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ABSTRACT

The performance of a pulsed D<sub>2</sub>O laser pumped longitudinally by a CO<sub>2</sub> laser and emitting at 385 microns is investigated numerically. These studies point out the limitations in pumping efficiency due to the "bottle-neck" effect in the vibrational deexcitation of D<sub>2</sub>O. The influence of a buffer gas on the saturation behaviour is discussed. The results of this numerical simulation are in good agreement with experimental measurements. Design parameters are predicted for a D<sub>2</sub>O laser system suitable for Thomson scattering diagnostics of a Tokamak plasma.

## I. INTRODUCTION

Extensive studies are currently in progress to develop high-power optically pumped FIR lasers for Thomson scattering measurements in Tokamak plasmas <sup>1</sup>. In order to achieve a good signal to noise ratio during heterodyne detection of the scattered radiation, high power laser pulses with microsecond pulse duration are required. Up to now most of the experiments reported are limited to short pulse operation with pulses of the order of 100 nsec. In CO<sub>2</sub>-laser-pumped D<sub>2</sub>O lasers, the most promising system developed so far, it is difficult to achieve long pulses at high powers mainly because of the slow decay time of the excited vibrational manifold. This so-called bottleneck effect is mainly responsible for poor efficiency and leads to the requirement of a large active volume <sup>2</sup>. Hence, it is important to simulate the operational characteristics, in order to optimize the design and to improve the performance of a D<sub>2</sub>O laser.

The basic properties of an optically pumped D<sub>2</sub>O laser, characterized by a three level system interacting with an FIR and a pump field (Fig. 1), are now well understood in terms of the density matrix formalism <sup>3,4</sup>. In such a system the two photon Raman process plays an important role, as well as the usual two step laser process. Analytical expressions for the gain are obtained, which are functions of pump intensity, FIR intensity and pump frequency off-set. Assuming a steady state level population, the FIR gain for given pump conditions can be calculated. Since in a FIR laser system, both pump and FIR intensities are functions of time, a dynamic simulation model

is necessary to investigate a high power pulsed  $D_2O$  laser. As a consequence of axial pumping, the variation of pump intensity along the cavity has to be taken into account. Theoretical studies in the past either did not include spatial effects from a resonator<sup>5</sup> or did not consider off-resonant pumping<sup>6</sup>.

In this paper we investigate the performance of a  $D_2O$  laser pumped longitudinally by a  $CO_2$  laser. Our approach is based on a coupled rate equation model, in which the two photon process, the bottle neck effect and the decrease in pump intensity due to absorption are all taken into account.

## II NUMERICAL MODEL

### A. Rate equations

An optically pumped  $D_2O$  laser is basically characterized by the three level system shown in Fig. 1. Molecules are pumped from a particular rotational level in the ground vibrational state to a rotational level in the upper vibrational state. FIR laser action then takes place between the pumped rotational level and an adjacent lower lying one. In addition to this two-step laser process, a two-photon Raman process with an appropriate pump off-set is also possible, labeled (2) in Fig. 1. The complete description of these processes is complex. For the case of a single mode FIR laser pumped by a single-mode  $CO_2$  laser, however, analytical gain expressions have been obtained, based on a density matrix formalism<sup>4</sup>. The gain coefficient

$G_p$  for the pump radiation and  $G_f$  for the FIR radiation can be expressed by

$$G_p = \sigma_{31} [S_3(n_3 - n_1) - S_4(n_1 - n_2)] \quad (1)$$

$$G_f = \sigma_{32} [S_1(n_3 - n_2) + S_2(n_1 - n_2)] \quad (2)$$

where  $n_i$  is the population density of the  $i$ th rotational level in Fig. 1 and  $\sigma_{ij}$  is the stimulated emission cross-section on the molecular line centre. The line shape function  $S_i$  is a complicated function of pump and FIR intensities, as well as pump and FIR off-sets from the molecular line centre. The analytical form of  $S_i$  has been given in ref. <sup>4</sup>. These gain coefficients are divided into two parts: One represents the two-step process which is proportional to  $(n_3 - n_1)$  or  $(n_3 - n_2)$  and the other the two-photon process which is proportional to  $(n_1 - n_2)$ .

