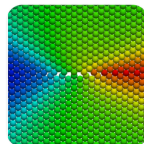
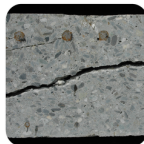
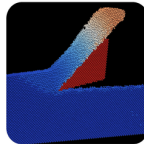
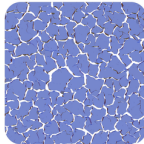


# Plastic activity in nanoscratch molecular dynamics simulations of pure aluminum

Till Junge, J.F. Molinari, G. Ancaix



# Outline

## MD modeling of friction

- Brief History of Friction Modeling

- MD scratching

## Parametric study

- General setup

- Parameter space

## Single phase polycrystals

- Real polycrystals

- MD polycrystals

## Results

- Stored plastic energy  $E_{pl}$

- Microscopic friction coefficient  $\mu$

- Thermal sensitivity  $s$

# Outline

MD modeling of friction

Brief History of Friction Modeling

MD scratching

Parametric study

Single phase polycrystals

Results

# MD modeling of friction

## Brief History of Friction Modeling

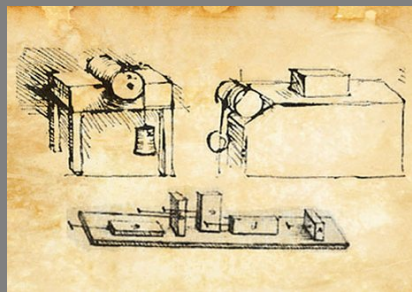
### Roughness Hypothesis

Leonardo da Vinci (1495), Later Coulomb, Amontons

#### Observation

$$F = \mu N \quad \forall A_{\text{app}}$$

#### Da Vinci Friction Experiments



# MD modeling of friction

## Brief History of Friction Modeling

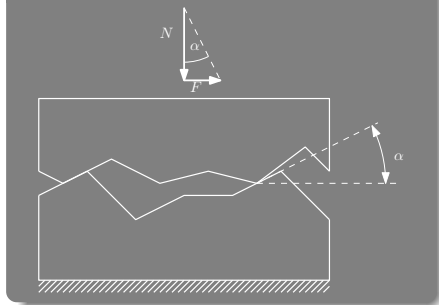
### Roughness Hypothesis

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#### Observation

$$F = \mu N \quad \forall A_{\text{app}}$$

#### Geometric Solution



# MD modeling of friction

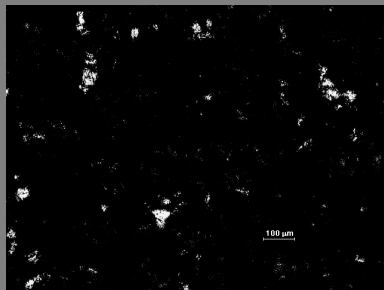
## Brief History of Friction Modeling

### Shear Hypothesis Bowden and Tabor (1942)

#### Observation

$$A_{\text{app}} \neq A_{\text{real}}(N)$$

#### Contact Area *Dieterich et al. (1996)*



calcite at 30 MPa

# MD modeling of friction

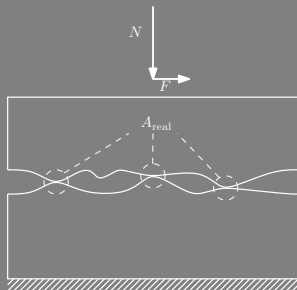
## Brief History of Friction Modeling

### Shear Hypothesis Bowden and Tabor (1942)

#### Observation

$$A_{\text{app}} \neq A_{\text{real}}(N)$$

#### Continuum Mechanics Solution



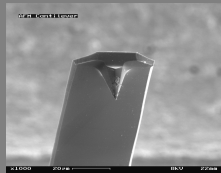
# MD modeling of friction

## Brief History of Friction Modeling

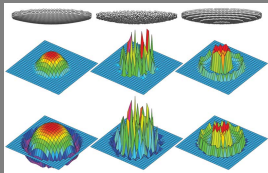
Towards the atomic scale: Luan and Robbins (2005)

Observation

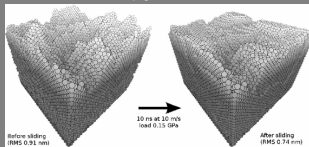
Continuum mechanics break down at contacts



Atomic force microscopy



Luan, Robbins (2005)



Spijker et al. (2011)



# MD modeling of friction

## Brief History of Friction Modeling

Towards the atomic scale: Luan and Robbins (2005)

Observation

Continuum mechanics break down at contacts

Continuum Mechanics Solution

? (Scale too small)

Molecular Dynamics Solution

? (problems too big)

# MD modeling of friction

## Brief History of Friction Modeling

### Involved Mechanisms

- ▶ Elasticity
- ▶ Plasticity
- ▶ Heating
- ▶ Asperity Locking
- ▶ Lattice Vibrations
- ▶ ...

