defects in its
9 proximity, increasing migration barriers for jumps leading away by up to ~ 0.4 eV, whereas
10 jumps approaching Mg_{Al} are favored by lowering of the migration barriers by up to ~ 0.5 eV for
11 the diffusion dominating class III jumps. These alterations of the migration barriers kinetically
12 favor an increased concentration of V_O in the vicinity of Mg_{Al} as was expected from the
13 preceding energetic arguments and the condition of detailed balance.
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22 **3.4. Vacancy destabilization**

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26 Mg_{Al} was observed to render certain V_O positions unstable, the V_O moving to an adjacent site
27 while relaxing the endpoint structure (figure 7 inset). This occurs only for V_O in second nearest
28 neighbor positions to Mg_{Al} and the exchanges are restricted to sites linked by class I jumps.
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33 Structural relaxation due to the proximity of Mg_{Al} can hence implicitly carry out a class I jump.
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36 These relaxations can lead to alterations of the diffusive pathways in close proximity of the
37 Mg_{Al} . For some of the jumps, the minimum energy pathways exhibited two barriers separated by
38 a metastable state (figure 7). Upon closer inspection this metastable state is revealed to be the
39 original initial state, the V_O having relaxed to a lower energy state by spontaneously performing
40 a class I jump under the influence of Mg_{Al} . For the V_O to go from the new initial state to the final
41 state, it will pass by the metastable (the original initial) state. In doing so V_O will first reverse the
42 class I jump, which has a higher energy than in pure alumina as the V_O is attracted by the Mg_{Al} .
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52 This is followed by the actual diffusive jump, the barrier of which can be larger or smaller than
53 in pure alumina depending if the jump approaches or leads away from Mg_{Al} (see figure 4). A
54 schematic view of the relaxation and the two-step diffusive jump is shown in the inset in figure
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3 7. Pietrucci et al.¹⁹ reported a similar destabilization of V_O due to the presence of yttrium in
4 yttrium-stabilized zirconia (YSZ).
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8 It is interesting to note that vacancy destabilization not only divides a single step diffusive jump
9 into two concerted jumps but also enhances the overall migration barrier of the full migration.
10 E.g. a jump of class III, which previously had a barrier of ~ 0.9 eV will have a combined barrier
11 of up to ~ 2.2 eV due to this vacancy destabilization and relaxation process as shown by the blue
12 solid curve in figure 7.
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19 20 21 **3.5. Vacancy-vacancy interaction effects**

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23 The aforementioned likelihood of V_O cluster formation around Mg_{Al} makes it necessary to
24 consider the effect of V_O - V_O interaction on migration barriers. In order to gain some preliminary
25 insights on this interaction, a particular V_O jump was carried out in presence of one additional V_O
26 at different nearest neighbor sites. The migration barrier for this particular jump in presence of
27 Mg but without a second vacancy was ~ 1 eV. In figure 8 we can see different effects of the
28 second V_O on the minimum energy pathway: 1) the presence of a second V_O at two different
29 positions (black lines) destabilizes the initial V_O position, leading to metastable states, and 2)
30 depending on the position, the second V_O can either increase (red lines), leave unaffected (grey
31 line) or decrease (blue line) the energy barrier. These observations are similar to what Pietrucci
32 et al.²⁰ observed for V_O - V_O interaction in YSZ. They reported these interactions to destabilize a
33 large number of V_O cluster configurations and to strongly affect migration barriers depending on
34 the relative position of the other vacancies. These V_O - V_O interaction effects are governed by
35 long-range electrostatic and medium-range elastic interactions due to the relaxation patterns
36 around vacancies. As shown by Pietrucci²⁰, the correct treatment of these elastic interactions in
37 YSZ requires fairly large simulation cells. In our Mott-Littleton calculations we found however
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3 that at a distance of 10 Å from the V_O , atomic displacements were smaller than 0.005 Å, showing
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5 that elastic effects in alumina might be of shorter range than in YSZ. This gives us the
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7 confidence that results obtained in our chosen supercell should be reliable.
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10 11 **4. Summary and Conclusions** 12

