



On the use of cyanine dyes as low-bandgap materials in bulk heterojunction photovoltaic devices

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Abstract

Cyanine dyes with absorption edges of almost 1000 nm were used in combination with MEH-PPV for the fabrication of organic solar cells. For blended thin films, a pronounced phase separation between the two components occurred and resulted in photocurrents with different signs for bilayer and bulk heterojunction devices. Absorption spectra and selective dissolution experiments were used to illustrate the process of vertical phase segregation, with the preferential wetting of the polar anode by the cyanines while maintaining percolating carrier pathways between the electrodes. For a cyanine with long alkyl side chains, the compatibility with the polymer matrix was increased and the development of the effective inverted bilayer configuration was not observed. The generally low oxidative photocurrents were explained with unfavourable shifts of the highest occupied molecular orbital (HOMO) dye energy levels in the solid state.

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1. Introduction

The possibility for the direct conversion of light into electrical current using organic semiconducting materials has attracted much interest during the last years [1]. State-of-the-art organic solar cells make use of a combination of an electron-donor and an electron-acceptor material with suitable redox energy levels, sandwiched as a thin film between a hole- and an electron-accepting electrode [2–6]. The materials can be deposited one by one or are applied as a blend.

The planar geometrical interface of the bilayer heterojunction cell [4] is not optimized for charge generation, since only those photons that are absorbed within the exciton diffusion length (10–40 nm) [3,4] of the interface will create free charge carriers. However, the created charges are spatially separated and confined to the acceptor and donor sites of the interface, and charge recombination is reduced.

The interpenetrating network architecture of the blend (bulk) heterojunction cell is designed for efficient exciton dissociation [2]. However, the charges must be transported to the respective electrodes as well, which requires selective percolating paths for hole and electron transport. Indeed, the prediction and control of the microstructure in dispersed heterojunctions is a complex problem depending on solid-state miscibility, aggregate formation and uncontrollable phase separation of the two materials [7]. The challenge is to fine tune the blend morphology at the nanometer scale, to achieve a demixing in such a way that the material interface area is maximized, with dimensions of phase separation within the exciton diffusion range and continuous pathways for transport of charges to the electrodes at the same time. This critical issue is being addressed increasingly [2,8–12], and inventive device concepts try to combine the high efficiency of charge generation of the bulk with the low resistance to charge transport of the planar heterojunction in single device configurations [3,6].

Another focus of attention in the research on organic solar cells is the development of materials that harvest more photons at long wavelengths. This is because most popular organic solar

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cells [13] are based on materials that absorb light primarily in the ultraviolet and visible region, and a further increase in efficiency requires novel low-bandgap compounds to increase the photocurrent [14].

In this connection, cyanine dyes have attracted attention as active components for optoelectronic applications [15–21]. Cyanines are a class of symmetrically, charged, all-E-configured polymethine dyes. They generally show a very strong π – π^* absorption, which can be tuned through the visible and near-infrared region by varying the length of the polymethine chain. It has recently been demonstrated that cyanine dyes can act both as donors and acceptors in bilayer heterojunction photovoltaic devices [22], and a long-wavelength absorbing cyanine dye has been used with a cyanine-fullerene dyad to produce photocurrent up to 800 nm in multilayer devices [23].

In this work, we examined the applicability of cyanine dyes as electron acceptors, in combination with MEH-PPV as the electron donor polymer, in bulk heterojunction photovoltaic devices. Cyanine dyes with different redox levels were used to investigate the influence of frontier molecular orbital energies on the heterogeneous charge transfer process. At the same time, the lengths of alkyl side chains were varied to affect the compatibility between the donor and acceptor matrix. We compared the performance of blended with bilayer devices and explain the appearance of an inverted bilayer configuration for blend films with high cyanine loading.

