

Verification of Imperative Programs in Scala

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ÉCOLE POLYTECHNIQUE
FÉDÉRALE DE LAUSANNE

Is This Correct?

```
def binarySearch(a: Array[Int], key: Int): Int = {  
  
  var low = 0  
  var high = a.length - 1  
  var res = -1  
  (while(low < high && res == -1) {  
    val i = (high + low) / 2  
    val v = a(i)  
    if(v == key) res = i  
    else if(v > key) high = i - 1  
    else if(v < key) low = i + 1  
  })  
  
  res  
}
```

Is This Correct?

```
def binarySearch(a: Array[Int], key: Int): Int = {
  require(a.length > 0 && sorted(a, 0, a.length - 1))
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  if(res >= 0) a(res) == key else !occurs(a, 0, a.length, key)))
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```

Counterexample: `a = Array(971)`, `key = 971`

Is This Correct?

```
def binarySearch(a: Array[Int], key: Int): Int = {
  require(a.length > 0 && sorted(a, 0, a.length - 1))
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  })  
  
  res  
} ensuring(res ==> res >= -1 && res < a.length && (  
  if(res >= 0) a(res) == key else !occurs(a, 0, a.length, key)))
```

Counterexample: `a = Array(609, 608)`, `key = 608`

Is This Correct?

```
def binarySearch(a: Array[Int], key: Int): Int = {
  require(a.length > 0 && sorted(a, 0, a.length - 1))
  var low = 0
  var high = a.length - 1
  var res = -1
  (while(low <= high && res == -1) {
    val i = (high + low) / 2
    val v = a(i)
    if(v == key) res = i
    else if(v > key) high = i - 1
    else if(v < key) low = i + 1
  }) invariant(res >= -1 && res < a.length && 0 <= low &&
    low <= high && high >= 1 && high < a.length &&
    if(res >= 0) a(res) == key
    else (!occurs(a, 0, low, key) &&
      !occurs(a, high + 1, a.length, key)))
  res
} ensuring(res ==> res >= -1 && res < a.length && (
  if(res >= 0) a(res) == key else !occurs(a, 0, a.length, key)))
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Is This Correct?

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               else (!occurs(a, 0, low, key) &&
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  res
} ensuring(res ==> res >= -1 && res < a.length && (
  if(res >= 0) a(res) == key else !occurs(a, 0, a.length, key)))
```

Counterexample:

`a = Array(2571, 2571), high = 1, low = 1, key = 2572`

Is This Correct?

```
def binarySearch(a: Array[Int], key: Int): Int = {
  require(a.length > 0 && sorted(a, 0, a.length - 1))
  var low = 0
  var high = a.length - 1
  var res = -1
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    val i = (high + low) / 2
    val v = a(i)
    if(v == key) res = i
    else if(v > key) high = i - 1
    else if(v < key) low = i + 1
  }) invariant(res >= -1 && res < a.length && 0 <= low &&
               low <= high + 1 && high >= 1 && high < a.length &&
               if(res >= 0) a(res) == key
               else (!occurs(a, 0, low, key) &&
                    !occurs(a, high + 1, a.length, key)))
  res
} ensuring(res ==> res >= -1 && res < a.length && (
  if(res >= 0) a(res) == key else !occurs(a, 0, a.length, key)))
```



The Leon Verification System

- ▶ Verifier for the Scala language.
- ▶ Original developer: Philippe Suter.
- ▶ Supported a well-defined functional subset of Scala.
 - ▶ Now also handling imperative code.



```
def fact(n: Int): Int = {  
  if(n == 0)  
    1  
  else  
    n * fact(n-1)  
}
```

```
def size(t: Tree): Int = t match {  
  case Node(left, _, right) =>  
    1 + size(left) + size(right)  
  case Leaf() => 0  
}
```

- ▶ Complete for finding counterexamples.

Contracts

Specifications can be defined using contracts.

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- ▶ Postconditions

```
def abs(n: Int): Int = {  
  if(n <= 0) -n else n  
} ensuring(res => res >= 0)
```

Contracts

Specifications can be defined using contracts.

- ▶ Postconditions

```
def abs(n: Int): Int = {  
  if(n <= 0) -n else n  
} ensuring(res => res >= 0)
```

- ▶ Preconditions

