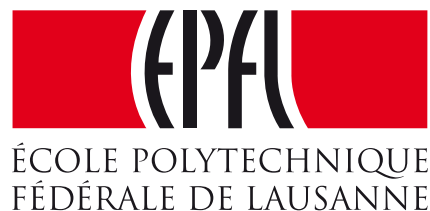


Environomic optimal design and synthesis of energy conversion systems in urban areas

Léda Gerber, Samira Fazlollahi, François Maréchal

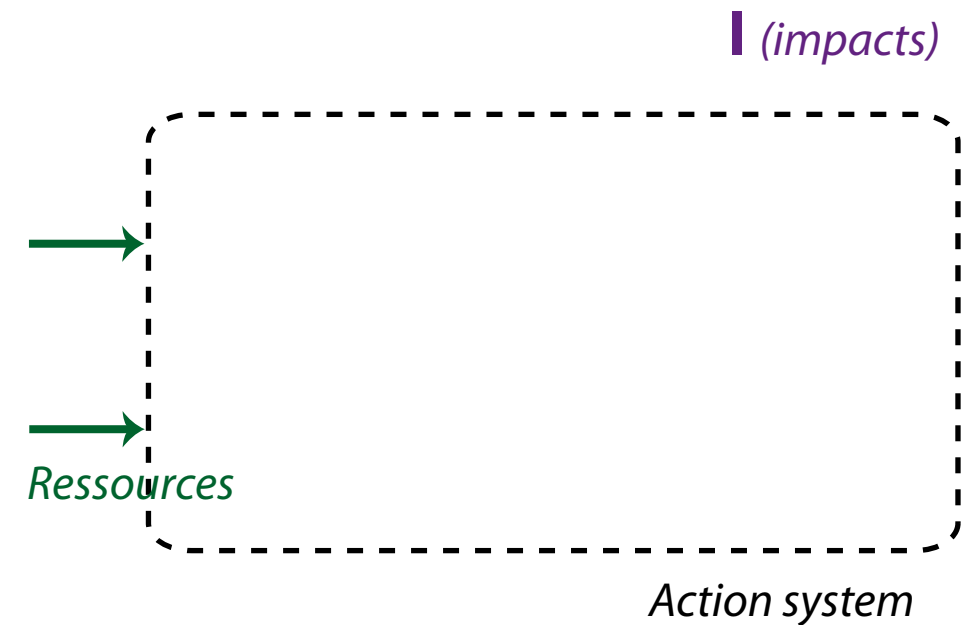
Ecole Polytechnique Fédérale de Lausanne, Industrial Energy Systems Laboratory

ESCAPE22, 17-20 June 2012, University College London



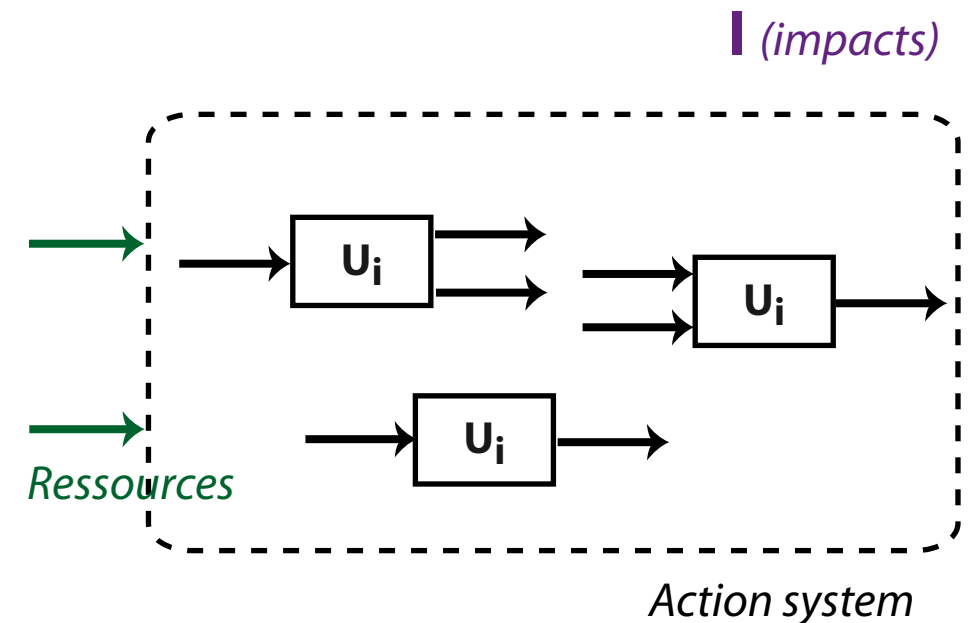
Context

- Industrial ecology
 - Mitigate environmental impacts and resource usage



Context

- Industrial ecology
 - Mitigate environmental impacts and resource usage
 - ▶ Process design techniques for industrial symbioses
 - ▶ Extended flowsheet considering action system¹

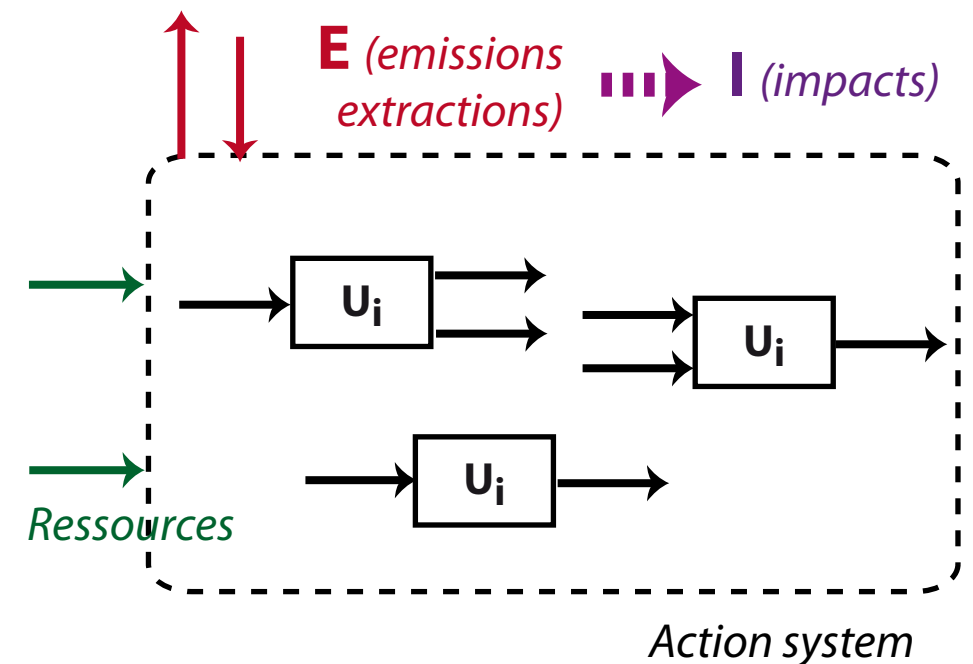


¹: Gerber L., et al., Computer Aided Chemical Engineering 29, 2011



Context

- Industrial ecology
 - Mitigate environmental impacts and resource usage
 - ▶ Process design techniques for industrial symbioses
 - ▶ Extended flowsheet considering action system¹
- Integration of LCA in process systems design
 - LCI linked with process flowsheet²



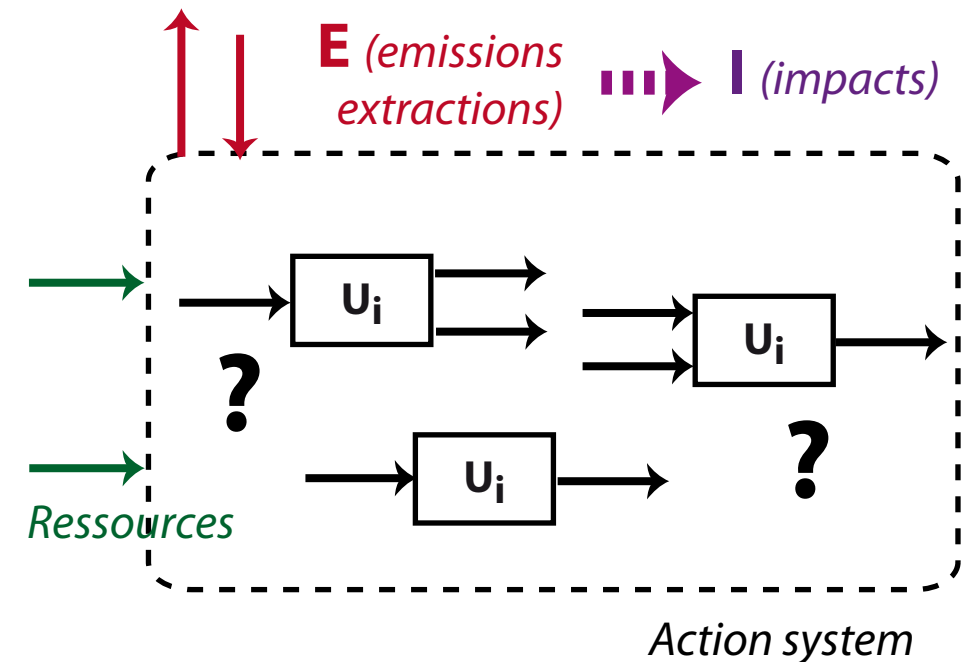
1: Gerber L., et al., Computer Aided Chemical Engineering 29, 2011

2: Gerber L., et al., Computers & Chemical Engineering 35 (7), 2011



Context

- Industrial ecology
 - Mitigate environmental impacts and resource usage
 - ▶ Process design techniques for industrial symbioses
 - ▶ Extended flowsheet considering action system¹
- Integration of LCA in process systems design
 - LCI linked with process flowsheet²
 - ▶ Environomic design and synthesis of conversion chains combining 2 approaches
 - Eco-Industrial parks, Urban systems



1: Gerber L., et al., Computer Aided Chemical Engineering 29, 2011

2: Gerber L., et al., Computers & Chemical Engineering 35 (7), 2011



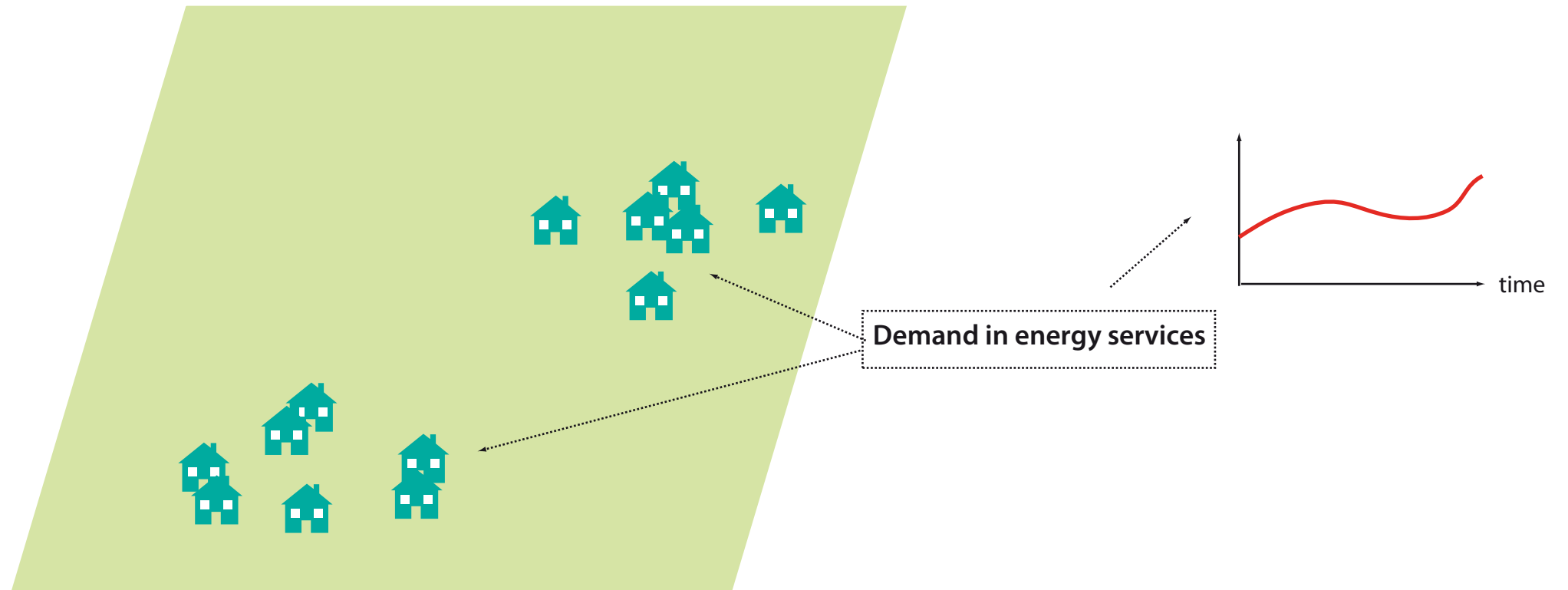
Objectives

- Methodology for design of urban energy conversion systems:



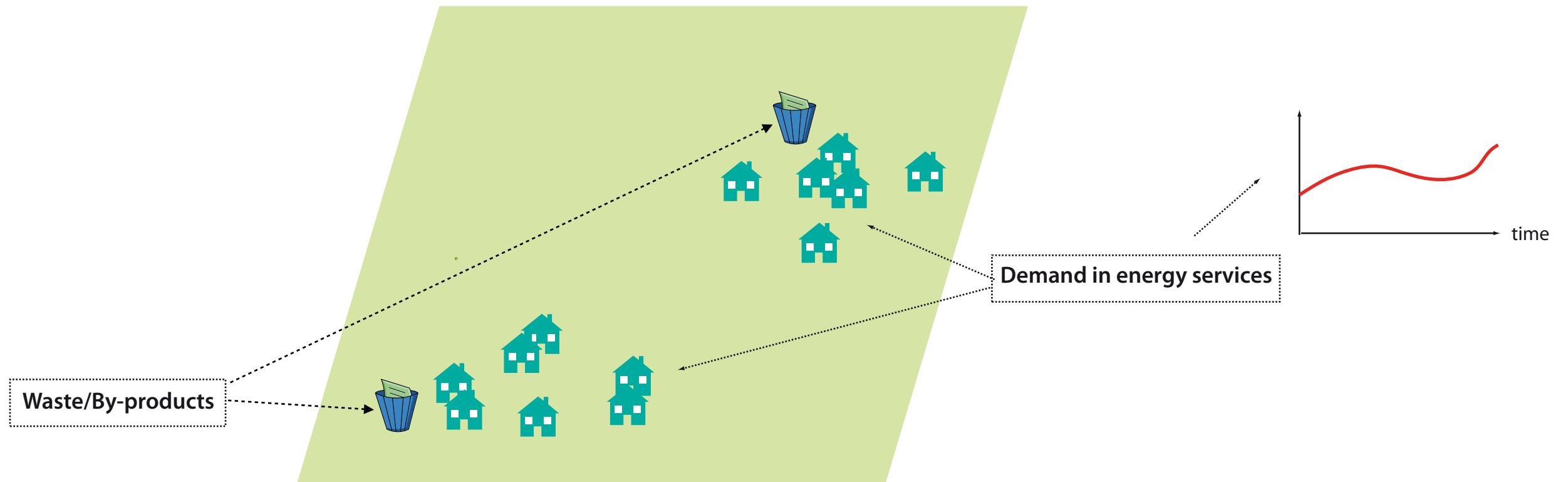
Objectives

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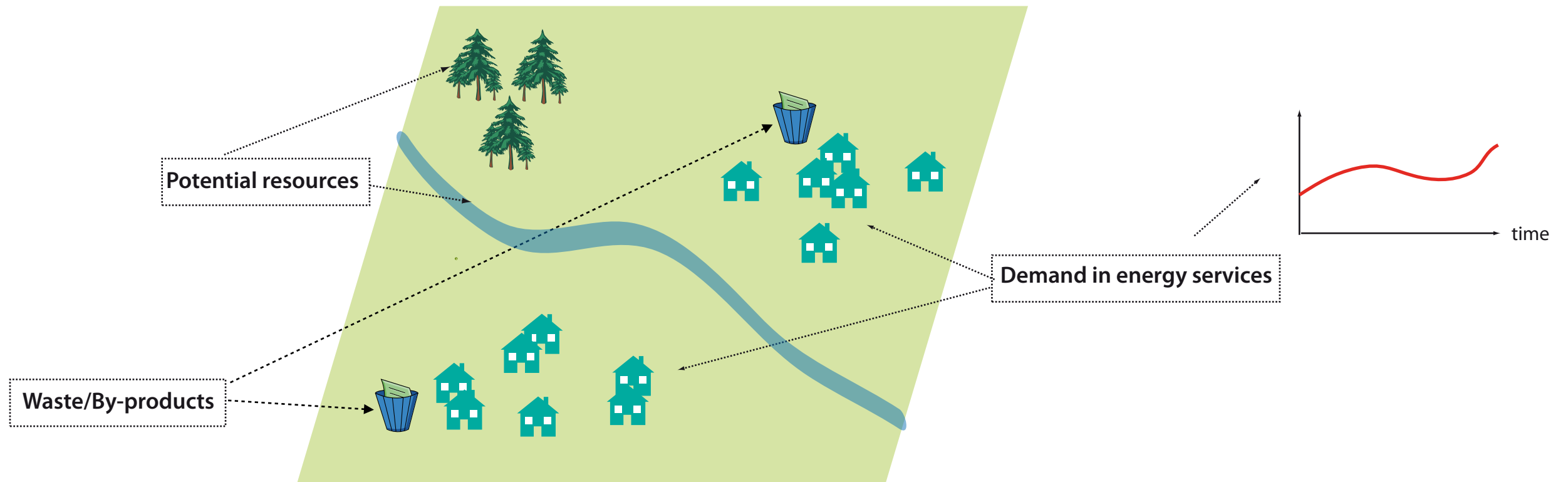
Objectives

- Methodology for design of urban energy conversion systems:



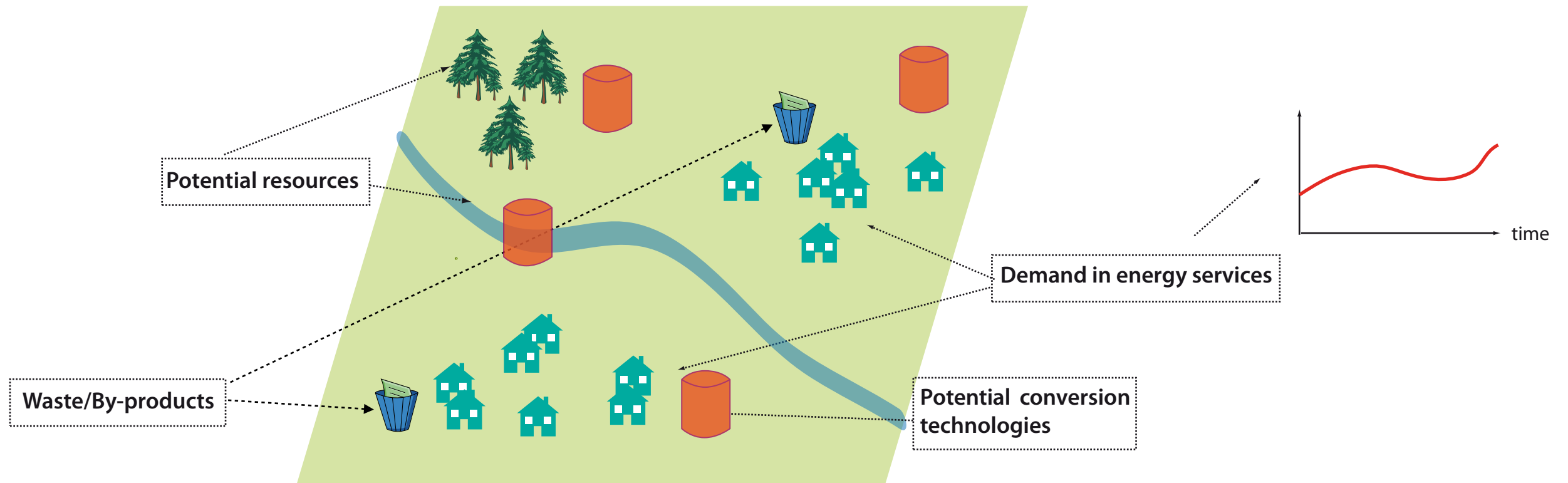
Objectives

- Methodology for design of urban energy conversion systems:



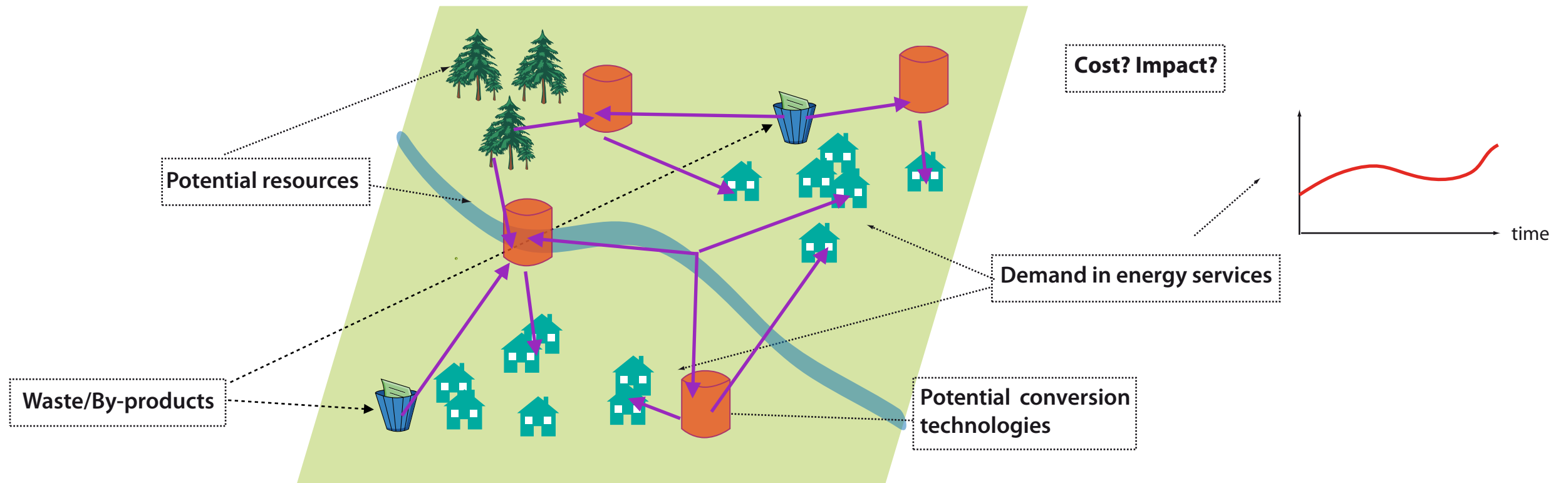
Objectives

- Methodology for design of urban energy conversion systems:



Objectives

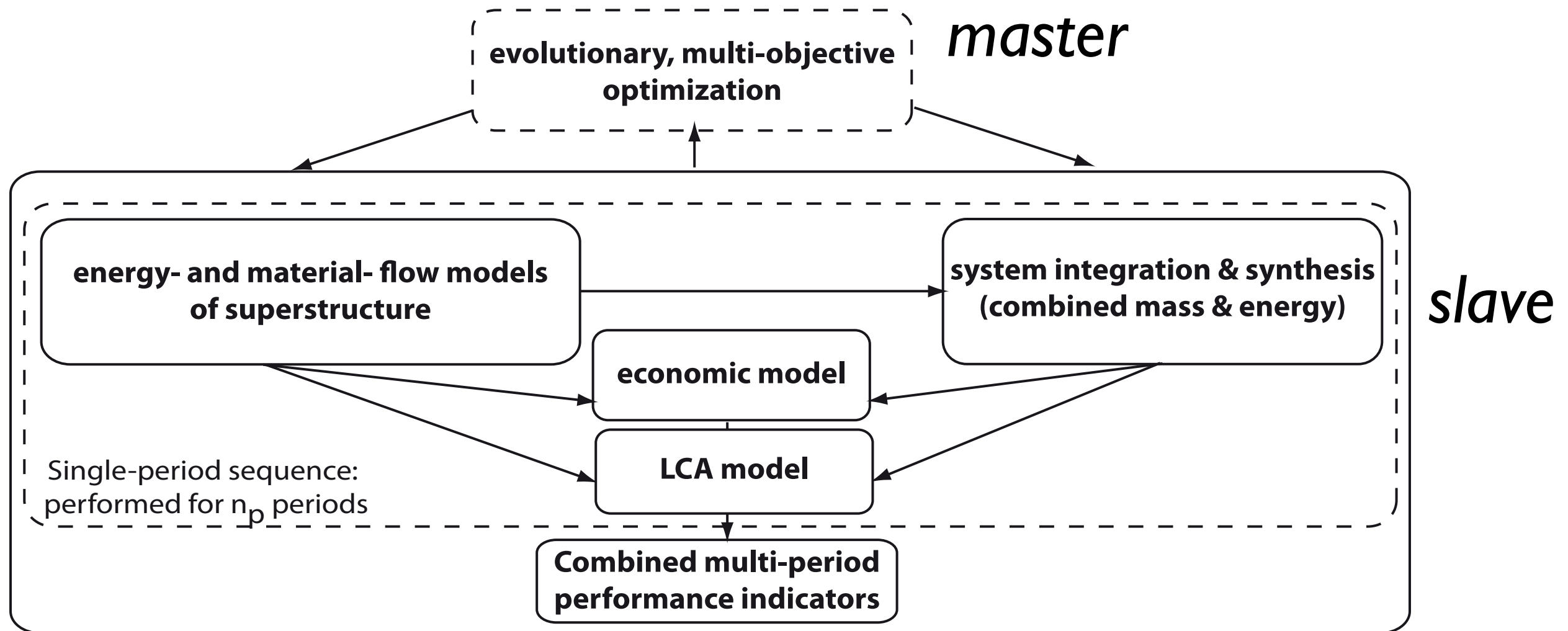
- Methodology for design of urban energy conversion systems:



- ▶ Environomic optimal synthesis of conversion chains
 - ▶ Superstructure generation
 - ▶ Optimization problem formulation

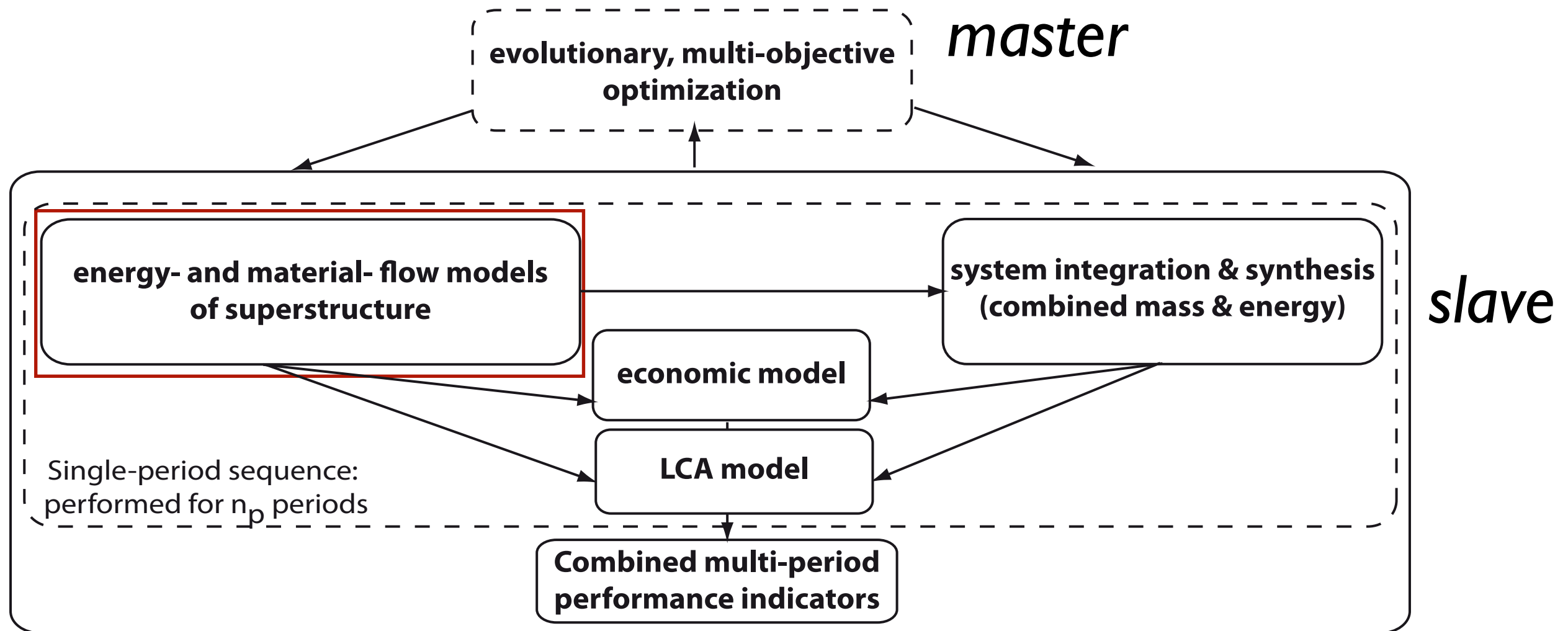
Computational framework

- 2-step decomposition of optimization problem

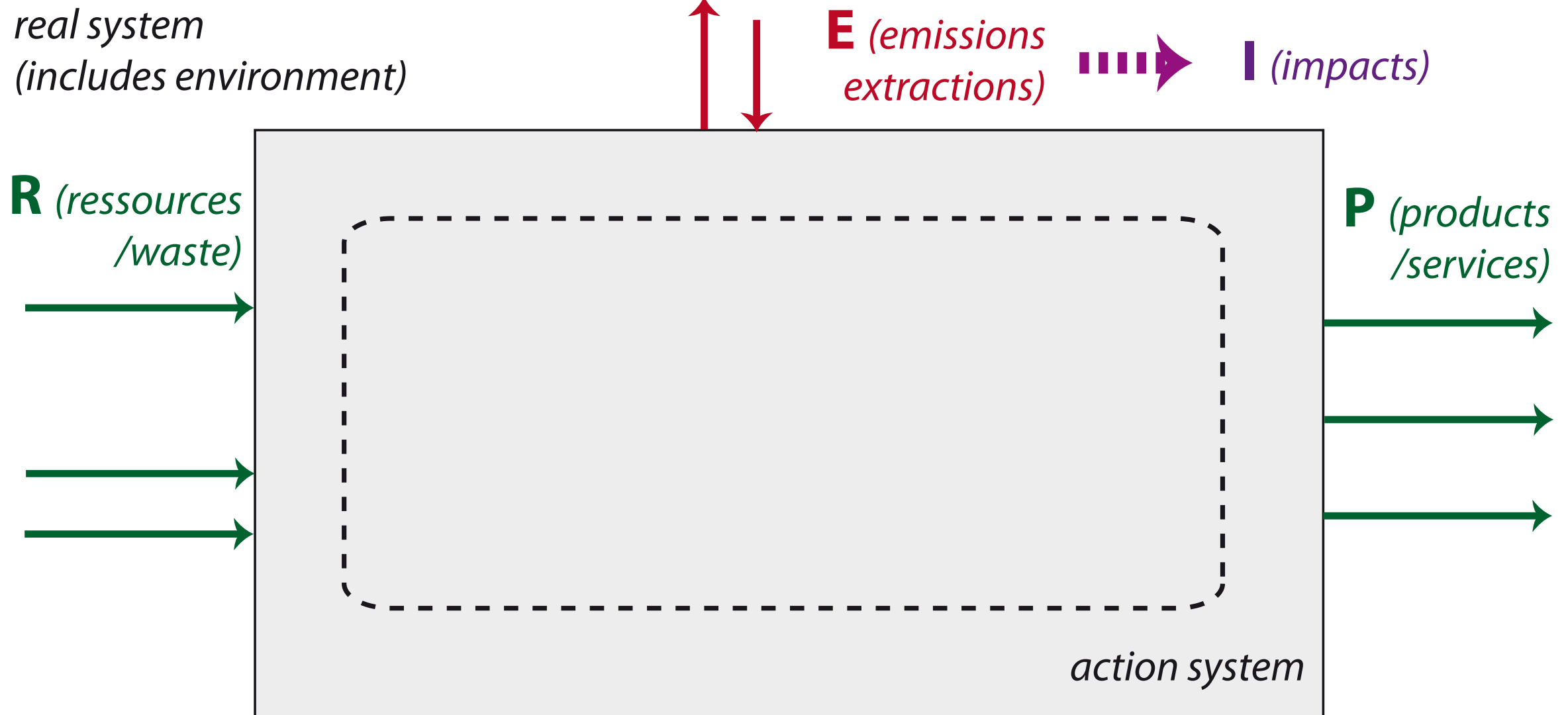


Computational framework

- 2-step decomposition of optimization problem



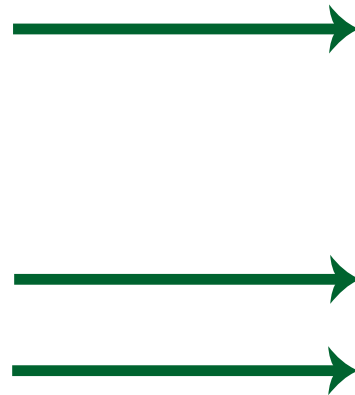
Superstructure generation



Superstructure generation

*real system
(includes environment)*

R (*ressources
/waste*)

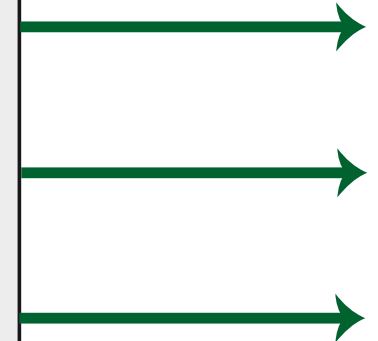


E (*emissions
extractions*)



I (*impacts*)

P (*products
/services*)

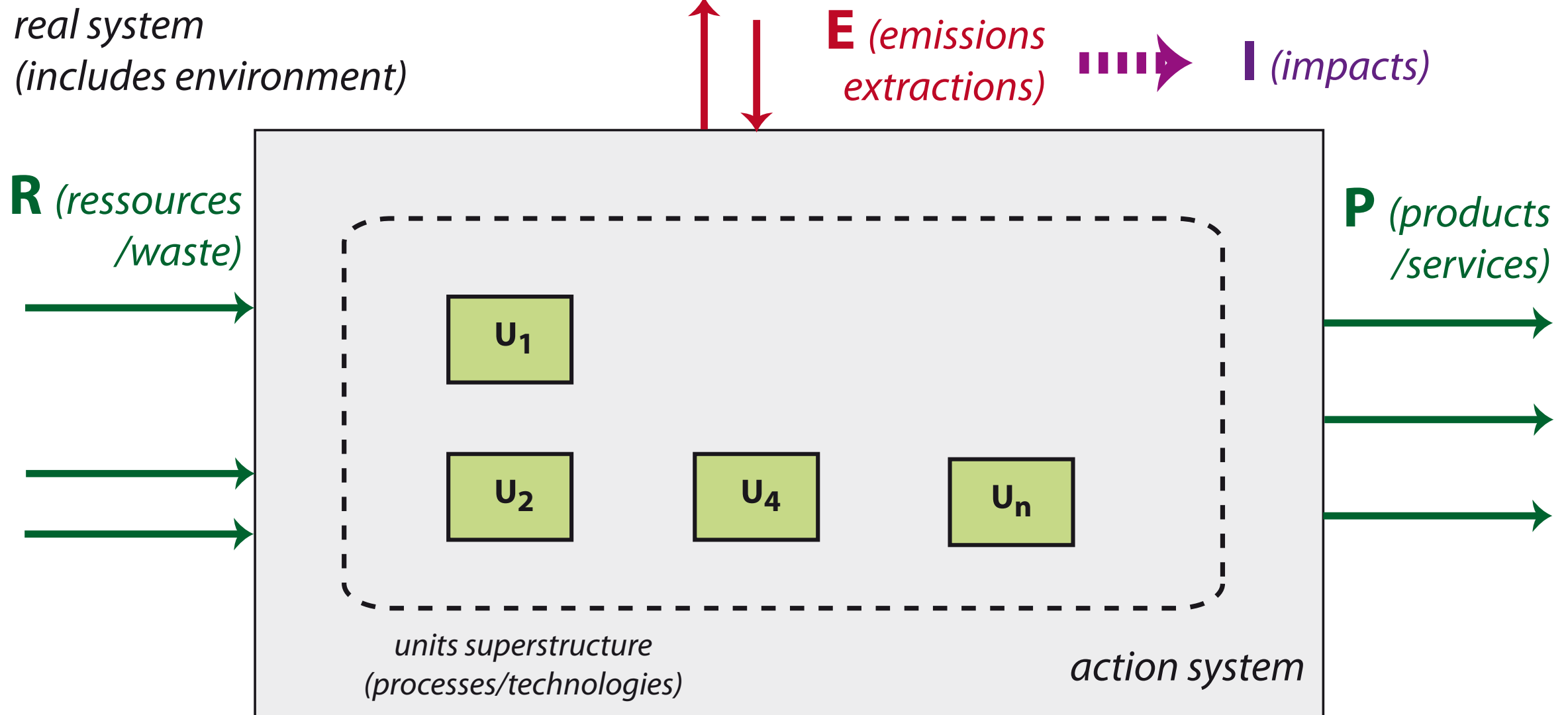


*units superstructure
(processes/technologies)*

action system



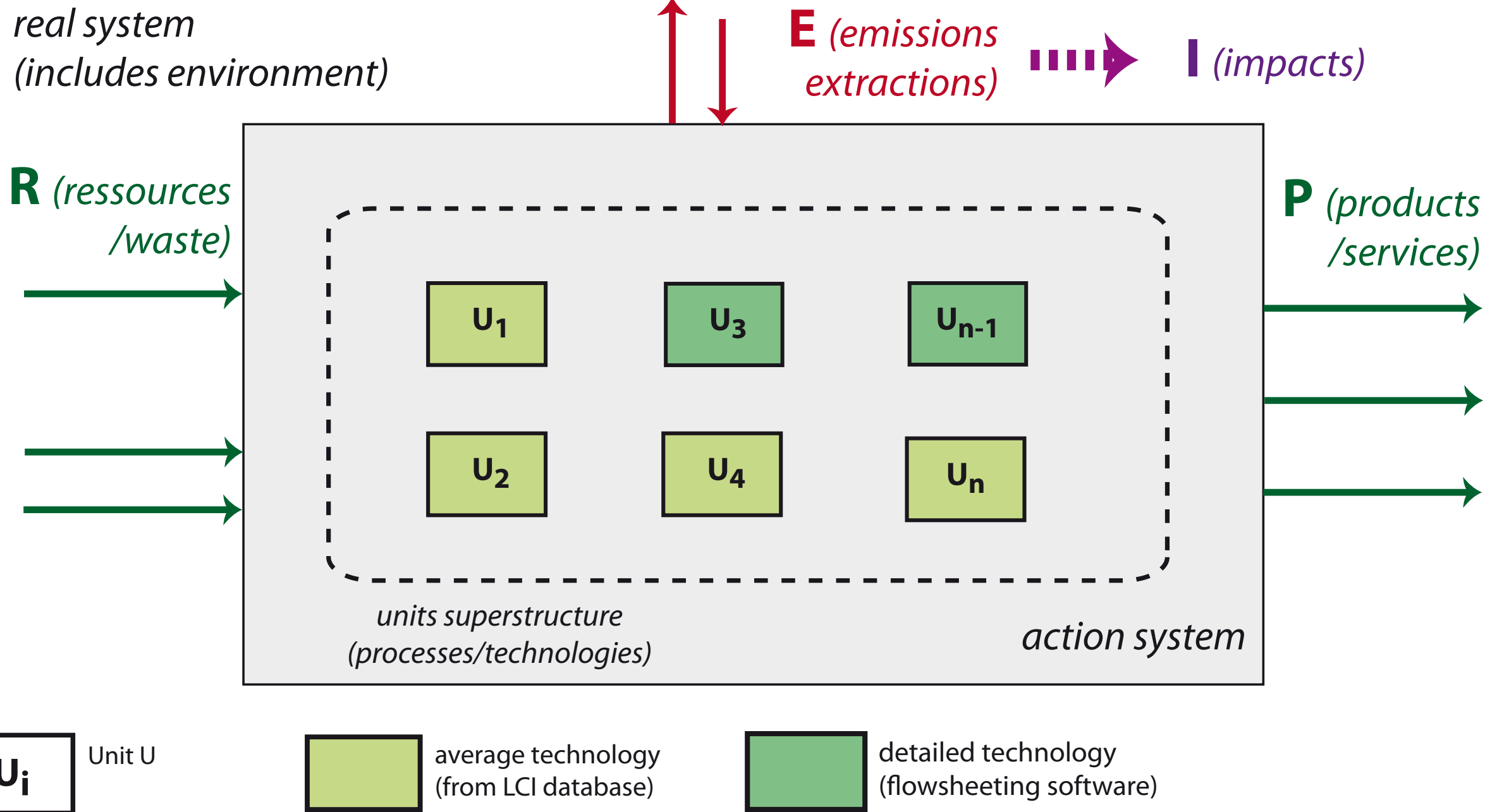
Superstructure generation



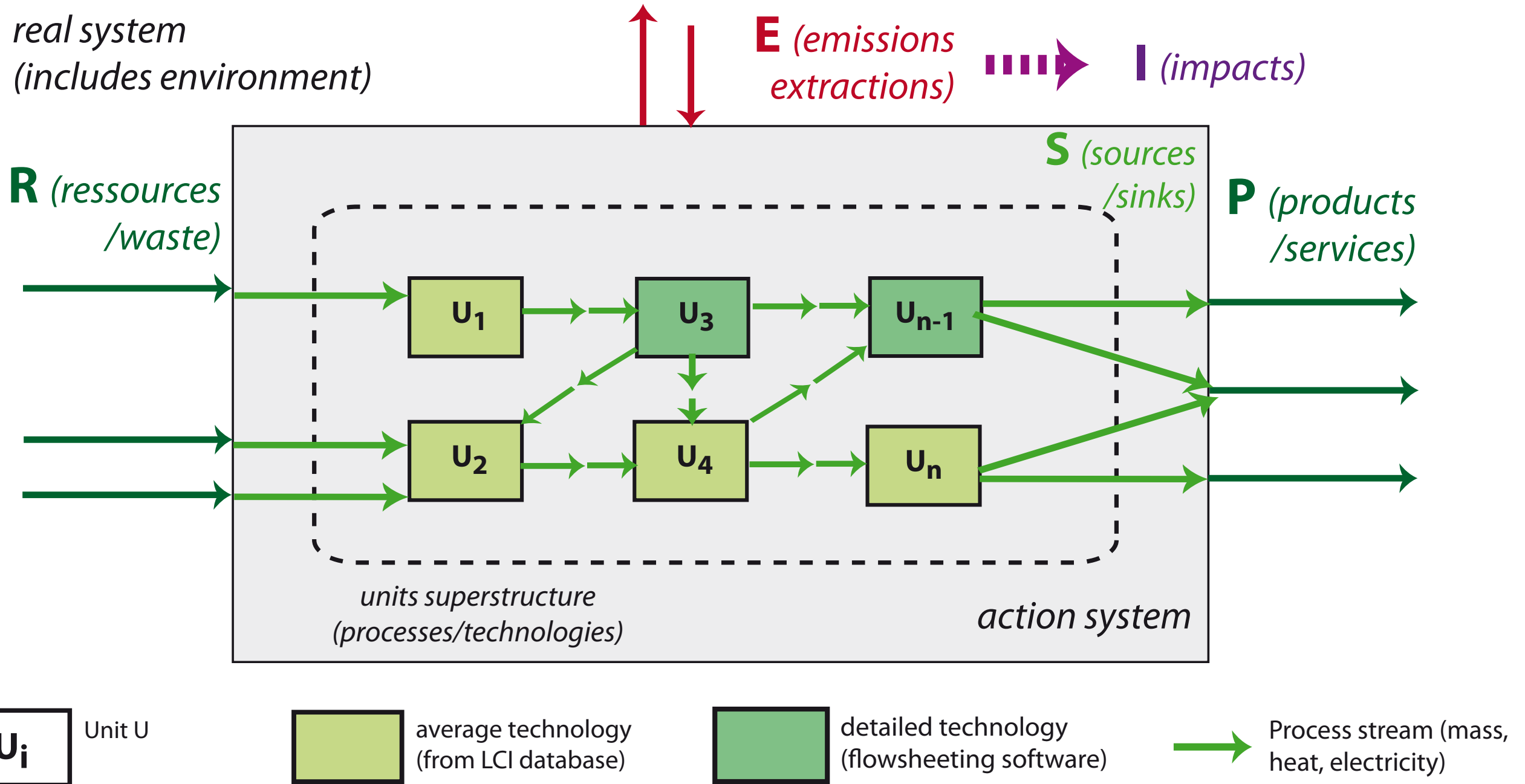
U_i Unit U

average technology
(from LCI database)

