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# Yard traffic and congestion in container terminals

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joint work with

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**6<sup>th</sup> International Conference on Computational Management Science**

*May 1<sup>st</sup>, 2009*



# Outline

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- Introduction and motivation
- Modeling
- Congestion measures
- Optimization
- Computational results
- Future work

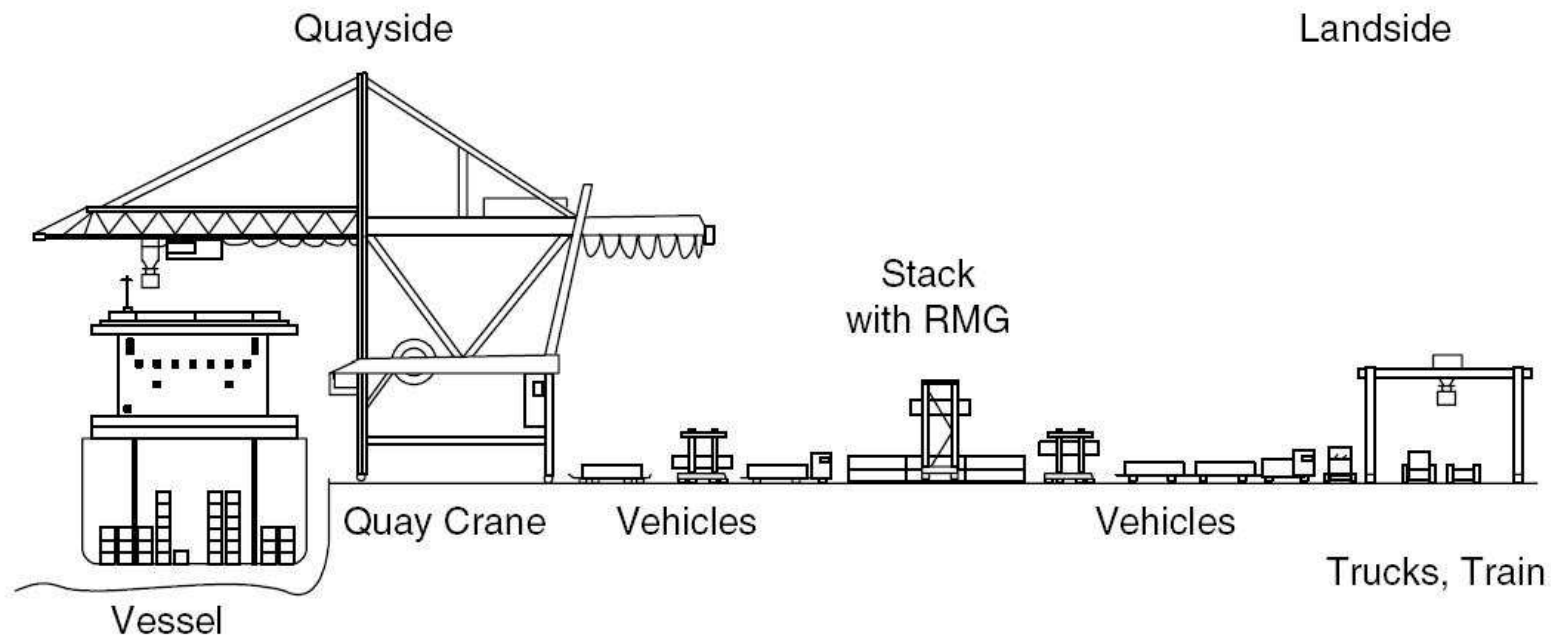






# Container Terminals (CT)

- Zone in a port to import/export/transship containers
- Different areas in a terminal: berths, yard, gates
- Different types of vehicles to travel between the yard and the berth



# Motivation

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- Along the quay, containers are loaded/unloaded onto/from several boats
- Containers' transfer lead to a high traffic in the yard zone
- The **berth&yard allocation plan** assigns ships to berths and containers to yard blocks
- Terminal planners usually minimize the total distance travelled by the carriers, disregarding:
  - Congestion issues (operations slowdowns because of bottlenecks)
  - Alternative solutions (symmetries)

## Aim of this study:

- ✓ Model the terminal and develop measures of congestion
- ✓ Evaluate the impact of the optimization of such measures on the terminal

# Assumptions

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- We take into account flows of containers from the quayside to the yard
  - Given a berth&yard allocation plan, we define a **path** as an OD pair:
    - origin (berth)
    - destination (block)
    - number of containers
  - We consider flows of containers over a working shift
  - Decisions could be taken on:
    - the berth allocation plan (berths and ships)
    - the yard allocation plan (destination blocks)
    - demand splitting over blocks
- In this study: given a set of  $p$  paths, determine the destination blocks