```
def fact(n: Int): Int = {  
  require(n >= 0)  
  if(n == 0) 1 else n * fact(n-1)  
}
```

Contracts

Specifications can be defined using contracts.

- ▶ Postconditions

```
def abs(n: Int): Int = {  
  if(n <= 0) -n else n  
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- ▶ Preconditions

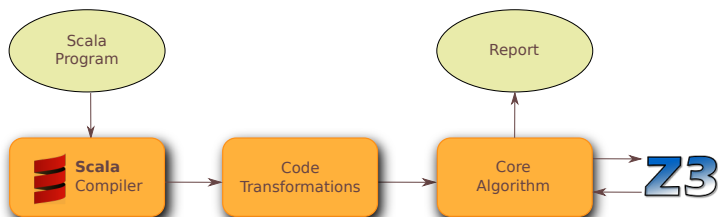
```
def fact(n: Int): Int = {  
  require(n >= 0)  
  if(n == 0) 1 else n * fact(n-1)  
}
```

The implementation and specification languages are the same.

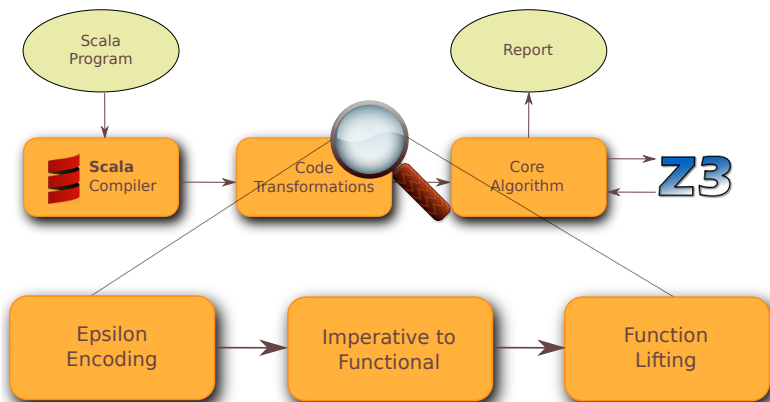
Contributions of This Thesis

- ▶ Extend Leon to enable verification of imperative programs.
 - ▶ While loops,
 - ▶ Local variables and assignments, and
 - ▶ Sequential composition (blocks).
- ▶ Framework to automatically generate testcases in Leon.
- ▶ Support for non-deterministic programs.
- ▶ Enrichment of the input language:
 - ▶ Additional data types (`Tuples`, `Array`, `List`), and
 - ▶ Additional native operations (`modulo`, `instanceof`).

Our Architecture: Verification of Imperative Programs



Our Architecture: Verification of Imperative Programs



Static Single Assignment Form



```
a = 1
b = 0
a = a + 1
b = a + b
a = a + b
```

Static Single Assignment Form



```
a = 1
b = 0
a = a + 1
b = a + b
a = a + b
```



```
val a = 1
val b = 0
```

Static Single Assignment Form



```
a = 1
b = 0
a = a + 1
b = a + b
a = a + b
```



```
val a = 1
val b = 0
val a1 = a + 1
```

Static Single Assignment Form



```
a = 1
b = 0
a = a + 1
b = a + b
a = a + b
```



```
val a = 1
val b = 0
val a1 = a + 1
val b1 = a1 + b
```

Static Single Assignment Form



```
a = 1
b = 0
a = a + 1
b = a + b
a = a + b
```



```
val a = 1
val b = 0
val a1 = a + 1
val b1 = a1 + b
val a2 = a1 + b1
```

If Expressions



```
if(x < 0)
  a = a + 1
else
  b = b + 3
```

If Expressions



```
if(x < 0)
  a = a + 1
else
  b = b + 3
```



```
val (a1, b1) =
```


If Expressions



```
if(x < 0)
  a = a + 1
else
  b = b + 3
```



```
val (a1, b1) = if(x < 0) {
} else {
}
```

If Expressions



```
if(x < 0)
  a = a + 1
else
  b = b + 3
```



```
val (a1, b1) = if(x < 0) {
  (a + 1, b)
} else {
}
```

If Expressions



```
if(x < 0)
  a = a + 1
else
  b = b + 3
```



```
val (a1, b1) = if(x < 0) {
  (a + 1, b)
} else {
  (a, b + 3)
}
```