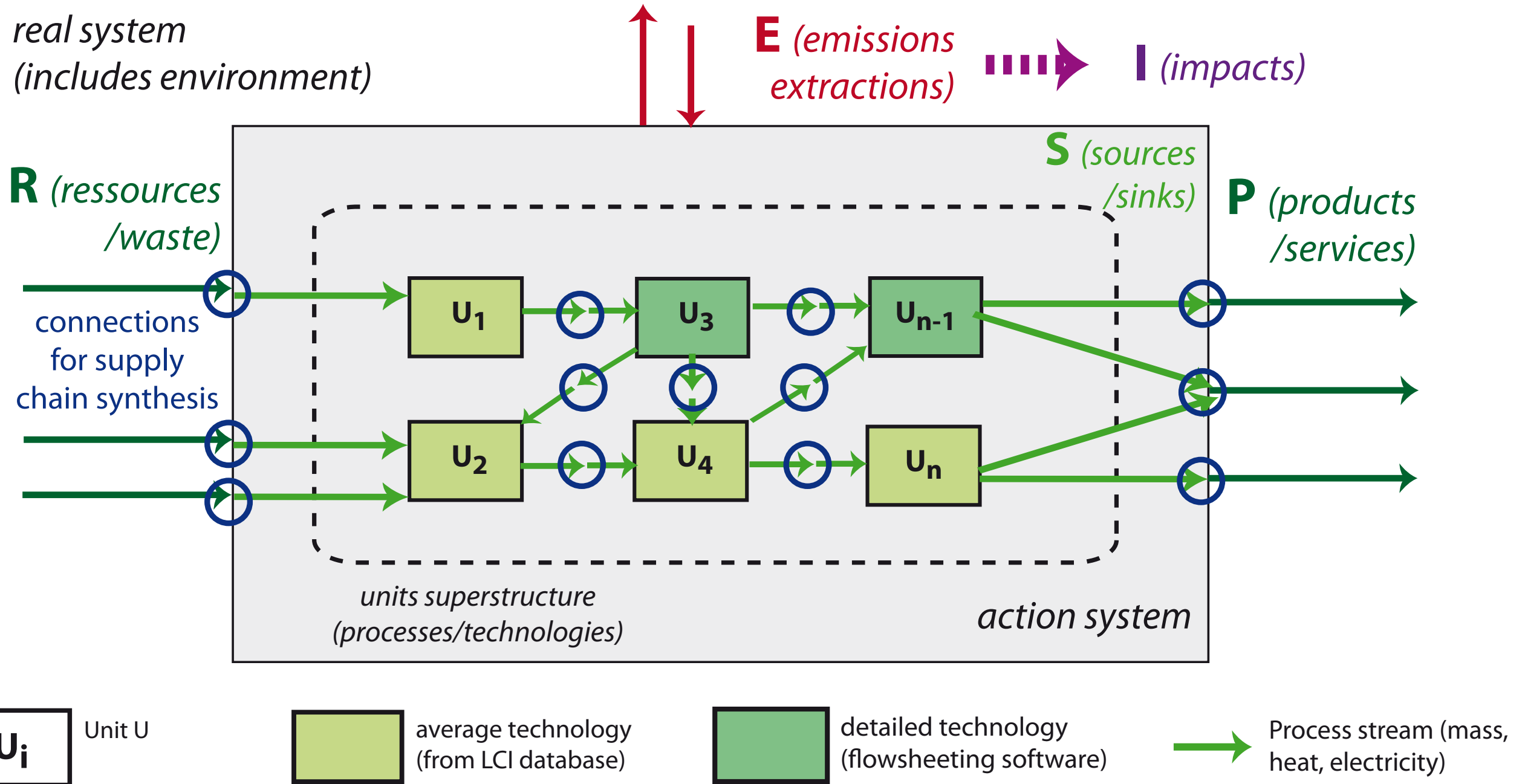
Superstructure generation



Superstructure generation



Superstructure generation



Optimization problem formulation

- 2-step decomposition
 - slave (MILP for each independent period):

x_d : decision variables
of MINLP problem



Optimization problem formulation

- 2-step decomposition
 - slave (MILP for each independent period):

$$\min C_{O,p} = \sum_{u=1}^{n_u} \mathbf{f}_{\mathbf{u},\mathbf{p}} \cdot (C_{O,u,p} + \dot{I}_{CO_2,u,p} \cdot c_{CO_2}) + \sum_{r=1}^{n_r} (\dot{R}_{r,p} \cdot c_{r+} + \dot{I}_{CO_2,r} \cdot c_{CO_2}) + \dot{E}_p^+ \cdot c_{e+} - \dot{E}_p^- \cdot c_{e-}(\mathbf{x}_d)$$

\mathbf{x}_d : decision variables
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Optimization problem formulation

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Utilization factor of
unit u , for period p

\mathbf{x}_d : decision variables
of MINLP problem



Optimization problem formulation

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Utilization factor of
unit u, for period p

Operating
cost

\mathbf{x}_d : decision variables
of MINLP problem



Optimization problem formulation

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 - slave (MILP for each independent period):

$$\min C_{O,p} = \sum_{u=1}^{n_u} \mathbf{f}_{u,p} \cdot (C_{O,u,p} + \dot{I}_{CO_2,u,p} \cdot c_{CO_2}) + \sum_{r=1}^{n_r} (\dot{R}_{r,p} \cdot c_{r+} + \dot{I}_{CO_2,r} \cdot c_{CO_2}) + \dot{E}_p^+ \cdot c_{e+} - \dot{E}_p^- \cdot c_{e-} (\mathbf{x}_d)$$

environmental tax

Utilization factor of unit u, for period p Operating cost Impact

\mathbf{x}_d : decision variables of MINLP problem



Optimization problem formulation

- 2-step decomposition
 - slave (MILP for each independent period):

$$\min C_{O,p} = \sum_{u=1}^{n_u} \mathbf{f}_{u,p} \cdot (C_{O,u,p} + \dot{I}_{CO_2,u,p} \cdot c_{CO_2}) + \sum_{r=1}^{n_r} (\dot{R}_{r,p} \cdot c_{r+} + \dot{I}_{CO_2,r} \cdot c_{CO_2}) + \dot{E}_p^+ \cdot c_{e+} - \dot{E}_p^- \cdot c_{e-} (\mathbf{x}_d)$$

environmental tax

buying price of resource r

Utilization factor of unit u, for period p

Operating cost

Impact

Consumption of resource r, for period p

\mathbf{x}_d : decision variables of MINLP problem



Optimization problem formulation

- 2-step decomposition
 - slave (MILP for each independent period):

$$\min C_{O,p} = \sum_{u=1}^{n_u} \mathbf{f}_{u,p} \cdot (C_{O,u,p} + \dot{I}_{CO_2,u,p} \cdot c_{CO_2}) + \sum_{r=1}^{n_r} (\dot{R}_{r,p} \cdot c_{r+} + \dot{I}_{CO_2,r} \cdot c_{CO_2}) + \dot{E}_p^+ \cdot c_{e+} - \dot{E}_p^- \cdot c_{e-} (\mathbf{x}_d)$$

environmental tax

buying price of resource r

electricity buying price

Utilization factor of unit u, for period p

Operating cost

Impact

Consumption of resource r, for period p

Electricity import

\mathbf{x}_d : decision variables of MINLP problem



Optimization problem formulation

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 - slave (MILP for each independent period):

$$\min C_{O,p} = \sum_{u=1}^{n_u} \mathbf{f}_{u,p} \cdot (C_{O,u,p} + \dot{I}_{CO_2,u,p} \cdot c_{CO_2}) + \sum_{r=1}^{n_r} (\dot{R}_{r,p} \cdot c_{r+} + \dot{I}_{CO_2,r} \cdot c_{CO_2}) + \dot{E}_p^+ \cdot c_{e+} - \dot{E}_p^- \cdot c_{e-} (\mathbf{x}_d)$$

environmental tax

buying price of resource r

electricity buying price

electricity selling price

Utilization factor of unit u, for period p

Operating cost

Impact

Consumption of resource r, for period p

Electricity import

Electricity export

\mathbf{x}_d : decision variables of MINLP problem



Optimization problem formulation

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environmental tax

buying price of resource r

electricity buying price

electricity selling price

Utilization factor of unit u, for period p

Operating cost

Impact

Consumption of resource r, for period p

Electricity import

Electricity export

▶ submitted to

- heat cascade constraints
- mass balance for each layer

\mathbf{x}_d : decision variables of MINLP problem



Optimization problem formulation

- 2-step decomposition
 - master (MOO MINLP):

x_d : decision variables
of MINLP problem

f_u : utilization factor
of unit u

C_{CO_2} : environmental tax



Optimization problem formulation

- 2-step decomposition
 - master (MOO MINLP):

$$\min C_{inv} = \sum_{u=1}^{n_u} \max (C_{inv,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2}))$$

x_d : decision variables
of MINLP problem

\mathbf{f}_u : utilization factor
of unit u

C_{CO2} : environmental tax



Optimization problem formulation

- 2-step decomposition

- master (MOO MINLP):

Investment cost of unit u

$$\min C_{inv} = \sum_{u=1}^{n_u} \max (C_{inv,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2}))$$

x_d : decision variables
of MINLP problem

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of unit u

C_{CO2} : environmental tax



Optimization problem formulation

- 2-step decomposition
 - master (MOO MINLP):

Investment cost of unit u

$$\min C_{inv} = \sum_{u=1}^{n_u} \max (C_{inv,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2}))$$

$$\min C_O = \sum_{p=1}^{n_p} C_{O,p}(x_d, \mathbf{f}_{u,p}, C_{CO2})$$

x_d : decision variables
of MINLP problem

\mathbf{f}_u : utilization factor
of unit u

C_{CO2} : environmental tax



Optimization problem formulation

- 2-step decomposition

- master (MOO MINLP):

Investment cost of unit u

$$\min C_{inv} = \sum_{u=1}^{n_u} \max (C_{inv,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2}))$$

x_d : decision variables
of MINLP problem

\mathbf{f}_u : utilization factor
of unit u

$$\min C_O = \sum_{p=1}^{n_p} C_{O,p}(x_d, \mathbf{f}_{u,p}, C_{CO2})$$

C_{CO2} : environmental tax

$$\min I_{tot} = \sum_{u=1}^{n_u} (\max (I_{C,u,p}) + \max (I_{E,u,p})) + \sum_{p=1}^{n_p} I_{O,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2})$$



Optimization problem formulation

- 2-step decomposition

- master (MOO MINLP):

Investment cost of unit u

$$\min C_{inv} = \sum_{u=1}^{n_u} \max (C_{inv,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2}))$$

x_d : decision variables
of MINLP problem

\mathbf{f}_u : utilization factor
of unit u

$$\min C_O = \sum_{p=1}^{n_p} C_{O,p}(x_d, \mathbf{f}_{u,p}, C_{CO2})$$

C_{CO2} : environmental tax

Construction impact of unit u

$$\min I_{tot} = \sum_{u=1}^{n_u} (\max (I_{C,u,p}) + \max (I_{E,u,p}) + \sum_{p=1}^{n_p} I_{O,u,p})(x_d, \mathbf{f}_{u,p}, C_{CO2})$$



Optimization problem formulation

- 2-step decomposition

- master (MOO MINLP):

Investment cost of unit u

$$\min C_{inv} = \sum_{u=1}^{n_u} \max (C_{inv,u,p}(x_d, \mathbf{f}_{u,p}, C_{CO2}))$$

x_d : decision variables
of MINLP problem

\mathbf{f}_u : utilization factor
of unit u

$$\min C_O = \sum_{p=1}^{n_p} C_{O,p}(x_d, \mathbf{f}_{u,p}, C_{CO2})$$

C_{CO2} : environmental tax

Construction impact of unit u

End-of-life impact of unit u

$$\min I_{tot} = \sum_{u=1}^{n_u} (\max (I_{C,u,p}) + \max (I_{E,u,p}) + \sum_{p=1}^{n_p} I_{O,u,p})(x_d, \mathbf{f}_{u,p}, C_{CO2})$$



Optimization problem formulation

- 2-step decomposition

- master (MOO MINLP):

Investment cost of unit u

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C_{CO2} : environmental tax

Construction impact of unit u

End-of-life impact of unit u

Operation impact of unit u

$$\min I_{tot} = \sum_{u=1}^{n_u} (\max (I_{C,u,p}) + \max (I_{E,u,p}) + \sum_{p=1}^{n_p} I_{O,u,p})(x_d, \mathbf{f}_{u,p}, C_{CO2})$$



Application case study

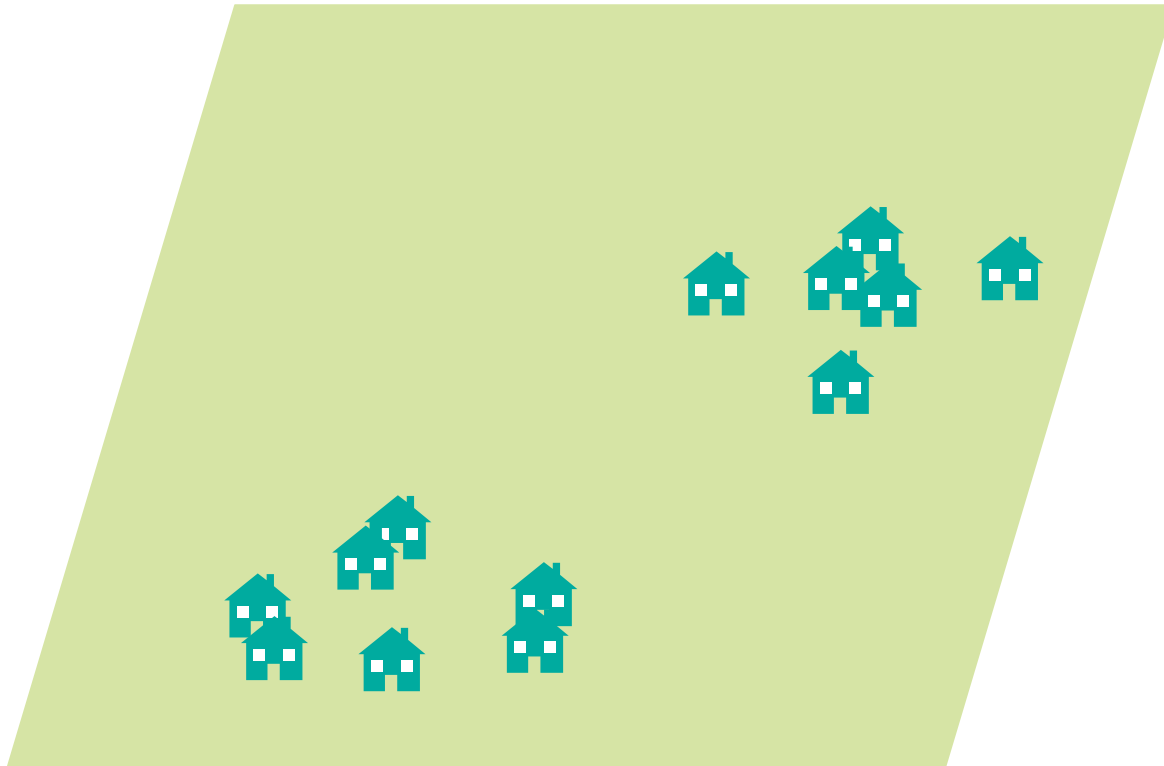
- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)

Energy services to be supplied:



Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



Energy services to be supplied:

- ▶ Heat using existing district heating network (seasonal variation in T and load): **3357 kWh_{th}/yr/cap**

Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



Energy services to be supplied:

- ▶ Heat using existing district heating network (seasonal variation in T and load): **3357 kWh_{th}/yr/cap**
- ▶ Electricity (seasonal variation): **8689 kWh_e/yr/cap**

Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



Energy services to be supplied:

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- ▶ Mobility: **11392 pkm/yr/cap**



Application case study

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- ▶ Mobility: **11392 pkm/yr/cap**

Waste to be treated (existing facilities for MSW and WWTP):



Application case study

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Waste to be treated (existing facilities for MSW and WWTP):

- ▶ MSW: **1375 kg/yr/cap**



Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



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- ▶ Electricity (seasonal variation): **8689 kWh_e/yr/cap**
- ▶ Mobility: **11392 pkm/yr/cap**

Waste to be treated (existing facilities for MSW and WWTP):

- ▶ MSW: **1375 kg/yr/cap**
- ▶ Wastewater: **300 m³/yr/cap**

Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



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Waste to be treated (existing facilities for MSW and WWTP):

- ▶ MSW: **1375 kg/yr/cap**
- ▶ Wastewater: **300 m³/yr/cap**
- ▶ Biowaste: **87.5 kg/yr/cap**

Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



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Available endogenous resources:

Application case study

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- ▶ Woody biomass: 18'900 MWh_{th}/yr



Application case study

- 40'000 inhabitants city in Switzerland (La Chaux-de-Fonds, 1000m alt.)



Energy services to be supplied:

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Available endogenous resources:

- ▶ Woody biomass: 18'900 MWh_{th}/yr
- ▶ Sun (seasonal variation in T and load): 10'328 MWh_{th}/yr



Application case study

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Available endogenous resources:

- ▶ Woody biomass: 18'900 MWh_{th}/yr
- ▶ Geothermal: 9496 MWh_{th}/yr
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Energy services to be supplied:

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Waste to be treated (existing facilities for MSW and WWTP):

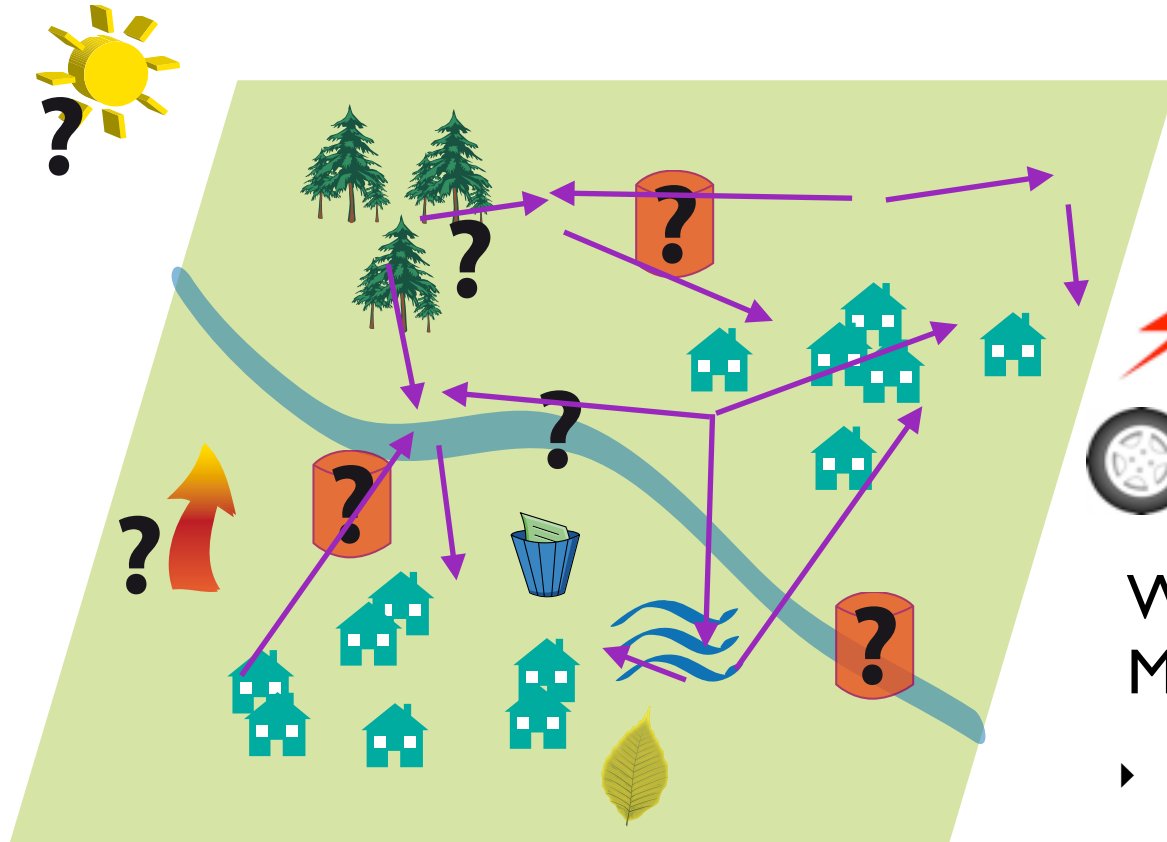
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Application case study

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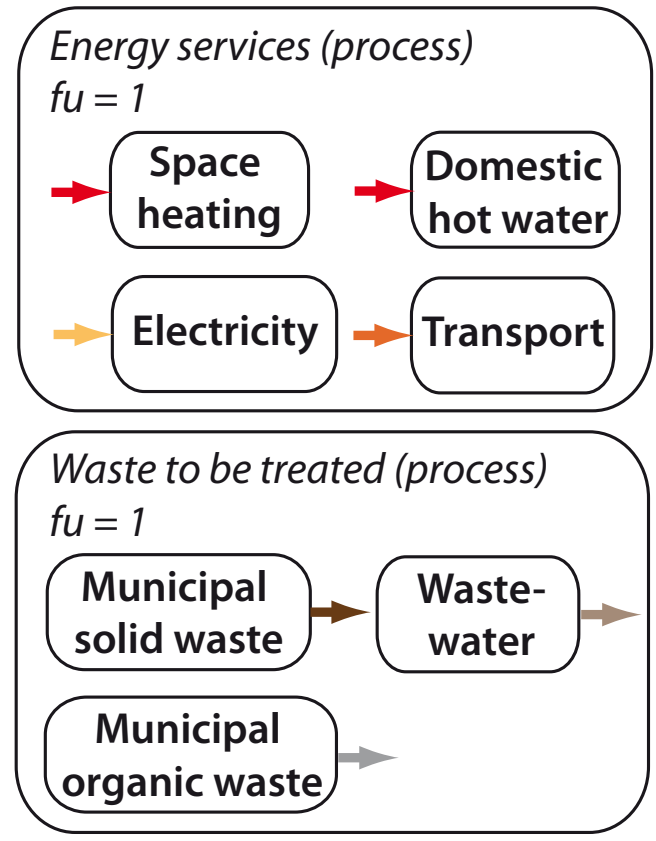
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- ▶ Sun (seasonal variation in T and load): 10'328 MWh_{th}/yr
- ▶ Hydro (existing dams): 187'850 MWh_e/yr