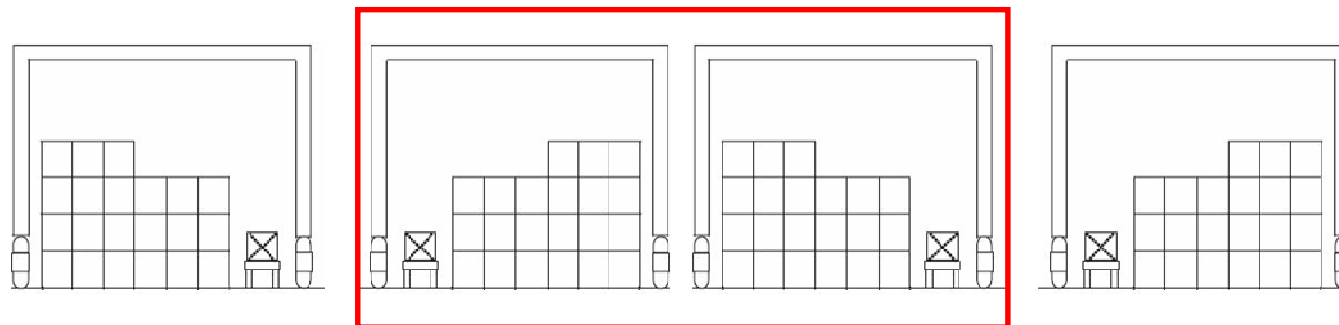
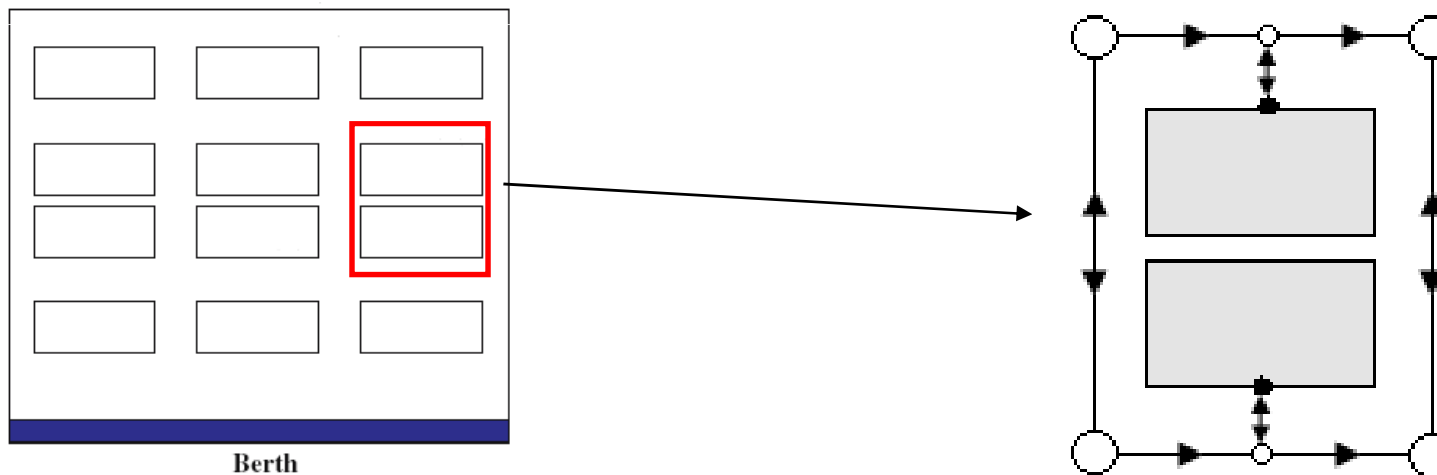
# Literature

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- Layout:
  - Kim et al. **An optimal layout of container yards**, OR Spectrum, 2007.
- Congestion:
  - Lee et al. **An optimization model for storage yard management in transshipment hubs**, OR Spectrum, 2006.
  - Beamon. **System reliability and congestion in a material handling system**, Computers Industrial Engineering, 1999.