Loops as Recursive Functions



```
(while(i < n) {  
  i = i + 1  
  s = s + i  
})
```

Loops as Recursive Functions



```
(while(i < n) {  
  i = i + 1  
  s = s + i  
})
```



```
def rec(i: Int, s: Int):  
  (Int, Int) = {  
  
  }
```

Loops as Recursive Functions



```
(while(i < n) {  
  i = i + 1  
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```
def rec(i: Int, s: Int):  
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Loops as Recursive Functions



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```
def rec(i: Int, s: Int):  
  (Int, Int) = {  
  
    if(i < n)  
      rec(i+1, s+i+1)  
    else  
  
  }
```

Loops as Recursive Functions



```
(while(i < n) {  
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```
def rec(i: Int, s: Int):  
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    if(i < n)  
      rec(i+1, s+i+1)  
    else  
      (i, s)  
  
  }
```


Loops as Recursive Functions



```
(while(i < n) {  
  i = i + 1  
  s = s + i  
}) invariant(s >= 0)
```



```
def rec(i: Int, s: Int):  
  (Int, Int) = {  
  
    if(i < n)  
      rec(i+1, s+i+1)  
    else  
      (i, s)  
  
  }
```

Loops as Recursive Functions



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(while(i < n) {  
  i = i + 1  
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  (Int, Int) = {  
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    else  
      (i, s)  
  }
```

Loops as Recursive Functions

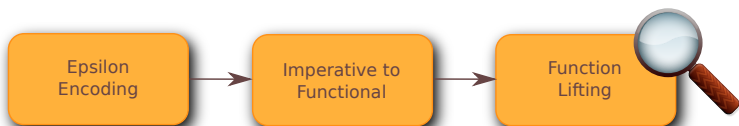


```
(while(i < n) {  
  i = i + 1  
  s = s + i  
}) invariant(s >= 0)
```



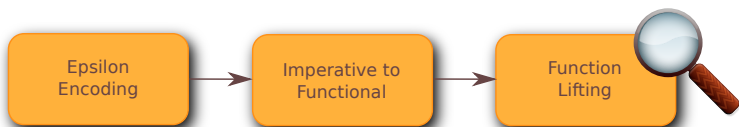
```
def rec(i: Int, s: Int):  
  (Int, Int) = {  
    require(s >= 0)  
    if(i < n)  
      rec(i+1, s+i+1)  
    else  
      (i, s)  
  } ensuring(..2 >= 0)
```

Lifting of Nested Functions



```
def foo(a: Int) = {  
  require(a > 0)  
  
  def rec(b: Int) =  
    a + b  
  
  rec(a + 1)  
}
```

Lifting of Nested Functions

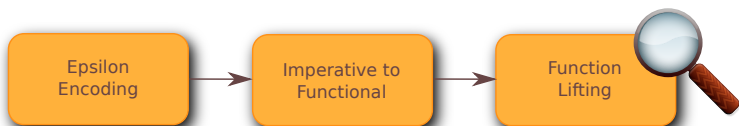


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def foo(a: Int) = {  
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```



```
def foo(a: Int) = {  
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    a1 + b  
  }  
  rec(a, a + 1)  
}
```

Lifting of Nested Functions

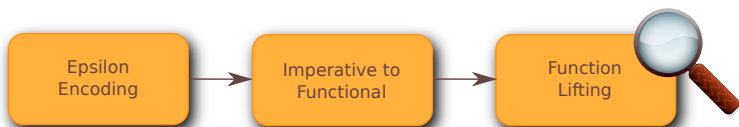


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Lifting of Nested Functions

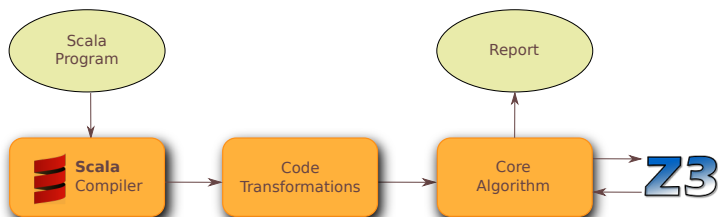


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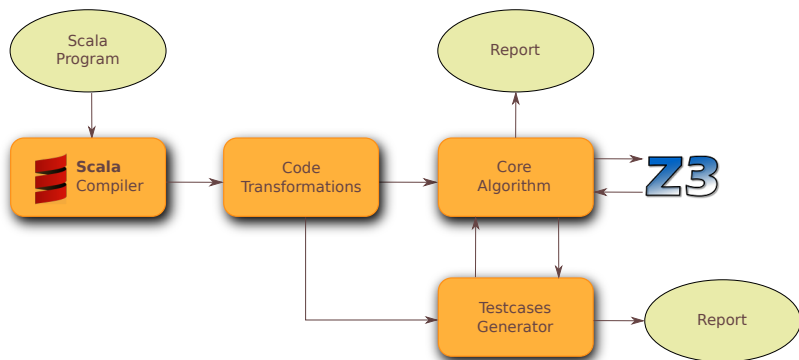