- ▶ Which resources with which technologies for which services?
- ▶ Min. Costs and CO₂ emissions

Urban System Superstructure

Limits of the action system



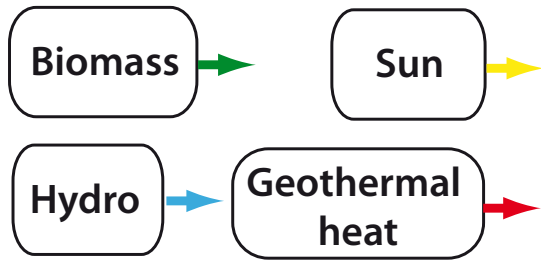
Legend



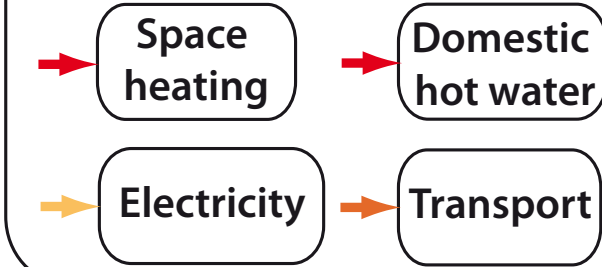
Urban System Superstructure

Limits of the action system

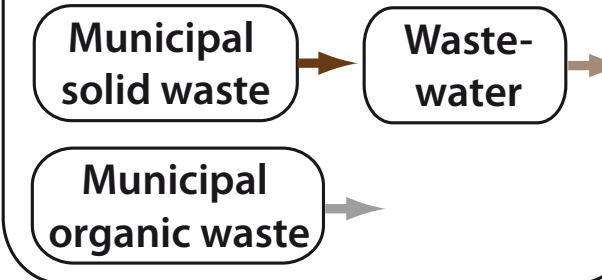
Indigeneous resources (utilities)
 $f_{max} = \text{limited}$



Energy services (process)
 $f_u = 1$



Waste to be treated (process)
 $f_u = 1$

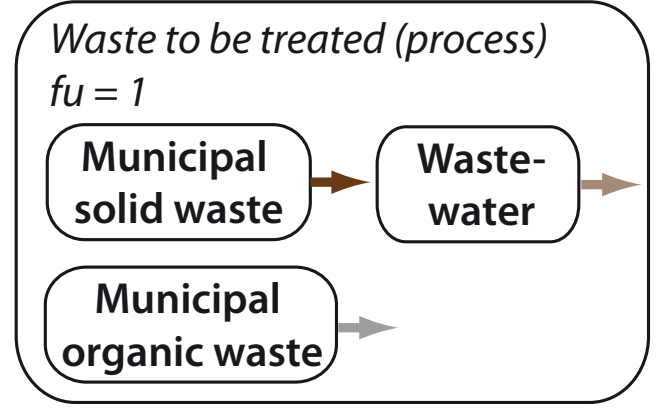
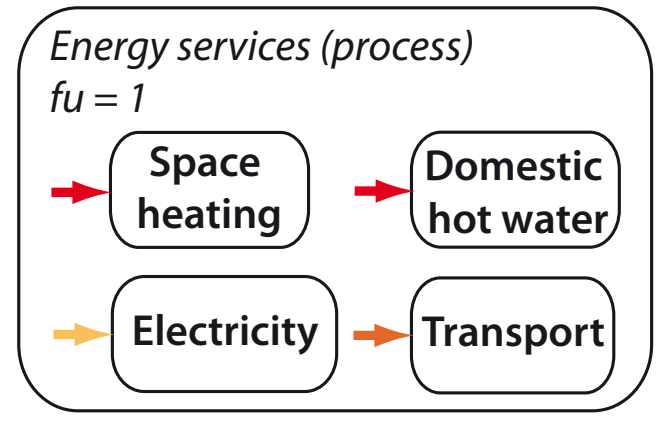
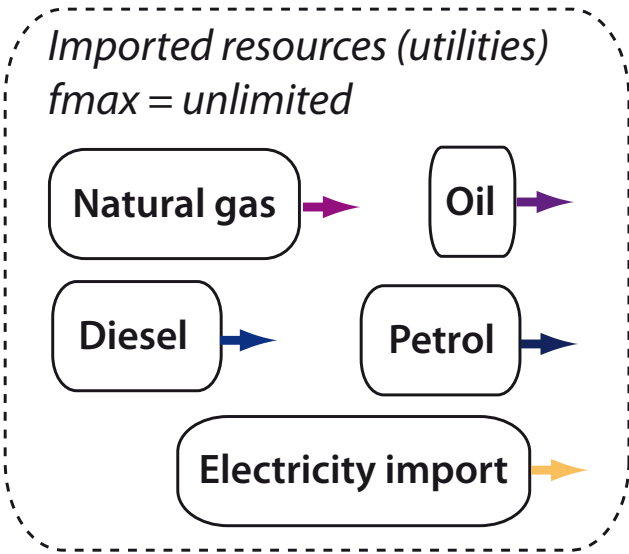
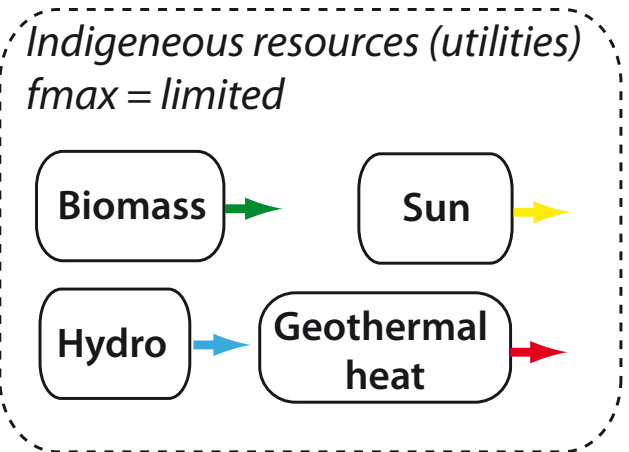


Legend

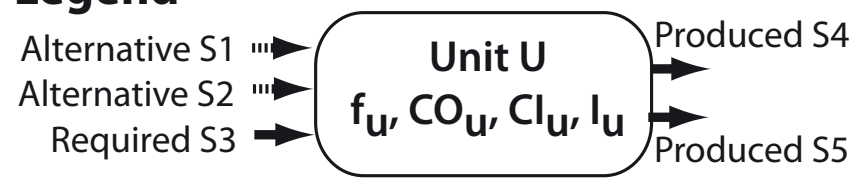


Urban System Superstructure

Limits of the action system



Legend

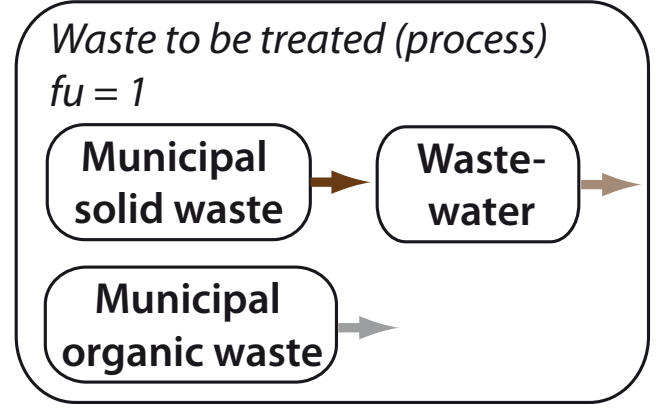
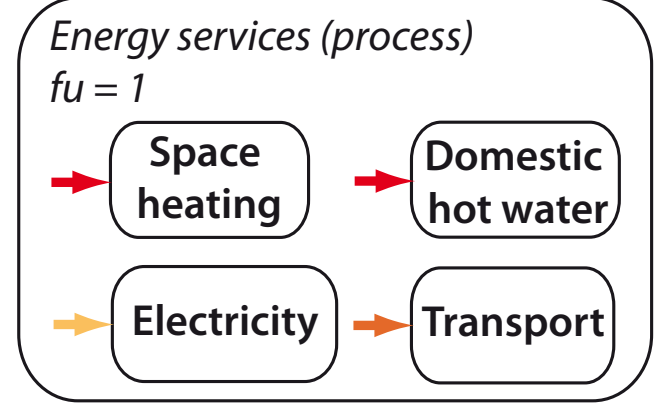
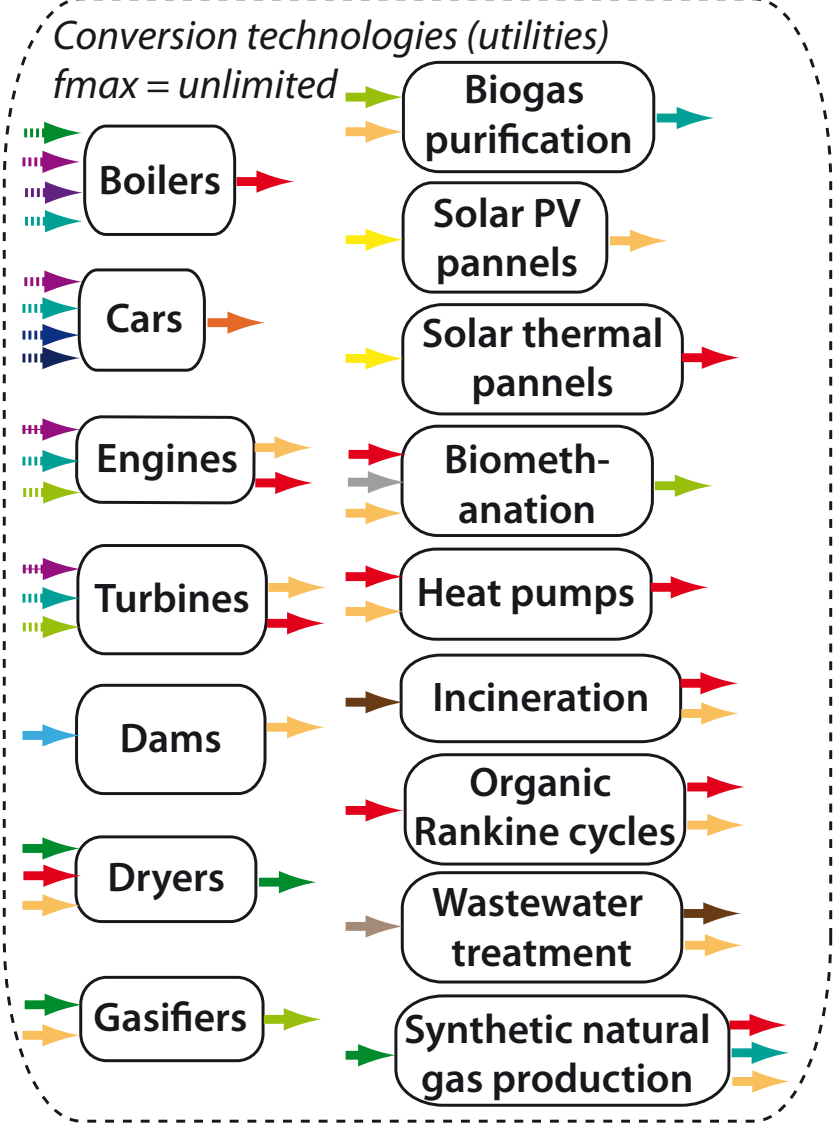
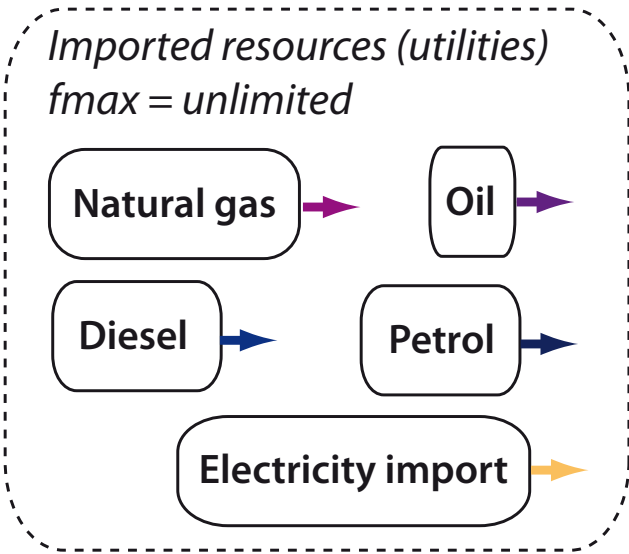
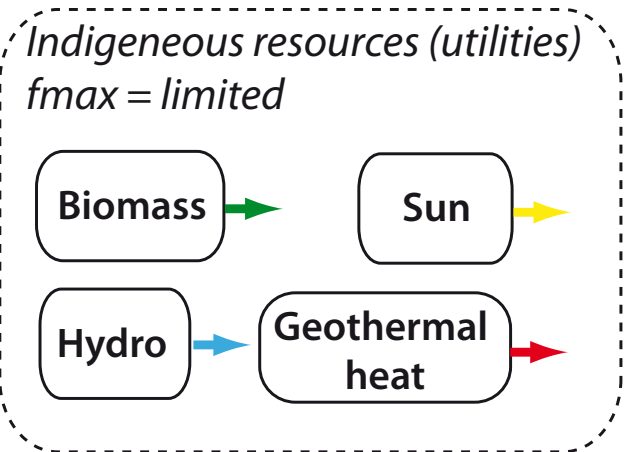


- Biogas
- Diesel
- Electricity
- Heat
- Mobility
- Natural gas (biogenic)
- Natural gas (fossil)
- Oil
- Organic waste
- Solid waste
- Sun
- Wastewater
- Petrol
- Water
- Woody biomass

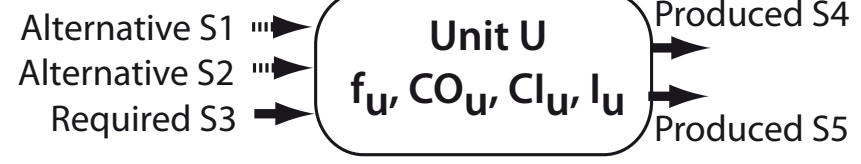


Urban System Superstructure

Limits of the action system



Legend

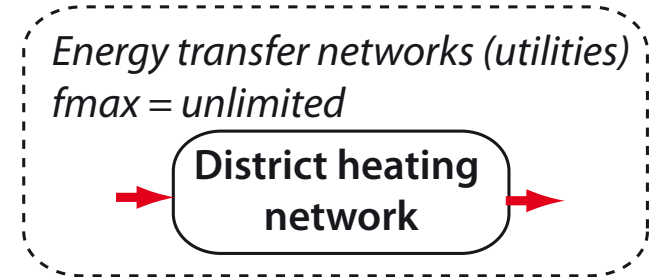
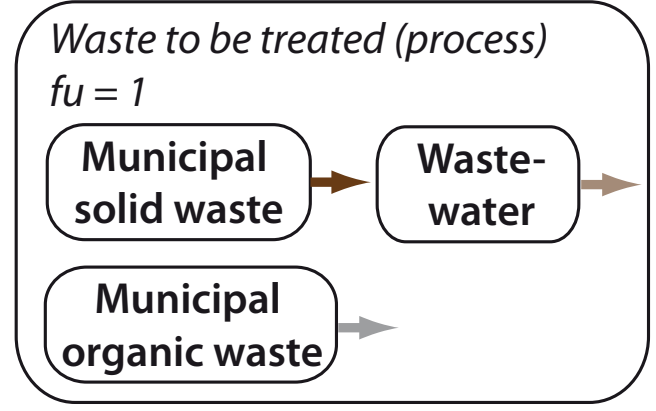
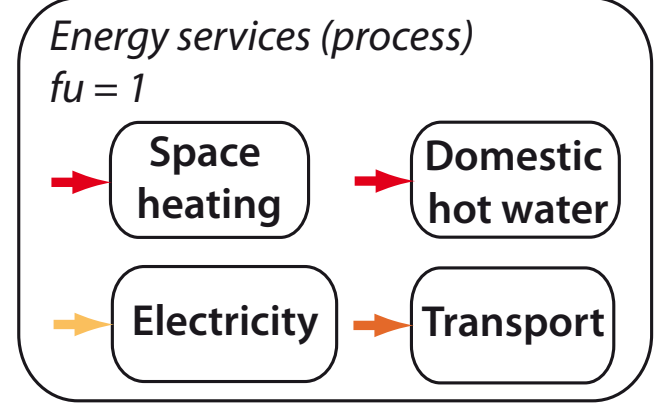
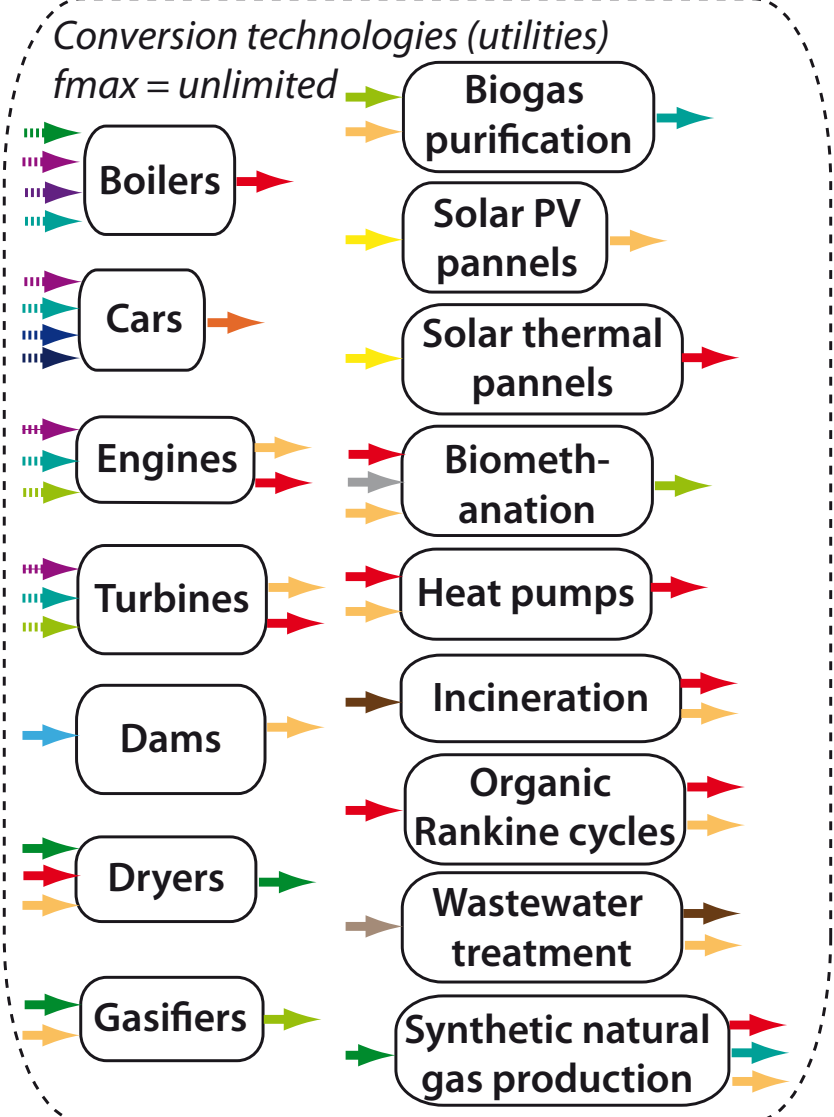
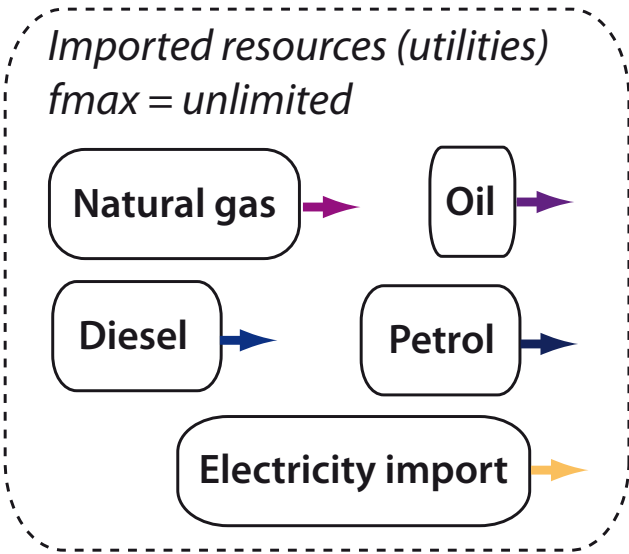
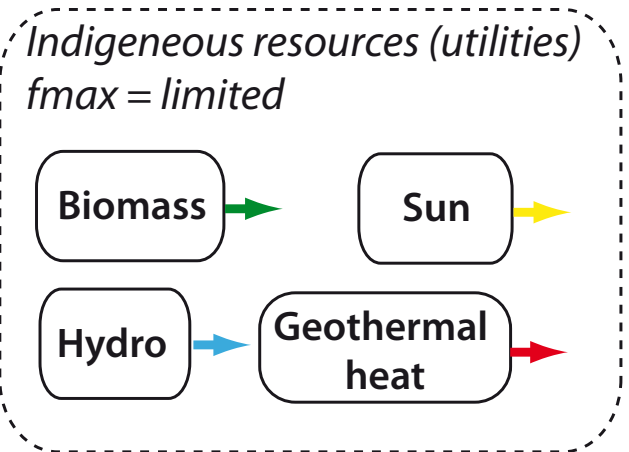


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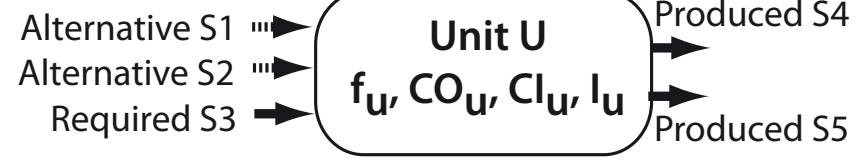