### Involved Mechanisms

- ▶ Elasticity
- ▶ **Plasticity**
- ▶ Heating
- ▶ Asperity Locking
- ▶ Lattice Vibrations
- ▶ ...

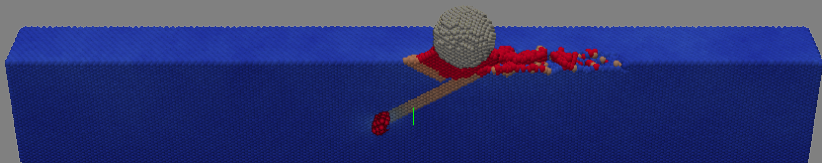
Plasticity in friction is

- ▶ poorly investigated
- ▶ atomic scale

# MD modeling of friction

## MD scratching

### Molecular dynamics scratching simulation at $\sim 0\text{K}$



### Advantages

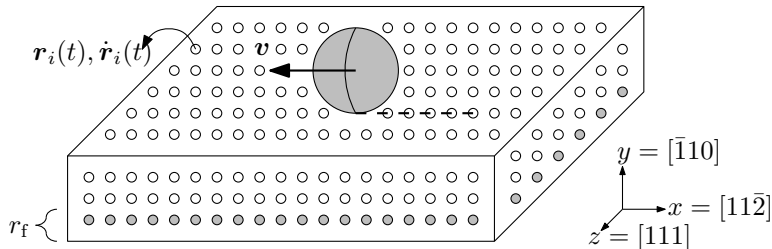
- ▶ Very few a priori assumptions (Semi-empirical potentials)
- ▶ Deep understanding because of complete knowledge of each atom in the simulation box
- ▶ Dislocation nucleation and motion handled accurately

# MD modeling of friction

## Computation of plastic work $E_{pl}$ — Part I: MD Simulation

### Setup

- ▶ fixed boundary conditions for bottom atoms
- ▶ prescribed indenter path  $x(t)$

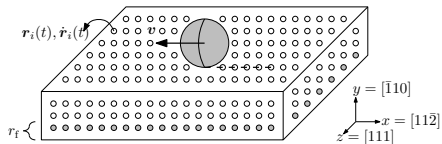


### During simulation

- ▶ Evaluate force  $F(t)$  acting on the indenter at every time step,
- ▶ Save positions  $\mathbf{r}_i(t)$  and velocities  $\dot{\mathbf{r}}_i(t)$  periodically

# MD modeling of friction

## Computation of plastic work $E_{pl}$ — Part II: Energy Balance

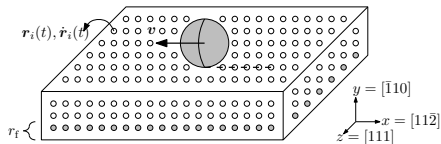


### Energy influx

$$E_{in}(t) = \int_0^t \mathbf{F}(\tau) \cdot \mathbf{v} d\tau$$

# MD modeling of friction

## Computation of plastic work $E_{pl}$ — Part II: Energy Balance



### Energy influx

$$E_{in}(t) = \int_0^t \mathbf{F}(\tau) \cdot \mathbf{v} \, d\tau$$

### Stored as

$$\begin{aligned} E(t) &= E[\mathbf{r}_1, \dots, \mathbf{r}_N, \dot{\mathbf{r}}_1, \dots, \dot{\mathbf{r}}_N](t) \\ &= E_{pot}[\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots](t) \\ &+ E_{kin}[\dot{\mathbf{r}}_1, \dot{\mathbf{r}}_2, \dot{\mathbf{r}}_3, \dots](t) \end{aligned}$$

# MD modeling of friction

## Computation of plastic work $E_{pl}$ — Part II: Energy Balance

### Stored Energy

$$E = E_{\text{pot}}[\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots] + E_{\text{kin}}[\dot{\mathbf{r}}_1, \dot{\mathbf{r}}_2, \dot{\mathbf{r}}_3, \dots]$$