```
def foo(a: Int) = {  
  require(a > 0)  
  
  }  
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def rec(a1: Int, b: Int) = {  
  require(a1 > 0)  
  a1 + b  
}
```

Our Architecture: Automatic Generation of Testcases

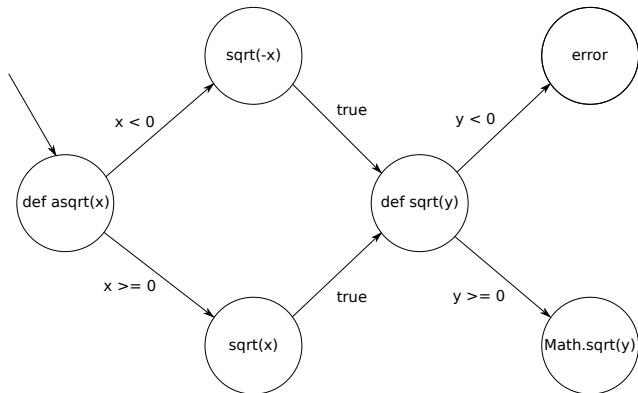


Our Architecture: Automatic Generation of Testcases



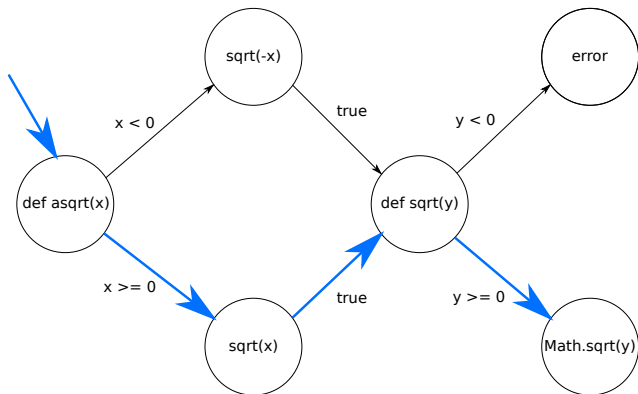
Automatic Generation of Testcases for Coverage