In an actual laser system, the vibrational decay process plays an important role. Since the vibrational decay constant  $\tau_v$  is on the order of the pump pulse duration ( $< 1 \mu\text{sec}$ ) or longer, an intense and long pump pulse depletes the ground vibrational level, whereupon laser action ceases. This bottle neck effect limits the FIR energy extractable from a given FIR laser volume.

Taking the bottle neck effect into account, the set of rate equations for a single-mode  $D_2O$  laser pumped by a single-mode  $CO_2$  laser can be written as follows <sup>7</sup>.

$$\dot{n}_1 = -(n_1 - f_1 n_g) / \tau_j + I_p G_p \quad (3)$$

$$\dot{n}_2 = -(n_2 - f_2 n_v) / \tau_j + c Q G_f \quad (4)$$

$$\dot{n}_3 = -(n_3 - f_3 n_v) / \tau_j - I_p G_p - c Q G_f \quad (5)$$

$$\dot{n}_g = I_p G_p - (n_g - f_g n_0) / \tau_v \quad (6)$$

$$n_0 = n_g + n_v \quad (7)$$

$$\dot{Q} = c Q G_f - Q/t_c + \dot{Q}_0 \quad (8)$$

where  $I_p$  and  $Q$  are the pump photon flux and FIR laser photon density in the laser cavity, respectively.  $\tau_j$ ,  $\tau_v$  and  $t_c$  denote the rotational, the vibrational and the cavity lifetime, respectively, and  $c$  is the speed of light. The sum of the molecular densities of the ground and the excited vibrational level,  $n_g + n_v$  is set to be equal to the total molecular density  $n_0$ . Each rotational level tends to be thermalized within a given vibrational level with the Boltzman occupation factor  $f_i$ .  $\dot{Q}_0$  denotes a spontaneous or a back-ground FIR photon density emission rate and just acts as the seed for the build up of FIR laser radiation. The cavity lifetime  $t_c$  consists of two parts,  $t_\lambda$  and  $t_R$ .

$$1/t_c = \frac{1}{t_\lambda} + \frac{1}{t_R}$$

$$1/t_\lambda = - \frac{c}{2L} \ln (1 - \alpha) \quad (9)$$

$$1/t_R = - \frac{c}{2L} \ln R_1 R_2$$

where  $L$  is the cavity length,  $R_i$  the mirror reflectivity and  $\alpha$  the single pass loss, which comes from diffraction, scattering or imperfect optical elements.

The available FIR output power  $P_{out}$  is given by

$$P_{out} = \frac{h\nu_f}{t_R} Q \quad (10)$$

where  $h\nu_f$  is the energy of a single FIR photon.

### B. Pumping geometry

The rate equations (3) - (8) do not contain any spatial variation. The assumption of a constant FIR intensity within the resonator is reasonable, since the sum of the counter propagating FIR beams is indeed almost constant. In order to take into account the influence of the absorption of pump radiation, the pump photon flux  $I_p$  was approximated by the pump photon flux  $I_{av}$  averaged over the cavity length.

In Fig. 2, we show schematically the most frequently used pumping geometry and the variation of the pump intensity along the cavity. The FIR lasers cavity is formed between the two mirrors  $M_1$  and  $M_2$ . FIR lasers are usually pumped longitudinally and the cavity length is fairly long, typically 3-4 m. In our code we include a section between the entrance window  $W$  of the FIR tank and the mirror (or mesh)  $M_1$ . This section, labeled I in Fig. 2, also contains the FIR active medium, hence it absorbs  $CO_2$  radiation but does not form part of the resonator. For the region I of length  $\ell$  and II of length  $L$ , the averaged photon flux  $I_{pav}$  is given by

$$I_p^{av} = I_0 (e^{G_p \lambda} - 1) / G_p \lambda \quad \text{for region I}$$

$$I_p^{av} = I_\lambda (e^{G_p L} - 1) / G_p L \quad \text{for region II} \quad (11)$$

and

$$I_\lambda = \gamma I_0 e^{G_p \lambda}$$

$G_p$  is a function of pump intensity and FIR intensity, as well as time and therefore  $G_p$  was calculated in each integration step.  $I_0$  is the input and  $I_\lambda$  the transmitted pump power of the region I, and both are used to calculate  $G_p$  for the regions I and II. The element  $M_1$  acts as a reflector in the far infrared and has a transmission of  $\gamma$  for the pump radiation.

The transmission of the region I was calculated as a function of time, by setting  $Q = 0$  in eqs. (3)-(7). Then the full rate equations (3)-(8) were solved for the region II, using the reduced pump intensity transmitted through the region I.