# Modeling the terminal

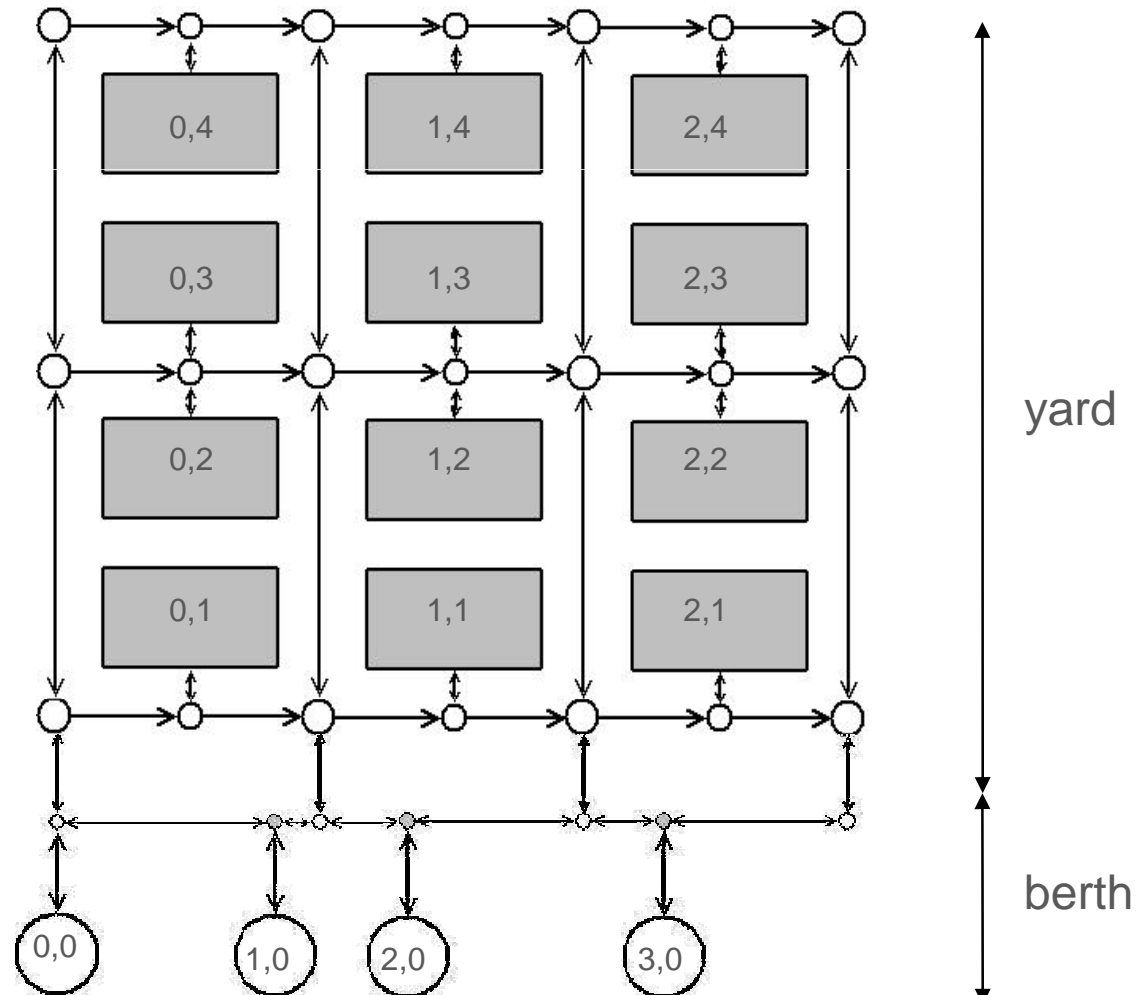
Basic element





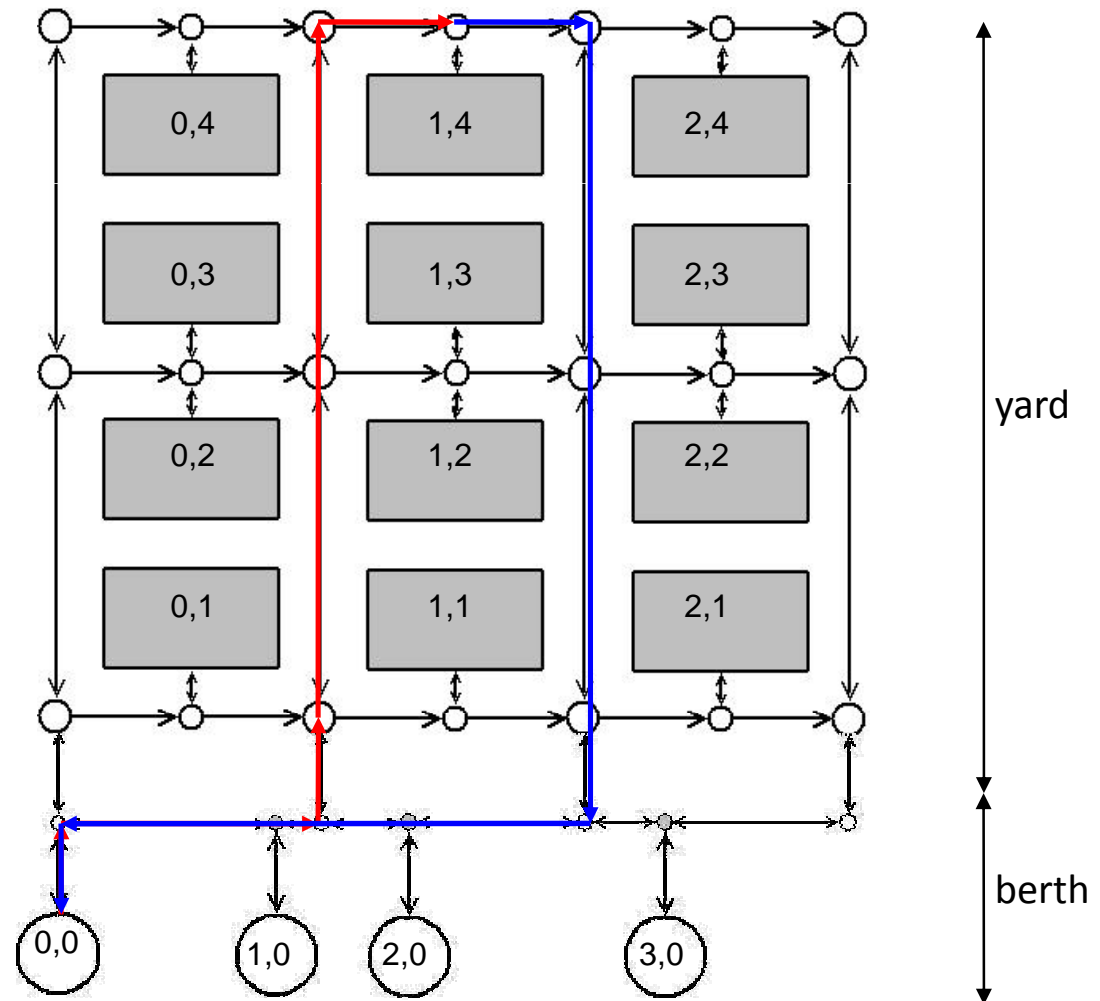
# Modeling the terminal

- $(m \times n)$  basic elements of 2 blocks each compose the yard
- coordinates system for OD pairs  $(x_o, y_o) - (x_d, y_d)$
- only berth-to-yard and yard-to-berth paths are considered



# Routing rules

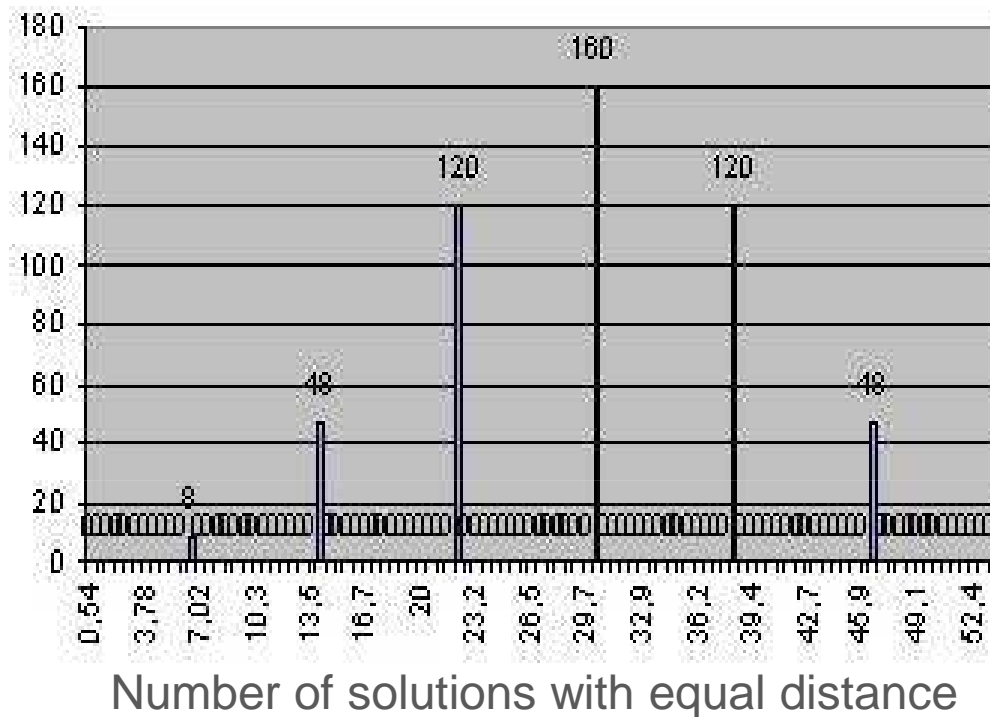
- Horizontal lanes are one way
- Vertical lanes are two way
- Toward the block, closest left vertical lane, turn right.
- Toward the quay, turn right at the first vertical lane.
- Back to origin berth position.
- Distance travelled, closed formula (Manhattan)



# Symmetries

**Minimize distance:**

in a 2x2 yard with 2 paths, no capacity on blocks



# Congestion measures

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- **Aim of the study:**

- estimate the state/congestion of a yard when implementing a plan
- provide simple closed formulas, to be used as secondary objectives

- **Factors taken into account:**

- interference between blocks sharing the same lane
- lane congestion
- interference between paths