```
def asqrt(x: Int) = if(x < 0) sqrt(-x) else sqrt(x)
def sqrt(y: Int) = if(y < 0) error else Math.sqrt(y)
```



Automatic Generation of Testcases for Coverage

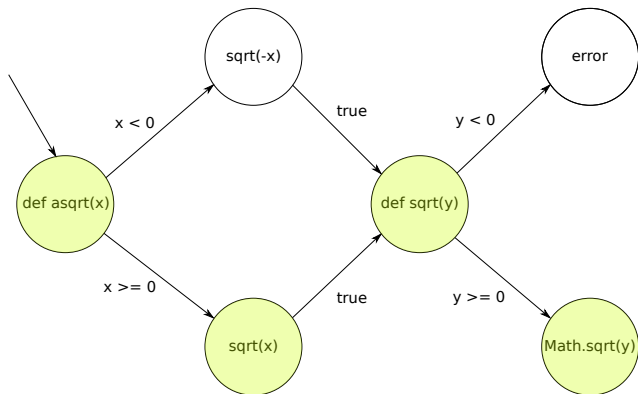
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$x \geq 0 \wedge x \geq 0$ Testcase: $x = 0$

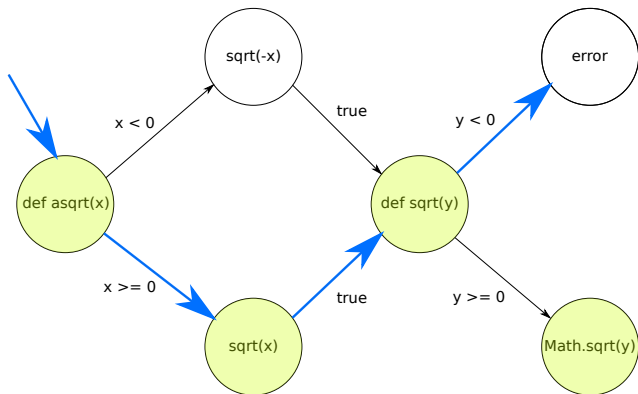
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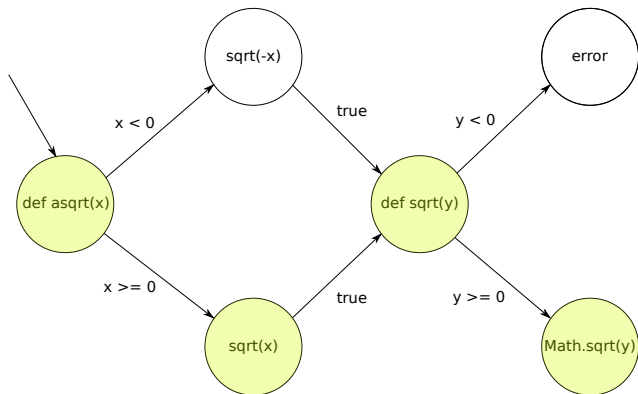
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$x \geq 0 \wedge x < 0$ Unsatisfiable

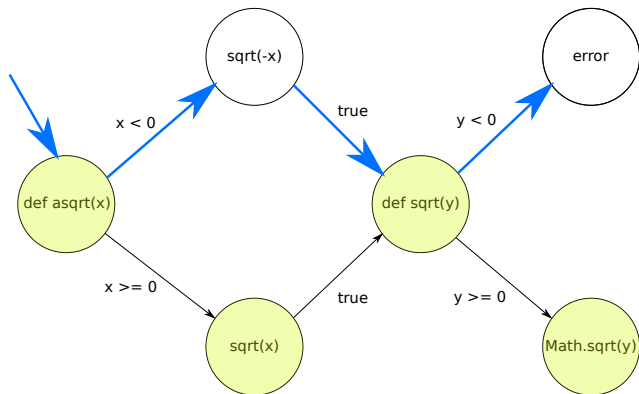
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Automatic Generation of Testcases for Coverage

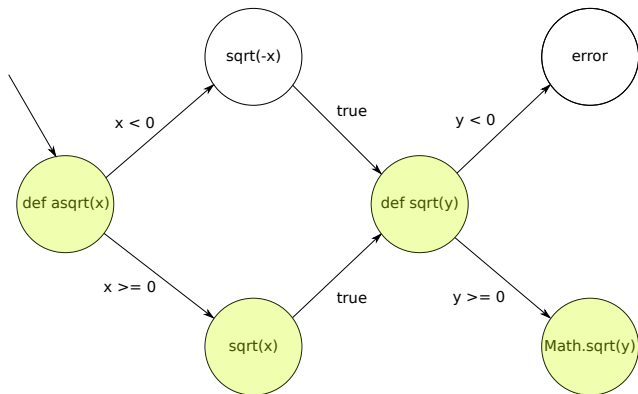
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```



$x < 0 \wedge -x < 0$ Unsatisfiable

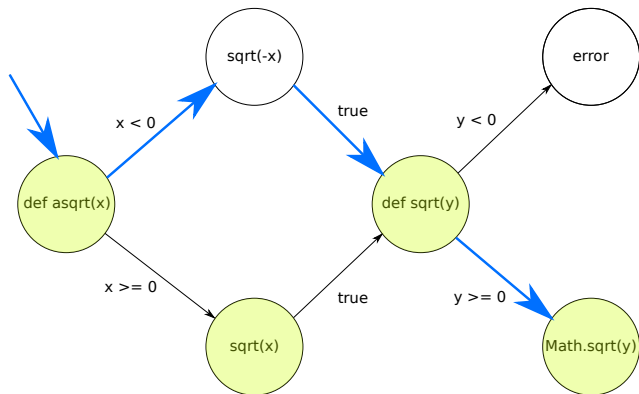
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Automatic Generation of Testcases for Coverage

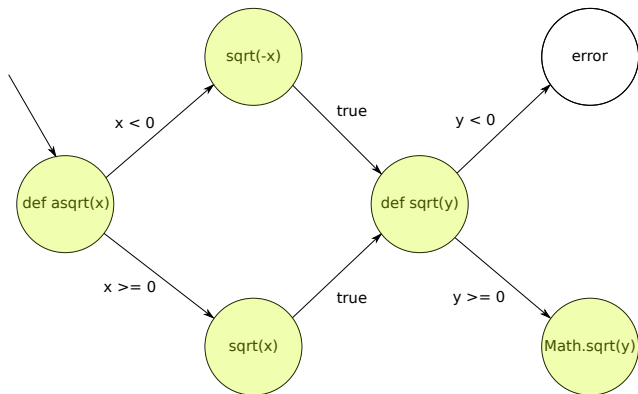
```
def asqrt(x: Int) = if(x < 0) sqrt(-x) else sqrt(x)
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```