2. Experimental

MEH-PPV with an average molecular weight of $M_n = 40000$ – 70000 was purchased from Aldrich. Cyanine dye CyA (Fig. 1a) was purchased from Synthron Chemicals, Germany, and recrystallized from ethylacetate before use, cyanine dyes CyBs and CyBI (Fig. 1a) were synthesized in our laboratory. Perchlorate was the counter anion for all three dyes. $^1\text{H-NMR}$ data for CyBs (DMSO- d_6): 1.38 ppm (6H, t, $J = 7.2$ Hz); 1.90 ppm (2H, m); 1.96 ppm (12H, s); 2.77 ppm (4H, t, $J = 5.9$ Hz); 4.39 ppm (4H, q, $J = 7.2$ Hz); 6.37 ppm (2H, d, $J = 14.3$ Hz); 7.4–8.5 ppm (14H). CyBI (DMSO- d_6): 0.80 ppm (6H, t, $J = 7.1$ Hz); 1.12–1.47 ppm (60H, m); 1.79 ppm (4H, m); 1.90 ppm (2H, m); 1.95 ppm (12H, s); 2.73 ppm (4H, t, $J = 5.9$ Hz); 4.34 ppm (4H, t, $J = 6.8$ Hz); 6.35 ppm (2H, d, $J = 14.2$ Hz); 7.4–8.5 ppm (14H). PEDOT:PSS (from Bayer), chlorobenzene (from Fluka) and 2,2,3,3-tetrafluoro-1-propanol (TFP, from Fluka) were used as received.

The redox potentials of the cyanine dyes were measured in solution by cyclic voltammetry using an Autolab potentiostat and a standard three electrodes cell. Measurements were performed in acetonitrile solution using tetrabutylammonium perchlorate (0.1 mol/L) as supporting electrolyte, a glassy carbon rotating disk (0.3 cm in diameter) as working electrode and a glassy carbon wire as counter electrode. Potentials were measured versus a 3 M KCl Ag/AgCl doublejunction reference electrode and were calibrated against a $\text{Ru}(\text{bpy})_3^{3+}$ reference. To

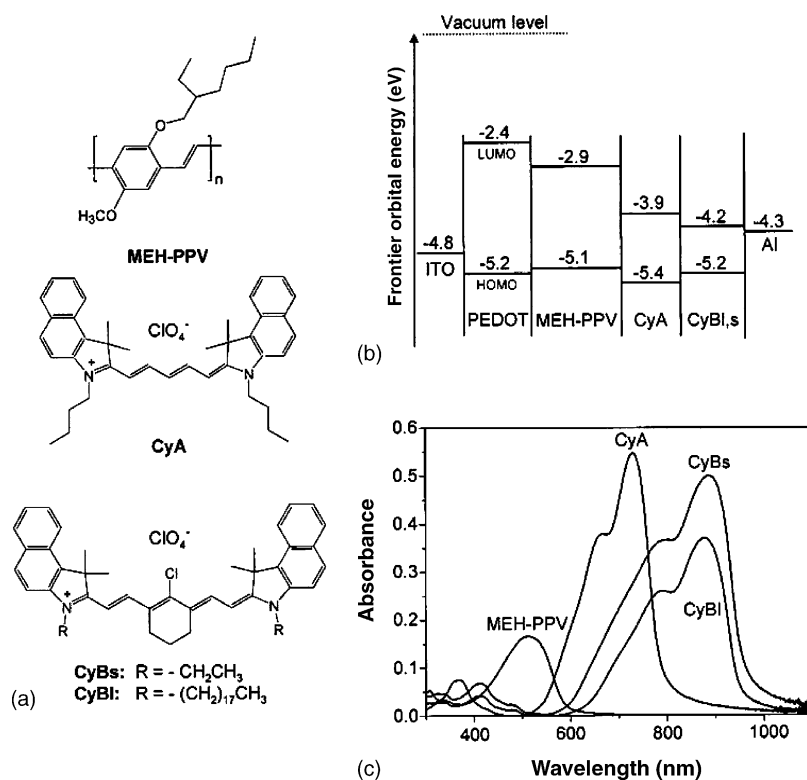


Fig. 1. (a) The molecular structures of the electron-donor MEH-PPV, and the electron-acceptor cyanine dyes CyA, CyBs (with short alkyl side chains), and CyBI (with long alkyl side chains). For all dyes, ClO_4^- was the counter anion. (b) The energy levels of the frontier molecular orbitals. (c) The absorption spectra of films of MEH-PPV (film thickness 23 nm), CyA (48 nm), CyBs (45 nm), and CyBI (49 nm).

relate the electrochemical values to the physical scale, the value of -4.70 eV versus vacuum was taken for the Ag/AgCl reference [24]. The highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) energy levels of MEH-PPV and PEDOT were adopted from the literature [4,21].