### Potential Energy

- ▶ empirical interatomic potential function
- ▶ e.g., EAM:

$$E_{\text{pot}_i} = \frac{1}{2} \sum_{i \neq j} V(r_{ij}) + \sum_i \Phi \left( \sum_{i \neq j} \rho(r_{ij}) \right)$$

### Kinetic Energy

- ▶ Classical mechanics:

$$E_{\text{kin}_i} = \frac{1}{2} m_i \dot{\mathbf{r}}_i^2$$

- ▶ summed over all atoms



# MD modeling of friction

## Computation of plastic work $E_{pl}$ — Part II: Energy Balance

### Stored Energy

$$E = E_{\text{pot}}[\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots] + E_{\text{kin}}[\dot{\mathbf{r}}_1, \dot{\mathbf{r}}_2, \dot{\mathbf{r}}_3, \dots]$$

### Potential Energy

- ▶ empirical interatomic potential function
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### Kinetic Energy

- ▶ Classical mechanics:

$$E_{\text{kin}_i} = \frac{1}{2} m_i \dot{\mathbf{r}}_i^2$$

- ▶ summed over all atoms

**But we won't use this!**

# MD modeling of friction

Computation of plastic work  $E_{pl}$  — Part III: Minimizing Potential Energy

## Main Idea

Monitor variation of potential energy at 0 K:  $\Delta E_{pot}(0\text{ K}) = E_{pl}$

## Problem

MD snapshots  $\{\mathbf{r}_i, \dot{\mathbf{r}}_i\}(t)$  are **close** to static equilibrium ( $\sim 0\text{ K}$ )

# MD modeling of friction

Computation of plastic work  $E_{\text{pl}}$  — Part III: Minimizing Potential Energy

## Main Idea

Monitor variation of potential energy at 0 K:  $\Delta E_{\text{pot}}(0 \text{ K}) = E_{\text{pl}}$

## Problem

MD snapshots  $\{\mathbf{r}_i, \dot{\mathbf{r}}_i\}(t)$  are **close** to static equilibrium ( $\sim 0 \text{ K}$ )

## Solution

Molecular Statics:

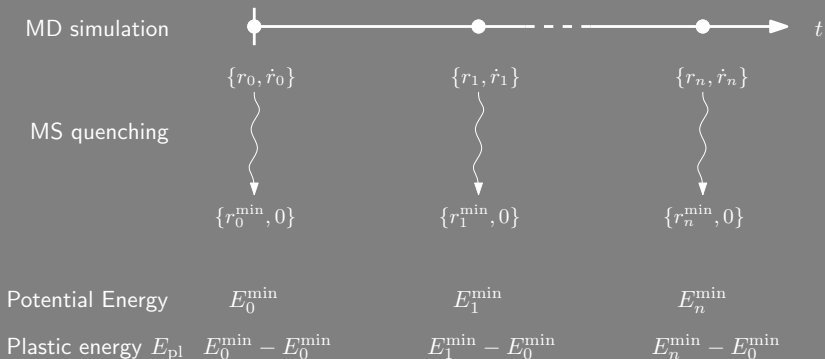
$$E_{\text{pot}}^{\text{min}}(t) = \min_{\mathbf{R}=(\mathbf{r}_1, \dots, \mathbf{r}_N)} E_{\text{pot}}(\mathbf{R}(t))$$

$$E_{\text{pl}}(t) = E_{\text{pot}}^{\text{min}}(t) - E_{\text{pot}}^{\text{min}}(0)$$

# MD modeling of friction

Computation of plastic work  $E_{pl}$

## Using molecular statics (MS)



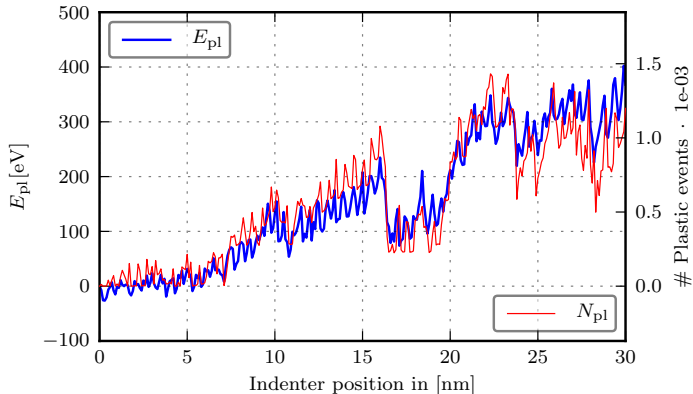
## Paper in review

T. Junge et al., *Plastic activity in nanoscratch molecular dynamics simulations of pure aluminium*, submitted for publication