The input pump flux  $I_0$  is approximated by the following function:

$$I_0 = \frac{4}{\pi D^2} \frac{E_0}{h\nu_f t_p} \frac{t}{t_p} e^{-t/t_p} \quad (12)$$

where  $E_0$  is the total pump energy,  $D$  the diameter of the pump beam and  $t_p$  the time when the pump power reaches the peak value. The total FIR output energy  $E_{out}$  is obtained by

$$E_{out} = \frac{\pi}{4} D^2 L \frac{h\nu_f}{t_R} \int Q dt \quad (13)$$



In the following results, the Raman resonance condition is always assumed. This is justified, because it has been observed that the FIR laser frequency changes with the off-set of the pump frequency in a pulsed D<sub>2</sub>O laser pumped with a single mode CO<sub>2</sub> laser<sup>8</sup>. The rate equations were solved by the Runge-Kutta method, using the numerical constants listed in Table I which are typical for a D<sub>2</sub>O laser at 385 μm. One molecular constant in table I, the vibrational decay constant  $\tau_v$ , which is the most important constant for high-power, long-pulse operation of FIR lasers, is not well known, but it is estimated to be around 1 μsec<sup>9</sup>. This comparatively fast self-deactivation of the excited vibrational level is possible as a result of fast inter-vibrational decay processes<sup>10</sup>. Some of the other constants were fitted to our experimental conditions<sup>11</sup>.

### III. RESULTS AND DISCUSSION

#### A. Pressure dependence of output energy

Fig. 3 shows the calculated FIR output energy as a function of D<sub>2</sub>O pressure for different pump energies and cavity lengths. These curves show the familiar pressure dependence of D<sub>2</sub>O lasers. In a longitudinally pumped D<sub>2</sub>O laser, the optimum pressure which gives maximum output energy is mainly determined by the absorption property of the D<sub>2</sub>O cell and by pressure broadening at high pressure. Fig. 4 shows the calculated transmission through a D<sub>2</sub>O cell as a function of D<sub>2</sub>O pressure neglecting the presence of FIR radiation. From Fig. 3-4

it is clear that on the low pressure side the decrease of output energy is due to less absorption. Maximum output energy is obtained at a pressure for which 80 - 90 % of the pump energy is absorbed.

On the high pressure side, the decrease of output energy is due to the increase in the molecular collision rate, which leads to broadening and decrease of the gain profile. Two other mechanisms also contribute to the reduction of output energy at high  $D_2O$  pressures: (1) only a short part of the cavity is well pumped and (2) the effective pump energy is lower than that at low pressure operation due to the absorption in region I (Fig. 2). In some cases (high pressure) the output energy is considerably improved by reducing the length of the lossy section between the entrance window and the mirror  $M_1$  (Fig. 2). Around the optimum pressure, however, the influence of the 35 cm long absorbing path is not very important. With this behaviour of the absorption of the pump beam, the operational characteristics of a longitudinally pumped FIR laser can basically be explained, as can be seen in the following results.

The optimum pressure slightly depends on the conditions of operation. For a short cavity a higher pressure is required to efficiently absorb the pump beam. With increasing pump intensity, the  $D_2O$  cell becomes transparent due to the usual saturation and/or bottleneck effect. Thus, the optimum pressure is shifted toward the high pressure side by shortening the cavity length and by increasing the pump intensity.

### B. Bottle neck effect

When the working pressure is varied, we also observe a change in the shape of the FIR laser pulse. Fig. 5 shows the profiles of the FIR laser pulse as well as the incident and the transmitted CO<sub>2</sub> pump pulse. In a strongly pumped cavity at low pressure (1 torr), where the vibrational decay time is long, the bottleneck effect plays an important role. As a result the FIR pulse duration is shortened. The behaviour of the transmitted CO<sub>2</sub> pulse is typical for a Raman process: the pump beam is strongly absorbed during FIR laser operation due to the fact that emission and absorption are coupled in a two-photon process. Around the optimum pressure of 3 Torr, the FIR pulse roughly follows the pump pulse shape, producing the maximum pulse duration. For further increase of the working pressure, the pulse shape is not affected by the bottle neck effect but by excess absorption. The onset of the FIR pulse is delayed with increasing working pressure mainly because the leading section I (Fig. 2) has to be bleached before efficient pumping of the resonator occurs.