Urban System Superstructure

Limits of the action system



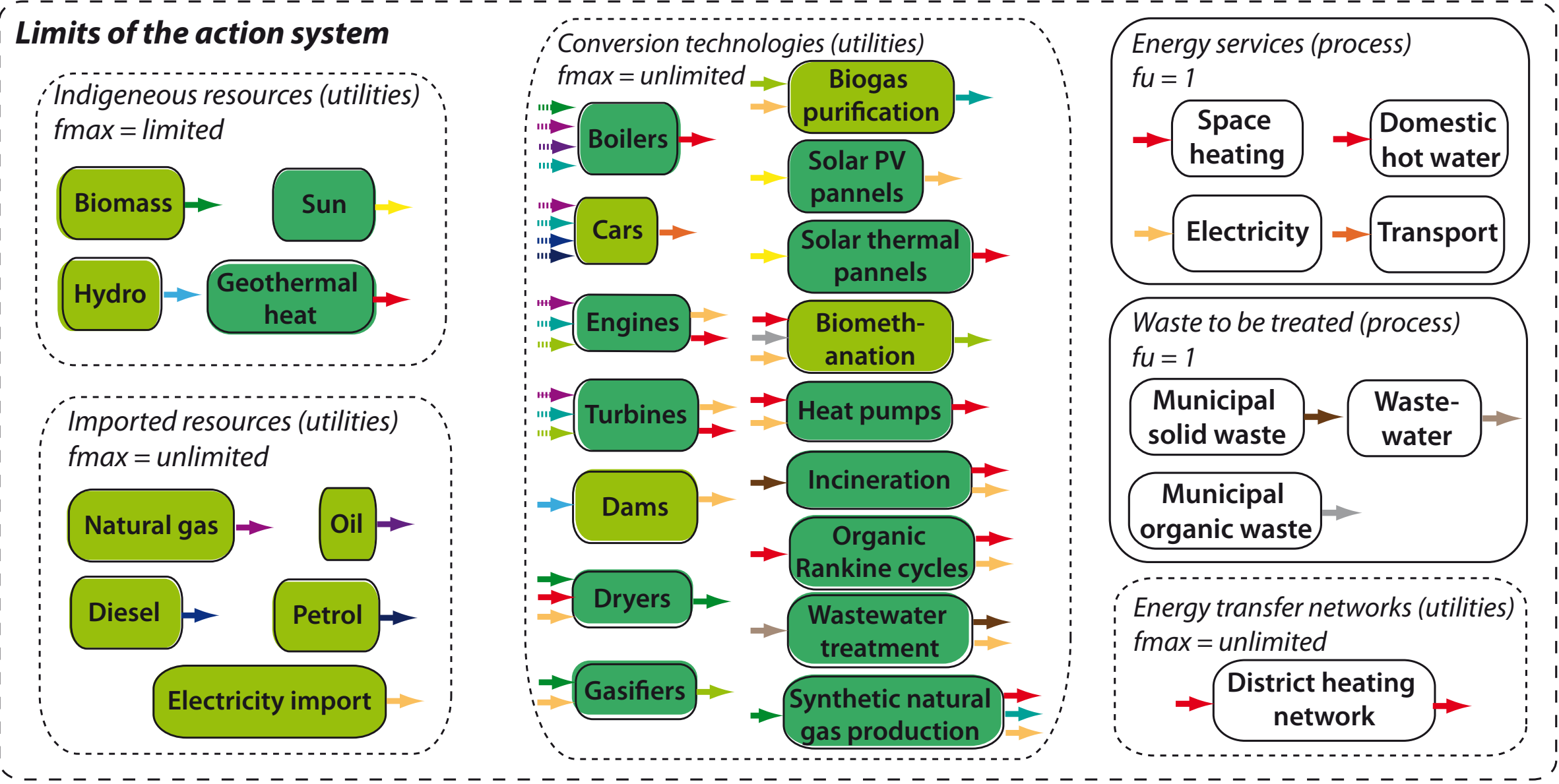
Legend



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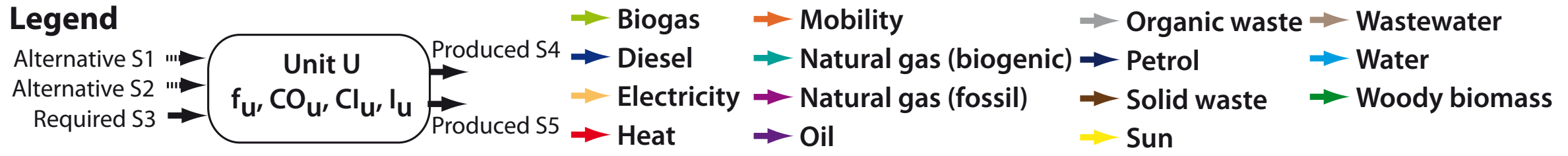


Urban System Superstructure



 Detailed technology

 Average technology (LCI database)



Simulation and Optimization

- Year subdivided in 6 operation periods (average days)

	May, July, August	June (MSWI shuts down)	April, September	March, October	November- February	Design (-10°C)
Operating time [h]	2190	730	1460	1460	2920	0.1
Electricity demand [kWe/cap]	0.886	0.903	0.931	1.020	1.110	1.4
District heating demand [kWth/cap]	0.142	0.142	0.238	0.412	0.559	1.139
DH return temperature [°C]	38	38	39	41	43	45
DH supply temperature [°C]	90	90	92	96	99	120



Simulation and Optimization

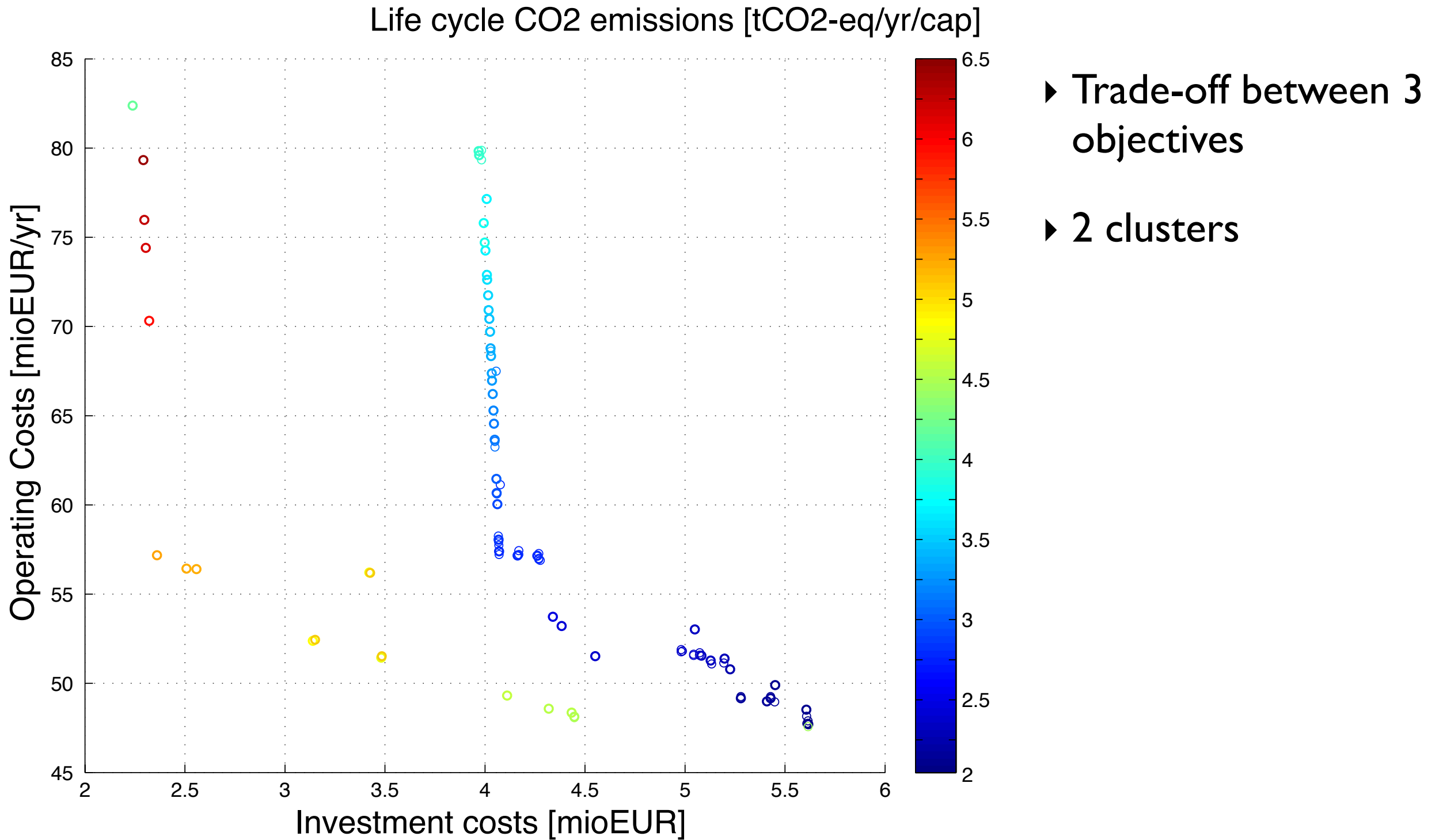
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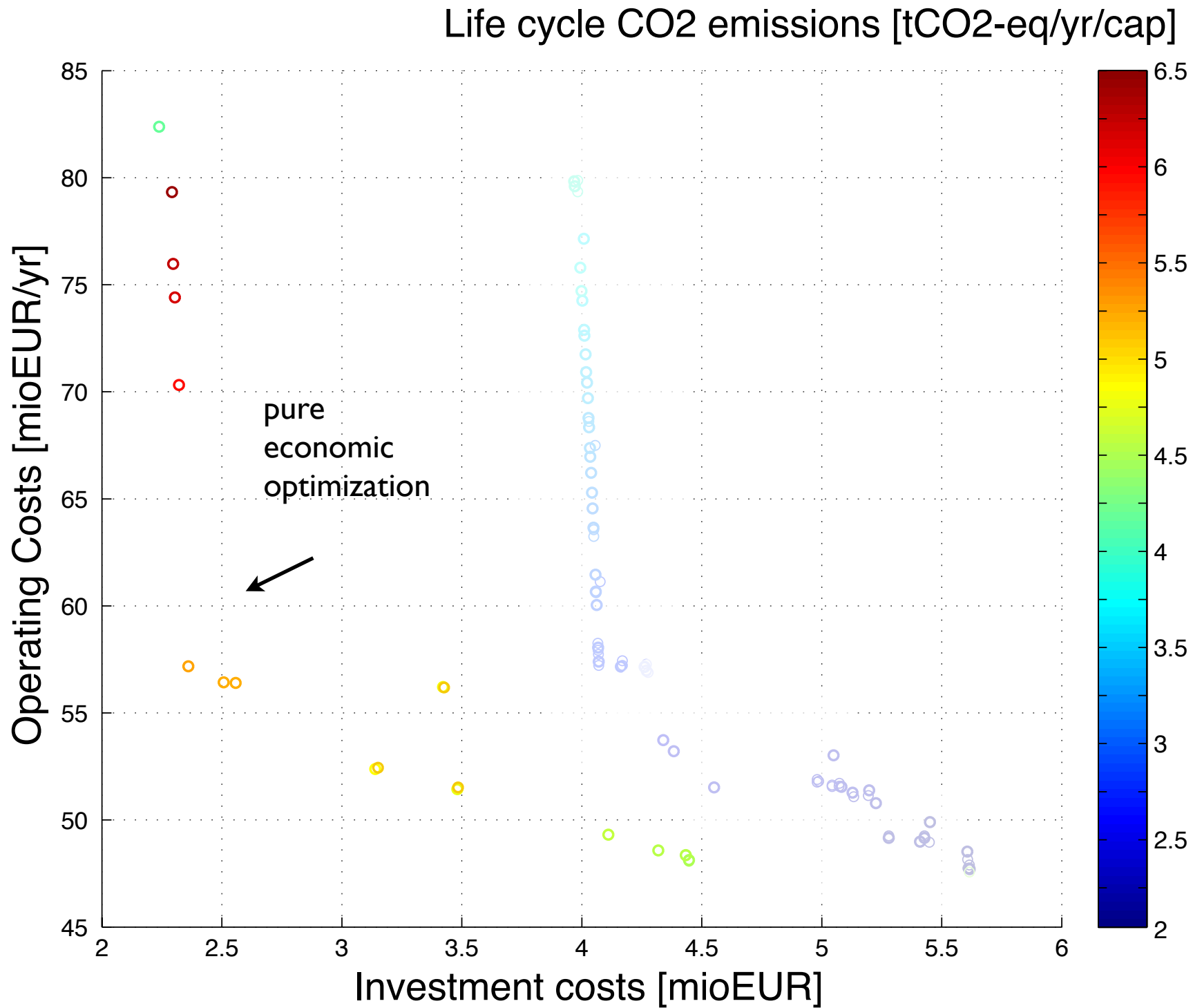
- Min Cinv, Cop, GWP 100a
- 36 decision variables for master problem
 - use of technologies, co2 tax (0-200 EUR/ton), wood biomass distribution over year, ratio between heat and power for MSWI
- Economic conditions
 - electricity: 0.16 €/kWe, NG: 0.078 €/kWh, Wood: 0.05 €/kWh, diesel: 1.75 €/kWh, petrol: 1.88 €/kWh, LFO: 0.083 €/kWh



Pareto curve



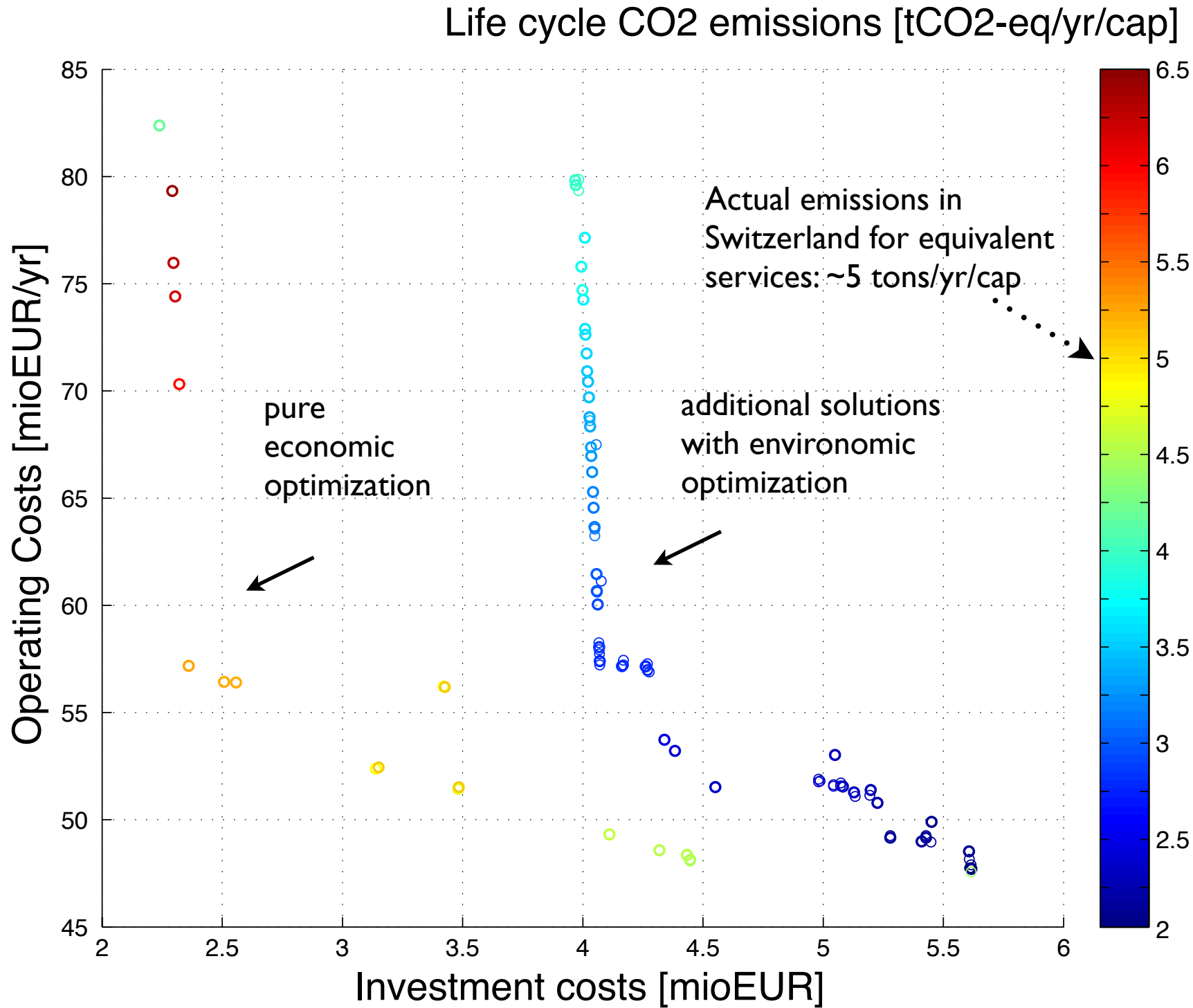
Pareto curve



- ▶ Trade-off between 3 objectives
- ▶ 2 clusters
 - Impact: 4.5 and 6.5 tons/yr/cap
 - no CO2 tax



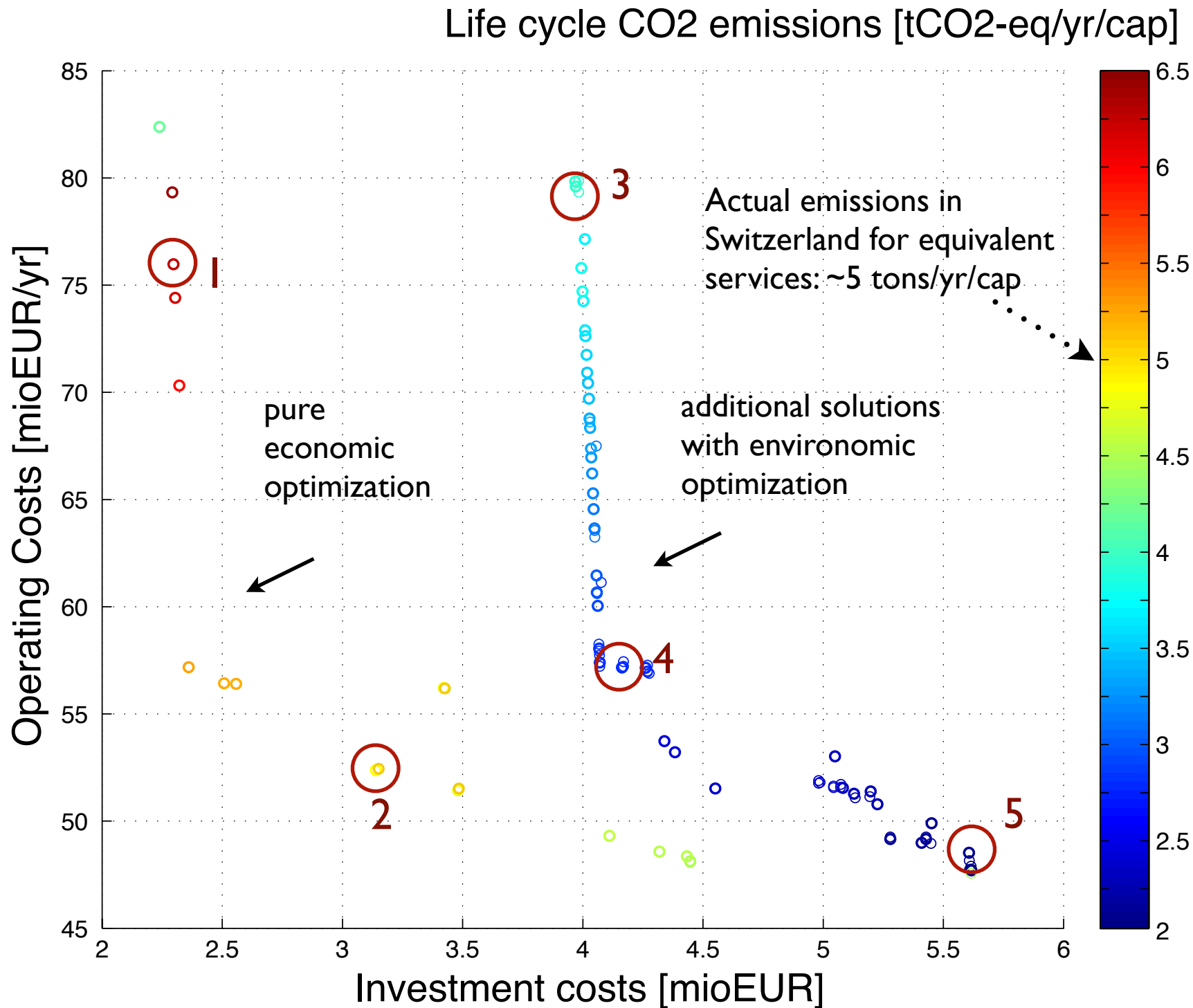
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Pareto curve