Photovoltaic devices were fabricated on ITO-coated glass from Merck with a sheet resistance of $30 \Omega/\text{square}$. In every case, a 90 nm thick PEDOT layer was first spin-coated (60 s at 2000 rpm) onto the anode. After heating at 120°C under vacuum for 90 min, the substrates were transferred to a nitrogen-filled glove box. For planar bilayer devices, a MEH-PPV film with a thickness of approximately 20 nm was then spin-coated (120 s at 1500 rpm) from a 5 mg/mL chlorobenzene solution onto PEDOT. On top of this thin film, the cyanine layers were spin-coated (60 s at 1500 rpm) with a thickness of 45–50 nm from a solution of 5 mg of CyA, CyBs or CyBl dissolved in 1 mL of a solvent mixture of TFP:chlorobenzene = 6:1 by volume. Blended films with a thickness of 70–100 nm were prepared by mixing together different quantities of stock solutions of MEH-PPV (10 mg/mL chlorobenzene) and cyanine dye (10 mg/mL of TFP:chlorobenzene = 1:50) before spin-coating. Finally, a 50 nm thick aluminium cathode layer was thermally deposited under high vacuum ($<3 \times 10^{-6}$ mbar) to form active device areas of 0.07 or 0.03 cm^2 , respectively. Film thicknesses were measured with an Ambios Technology XP-1 surface profilometer. The finished solar cells were stored and subsequently analyzed in a N_2 atmosphere. The spectrally resolved photocurrents under short-circuit conditions were measured using a monochromator with a xenon lamp light source having an irradiation intensity of approximately $5 \text{ mW}/\text{cm}^2$ at 500 nm. Open-circuit voltages under white light were obtained using a Keithley 2400 source-measure unit.

3. Results and discussion

Fig. 1a shows the chemical structures of the electron donor polymer, MEH-PPV, and of the electron acceptor cyanine dyes used in this study. The energy levels of the frontier molecular orbitals are summarized in Fig. 1b, and Fig. 1c shows absorption spectra of thin films as they were used for the bilayer heterojunction configurations. CyA and CyBs, 1 were chosen to vary

the electron acceptor redox levels, and cyanines CyB with short (CyBs) and long (CyBl) alkyl side chains were applied to possibly affect the compatibility between the ionic dyes and the relatively apolar MEH-PPV polymer matrix.

The absorption spectra of Fig. 1c show that the absorptions of MEH-PPV and the cyanine dyes are complementary, allowing to harvest photons in a broad spectral domain ranging from 400 nm up to 1000 nm. The exciton diffusion length of MEH-PPV is 13 nm [4], and we therefore limited the polymer film thickness in the bilayer configuration to approximately 20 nm. The cyanine film thicknesses were 45–49 nm, and the absorption maxima are at 731 nm for CyA, and at 878 nm and 877 nm for CyBs and CyBl, respectively. The optical densities of >0.35 at these wavelengths underline the strong light absorption of cyanine dyes. We measured extinction coefficients ($\text{L}/\text{mol cm}$) in methanol solutions of $\epsilon(680 \text{ nm}) = 190,000$ for CyA, $\epsilon(815) = 270,000$ for CyBs, and $\epsilon(820) = 260,000$ for CyBl. Due to aggregation and polarization effects [23], the absorption maxima are shifted by more than 50 nm to longer wavelengths in the solid state. The absorption maximum of MEH-PPV in the film at 514 nm differed only slightly from the one in solution, being at 506 nm when dissolved in chlorobenzene.

Fig. 2 shows spectrally resolved photocurrent spectra for bilayer and bulk photovoltaic devices of MEH-PPV/CyA (Fig. 2a) and MEH-PPV/CyBl (Fig. 2b) at short circuit conditions. For the bilayer CyA device, the current density at 500 nm is $-1.2 \mu\text{A}/\text{cm}^2$, and a low photocurrent at longer wavelengths, where CyA absorbs, is observed. For blends with increasing cyanine dye concentration, the photocurrent in the MEH-PPV absorption region first decreases, then changes the sign, and is $+0.8 \mu\text{A}/\text{cm}^2$ for a blend with 70 wt% of CyA. Very small photocurrents at wavelengths >600 nm were measured for the blend devices. Results for MEH-PPV/CyBs devices were similar, with photocurrents in the 400–600 nm region of approximately double intensities as for CyA, and negligible currents at longer wavelengths for all cells (data not shown). We also note that solar cells made with MEH-PPV monolayers only showed photocurrents with maxima of $<0.03 \mu\text{A}/\text{cm}^2$, and that dark currents in the -0.5 to $+1$ V range were always $<0.01 \mu\text{A}/\text{cm}^2$.

The photocurrent spectra of Fig. 2a demonstrate the reductive charge transfer process after photoexcitation of MEH-PPV.