Fig. 6 shows the FIR output energy as a function of pump energy for the two different D<sub>2</sub>O pressures of 2 and 5 Torr. For each D<sub>2</sub>O pressure the vibrational decay time has been varied between 1 μs torr and 10 μsec torr to demonstrate its influence on the saturation behaviour. This is in good qualitative agreement with experimental results from our laser system <sup>11</sup>.

In order to avoid pump saturation, it will be necessary to increase the relaxation rate from the excited  $\nu_2$  vibrational level of

D<sub>2</sub>O to the ground state. This can be achieved by adding a suitable buffer gas, as has already been demonstrated for cw FIR laser systems 12.

For a mixture of D<sub>2</sub>O with a buffer gas M the effective rotational and vibrational relaxation times  $\tau_{R,eff}$  and  $\tau_{V,eff}$  consist of two components describing collisions between D<sub>2</sub>O - D<sub>2</sub>O and D<sub>2</sub>O - M. They depend on the partial pressures  $p_{D_2O}$  and  $p_M$  of the two species in the following way

$$\tau_{i,eff} = (p_{D_2O} + p_M) (p_{D_2O}/\tau_{i, D_2O} + p_M/\tau_{i, M})^{-1} \quad (14)$$

$i = R, V$

Collisions between buffer gas molecules are irrelevant in this context. In general, both vibrational and rotational relaxation rates will be affected by collisions between molecules. Whereas an increase in the vibrational relaxation rate will lead to higher FIR output energies, a simultaneous increase in rotational relaxation will have a negative effect. The net effect for a given molecule is a function of both partial pressures as shown in Fig. 7. If  $\tau_{V,eff}$  due to the buffer gas is small, an improvement is to be expected mainly at low pressures. On the other hand if  $\tau_{R,eff}$  is small, the output energy will be reduced especially for high pressure operation. As a consequence there is an optimum partial pressure of the buffer gas.

For the selection of an optimum buffer gas the following criteria can be derived from these studies: it should absorb neither the pump nor the FIR radiation and while considerably improving the vibrational

relaxation of the  $v_2$  level of  $D_2O$ , it should have a negligible influence on the rotational relaxation. In another paper <sup>11</sup> we report on experimental results using  $SF_6$  as a buffer gas. Fitting these measurements with our theory we obtain  $\tau_{v,M} \approx 4 \mu\text{sec torr}$  and  $\tau_{R,M} \approx 20 \text{ nsec}$ . While these are reasonable values they can, however, only be considered as coarse estimates.

### C. Design Parameters

As can be seen above, the operational characteristics are largely affected by the working pressure. Fortunately, during experimental studies the working pressure is the most easily adjustable parameter to maximize the output energy. From the practical point of view, therefore, it is more profitable to simulate other design parameters, such as the pump energy density, the reflectivity of the output coupler and the pump off-set, for a given  $CO_2$  pump laser system, since they usually have to be chosen at the onset and are not easily changed.

Fig. 8 shows the FIR laser efficiency (FIR output energy divided by pump energy) as a function of pump energy density for several pump pulse durations from  $0.1 \mu\text{sec}$  to  $1.2 \mu\text{sec}$ . For a given pump pulse duration there is an optimum pump energy density which changes from  $\sim 0.35 \text{ J/cm}^2$  for a pump pulse duration of  $0.1 \mu\text{sec}$  to  $\sim 1 \text{ J/cm}^2$  for a pump pulse duration of  $1.2 \mu\text{sec}$  at 5 torr of  $D_2O$  pressure. Although the absolute figures will depend on the exact vibrational relaxation time, these calculations can be used to estimate the

decrease in efficiency when the pump pulse duration is increased. As an example, the efficiency for a 0.1  $\mu$ sec pump pulse is twice that of a 1.2  $\mu$ sec pump pulse. These results give the optimum pump beam diameter for a given CO<sub>2</sub> pump energy.

Fig. 9 shows the FIR output energy as a function of the reflectivity of the output coupler. Since FIR lasers have a very high small signal gain, typically more than 10 %/cm, the optimum mirror reflectivity is low. In Fig. 9, the FIR output energy decreases monotonically with increasing reflectivity of the output coupler. In addition, the ratio of the output energy for high intracavity losses to that for low losses is sensitively dependent on the mirror reflectivity and decreases rapidly with increasing reflectivity. These losses are due to diffraction and the imperfections of the coupling mirror M<sub>2</sub> in Fig 2.