# MD modeling of friction

Computation of plastic work  $E_{pl}$

Plastic count vs. stored plastic energy



Compare:

B. Luan, Ph.D. thesis, Johns Hopkins University (2006)

# Outline

MD modeling of friction

Parametric study

General setup

Parameter space

Single phase polycrystals

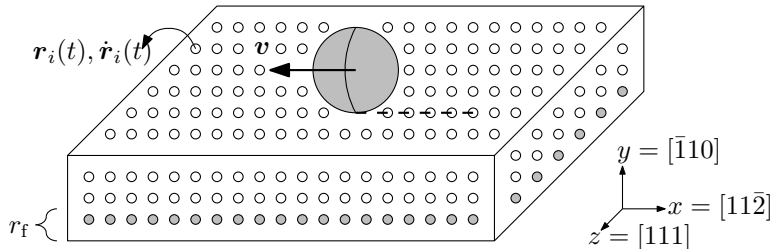
Results

# Parametric study

## General setup

### Setup

- ▶ fixed boundary conditions for bottom atoms
- ▶ prescribed indenter path  $x(t)$



### During simulation

- ▶ Evaluate force  $F(t)$  acting on the indenter at every time step,
- ▶ Save positions  $\mathbf{r}_i(t)$  and velocities  $\dot{\mathbf{r}}_i(t)$  periodically

# Parametric study

## Parameter space

### Space is split in three groups

In common:

- ▶ substrate thickness and width
- ▶ scratch path length
- ▶ every scratch performed at the same five indentation depths:

$$\Delta y \in \{0, 1, 2, 5, 10\} \text{ \AA}$$

- ▶ rigid indenter
- ▶ Mendeleev EAM  
Aluminum potential

### Substrate thickness

$$h \in \{22.9, 45.8, 91.5, 183.1, 366.1\} \text{ \AA}$$

at  $v = 10 \text{ m/s}$

### Scratch speed

$$v \in \{2.5, 5, 10, 20, 40, 80, 1000\} \text{ m/s}$$

at  $h = 45.8 \text{ \AA}$

### Microstructure

- ▶ 40 or 200 grains
- ▶ 2 different random seeds
- ▶  $h = 91.5 \text{ \AA}$ ,  $v = 10 \text{ m/s}$

M. I. Mendeleev et al., Philosophical Magazine 88 (12), 1723-1750



# Outline

MD modeling of friction

Parametric study

Single phase polycrystals

Real polycrystals

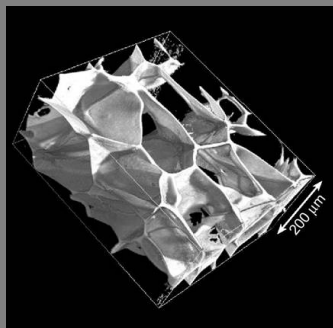
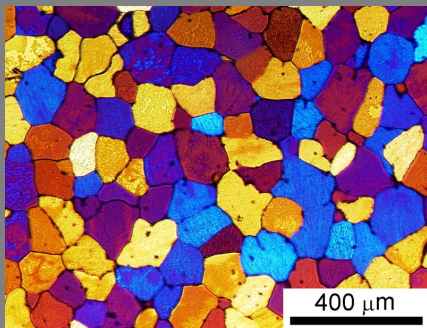
MD polycrystals

Results

# Single phase polycrystals

## Real polycrystals

### Single phase aluminum



Sources:

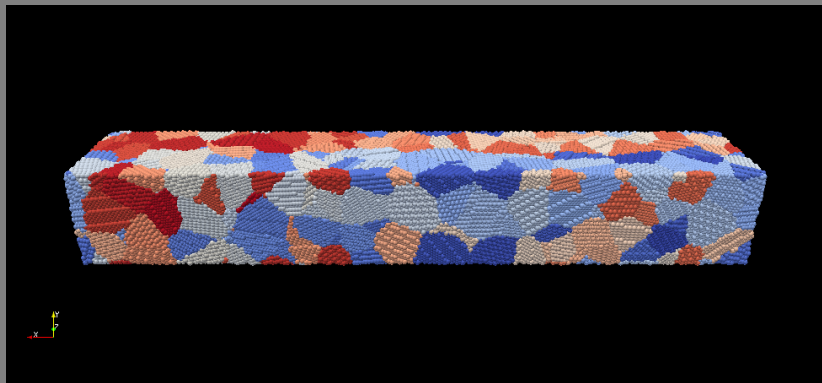
T. Quested, DoITPoMS, Micrograph 712

K. M. Döbrich et al., Metall. Trans. A 35, 1953–1961, (2004).

# Single phase polycrystals

MD polycrystals

## Voronoi tessellation

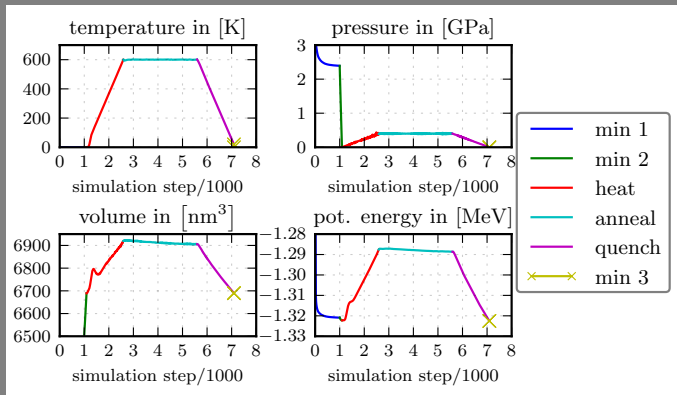


- ▶ Voronoi nuclei randomly positioned
- ▶ Periodic boundary conditions in all directions
- ▶ Random lattice orientation assigned to each cell

# Single phase polycrystals

## MD polycrystals

### Annealing and relaxation of microstructure (heuristic)



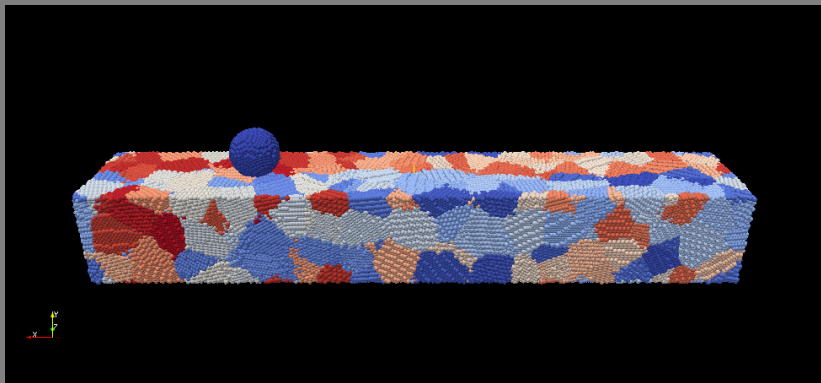
Similar:

H. van Swygenhoven, Acta Materialia 54 (7), 1975, (2006)

# Single phase polycrystals

MD polycrystals

## Final structure



- ▶ split microstructure, insert indenter
- ▶ fix bottom layer and indenter
- ▶ constrained minimisation of potential energy