$x < 0 \wedge -x \geq 0$ Testcase: $x = -1$

Automatic Generation of Testcases for Coverage

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def asqrt(x: Int) = if(x < 0) sqrt(-x) else sqrt(x)
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```

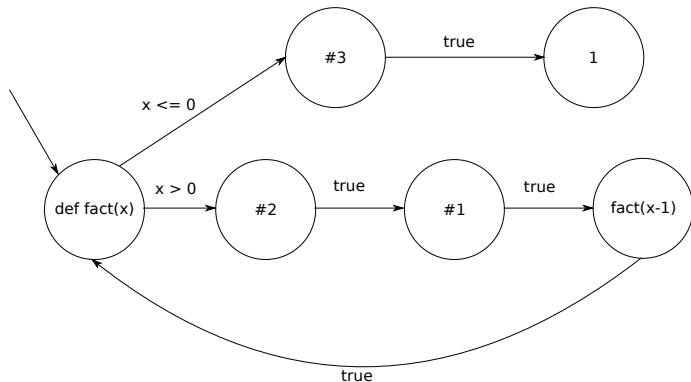


Testcases: $x = 0, x = -1$
error unreachable

Generation of Deeper Testcases using Waypoints

Finding a path that visits each waypoint in order.

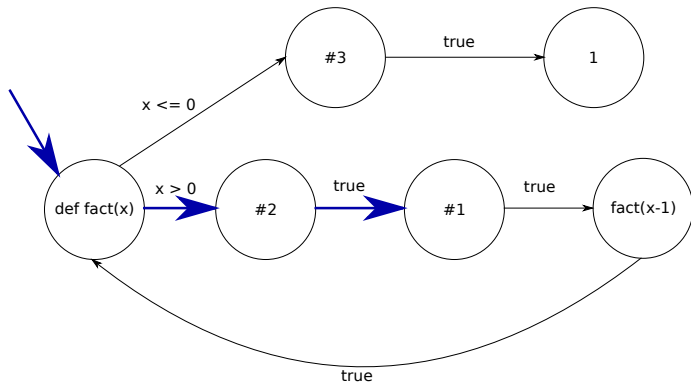
```
def fact(x: Int) = if(x <= 0) 1#3 else x*f(x-1)#1,#2
```



Generation of Deeper Testcases using Waypoints

Finding a path that visits each waypoint in order.

```
def fact(x: Int) = if(x <= 0) 1#3 else x*f(x-1)#1,#2
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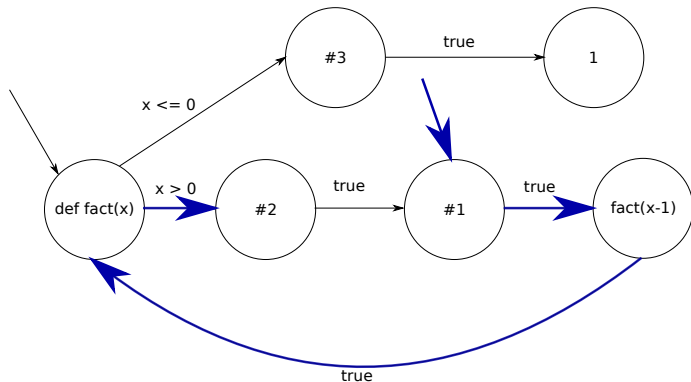


From fact to #1: x > 0 (Testcase:) x = 1

Generation of Deeper Testcases using Waypoints

Finding a path that visits each waypoint in order.