Another parameter of an optically pumped D<sub>2</sub>O laser which may be optimized is the frequency difference between the pump line and the absorption transition. Fig. 10 shows the FIR output energy and the efficiency as a function of pump off-set. In these calculations, the dependence of the CO<sub>2</sub> laser pump energy on frequency is assumed to be the following function:

$$E(f) = E_0 \cos(0.4 (f + 0.32)) \quad (15)$$

which is obtained from a fit to experimental data<sup>13</sup>. Here,  $f$  is the pump off-set from the D<sub>2</sub>O line centre in GHz, and  $E_0$  is the maximum pump energy at the CO<sub>2</sub> line centre. The CO<sub>2</sub> tuning curve used is also shown in Fig. 10. As expected from the theory<sup>3</sup>, off-line centre

pumping is more efficient than resonant pumping. Already, the natural off-set of -320 MHz between D<sub>2</sub>O line centre and CO<sub>2</sub> line centre gives reasonable improvement in FIR output energy. The results of Fig. 10 show good agreement with experimental observations<sup>13</sup>. In Fig. 10, tuned pumping is limited by the tunability of the CO<sub>2</sub> pump laser. Fig. 11 shows a similar graph to Fig. 10 for a tuned pump with constant pump energy as might be obtained with a multi-atmosphere pump laser. The FIR output energy varies rather slowly around the optimum pump off-set and we see that the increase in the output energy due to the improved tunability of the pump laser is not very significant.

In general, a comparison of the results from our numerical simulation with experimental measurements shows good qualitative agreement. However, the calculated output energies are a few times higher than those achieved during experiments in our laboratory<sup>11</sup> as well as by other authors<sup>13,14</sup>. One or more of the following reasons could be responsible for this discrepancy: (1) The uncertainty in the vibrational decay time. More detailed information about the molecular relaxation processes and a modification of the set of rate equations are necessary if effects like self-deactivation by inter-vibrational collisions have to be taken into account. (2) The influence of the transverse mode structure. Especially in an unstable resonator, this causes a time varying reflectivity of the output coupler<sup>15</sup>. (3) The transverse inhomogeneity of the pump beam in experiments. This could cause a large diffraction loss. (4) The residual absorption due to the rotational transition within the ground vibrational state, such as  $4_4 \rightarrow 4_2$  which is only 3.7 GHz different from the 385  $\mu\text{m}$  line centre with a line centre absorption coefficient of  $0.45 \text{ cm}^{-1}$ <sup>7</sup>.

#### IV. CONCLUSION

Numerical studies of an optically pumped D<sub>2</sub>O laser, using a spatially averaged time resolved simulation code, are presented. Pressure dependence of the output energy, saturation behaviour, off-resonance pumping as well as the vibrational "bottle neck" effect have been investigated. From the results we conclude that for longitudinal pumping the performance of a high power D<sub>2</sub>O laser strongly depends on the efficiency of pump beam absorption. This has to be considered with regard to optimisation of a D<sub>2</sub>O laser towards higher output energies.

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FIGURES CAPTIONS

Fig. 1 : Schematic energy level diagram of an optically pumped  $D_2O$  laser. (1) two step laser process, (2) two photon Raman Process.  $N_i$  are population densities and  $\tau_v$ ,  $\tau_j$  the vibrational and rotational relaxation time constant, resp.

Fig. 2 : The pumping geometry used in the numerical model. The FIR cavity is formed by the mirrors  $M_1$  and  $M_2$ .  $M_1$  transmits the pump beam with a transmission coefficient of  $\gamma$ . The section I between mirror  $M_1$  and the input window W does not contribute to the FIR output but absorbs pump radiation.

Fig. 3 : The FIR output energy as a function of  $D_2O$  pressure for different pump energies and cavity lengths.

Fig. 4 : The transmission of a  $D_2O$  cell at the pump line as function of  $D_2O$  pressure.

Fig. 5 : Temporal pulse shapes of the FIR output and the  $CO_2$  radiation transmitted through the cell for different  $D_2O$  vapour pressures. The input pump pulse is shown in (a).

Fig. 6 : The FIR output energy as function of pump energy for two vapour pressures and 3 vibrational decay constants.