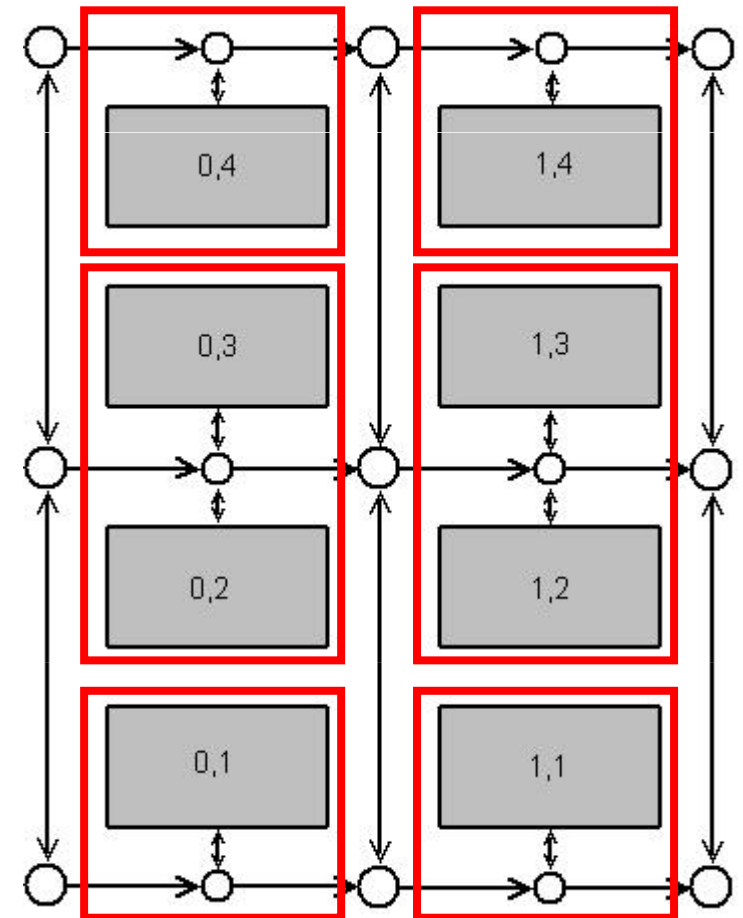


# 1. Block congestion

- congestion among blocks sharing the same lane
- “area”: blocks with the same entrance node
  - # of areas:  $s = 2n + n(m-1)$
  - $c_j$ : # of containers on path  $j = 1 \dots p$
  - $N_i$ : # of containers allocated to area  $i$
  - $N^*$ : # of containers in each area in the optimal solution (even distribution among areas)

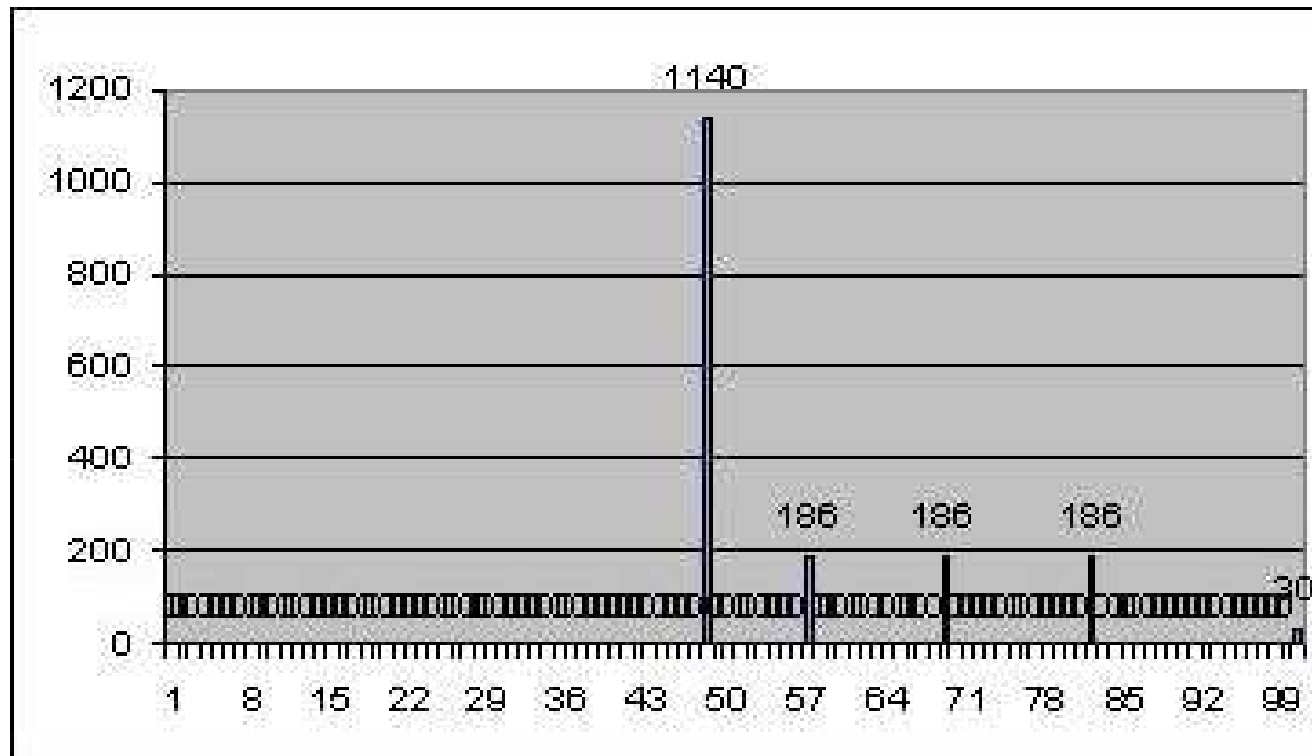
$$C_b = \frac{D}{D_{max}} = \frac{\sum_{i=1}^s |N_i - N^*|}{\frac{2(s-1)}{s} \sum_{j=1}^p c_j}$$