# Outline

MD modeling of friction

Parametric study

Single phase polycrystals

Results

Stored plastic energy  $E_{pl}$

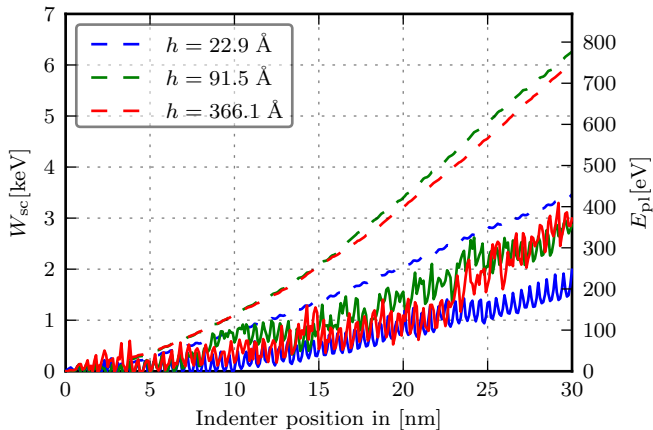
Microscopic friction coefficient  $\mu$

Thermal sensitivity  $s$

# Results

Stored plastic energy  $E_{pl}$

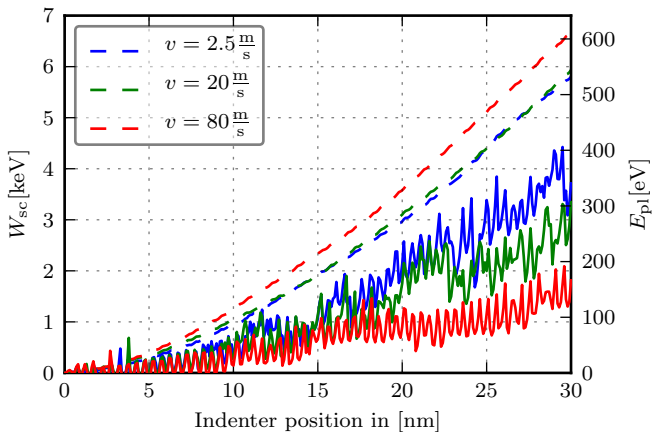
Effect of substrate thickness  $h$



# Results

Stored plastic energy  $E_{pl}$

Effect of scratch speed  $v$

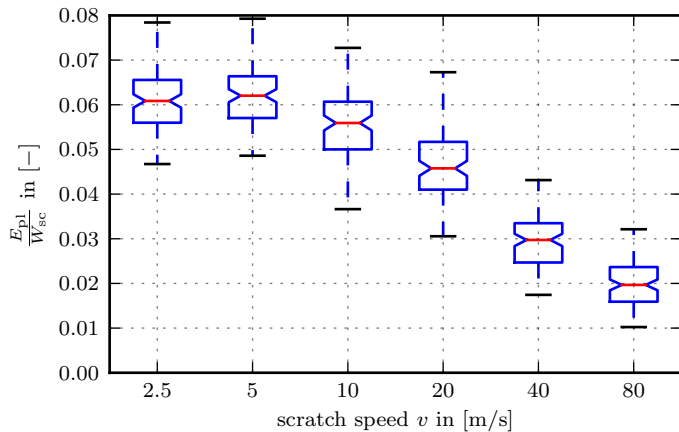




# Results

Stored plastic energy  $E_{pl}$

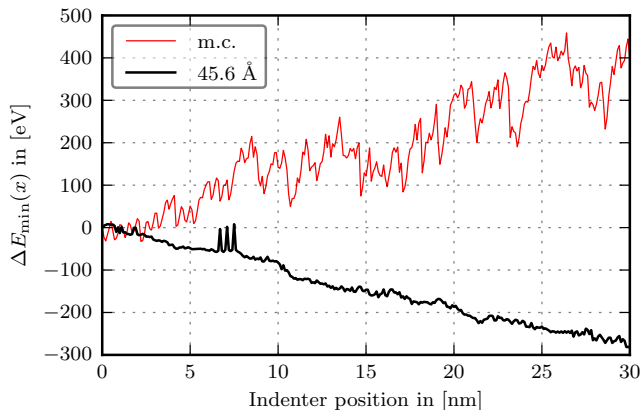
Relative plastic contribution  $E_{pl}/W_{sc}$  decreases with speed



# Results

Stored plastic energy  $E_{pl}$

Effect of microstructure is non-trivial/counterintuitive



# Results

Microscopic friction coefficient  $\mu$

Macroscopic friction model

$$\mu \equiv \frac{dF}{dN} \Leftrightarrow F(N; \mu, f_a) = f_a + \mu N$$

Microscopic translation

Large fluctuations at nano-scale  $\Rightarrow$  window-average forces:

$$\langle F \rangle_i = \frac{1}{N_w} \sum_j^{N_w} F(t_{i+j})$$

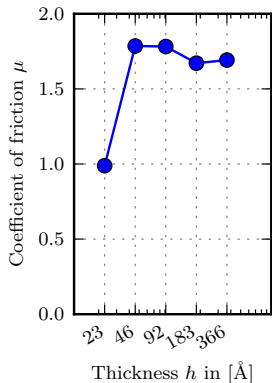
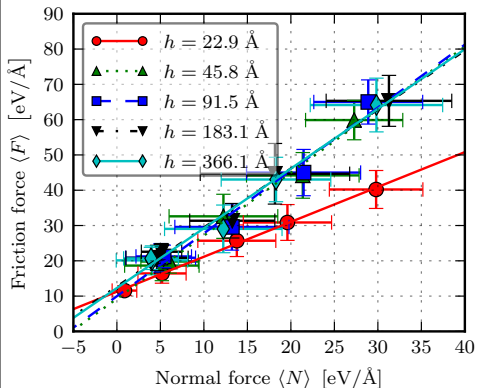
Least-squares-fit the coefficient

$$\mu = \underbrace{\arg \min}_{\hat{\mu}} \left( [F(\langle \mathbf{N} \rangle, \hat{\mu}) - \langle \mathbf{F} \rangle]^2 \right)$$