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14 The migration barriers for oxygen vacancies, thought to be the dominating diffusion vehicle in
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16 pure alumina, were found to be in the range of 0.8 – 1.5 eV, which is much lower than the
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18 experimental values (5-6 eV). The migration barriers calculated using two different methods,
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20 Nudged Elastic Band and the Metadynamics, were found to be consistent. This and the
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22 agreement with previous work suggest that our model captures the vacancy behavior in a pure
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24 alumina system. We then went on to look at the effect of an Mg impurity or dopant to see if this
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26 can account for the difference between experiment (non-pure alumina) and previous theory (pure
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28 alumina).
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34 Substitutional magnesium (Mg_{Al}) defects lead to an energetically favorable binding of up to three
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36 oxygen vacancies (V_O). The first V_O can bind with an energy of ~1.5 eV whereas the binding
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38 energy is reduced by more than half for each subsequent V_O . V_O are most favorably
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40 accommodated in nearest neighbor positions to Mg_{Al} but the electrostatic interaction is of very
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42 long range and leads to energetically favorable clusters with larger radii (up to 6Å radius were
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44 considered here). This implies that Mg_{Al} impurities and dopants will capture an important
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46 number of V_O in their vicinity, thus reducing the number of available mobile defects and
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48 modifying the transport mechanism. High binding energies of the defect clusters also point
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50 towards the possibility of a tightly bound cluster migration mechanism for diffusion in alumina.
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55 Mg_{Al} also affects the migration barriers of V_O in alumina. Migration barriers of the jumps
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57 towards Mg_{Al} decrease by a factor 4, whereas those away have their barriers increased by a
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3 factor of 2. This will also kinetically favor an enhanced concentration of V_O in the vicinity of
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5 Mg_{Al} .
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8 Given that the effect of an increased barrier and the binding energy are additive to the migration
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10 energy of V_O , Mg_{Al} may account for a 2-3 eV increase in the total migration barrier of a diffusive
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12 jump of oxygen vacancies in the vicinity of Mg impurity. Certain vacancy positions were
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14 rendered unstable due to the presence of Mg as well as due to the vacancy-vacancy interaction,
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16 which converts single diffusive jumps into two subsequent jumps with an overall increase in the
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18 migration barrier also. This will further modify diffusion energetics, as was reported for yttrium
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20 stabilized zirconia¹⁹.
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25 This leads to the picture that Mg dopants and impurities in alumina lead to a reduction of the
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27 number of mobile V_O 's due to an enhanced V_O concentration in the vicinity of Mg impurities. On
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29 the other hand Grimes and Lagerlöf⁵ showed that Mg excess dramatically increases the number
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31 of V_O 's. The diffusion coefficient is hence determined by a competitive effect between the
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33 increased total number of defects and their modified mobility in the region of the dopants or
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35 impurities.
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39 In conclusion dopants or impurities have a significant influence on the V_O diffusion process in
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41 alumina. Our results indicate that the impurity population found in experimental alumina systems
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43 will contribute significantly to the high experimental values for diffusion activation energies,
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45 when compared to those calculated in atomistic simulations of pure alumina. Future modeling
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47 work, needs to focus on three key issues: 1) taking into account other cation impurities present in
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49 experimental alumina, 2) investigating the possibility of tightly bound cluster migration in
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51 alumina, and 3) developing simulation methods to quantify the effect of impurities on
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53 'macroscopic' diffusion in alumina.
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Figure 2. Binding energy of the V_O and Mg_{Al} as a function of their distance. The blue line is a $1/d$ fit to the data.

Figure 3. Binding energy per V_O for defect clusters of one Mg_{Al} and one to four V_O . Negative values indicate energetically favorable binding.