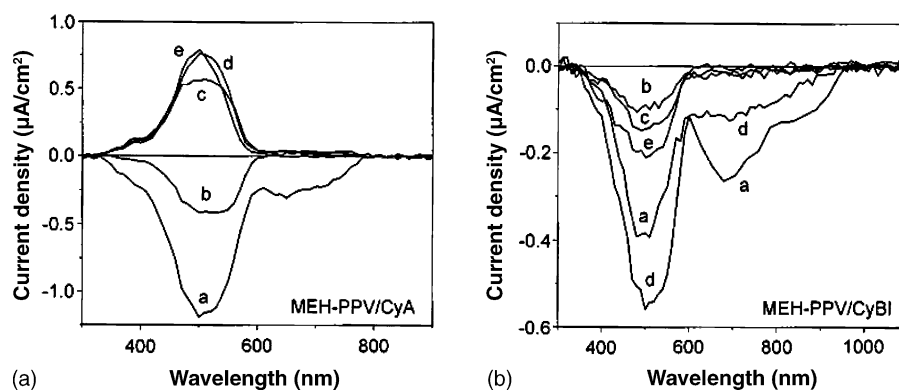


Fig. 2. Short-circuit photocurrent spectra of (a) MEH-PPV/CyA and (b) MEH-PPV/CyBl photovoltaic devices. Letter a denotes a planar bilayer configuration, and b–e are results from blended devices with 15, 30, 50, and 70 wt% of cyanine, respectively.

The energy differences between the LUMO levels of MEH-PPV and CyA or CyBs are sufficiently large (>1 eV) to overcome the exciton binding energy [25], and efficient exciton dissociation at donor–acceptor heterointerfaces can take place. On the other hand, the oxidative charge transfer, that is, the initial photocurrent generation process after excitation of the electron acceptors, is unfavourable for CyA, and not allowed for CyBs at all. This can be explained by the small energy differences between the HOMO levels of the donor and acceptor materials. These differences are 0.3 eV for MEH-PPV/CyA, and only 0.1 eV for MEH-PPV/CyBs, and the driving force for electron transfer is small [3]. We also note that the frontier orbital energies of Fig. 1b were obtained from cyclic voltammetry measurements in solution, and these values lose their absolute meaning for the electron transfer phenomena in the solid state. This is because the polarity and specific intermolecular interactions of the cyanine dyes in solution are markedly different from those in the solid state, and the redshifts of the absorbance maxima (see above) must be accompanied by cooperative upward or downward shifts of the cyanine HOMO and LUMO levels in the photovoltaic devices. We cannot completely rule out the alternative possibility that the small exciton diffusion coefficients of CyA and CyBs impose a strong limit of the fraction of cyanine excitons reaching the heterointerface. However, cyanines are known to be efficient electron donors in combination with C_{60} [21–23]. Therefore, we conclude it is rather the small energy difference between the HOMO donor and acceptor levels that prevent the efficient oxidative electron transfer, and that the exciton diffusion ranges for CyA and CyBs are not current limiting. An additional contribution to the photocurrent at long wavelengths might come from exciton dissociation at the cyanine/Al interface. This would explain the fact that an oxidative photocurrent was observed for the MEH-PPV/CyA bilayer configuration only (Fig. 2a).

Substantial photocurrents in the CyA and CyBs absorption regions necessitate cyanines with lower HOMO energy levels. The balanced design of the molecular frontier orbital levels is generally a challenge when using low-bandgap materials, in order to maintain both the efficiency of charge generation and high open-circuit voltage at the same time [7,14]. If both electron transfer reactions take place and assuming complete photon absorption, the absorption edge of almost 1000 nm for CyBs, results in potentially high photocurrents since more than 80% of the incoming solar light is harvested [26]. On the other hand, in heterojunction photovoltaic devices the open-circuit voltage, V_{oc} , is related to the energy difference between the HOMO of the donor and the LUMO of the acceptor [1,7]. It follows that the relative frontier energy levels should be adjusted in such a way that both materials contribute to the photocurrent, but not be chosen too different such that the charge generation processes take place at the expense of a decreased V_{oc} .