# Results

## Microscopic friction coefficient $\mu$

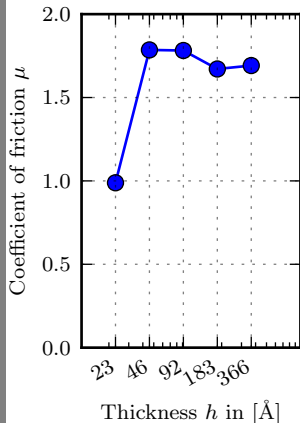
### Effect of substrate thickness $h$



# Results

Microscopic friction coefficient  $\mu$

Thickness  $h$

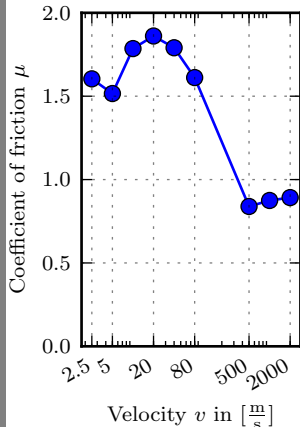


- ▶ Linearity!
- ▶ Coefficient large by continuum standards
- ▶ No simulation box size dependence for thick substrates
- ▶ Suppressed plasticity for thin substrate leads to lower  $\mu$

# Results

Microscopic friction coefficient  $\mu$

Scratch speed  $v$

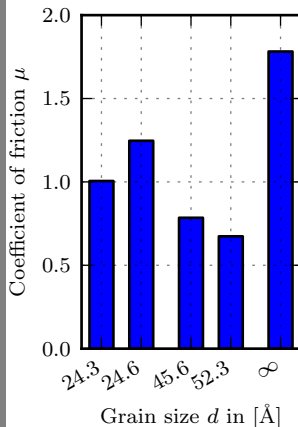


- ▶ Bell shape with trailing plateau:
  - ▶ Found in nano-machining sims  
*P. A. Romero et al. Modelling Simul. Mater. Sci. Eng. 20 (2012)*
  - ▶ Found in steel friction experiments  
*S. Philippon et al. Wear 257 (7-8) (2004)*
  - ▶ Analytically explained  
*A. Molinari et al. Journal of Tribology 121/35 (1999)*
- ▶ Suppressed plasticity for high speeds leads to same effect as thin substrate

# Results

Microscopic friction coefficient  $\mu$

Grain size  $d$



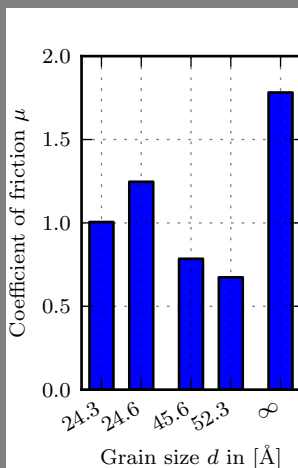
- ▶ Coefficient not explained by the grain size

Not enough grains to average orientation effects?

# Results

## Microscopic friction coefficient $\mu$

### Grain size $d$



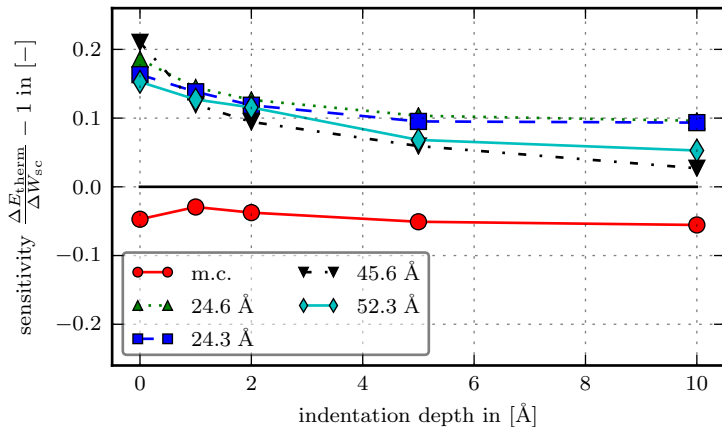
- ▶ Coefficient not explained by the grain size  
Not enough grains to average orientation effects?
- ▶ Consistently lower friction for polycrystal