- 1-norm and 2-norm w.r.t. the best over the worst case



# 1. Block congestion

- 3 paths in a 2x3 yard (12 blocks)  $\rightarrow$  possible solutions :  $12^3 = 1728$
- number of solutions with same block congestion (distribution of 2-norm  $C_b$ ) :



## 2. Edge congestion

- this indicator simply measures the average traffic over an edge

$$\theta = \max_k f_k$$
$$\mu = \min_k f_k$$

$$\theta_{max} = \sum_{j=1}^p c_j$$

$$\mu_{min} = 0$$

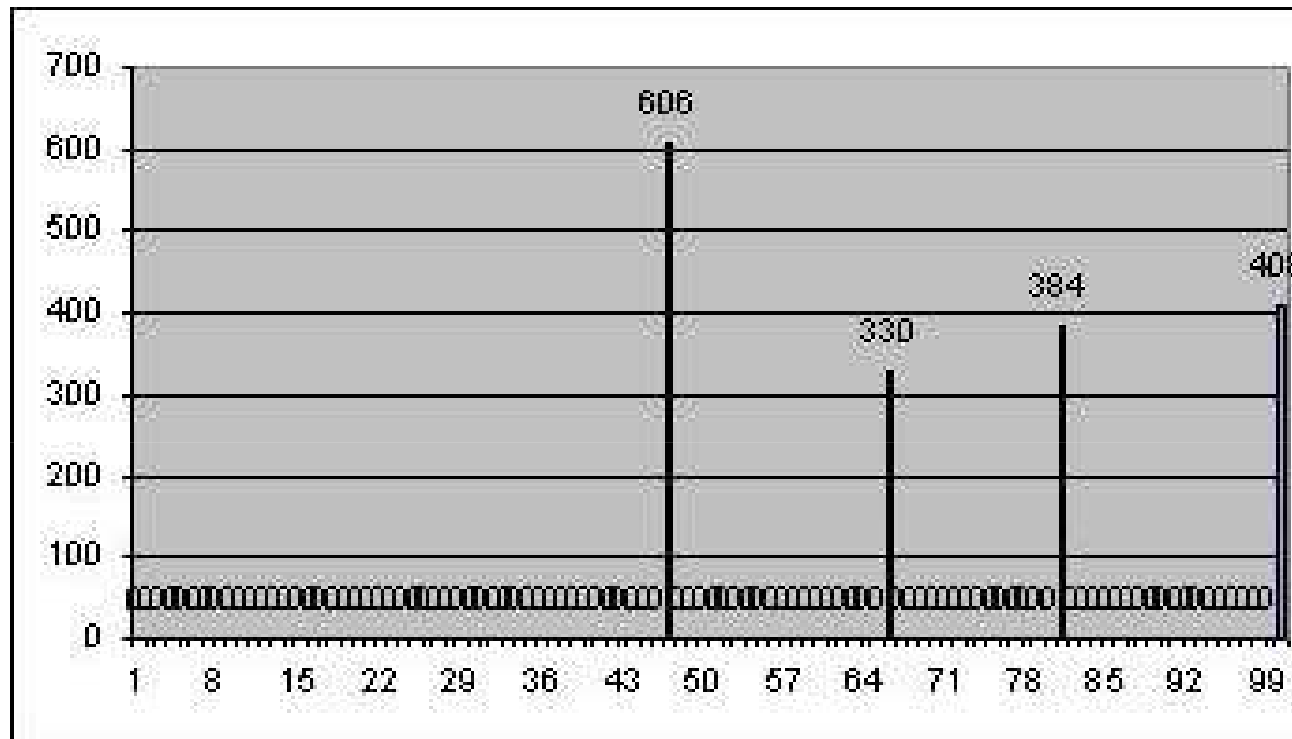
$$C_e = \frac{\theta - \mu}{\theta_{max} - \mu_{min}} = \frac{\theta - \mu}{\sum_{j=1}^p c_j}$$

- the best traffic situation is when flows are spread over the network:  $\mu^* = \frac{\sum_{j=1}^p c_j}{n}$

$$C_e = \frac{\theta - \mu^*}{\theta_{max} - \mu^*} = \frac{\theta - \mu^*}{\sum_{j=1}^p c_j - \frac{\sum_{j=1}^p c_j}{n}} = \frac{(n)\theta - \sum_{j=1}^p c_j}{(n-1) \sum_{j=1}^p c_j}$$