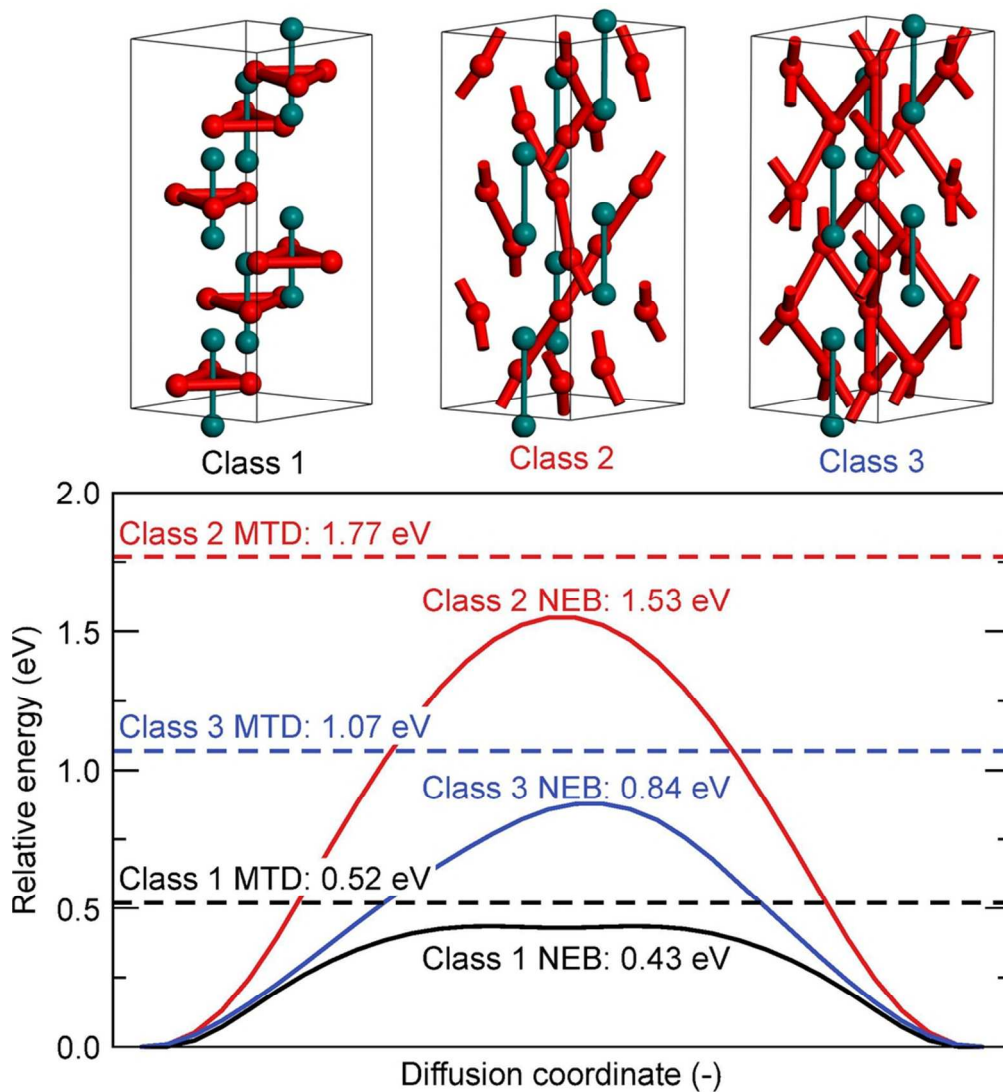
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3 **Figure 7.** Minimum energy pathways for jumps starting from vacancy positions, which became unstable
4 due to the presence of Mg_{Al} . The inset shows a schematic view of the relaxation process leading from the
5 metastable (m) position to the new initial (i) position. Going from the new initial (i) position to the final
6 (f) position now requires two diffusive jumps going via the intermediate metastable (m) state. This two-
7 step nature is reflected by the two barriers observed in the minimum energy pathways (MEPs). The lines
8 are cubic splines interpolated between NEB images. Red and blue solid curves are the MEPs of the
9 highest barrier class II and class III jumps, respectively from this initial position. Red and blue dotted
10 lines are the remaining class II and class III jumps respectively.

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21 **Figure 8.** Minimum energy pathways showing the effect of V_O - V_O interaction by addition of a second V_O
22 at different nearest neighbor sites. The dashed horizontal line is the migration barrier of the jump without
23 a second V_O . Pathways with a destabilized initial position are shown in black, those with an increase in
24 barrier in red, the one with an unaffected barrier in grey and the one with a lower barrier in blue. The lines
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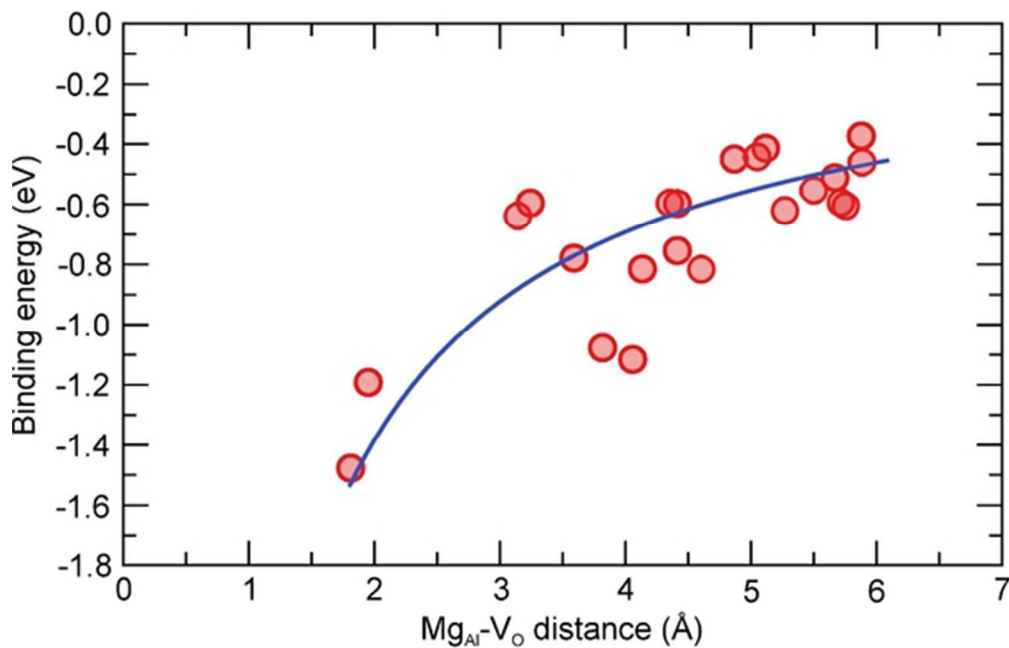