```
def fact(x: Int) = if(x <= 0) 1#3 else x*f(x-1)#1,#2
```

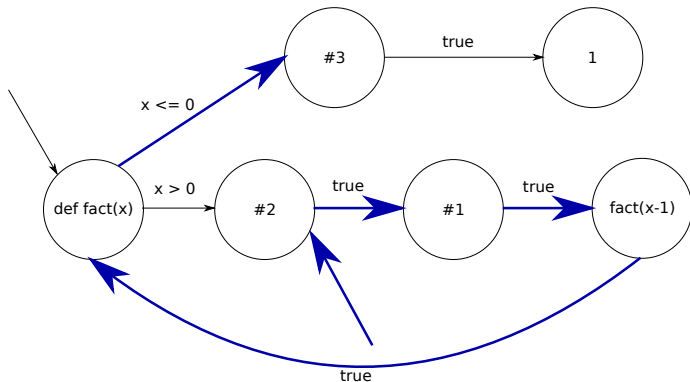


From fact to #2: $x > 0 \wedge x - 1 > 0$ (Testcase:) $x = 5$

Generation of Deeper Testcases using Waypoints

Finding a path that visits each waypoint in order.

```
def fact(x: Int) = if(x <= 0) 1#3 else x*f(x-1)#1,#2
```



From fact to #3: $x > 0 \wedge x - 1 > 0 \wedge x - 2 \leq 0$ Testcase: $x = 2$

Reasoning about Non-Deterministic Executions

```
def nonDeterministicExecution() {  
  var i = 0  
  var list: SortedList = Cons(42, Nil())  
  repeat(2) {  
    val b = epsilon((x: Boolean) => true)  
    val n = epsilon((x: Int) => true)  
    if(b)  
      list = insert(list, n)  
    else {  
      list = remove(list, n)  
      assert(!content(list).contains(n))  
    }  
  }  
}
```

Reasoning about Non-Deterministic Executions

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def nonDeterministicExecution() {  
  var i = 0  
  var list: SortedList = Cons(42, Nil())  
  repeat(2) {  
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    val n = epsilon((x: Int) => true)  
    if(b)  
      list = insert(list, n)  
    else {  
      list = remove(list, n)  
      assert(!content(list).contains(n))  
    }  
  }  
}
```

$b^1 = \text{true}$, $n^1 = 1691$, $b^2 = \text{false}$, $n^2 = 42$

Reasoning about Non-Deterministic Executions

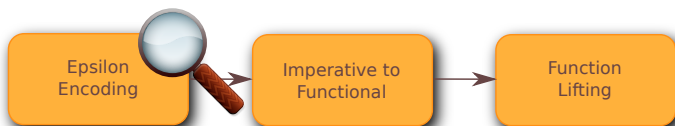
```
def nonDeterministicExecution(): Int = {  
  var i = 0  
  var b = epsilon((x: Boolean) => true)  
  while(b) {  
    i = i + 1  
    b = epsilon((x: Boolean) => true)  
  }  
  i  
} ensuring(_ <= 10)
```

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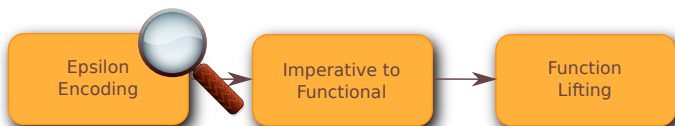
$b = \text{if}(0 \leq i \leq 10) \text{ true else false}$

Epsilon Encoding



```
def foo(a: Int): Int = {  
  epsilon(  
    (i: Int) => i > 0  
  )  
}
```

Epsilon Encoding

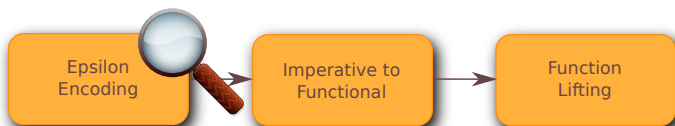


```
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  )  
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```



```
def foo(a: Int): Int = {  
  def e1(): Int = {  
    undefined  
  } ensuring(res => res > 0)  
  e1()  
}
```

Epsilon Encoding



```
def foo(a: Int): Int = {  
  epsilon(  
    (i: Int) => i > 0  
  )  
}
```



```
def foo(a: Int): Int = {  
  def e1(a1: Int): Int = {  
    undefined  
  } ensuring(res => res > 0)  
  e1(a)  
}
```

Further Contributions

- ▶ Tuples added to the functional language, can be used via pattern matching.