The sign inversion of the photocurrent when comparing a bilayer with blended MEH-PPV/CyA (or CyBs) solar cells is an unusual observation (Fig. 2a). We explain this phenomenon by a demixing process during spin-coating of the blended films, accompanied by a surface-oriented phase separation which leads to the aggregation of the cyanines at the polar PEDOT surface [9,27]. Indeed, cyanine dyes are ionic

molecules and blends with MEH-PPV are likely to be strongly incompatible. For low cyanine concentrations, they segregate and form embedded clusters in the MEH-PPV matrix. The carrier-conducting pathways then contain bottlenecks acting as traps, which leads to increased charge recombination and loss of current. Reduced photocurrents for low dye contents are therefore expected. For increasing dye concentrations, vertical phase segregation starts with the preferential wetting of the polar anode surface by the cyanines. The device gradually changes from a bilayer ITO/PEDOT/MEH-PPV/CyA/Al structure to an ITO/PEDOT/CyA/MEH-PPV/Al configuration. This has the effect of enabling internal currents to flow locally within different parts of the device with low external current for intermediate cyanine dye concentrations. Finally, the inverted morphology predominates and the sign of the photocurrents changes for blended devices with high acceptor concentrations.

We also confirmed the necessity that the changing morphology must be accompanied by a sign inversion of V_{oc} , and measured $V_{oc}=0.63$ V for the CyA bilayer device using white-light irradiation (~ 0.25 W/cm²), and $V_{oc}=-0.27$ V for the 70 wt% blend. For CyBs, V_{oc} was 0.79 V for the bilayer cell, and $V_{oc}=0.33, -0.24, -0.38, -0.27$ V were measured for CyBs blends with increasing cyanine concentrations. The open-circuit voltages of the blends are lower than those of the bilayer device. This indicates the occurrence for direct paths between the cathode and anode in the blended devices [5], predominantly consisting of MEH-PPV for low acceptor concentrations, and of cyanines for high concentrations. Evidence for such percolating carrier pathways was obtained from selective dissolution experiments of photovoltaic devices with tetrafluoropropanol (see below).

Extending the dye side chains from ethyl in CyBs to octadecyl in CyBl (Fig. 1a) increased the compatibility with the donor polymer. The phase separation process and wetting of the anode during spin-coating was therefore not as pronounced as for the more polar CyA and CyBs components, and photocurrents of bilayer and blend devices of MEH-PPV/CyBl have always the same sign (Fig. 2b). In the MEH-PPV absorption region, currents of blended cells are low both for low and high dye concentrations, and pass through a maximum for the 50 wt% sample. At the same time, a relative increase of photocurrents in the cyanine dye absorption region is observed. For this material combination both the reductive and oxidative electron transfer reactions are therefore allowed. This indicates that the redox levels of CyBl in the solid state more likely shifted to lower energies, in contrast to CyBs. This relative shift also means that the energy difference between the HOMO of MEH-PPV and the LUMO level of CyBl is lowered. As explained above, this should be reflected in a reduction of the open-circuit voltage. Indeed, V_{oc} was 0.34 V for the CyBl bilayer device only, considerable less than then values of 0.63 V for CyA and 0.79 V for CyBs.

The current densities for CyBl blend devices are lower than for CyA (and CyBs), although the more homogeneous dispersion of CyBl in blend devices facilitates exciton dissociation and charge generation, the necessary first step to obtain a photocurrent (Fig. 2a and b). Also, the efficiency of the bilayer cell is reduced by about a factor of three. This supports the fact