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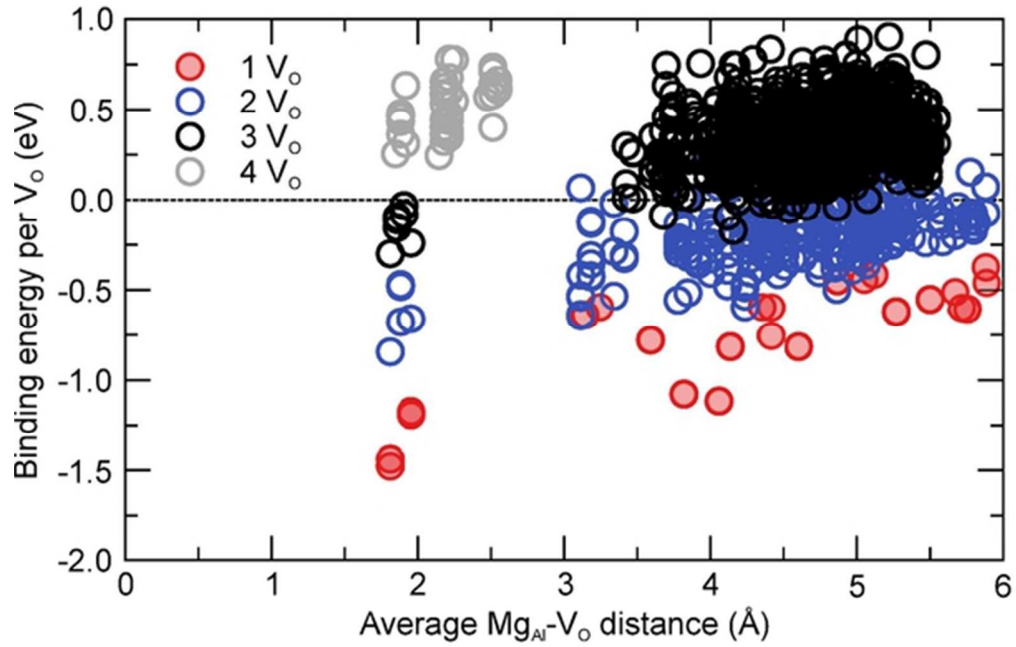


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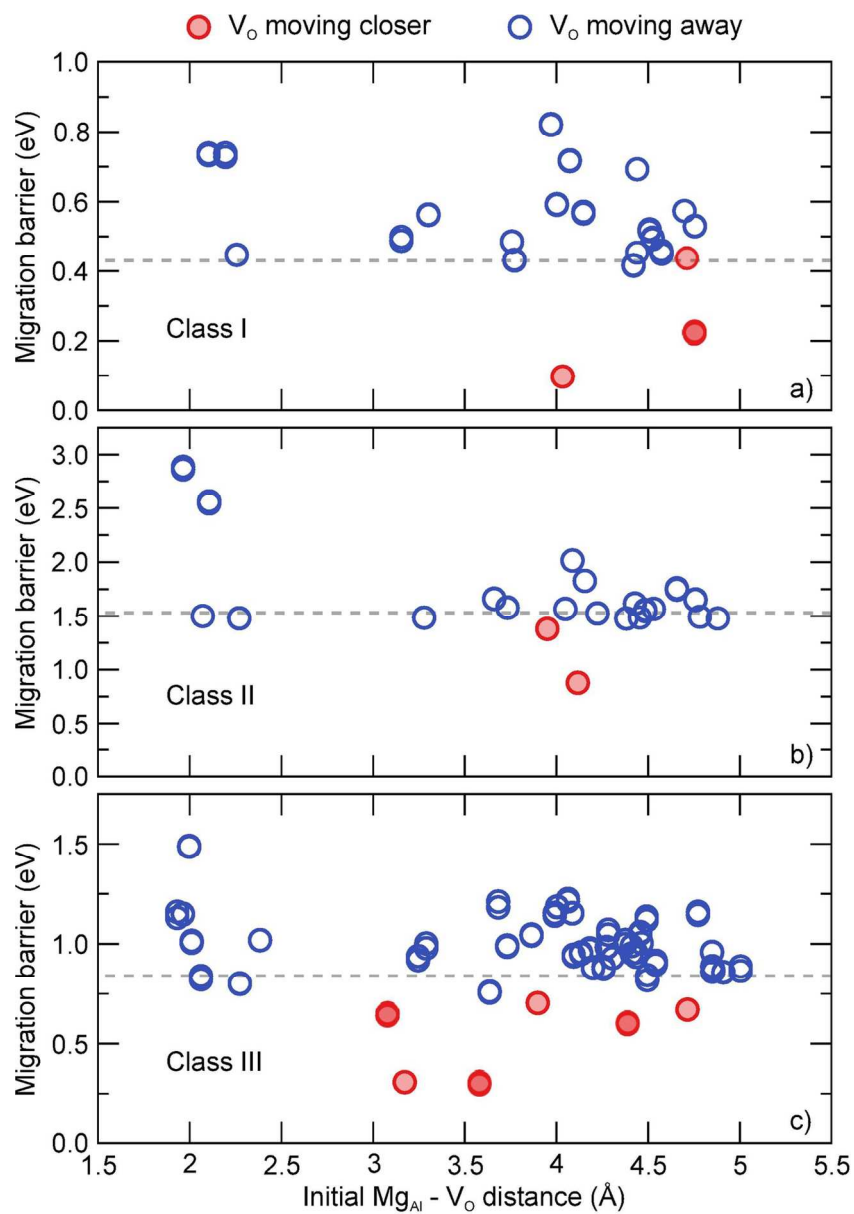