Fig. 7 : The calculated FIR output energy as function of buffer gas pressure for different vibrational and rotational decay constants and D<sub>2</sub>O pressures. The pairs of numbers in brackets are  $\tau_{v,M}$  in  $\mu\text{sec torr}$  and  $\tau_{R,M}$  in  $\text{nsec torr}$ . (L = 2m, D = 11cm,  $t_p$  = 400 nsec, pump energy = 100 J)

Fig. 8 : The FIR laser efficiency as function of pump energy density for different pump pulse durations.

Fig. 9 : The FIR output energy as a function of the reflectivity of the output coupler for different intracavity losses.

Fig.10 : The FIR output energy (a) and the FIR laser efficiency (b) as function of the pump frequency off-set, for the CO<sub>2</sub> laser efficiency shown in (c).

Fig.11 : Similar to Fig. 10 but for a frequency independant pump source.

TABLE I : Numerical constants used in the calculation

$\sigma_{13}$	: $6.7 \times 10^{-16} \text{ cm}^2 \text{ torr}$	$R_1$	: 1.0
$\sigma_{23}$	: $7.4 \times 10^{-15} \text{ cm}^2 \text{ torr}$	$R_2^*$	: 0.1
$\tau_j$	: 8 nsec torr	$L^*$	: 400 cm
$\tau_v^*$	: 5 $\mu\text{sec}$ torr	$\ell$	: 35 cm
$f_1$	: 0.018	$D$	: 14 cm
$f_2$	: 0.028	$t_p^*$	: 450 nsec**
$f_3$	: 0.025	$\alpha^*$	: 0.5
$f_v$	: 0.005	$\gamma$	: 0.9
$f_g$	: 0.995		

\* These values are used as variable parameters. When values different from those in this table were used, this is indicated in the appropriate figure.

\*\* This value corresponds to a pulse duration (FWHM) of about 1.2  $\mu\text{sec}$ .