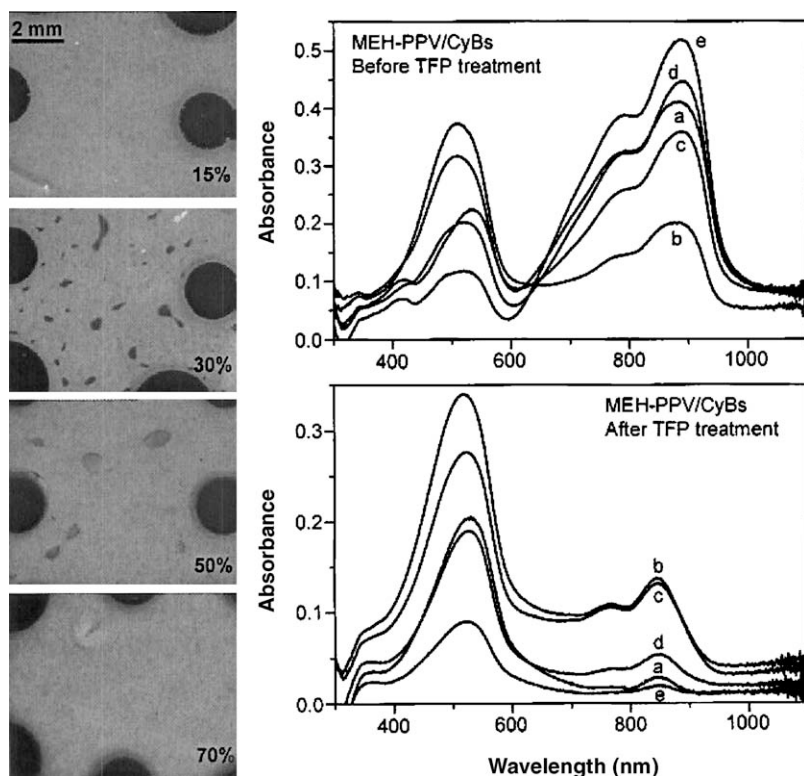


Fig. 3. Left: optical microscope pictures of MEH-PPV/CyBs bulk heterojunction photovoltaic devices after immersion for 2 min in 2,2,3,3-tetrafluoro-1-propanol (TFP). The black circles with diameters of 0.2 or 0.3 cm represent the aluminium cathode. Right: absorption spectra before and after TFP treatment. Letter **a** denotes the bilayer configuration, and **b–e** are results from blended devices with 15, 30, 50, and 70 wt% of cyanine, respectively.

that a fine scale of phase separation in the blend is not the only criterion to obtain high photosensitivity, and it is known that too intimate mixing between the two components can lead to reduced charge collection due to small charge mobilities and non-connected islands which act as charge trap centers [5,7]. The long alkyl side chains in CyB1 also increase the distance between cyanine cores, leading to inefficient electron transfer through the acceptor phase. This might explain the lower photocurrent for the CyB1 bilayer device [11].

To confirm the proposed morphology of blended films, cells were immersed for 2 min in 2,2,3,3-tetrafluoro-1-propanol (TFP), a selective solvent for cyanines. Solvent-treated films were then visually inspected and UV spectroscopy was used to optically detect the dye left in the film. Fig. 3 shows absorption spectra of original CyBs devices and after TFP treatment together with the corresponding optical microscope pictures.

The absorbances in the MEH-PPV region (400–600 nm) remain constant, which proves the selectivity of TFP and shows that the thin films were not simply peeled off mechanically during the immersion in the solvent. CyBs in the bilayer structure was dissolved completely and the absorbance at 880 nm decreases from approximately 0.4 (before) to less than 0.02 (after TFP treatment). For blended films, the change in absorption was opposite from what was expected. The relative decrease is less than a factor of two for low cyanine contents, whereas for high concentrations, the dyes were completely dissolved. For small contents, cyanine dye aggregates are formed that are embedded in the polymer matrix and therefore not accessible to TFP. For

high concentrations, a wetting layer covers the anode, however, with percolating paths for the cyanines between the two electrodes at the same time. This allows TFP to penetrate the film and to effectively rinse out the cyanine molecules.

Microscope pictures of blend devices after TFP treatment illustrate this sequence (Fig. 3). For the 15 wt% sample the cyanine aggregates are small and the film appears unstructured. The same is true for the 70% sample, however, because in this case the cyanine was completely removed by TFP. For the intermediate devices, embedded dye aggregates with sizes up to 0.1 cm are clearly visible. This scale of phase separation is orders of magnitude larger than what is usually dealt with in blended thin films [9,10,12] and again reflects the strong incompatibility between CyBs and MEH-PPV. Similar observations were made for CyA. Finally, for CyB1 devices, all films appeared unstructured looked at by optical microscopy, in agreement with the better miscibility between the two components and the finer scale of phase separation in the blended films.

4. Conclusion

The observed morphologies and the scale of phase-separated structures in blended films can be attributed to the ionic nature of the cyanines. These effects are unlikely to be restricted to MEH-PPV. Rather, they may occur with other popular electron donor polymers, such as poly(3-hexylthiophene), as well. However, the spatial distribution can be tuned by varying the cyanine substituents, and the vertical phase segregation phenomenon could

exploited to produce layered spin-coated films when selective solvents are not available. To produce efficient bulk heterojunction photovoltaic devices with small cyanine dye molecules, we suggest that semiconducting, conjugated polyelectrolytes and the anionic electron donor counterparts might be a conceptual solution. We are also synthesizing cyanine polymers and donor-cyanine acceptor diblock copolymers. Such systems are known to self-organize with domain sizes down to the nanometre scale, and thus allow the controlled arrangement of the biphasic network to balance exciton dissociation and charge transport requirements.

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