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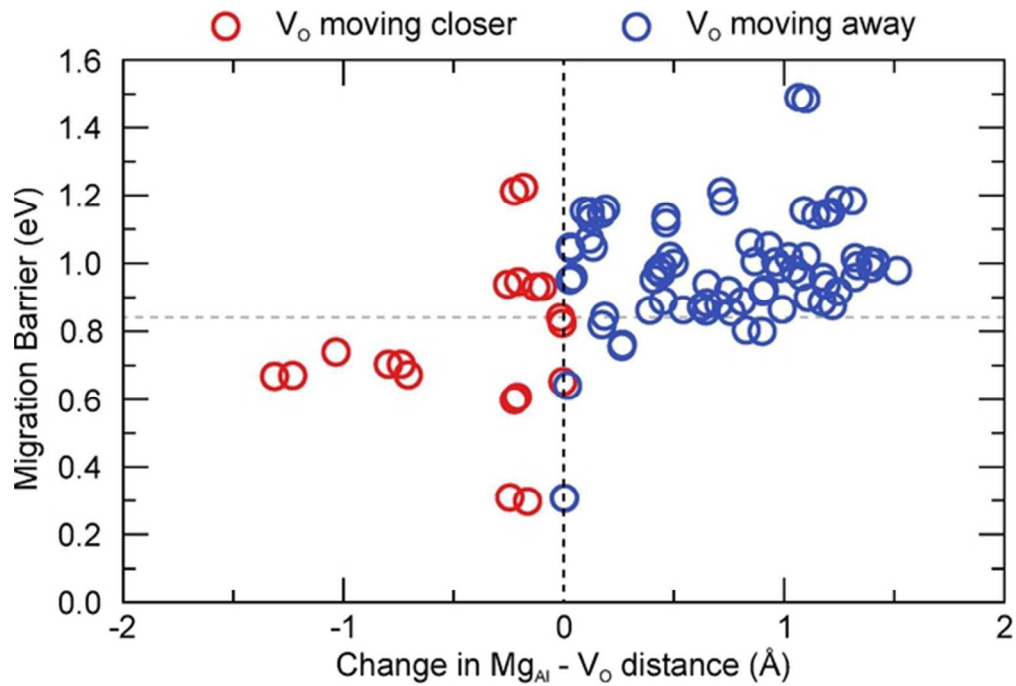