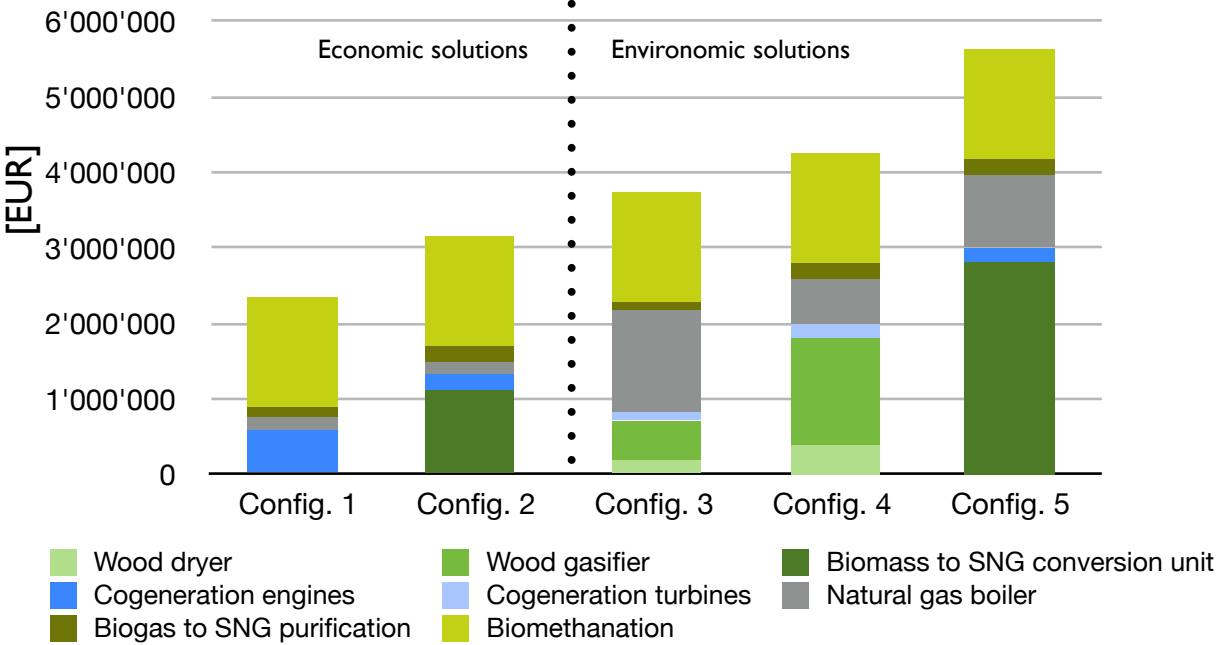


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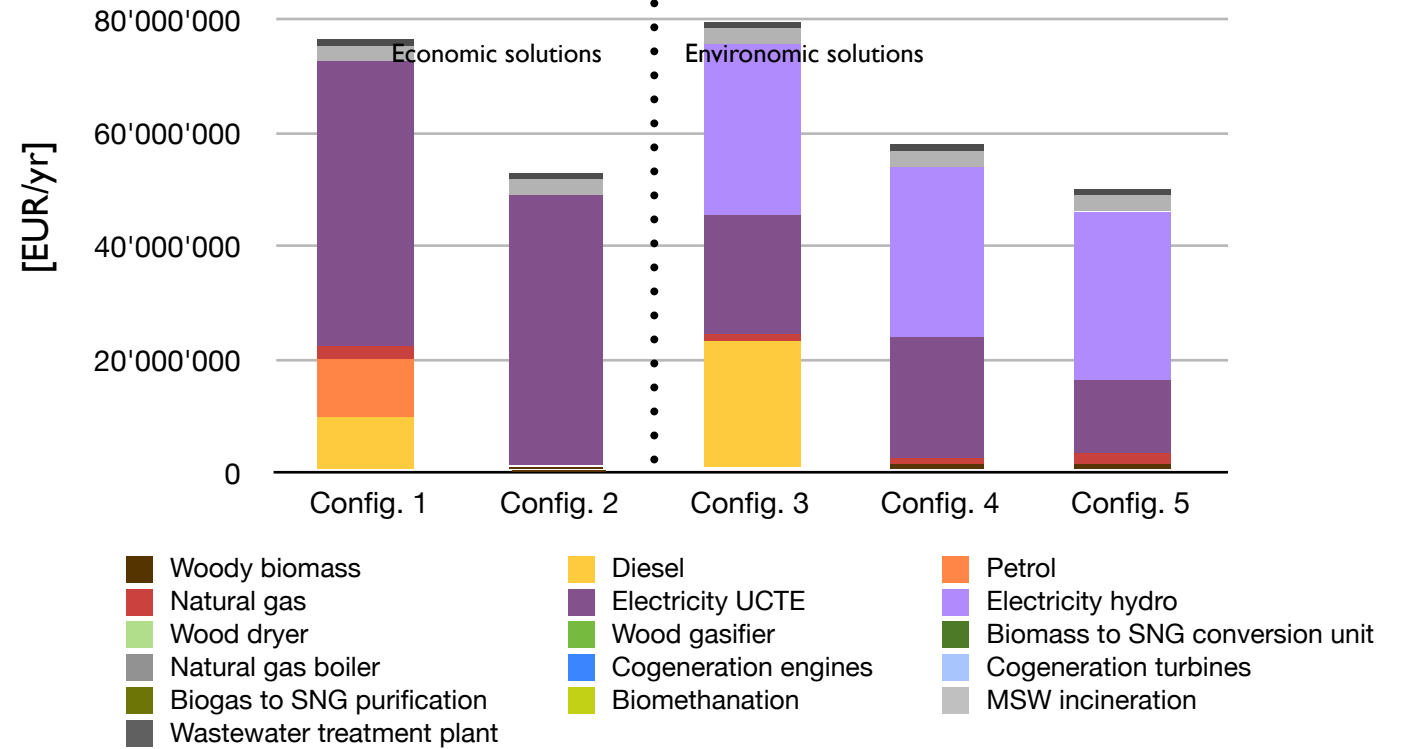


Typical configurations

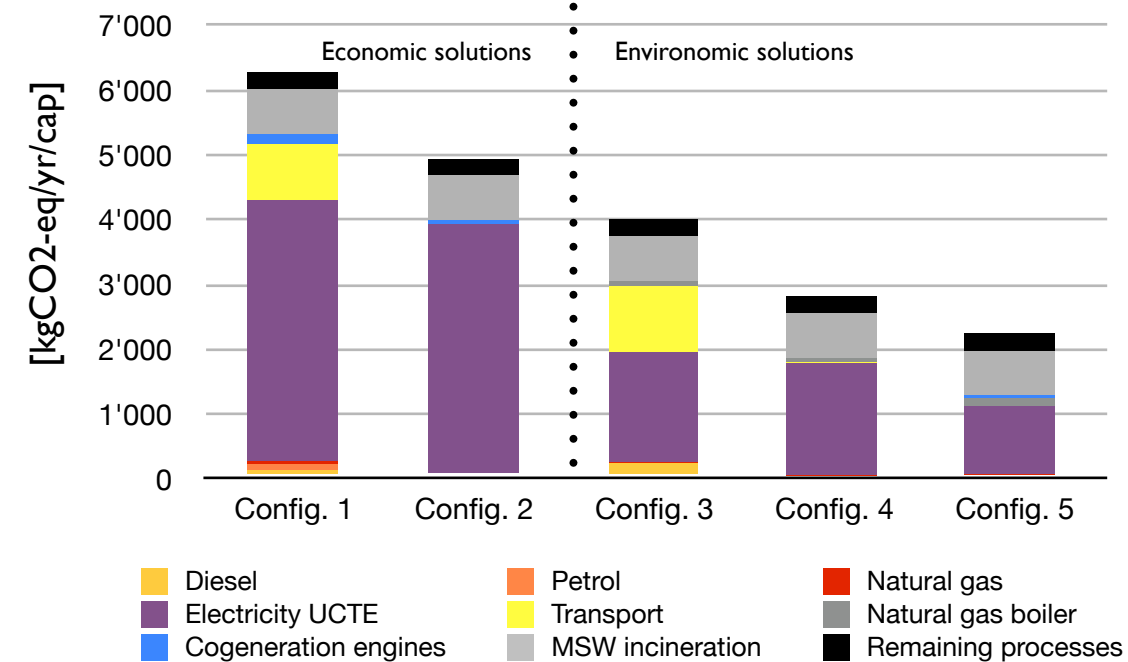
Investment costs



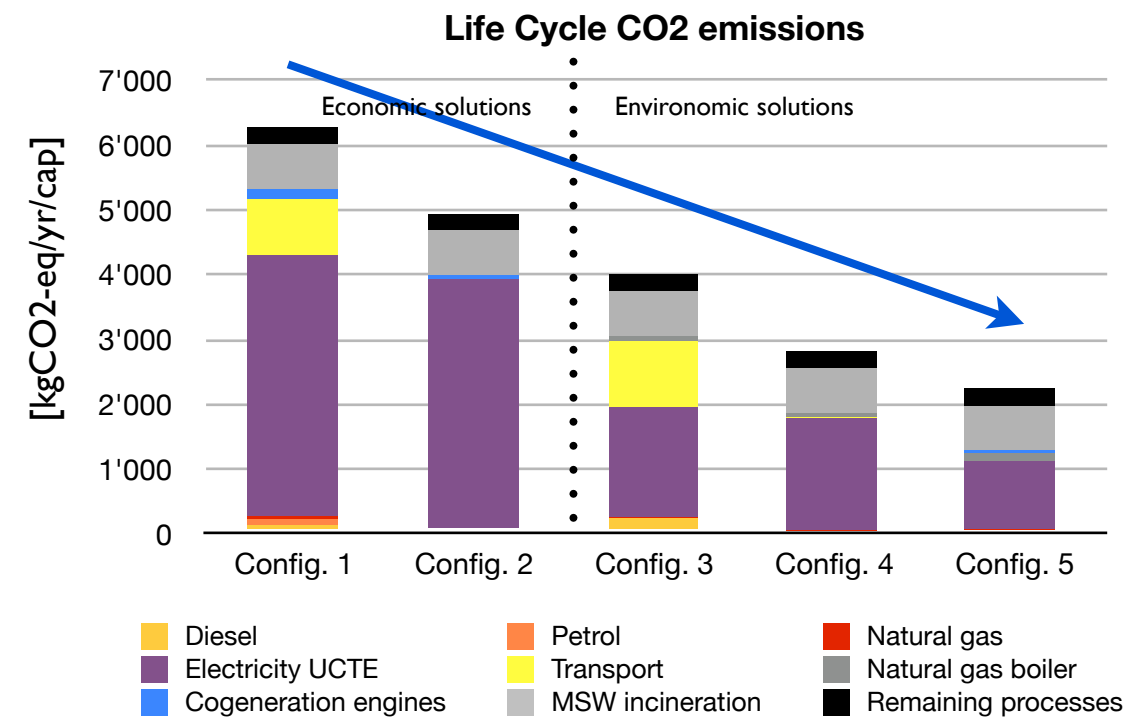
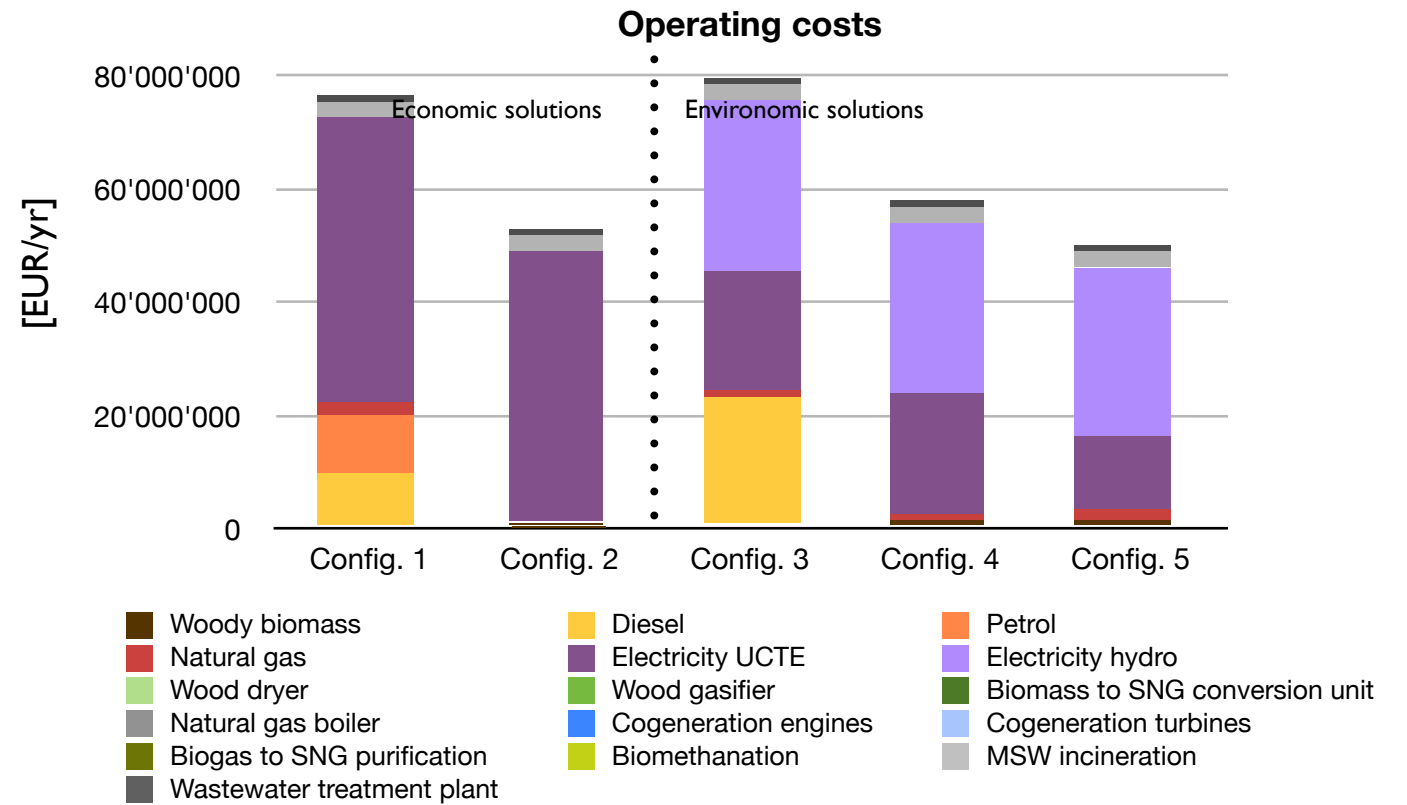
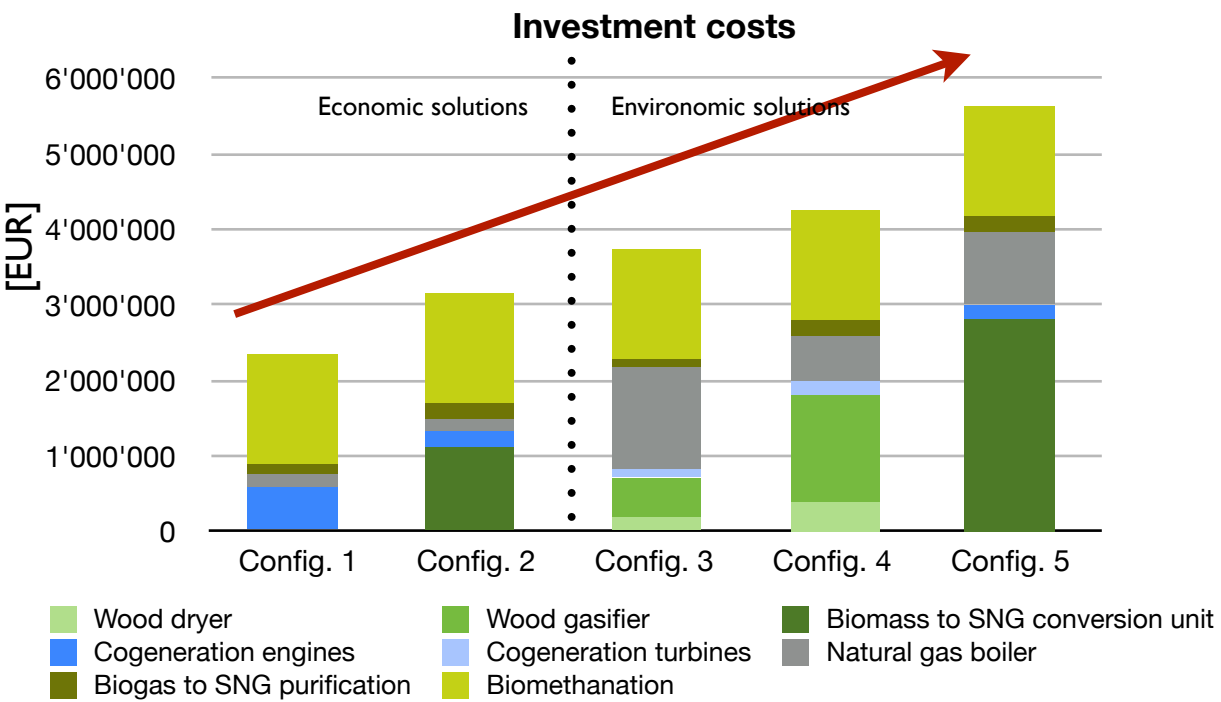
Operating costs



Life Cycle CO2 emissions



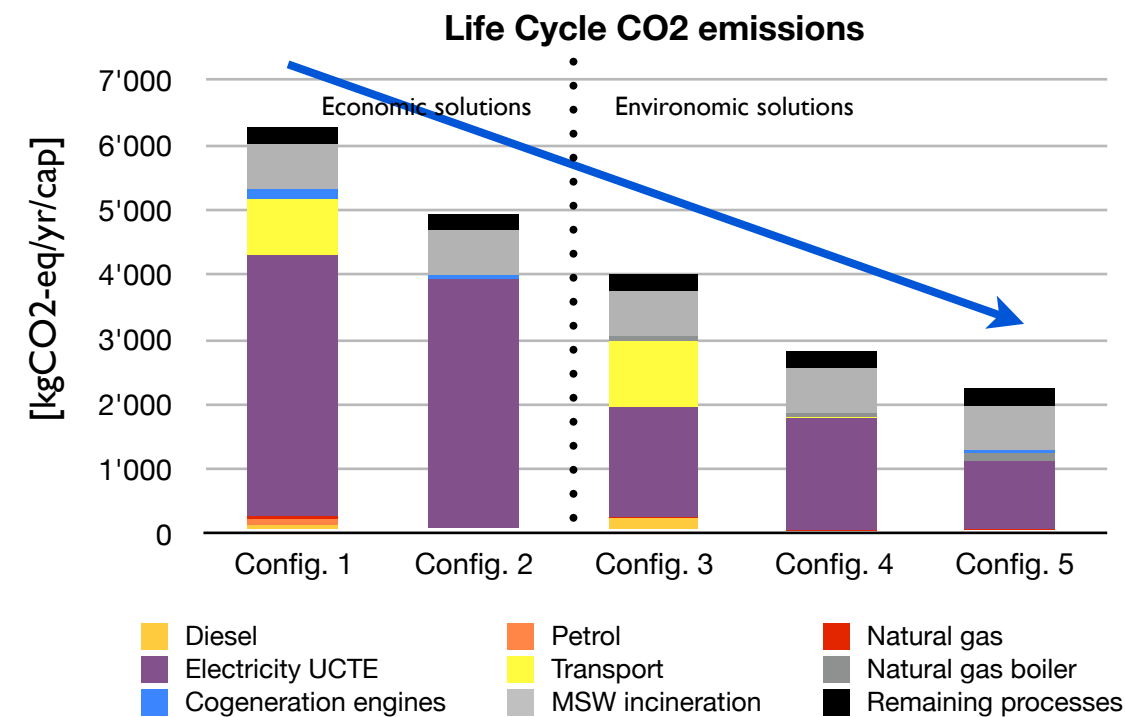
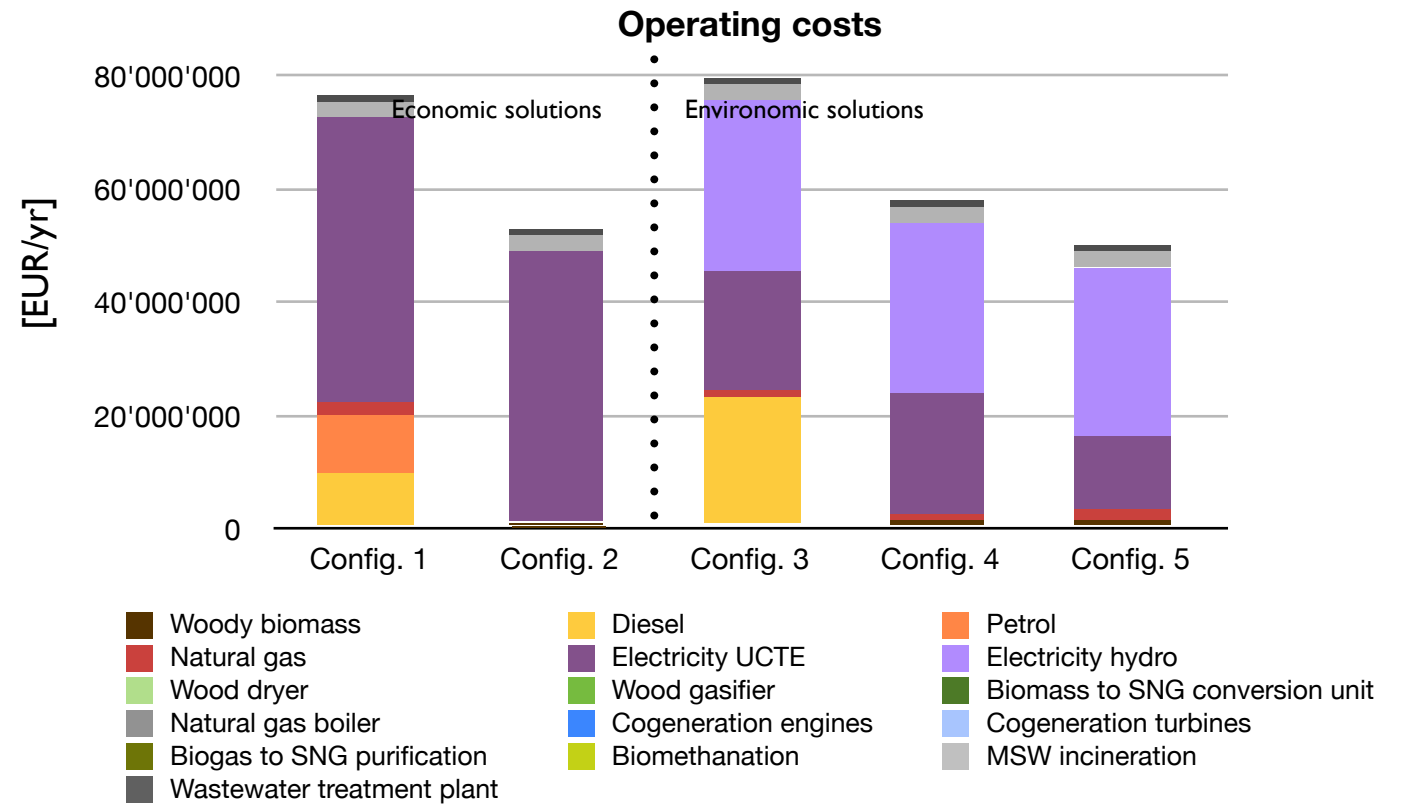
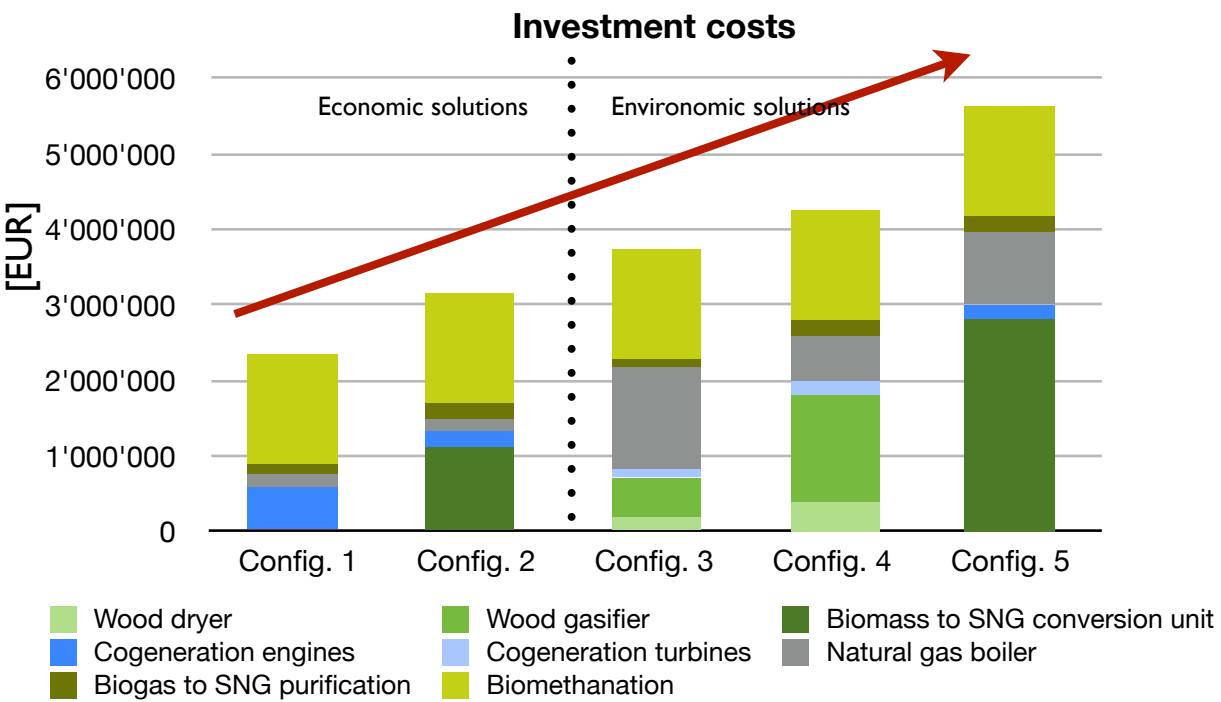
Typical configurations