```
val (x1, x2, x3) = (1, 2, 3)
```

- ▶ Support for functional and imperative Array, no aliasing.

```
a(i) = e
```



```
a = a.updated(i, e)
```

- ▶ Native List type and pattern matching.
- ▶ Various additional operations: modulo, instanceof operator.

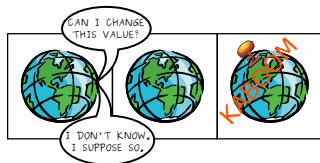
Overview of some Results

Benchmark	LOC	#VCs			Time (s)
		V	I	U	
Arithmetic	73	10	1	0	0.33
ArrayOperations	207	36	0	7	2.37
ListOperations	146	21	4	1	4.34
Constraints	76	6	3	1	2.41

- ▶ Each verification condition (VC) can be Valid, Invalid or Unknown (timeout).
- ▶ Different kinds of VCs:
 - ▶ loop invariants,
 - ▶ preconditions,
 - ▶ postconditions,
 - ▶ array accesses, and
 - ▶ exhaustiveness of match expressions.

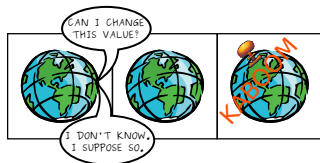
Limitations and Future Work

- ▶ Global variables (mutable fields).



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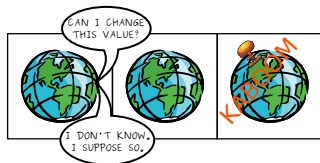


- ▶ Higher-order functions.

```
def map(lst: List, f: Int => Int): List = {  
  //f is monotonic ?  
  ...  
}
```

Limitations and Future Work

- ▶ Global variables (mutable fields).



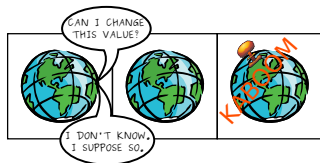
- ▶ Higher-order functions.

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def map(lst: List, f: Int => Int): List = {  
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- ▶ Induction and generalization.

Limitations and Future Work

- ▶ Global variables (mutable fields).



- ▶ Higher-order functions.

```
def map(lst: List, f: Int => Int): List = {  
  //f is monotonic ?  
  ...  
}
```

- ▶ Induction and generalization.
- ▶ Loop invariant generation.

Related Work



 Kaufmann, Manolios, Moore, *Computer-Aided Reasoning: An Approach*, 2000

- ▶ First-order Common Lisp.
- ▶ Solving technology based on heuristics to apply rewrite rules.
- ▶ Interactive, automatically generate subgoals.

▶ Imperative to Functional

- ▶ Well-known result.
- ▶ Recent work, formalized and proved in an interactive prover.

 Myreen, *Formal verification of machine-code programs*, PhD dissertation, 2008

Related Work

▶ Guardol



Hardin, Whalen, Pham, The guardol language and verification system, TACAS 2012

- ▶ DSL language to write and specify network guards.
- ▶ Input language has imperative features that are mapped to functional equivalent.
- ▶ Use an independant implementation of the same solving algorithm.

▶ HMC



Jhala, Majumdar, Rybalchenko, HMC: Verifying Functional Programs Using Abstract Interpreters, CAV 2011

- ▶ Translate functional programs into imperative programs.
- ▶ Enable reuse of an interprocedural analysis for first-order imperative programs.

Conclusion

- ▶ Leon is now complete for finding counterexamples of *imperative* programs.
- ▶ Implementation of a general method to encode imperative programming into functional programming.
- ▶ Automatic generation of testcases implemented in Leon, including an advanced technique to test recursive functions.
- ▶ Additional datatypes and operations implemented in Leon.

Do you Have any Questions?



Please ask