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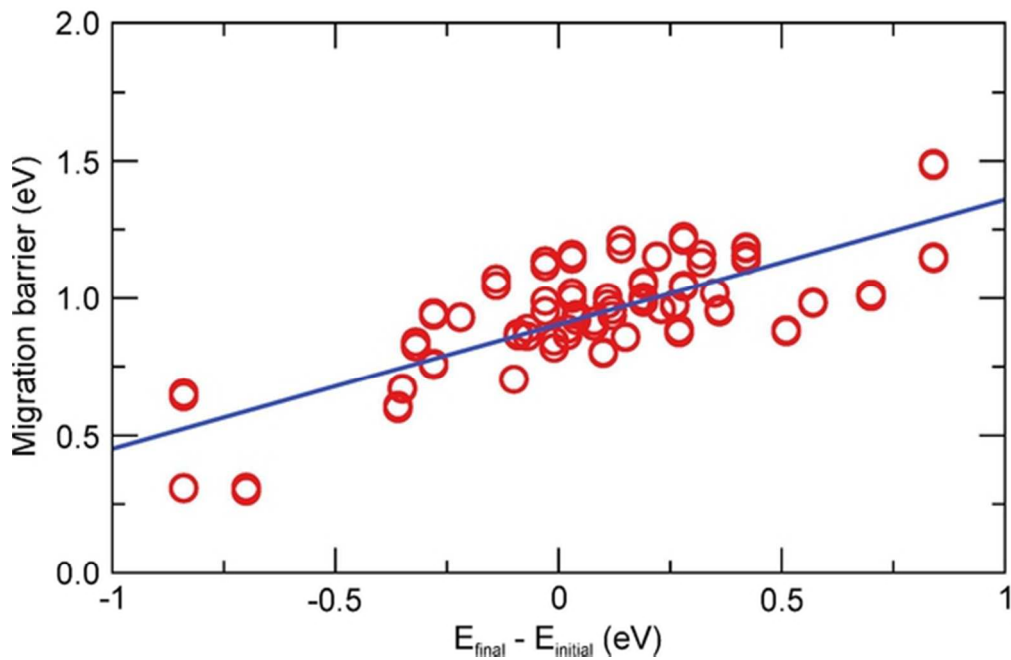


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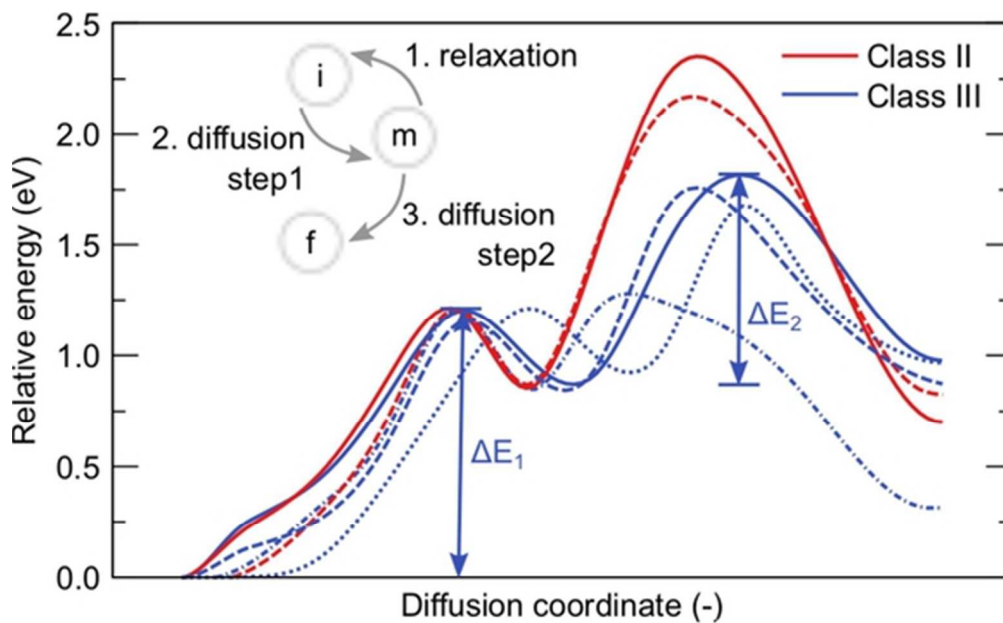


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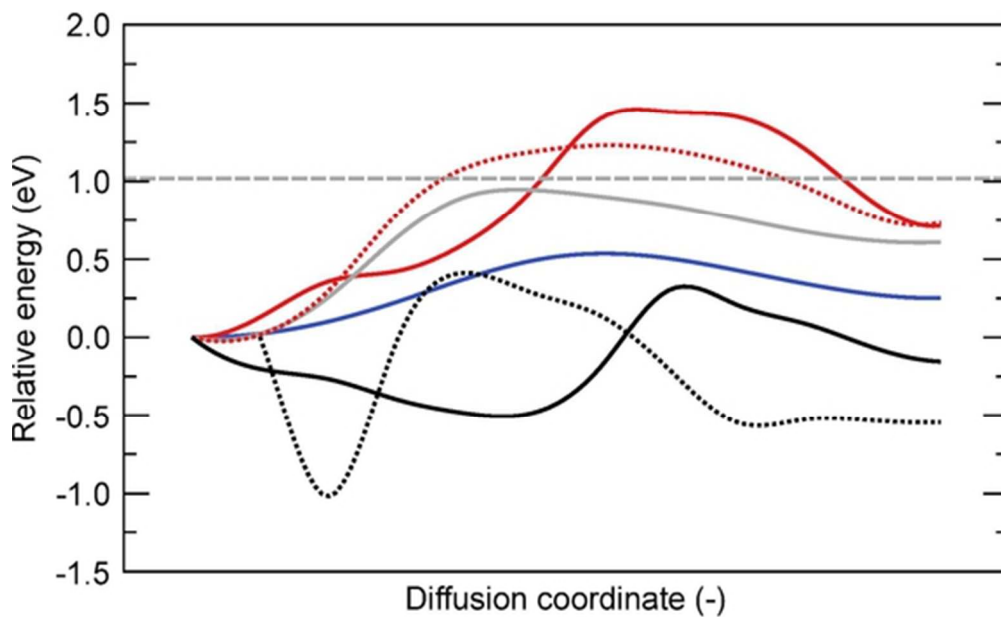