► Substitution of fossil energy sources by biomass and hydro electricity



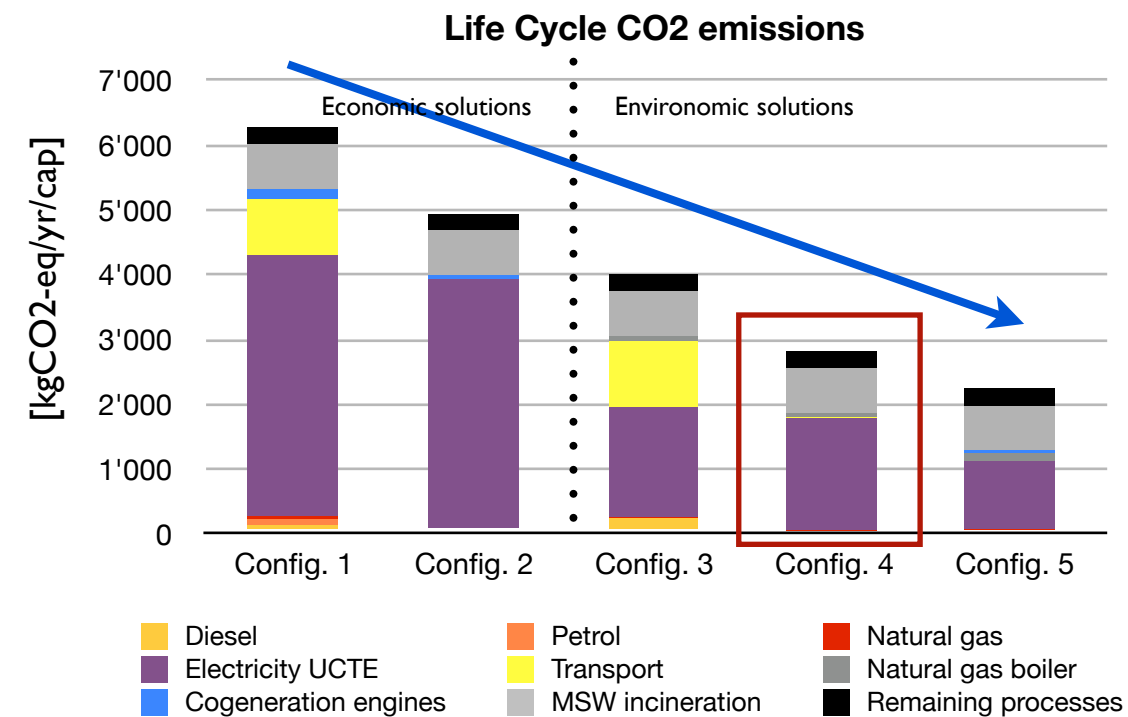
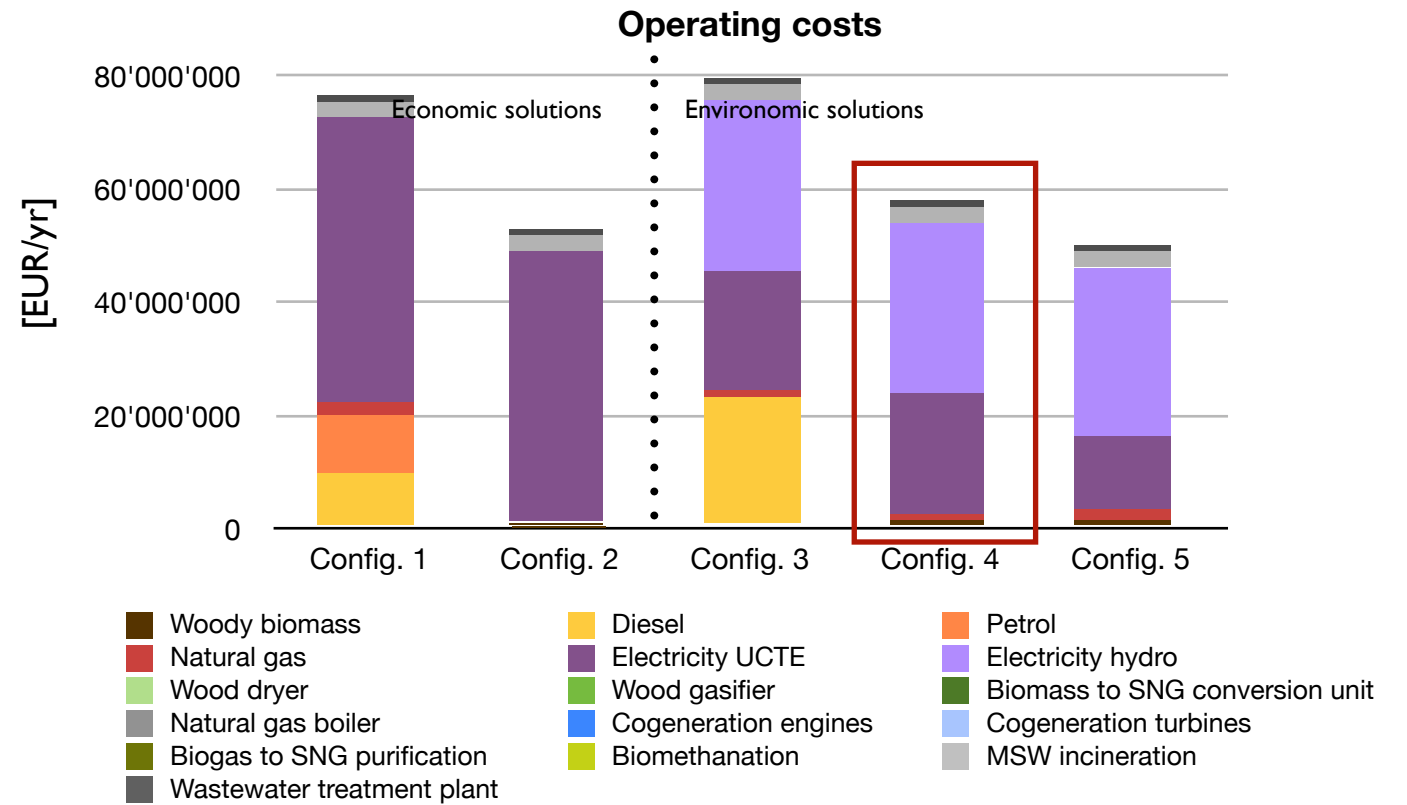
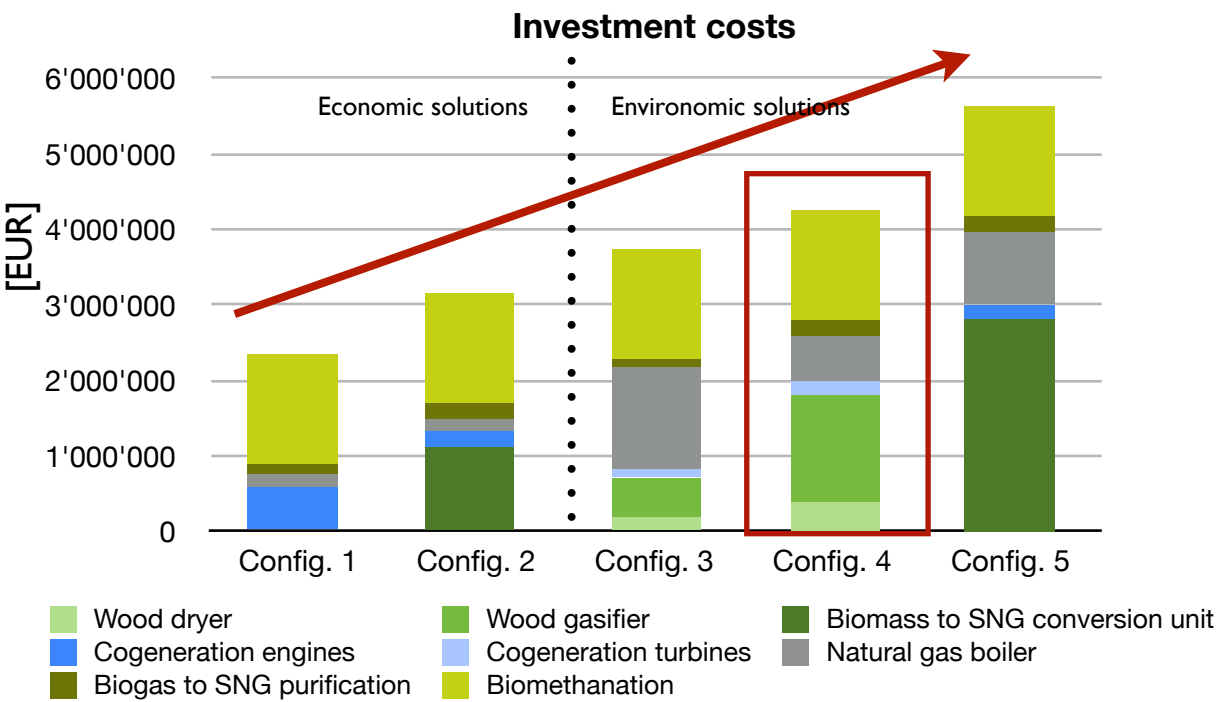
Typical configurations



- ▶ Substitution of fossil energy sources by biomass and hydro electricity
- ▶ System design and operation varies with importance given to criteria



Typical configurations



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Optimal system design

- Seasonal operation

- Example of heat and electricity flows for configuration 4

→ C_{inv}: 4.27 mioEUR

→ Cop: 58.4 mioEUR/yr

→ I: 2.77 tCO₂-eq/yr/cap

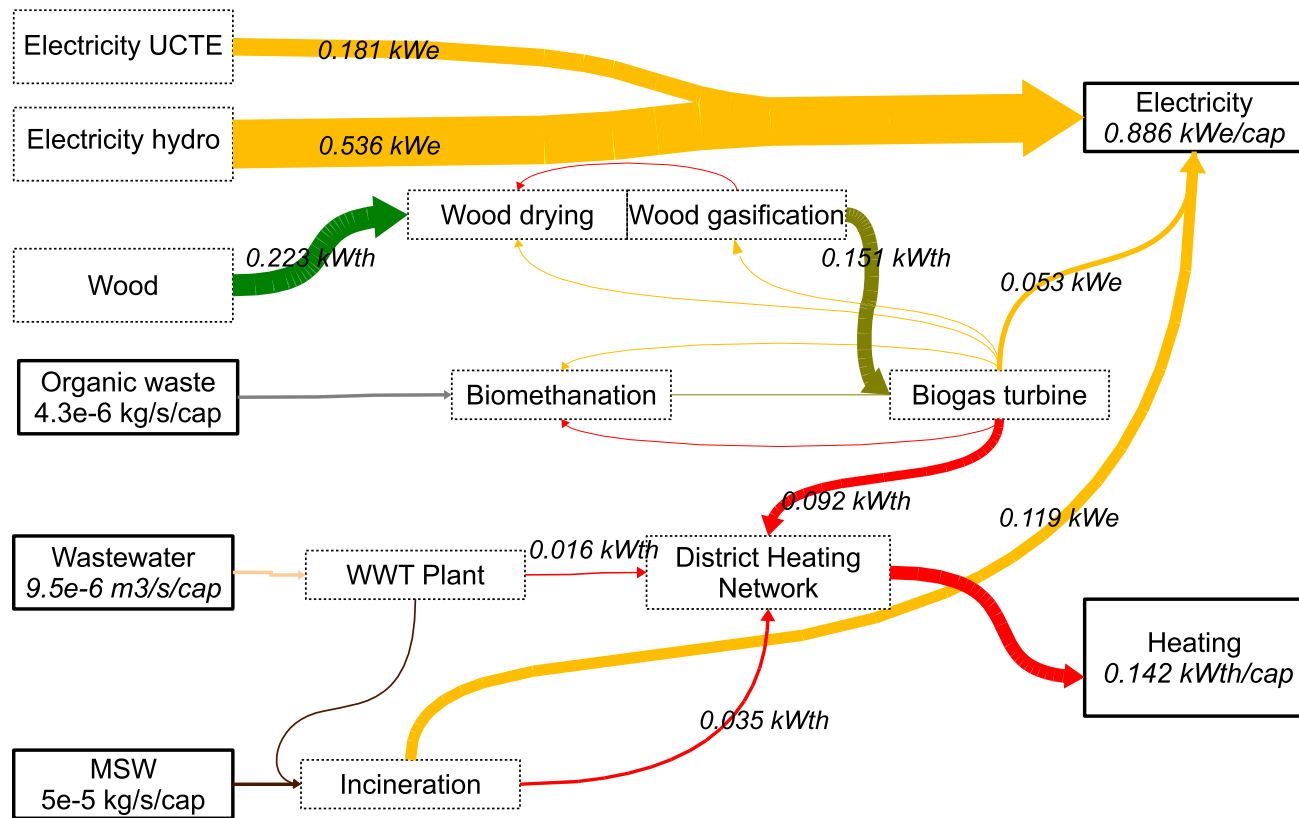


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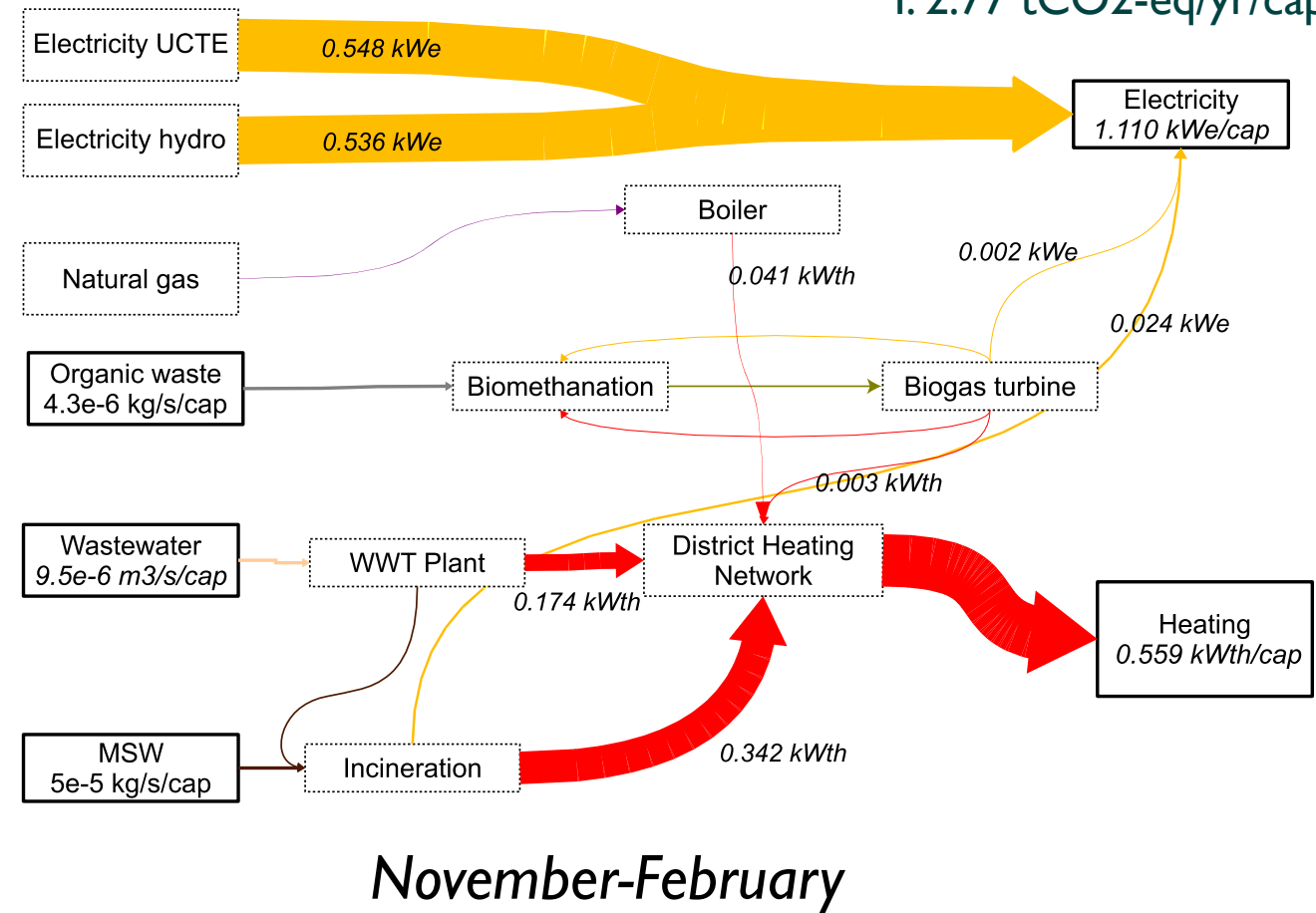
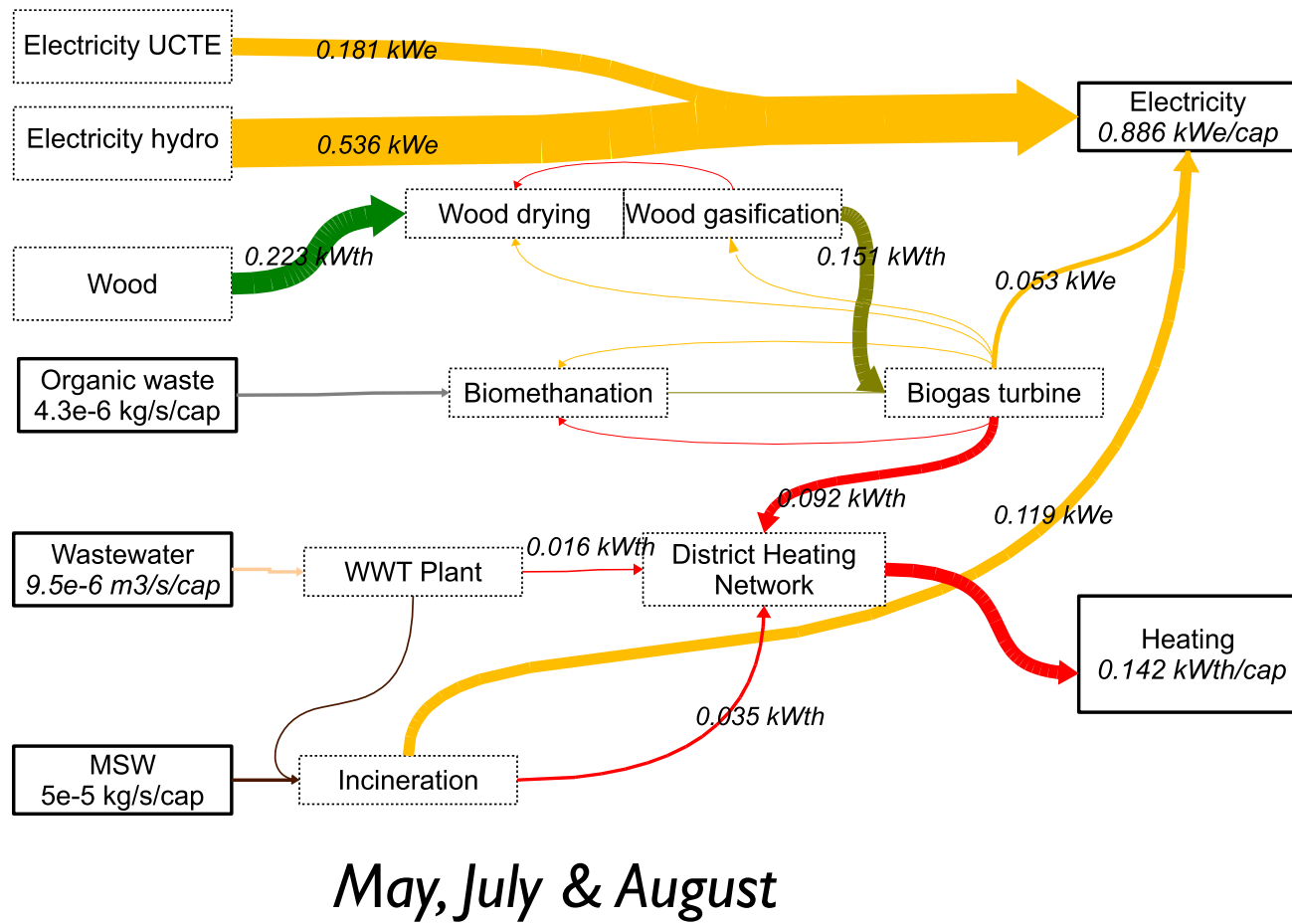
May, July & August