# Results

Thermal sensitivity  $s$

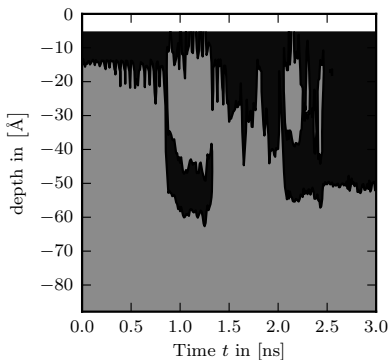
## Thermal Sensitivity for different Microstructures



# Results

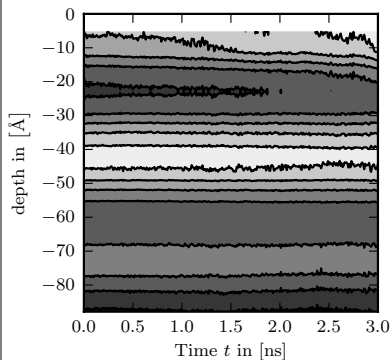
Sensitivity  $s$  – vertical centrosymmetry distribution

## Growing disorder in single crystal



Plastic energy is stored

## Coarsening of microstructure



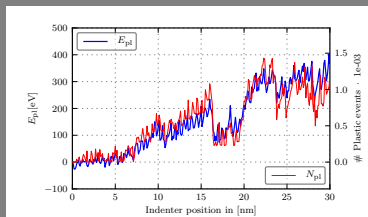
Grain boundary energy is released

Darker means higher disorder

# Conclusions

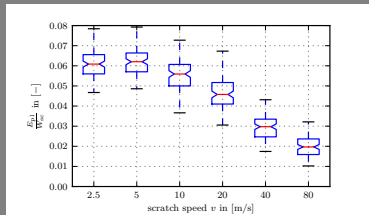
## 1.) Computation of $E_{pl}$

- ▶ Novel method to analyze and quantify MD friction simulations



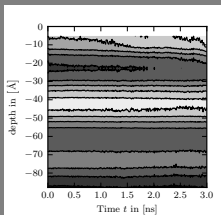
## 1.) Computation of $E_{pl}$

- ▶ Novel method to analyze and quantify MD friction simulations
- ▶ Showed clear negative rate correlation for high speeds, none for low



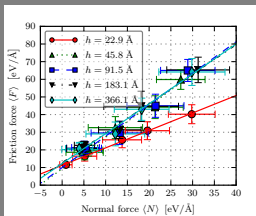
## 1.) Computation of $E_{pl}$

- ▶ Novel method to analyze and quantify MD friction simulations
- ▶ Showed clear negative rate correlation for high speeds, none for low
- ▶ Polycrystals can **release** stored plastic energy during scratching



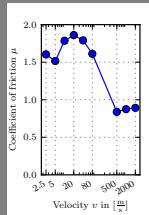
## 2.) Regression-based computation of $\mu$

- ▶ Recovered simple linear continuum friction model



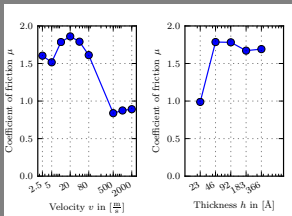
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- ▶ Recovered bell-shaped speed dependence observed in machining



## 2.) Regression-based computation of $\mu$

- ▶ Recovered simple linear continuum friction model
- ▶ Recovered bell-shaped speed dependence observed in machining
- ▶ Apparent strong link between  $E_{p1}$  and  $\mu$

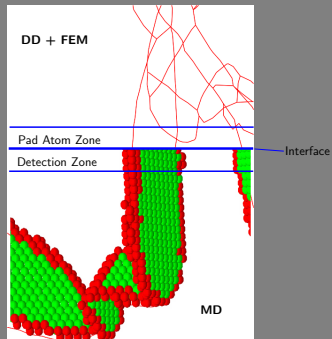
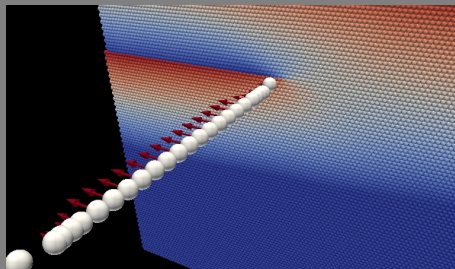




## 2.) Regression-based computation of $\mu$

- ▶ Recovered simple linear continuum friction model
- ▶ Recovered bell-shaped speed dependence observed in machining
- ▶ Apparent strong link between  $E_{pl}$  and  $\mu$
- ▶ Sim box size independent for thick substrates  
Plastic zones not resolved!

## Coupled Atomistics and discrete dislocations in 3D



Under development at LSMS

### Grain size distributions