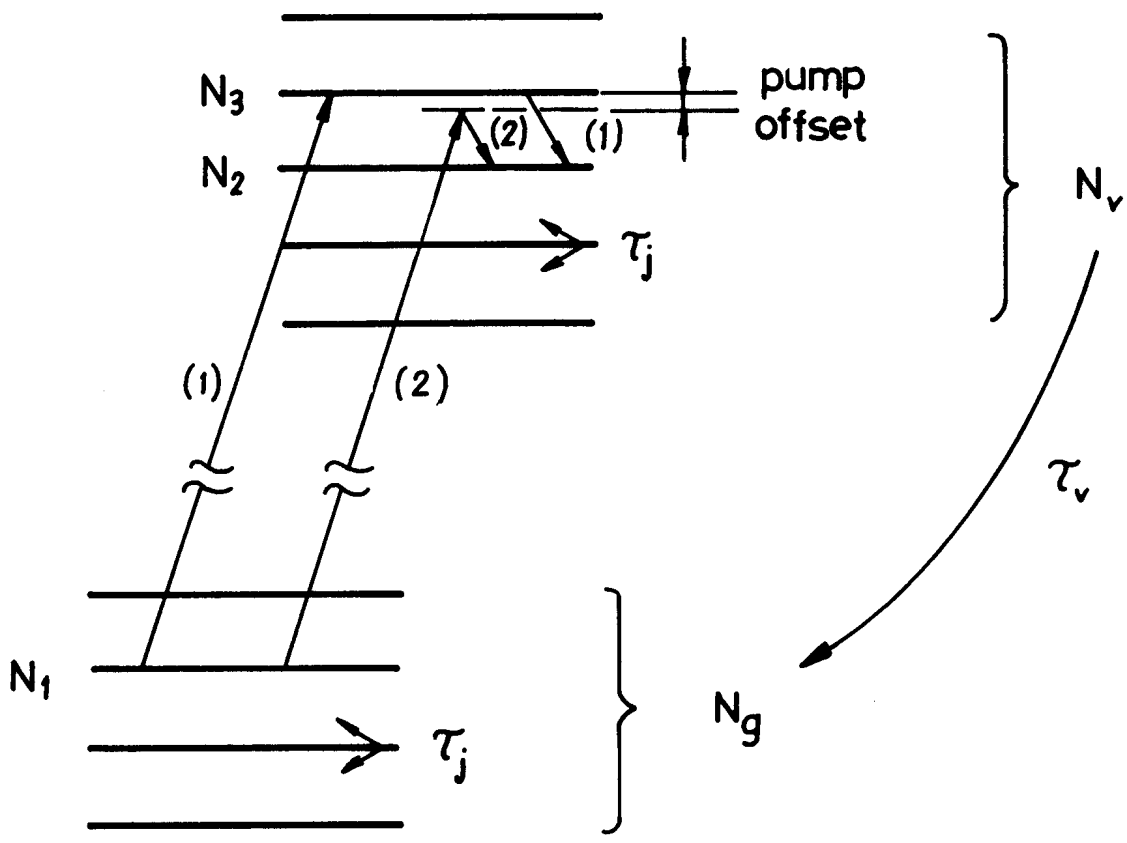


fig. 1

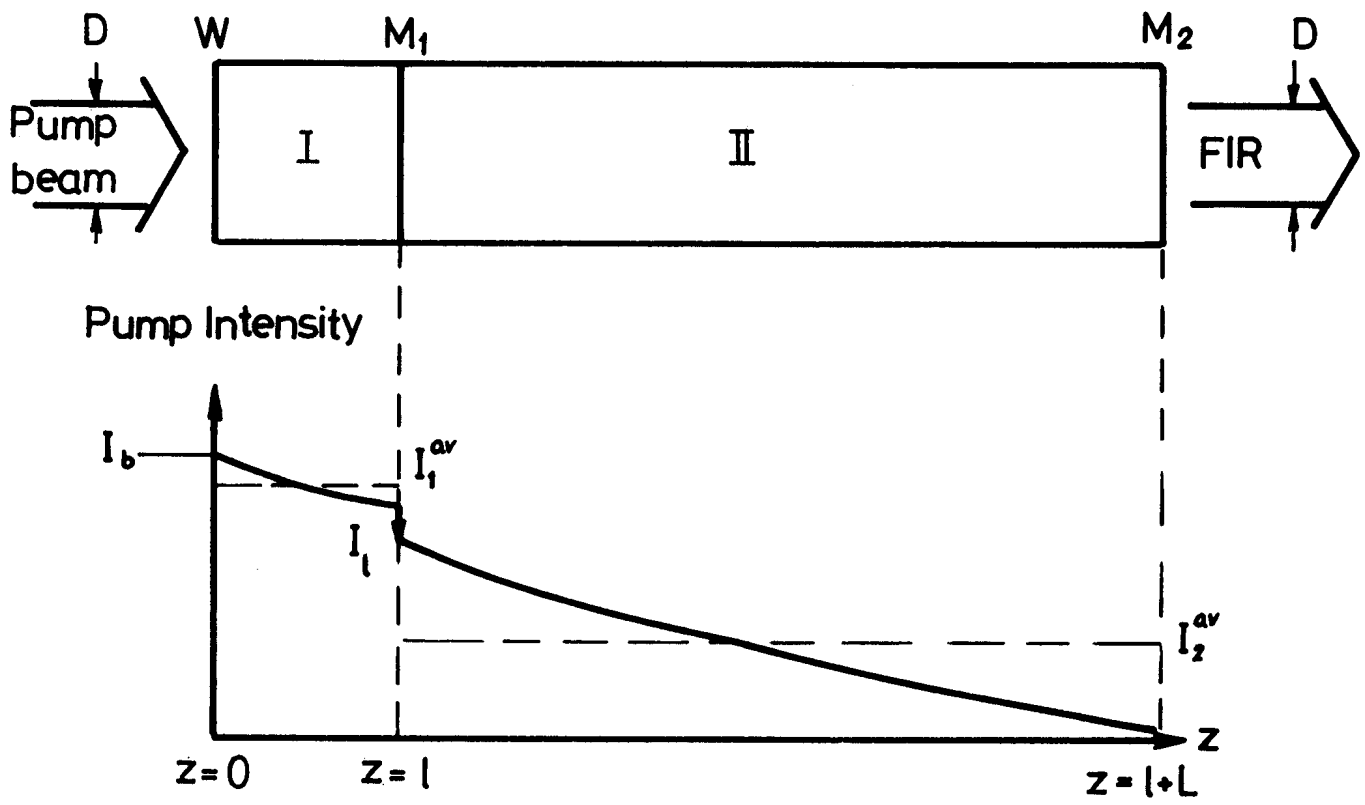


fig. 2