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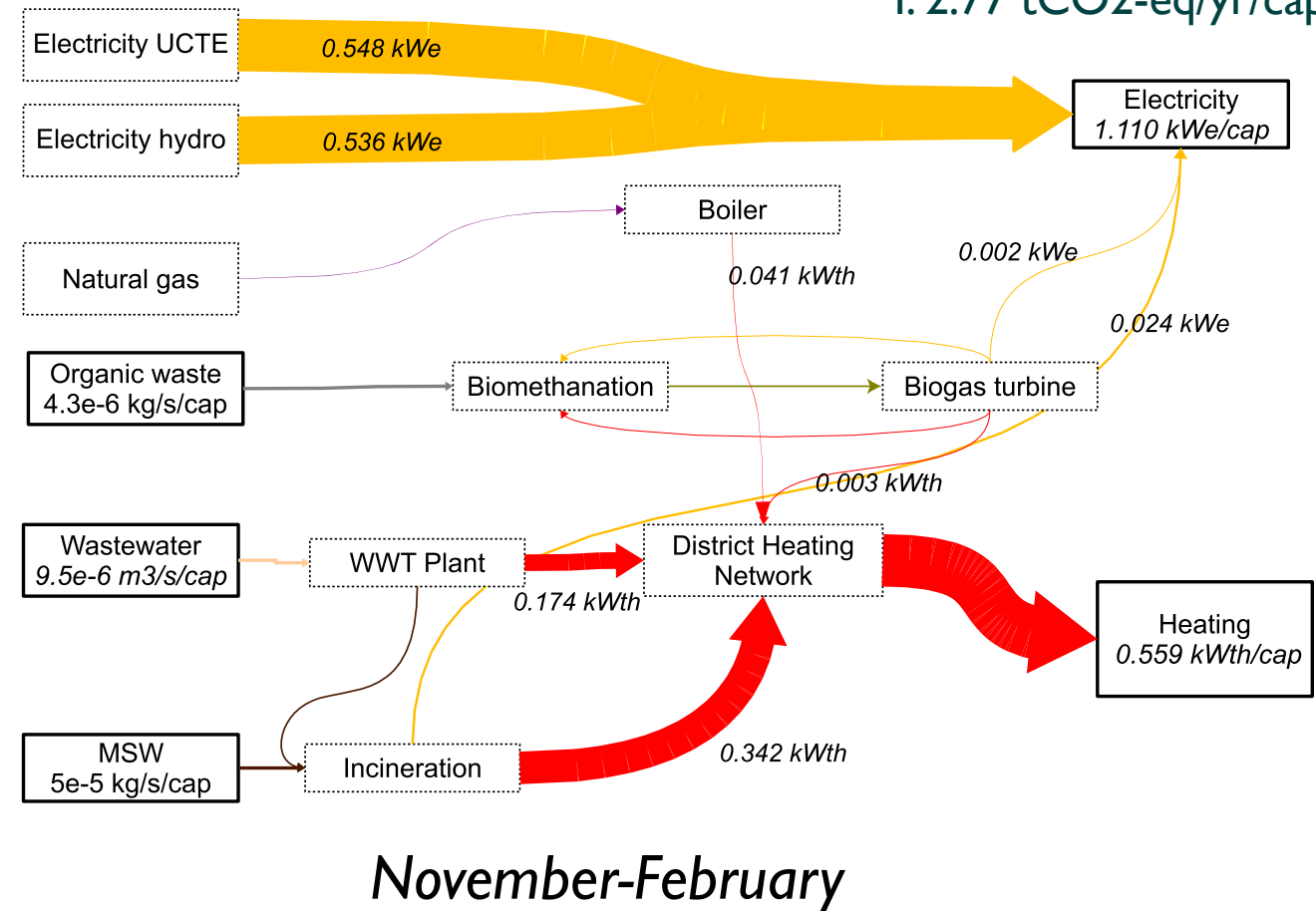
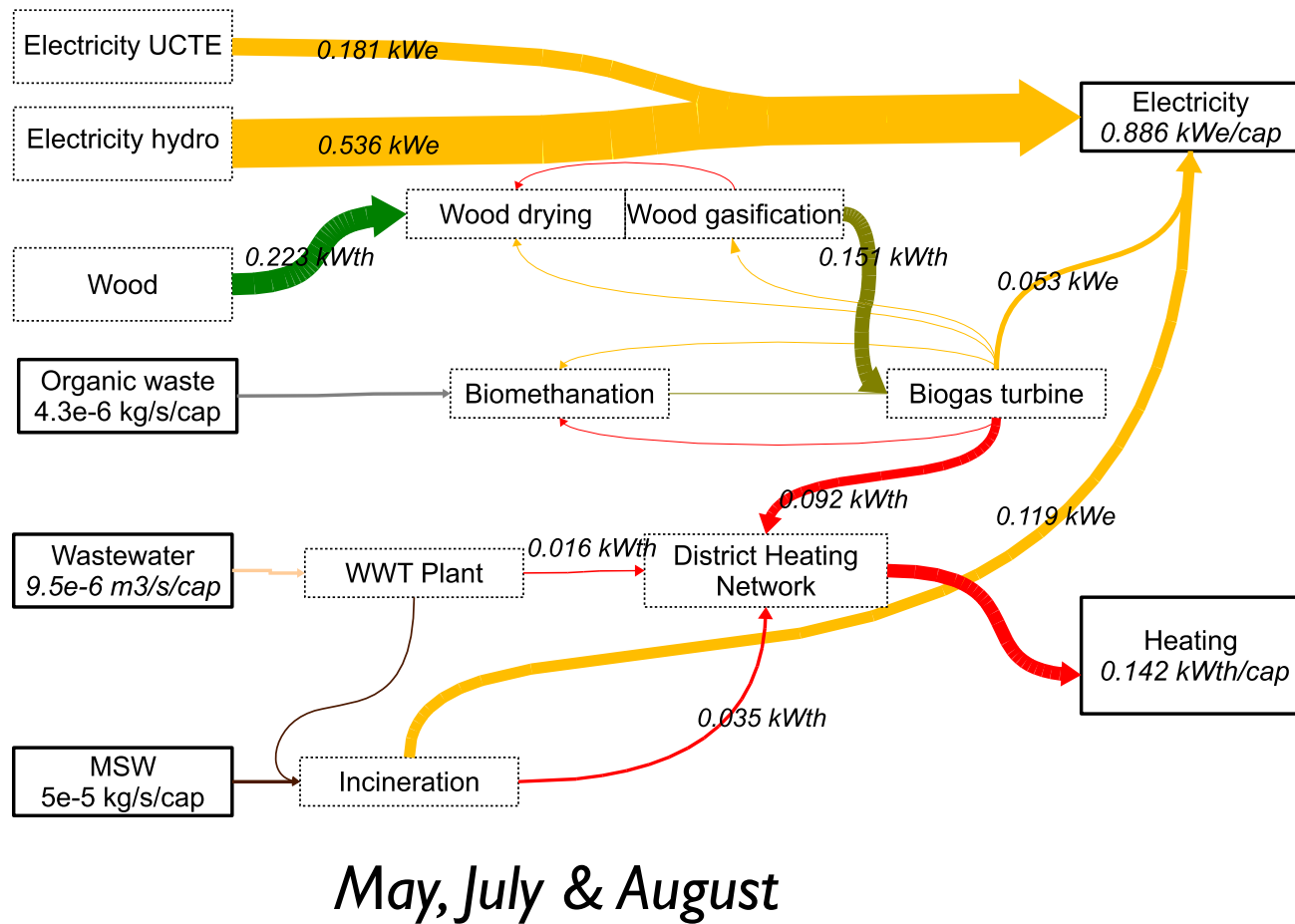


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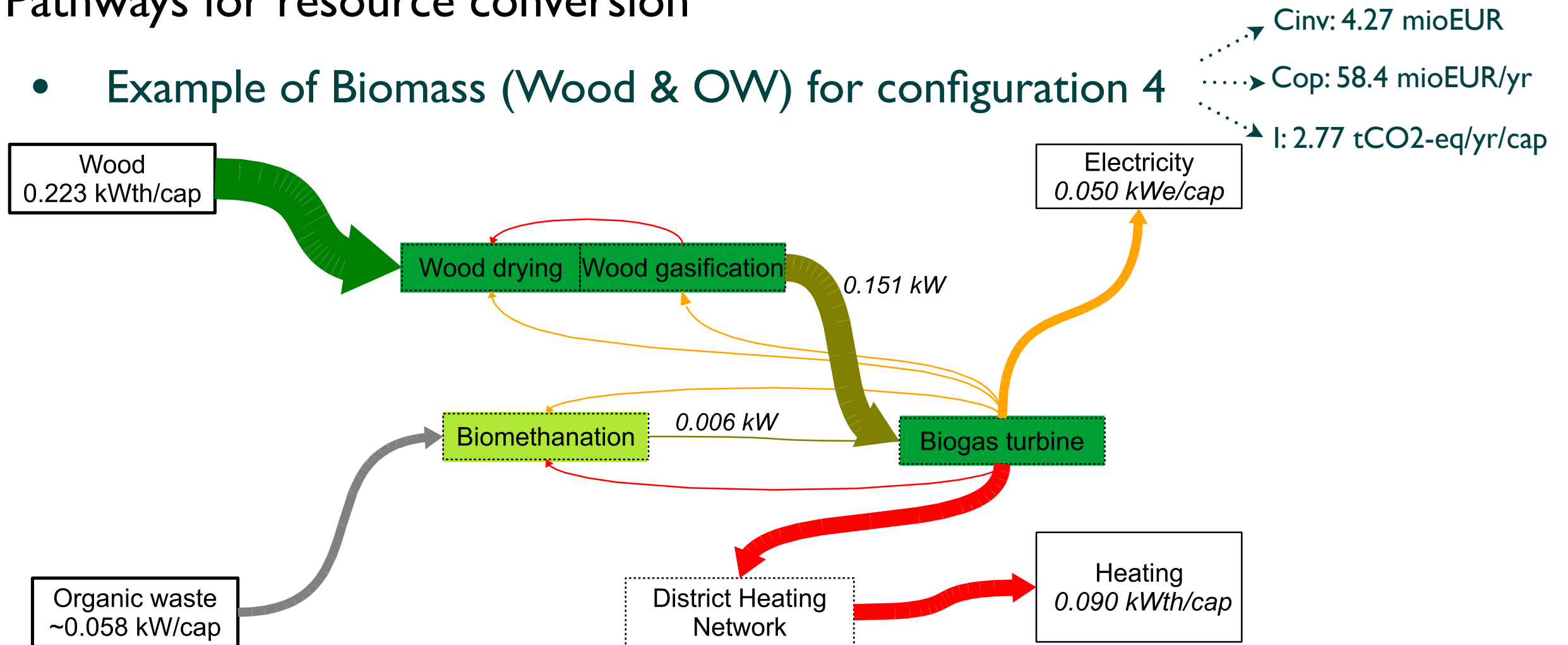


► Adapted operation of waste treatment facilities

Optimal system design

- Pathways for resource conversion

- Example of Biomass (Wood & OW) for configuration 4

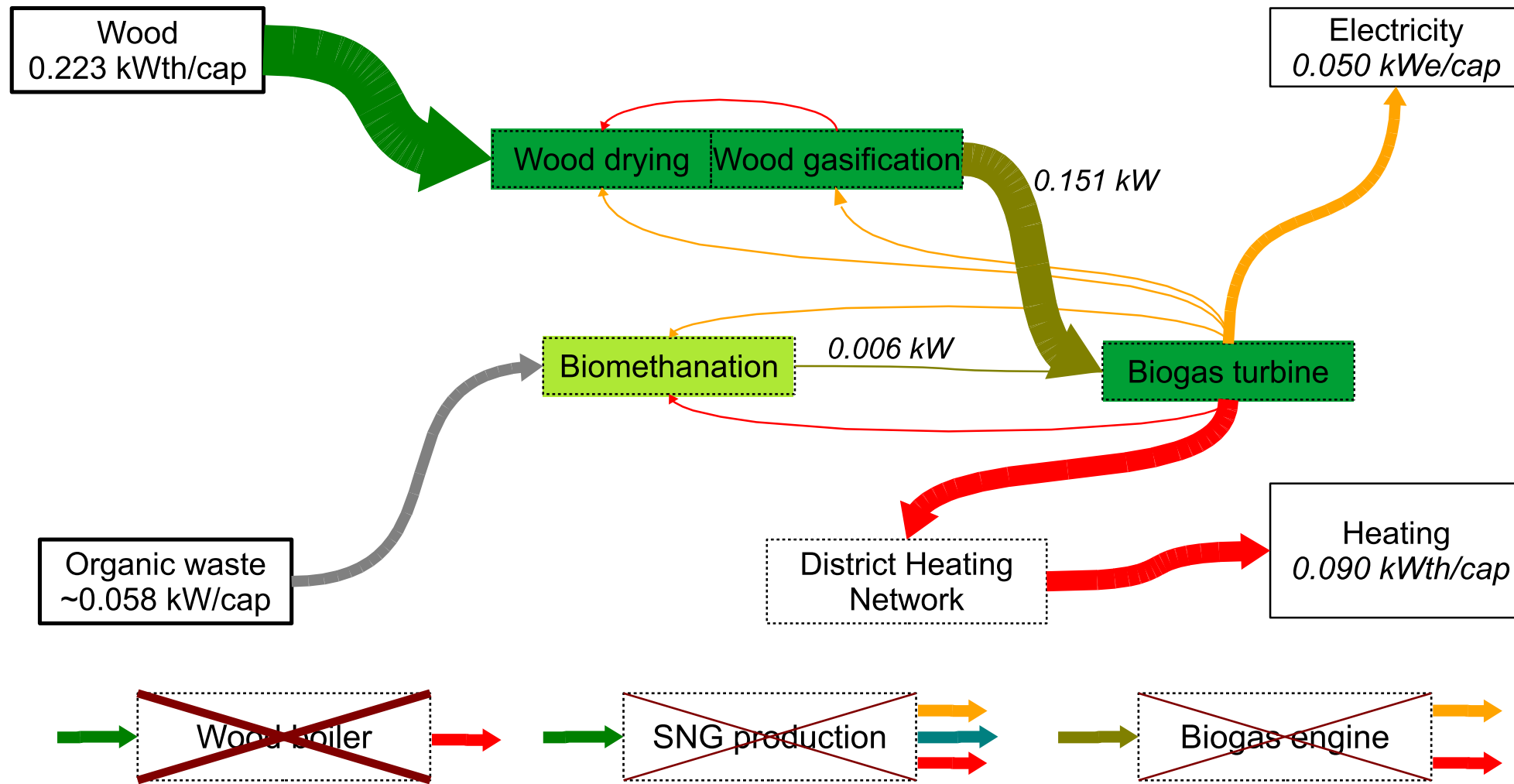


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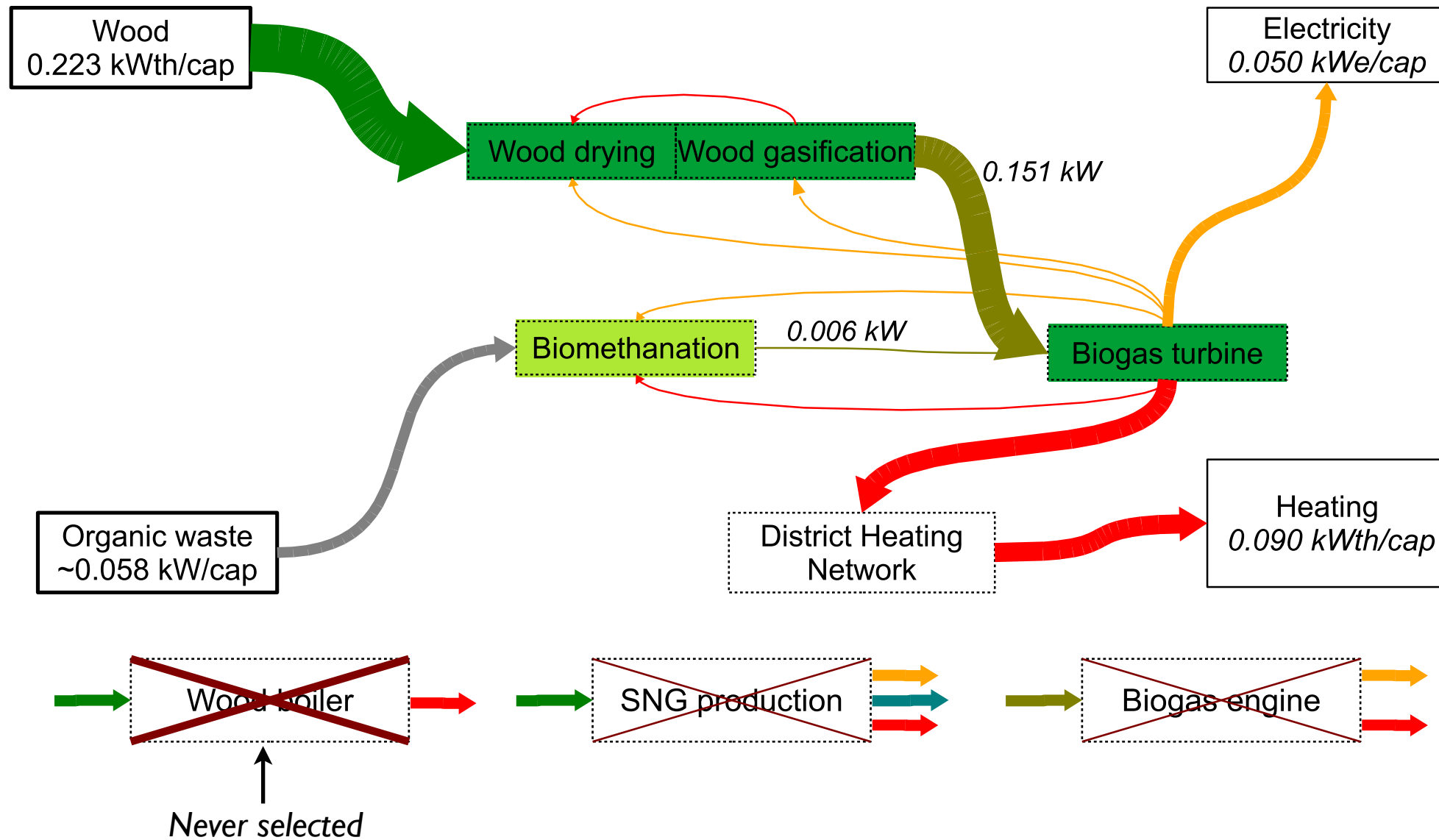


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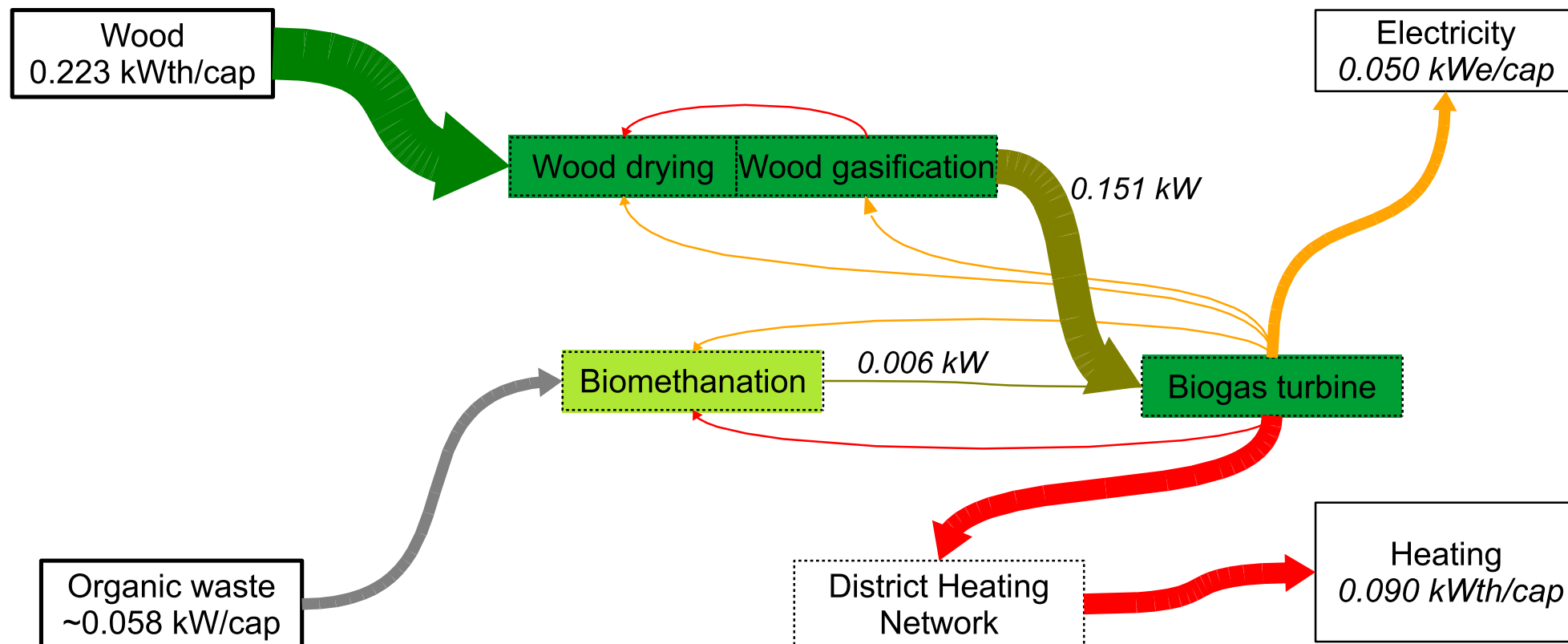


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Conclusions

- Systematic methodology for design of urban energy systems
 - Process design and integration
 - Life cycle assessment
 - Industrial ecology
- Help for decision-making and territorial planning
 - Inclusion of environmental objectives
 - Influences design decisions
 - Identification of best pathways for waste treatment and resource valorization
 - Seasonal variations accounted for



Perspectives

- Energy and mass storage possibilities
 - Optimal distribution over the year
- Extension to larger territories
 - Constraints on locations of resources and services distribution
 - Logistics has to be accounted for
- ▶ Integration of Geographic Information Systems in the computational framework
- Application to eco-industrial parks



Thank you for your attention!