## 2. Edge congestion

- 3 paths in a 2x3 yard (12 blocks)  $\rightarrow$  possible solutions :  $12^3 = 1728$
- number of solutions with same edge congestion (distribution of improved  $C_e$ ):

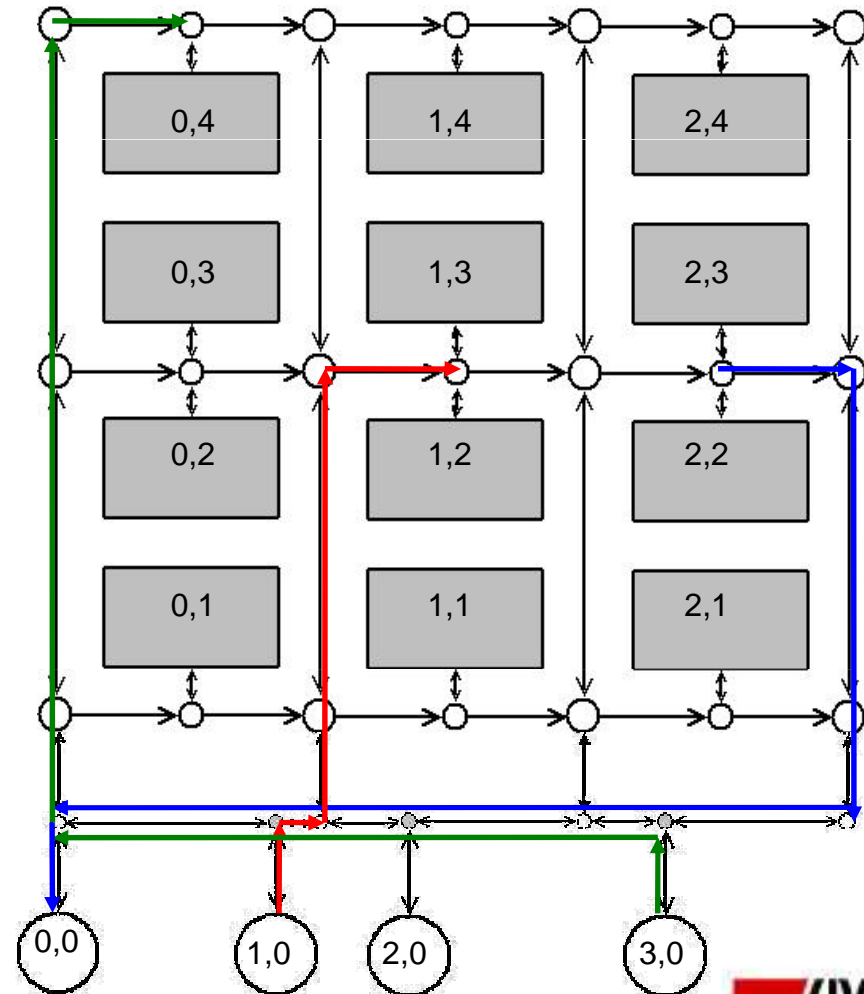




# 3. Path congestion

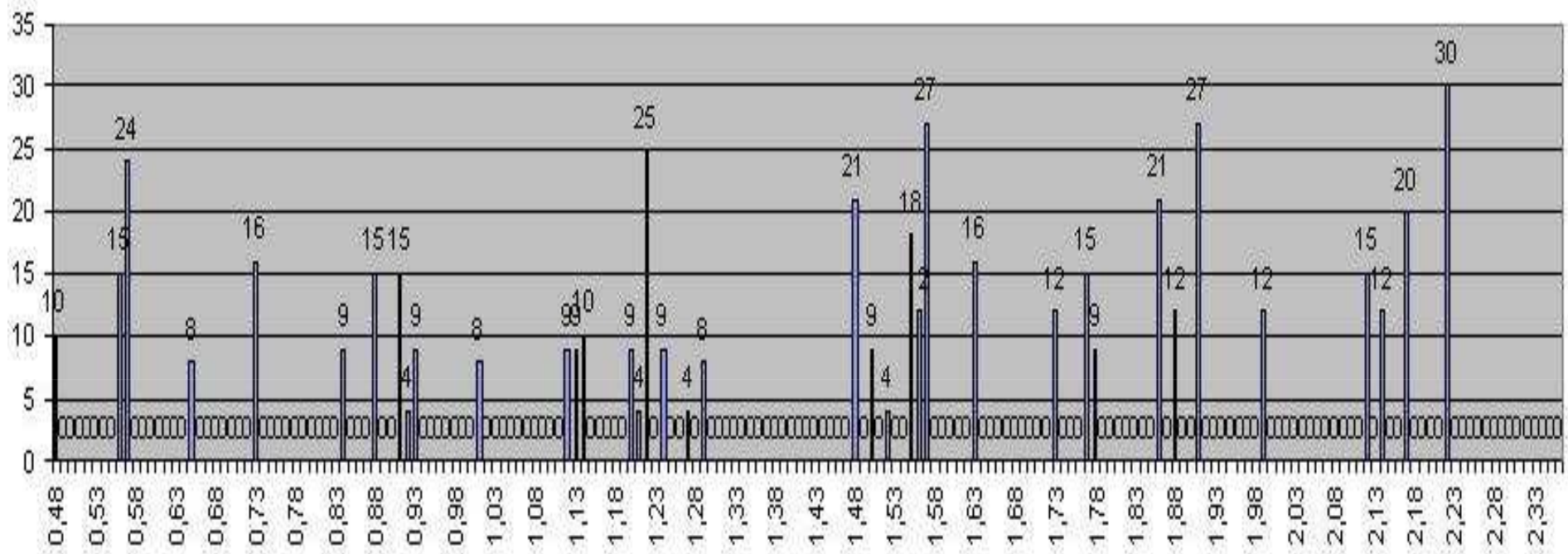
- interference among “crossing” paths
- proximity matrix  $P$  ( $2p \times 2p$ )
- $p$  berth-to-yard +  $p$  yard-to-berth paths
- $P$  is symmetric, 0 on the diagonal, 1 if two paths are “neighbours”
- definition of  $P$  is influenced by routing rules
- worst case: all 1 matrix (except diagonal)