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Supplementary information for

Atomistic Modeling of Effect of Mg on Oxygen Vacancy Diffusion in α -Alumina

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S1. Migration barrier variations

The potential model chosen in the present work¹ leads to an underestimation of the c lattice parameter (12.43 Å) compared to experiment (12.99 Å). In order to quantify the effect of this underestimation on the migration barriers, we have performed two additional sets of calculations: 1) using a potential set, which gives a c lattice parameter (12.72 Å) closer to experiment² and 2) using first-principles density functional theory (DFT) calculations, which are closest to the experimental lattice (12.93 Å). Calculations with the additional potential set were performed as described in the main text using the GULP³ code with 10 intermediate images. DFT calculations were carried out using the Quantum ESPRESSO package⁴ using ultra-soft pseudo-potentials⁵ with Al 3s, 3p and O 2s, 2p as valence states. We employed the gradient corrected PBE⁶ functional and expanded wave functions in plane-waves up to the kinetic energy of 25 Ry in conjunction with 200 Ry for the augmented density. Calculations were performed in a 2x2x1

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supercell (120 atoms) of the hexagonal unit-cell and reciprocal space was sampled using a 4x4x2 gamma centered mesh. For calculations with vacancies, a total charge of +2e was imposed via a uniform background charge. This corresponds to removing the two extra electrons together with the oxygen atom, which is the situation in the classical calculations. We want to note that calculations without this charge adjustment result in much larger migration energies of ~4.3 eV for class 3 jumps, which is in agreement with other DFT calculations performed using the unadjusted setup⁷. Due to the computational cost, only 5 intermediate images were used for DFT NEB calculations.

In Figure S1, we show the barriers for all three classes of jumps computed using the two additional methods and for reference we also repeat the Lewis potential¹ barriers already reported in the main text. We can see that the Lewis potential generally results in barriers, which are ~0.5 eV lower than the ones obtained using the Binks potential. It is however difficult to judge how much of this difference is caused by the expansion of the lattice and how much is caused by the different potential parameters. Additional calculations (not shown here) reveal that the class 1 and 2 jumps migration barriers are decreased, whereas for the class 3 jump it is increased, when the lattice parameter is expanded. This leads us to believe that the systematic increase observed for all the classes of jumps in Figure S1 is largely due to the different lattice parameters.

Looking at the DFT results in Figure S1 we can see that for jumps 1 and 3 an increase of the barrier by 0.25 and 0.5 eV respectively is predicted compared to the Binks potential. For class 2 the Binks potential barrier however almost coincides with the DFT results.

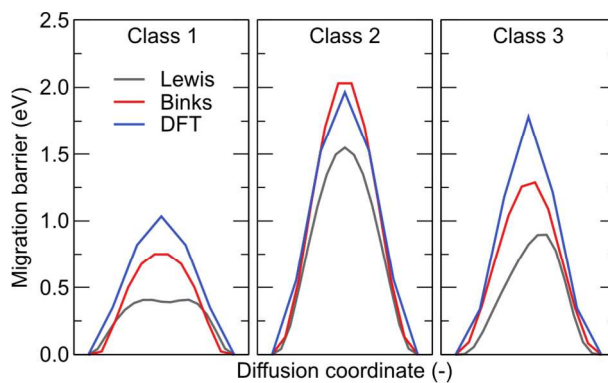


Figure S1: Migration barriers computed using the Lewis setup, which was used in the main text, compared to results obtained with the Binks potential and DFT calculations.

While we judge DFT calculations to be the most accurate for the determination of barriers, these results show that the computation of absolute values for barriers and hence a direct quantitative comparison with activation energies derived from experiment is affected by an uncertainty. This uncertainty stems from both changes in lattice geometry and changes in energetics from method to method. We thus conclude that absolute values should be taken with care. We will however establish in the following that relative changes are well described and that the effects of Mg on diffusion, as predicted in the main text, are valid.