$$C_p = \frac{p}{N_{max}} = \frac{1^T \cdot P \cdot c}{(2n - 1) \sum_{i=1}^{2n} c_i}$$



# Example

- 3 paths in a 2x3 yard
- Distribution of the objective function  $z = \lambda_b \cdot C_b + \lambda_e \cdot C_e + \lambda_p \cdot C_p$



# Example

Objective function :  $z = \lambda_b.C_b + \lambda_e.C_e + \lambda_p.C_p$

	Nb solutions	Nb different values	MIN	Nb MIN	CPU (s)
<b>(2x2) – 3 paths</b>	512	46	0,4764	10	0,2
<b>(2x2) – 4 paths</b>	4096	282	0,3473	30	1,4
<b>(2x2) – 5 paths</b>	32768	1831	0,5068	21	12,23
<b>(2x2) – 6 paths</b>	262144	7354	0,461	12	112,85
<b>(2x3) – 3 paths</b>	1728	52	0,4764	116	0,67
<b>(2x3) – 4 paths</b>	20736	470	0,3473	350	7,29
<b>(2x3) – 5 paths</b>	248832	4271	0,13	108	121,65

# Optimization algorithm: GRASP

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- GRASP: Greedy Randomized Adaptive Search Procedure
- Objective: assign a destination to each path such that congestion is minimized
- The algorithm builds a solution iteratively:
  - at each step, the destination for one specific path is chosen



# Optimization algorithm: GRASP

	MIN	CPU (s) (enumeration)	CPU (s) (algorithm)	Nb iteration to reach optimum
<b>(2x2) – 3 paths</b>	0,4764	0,2	0,1	5
<b>(2x2) – 4 paths</b>	0,3473	1,4	0,2	10
<b>(2x2) – 5 paths</b>	0,5068	12,23	0,5	30
<b>(2x2) – 6 paths</b>	0,461	112,85	3	150
<b>(2x3) – 3 paths</b>	0,4764	0,67	0,1	5
<b>(2x3) – 4 paths</b>	0,3473	7,29	0,1	5
<b>(2x3) – 5 paths</b>	0,13	121,65	0,5	25
<b>(2x3) – 6 paths</b>	0,1953	??	15	1000

# Computational tests

More realistic instances

	in 0,1s	in 1s	in 5s	in 10s	in 20s	in 60s
<b>(3x10) – 3</b>	0,4764	0,4764	0,4764	0,4764	0,4764	
<b>(3x10) – 4</b>	0,3473	0,3473	0,3473	0,3473	0,3473	
<b>(3x10) – 5</b>	0,13	0,13	0,13	0,13	0,13	
<b>(3x10) – 6</b>	0,389	0,195	0,195	0,195	0,195	
<b>(3x10) – 7</b>	0,343	0,267	0,267	0,267	0,267	
<b>(3x10) – 8</b>	0,26	0,1692	0,1646	0,1646	0,1646	
<b>(3x10) – 9</b>	0,304	0,2763	0,2763	0,2763	0,2763	
<b>(3x10) – 15</b>	0,2446	0,1931	0,1705	0,1582	0,1817	0,1602
<b>(3x10) – 20</b>	0,3275	0,2276	0,1663	0,1624	0,1609	0,1389

# Conclusions and Outlook

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- simple closed formulas to evaluate congestion in container terminals
- useful to differentiate symmetric solutions with equal distance

Ongoing work:

- validation of our approach via a CT simulator
- multi-objective optimization problem (explore other than weighted sum)
- improve the algorithm: study an exact approach; relax the assumptions, i.e. extend the set of possible decisions (berth allocation, demand splitting)

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**Thanks for your attention!**