S2. Relative changes

In order to assess how the uncertainty on lattice parameters and energetics affect the relative effect of a Mg impurity, we have computed the change in migration barriers when going from the pure alumina to the Mg doped system. These calculations were performed using both the Lewis¹ and the Binks² potential parameters at their respective lattice parameters. The results for the test set are shown in Figure S2. As we can see the change in the migration barrier is predicted to have very similar values for the two potential sets, the Binks potential generally predicting

slightly more marked changes. The largest deviations seem to occur for the cases where changes are largest.

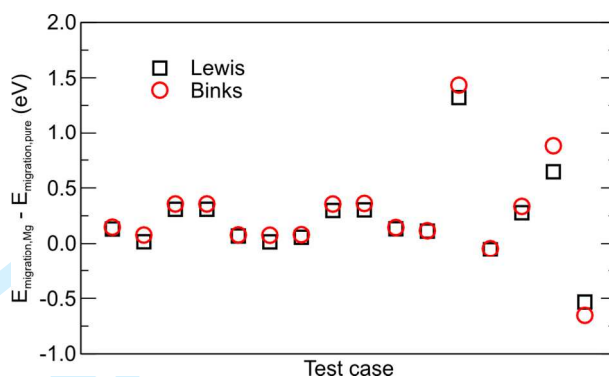


Figure S2: Change in migration barrier induced by the presence of Mg close to an oxygen vacancy. Results shown are for a small set of test cases.

The good agreement between the two potential sets at different lattice parameters gives us the confidence that although, as shown above, absolute values are affected by an uncertainty, relative changes are predicted consistently. A comparison with DFT calculations would be computationally very costly and therefore has not been done here.

S3. Effect of Mg-V_O-Mg cluster on migration barriers

Besides the V_O-Mg-V_O clusters treated in the main text, Mg-V_O-Mg clusters may also form. Formation of these clusters however requires the diffusion of Mg towards an existing Mg-V_O cluster. We have computed the migration barriers of Mg_{Al} in bulk alumina and found 1.13, 1.70 and 1.65 eV for the different nearest neighbor jumps. This is significantly higher than the migration barriers for V_O in alumina (0.84 eV, see main text) and the formation of Mg-V_O-Mg clusters should hence be kinetically less favorable than the formation of V_O-Mg-V_O clusters.

Few preliminary calculations were done in order to check the effect of Mg-Vo-Mg cluster formation on the migration barriers of the diffusive jumps. A set of oxygen vacancy diffusive jumps were carried out by substituting two Mg atoms at the nearest neighbor sites and the migration barriers were compared with the migration barriers of the jumps with only one substituted Mg atom present in the lattice. Although the migration barrier of a couple of jumps increased in presence of 2 Mg atoms in comparison to single Mg substitutional atom, the migration barriers of the other jumps were not affected much. These preliminary calculations point out that Mg-Vo-Mg may also affect the migration barriers of some diffusive jumps. However, the detailed study of the issue is out of the scope of the current work, but certainly requires more investigation in the future.

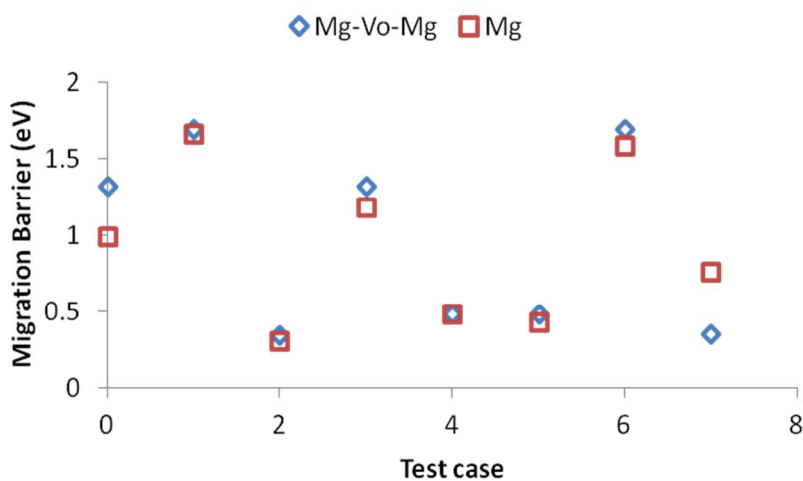


Figure S3: Change in migration barrier induced by the presence of 2 Mg atoms instead of only 1 Mg atom. Results shown are for a small set of test cases.

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