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Cloaking using complementary media in the quasistatic regime

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Abstract

Cloaking using complementary media was suggested by Lai et al. in [8]. The study of this problem faces two difficulties. Firstly, this problem is unstable since the equations describing the phenomenon have sign changing coefficients, hence the ellipticity is lost. Secondly, the localized resonance, i.e., the field explodes in some regions and remains bounded in some others as the loss goes to 0, might appear. In this paper, we give a proof of cloaking using complementary media for a class of schemes inspired from [8] in the quasistatic regime. To handle the localized resonance, we introduce the technique of removing localized singularity and apply a three spheres inequality. The proof also uses the reflecting technique in [11]. To our knowledge, this work presents the first proof on cloaking using complementary media.

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1. Introduction

Negative index materials (NIMs) were first investigated theoretically by Veselago in [18] and were innovated by Nicorovici et al. in [15] and Pendry in [16]. The existence of such materials was confirmed by Shelby, Smith, and Schultz in [17]. The study of NIMs has attracted a lot the attention of the scientific community thanks to their many possible applications. One of the appealing ones is cloaking. There are at least three ways to do cloaking using NIMs. The first one is based on the concept of anomalous localized resonance discovered by Milton and Nicorovici in [10]. The second one is based on plasmonic structures introduced by Alu and Engheta in [2]. The last one makes use of the concept of complementary media and was suggested by Lai et al. in [8]. In this paper, we concentrate on the last method.

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The study of cloaking using complementary media faces two difficulties. Firstly, this problem is unstable since the equations describing the phenomenon have sign changing coefficients, hence the ellipticity is lost. Secondly, the localized resonance, i.e., the field explodes in some regions and remains bounded in some others as the loss goes to 0, might appear, see [8, Figure 2].

In this paper, we give a proof of cloaking using complementary media for a class of schemes inspired by the work of Lai et al. in [8] in the quasistatic regime. To handle the localized resonance, we introduce the technique of removing localized singularity and apply a three spheres inequality. The proof also uses the reflecting technique in [11]. To our knowledge, this work presents the first proof on cloaking using complementary media.

Let us describe how to cloak the region $B_{2r_2} \setminus B_{r_2}$ for some $r_2 > 0$ in which the medium is characterized by a matrix a using complementary media. Here and in what follows given $r > 0$, B_r denotes the ball in \mathbb{R}^d ($d = 2$ or 3) centered at the origin of radius r . The assumption on the cloaked region by all means imposes no restriction since any bounded set is a subset of such a region provided that the radius and the origin are appropriately chosen. The idea suggested by Lai et al. in [8] (for two dimensions) is to construct a complementary medium of a in $B_{r_2} \setminus B_{r_1}$ for some $0 < r_1 < r_2$. Inspired by their idea, we construct a cloak in two and three dimensions as follows. Our cloak consists of two parts. The first one, in $B_{r_2} \setminus B_{r_1}$, makes use of complementary media to cancel the effect of the cloaked region. The second one, in B_{r_1} , is to fill the space which “disappears” from the cancellation by the homogeneous media. For the first part, we slightly change the strategy in [8]. Instead of $B_{2r_2} \setminus B_{r_2}$, we consider $B_{r_3} \setminus B_{r_2}$ for some $r_3 > 0$ as the cloaked region in which the medium is given by the matrix

$$b = \begin{cases} a & \text{in } B_{2r_2} \setminus B_{r_2}, \\ I & \text{in } B_{r_3} \setminus B_{2r_2}. \end{cases}$$

The complementary medium in $B_{r_2} \setminus B_{r_1}$ is given by

$$-(F^{-1})_* b,$$

where $F : B_{r_2} \setminus \bar{B}_{r_1} \rightarrow B_{r_3} \setminus \bar{B}_{r_2}$ is the Kelvin transform with respect to ∂B_{r_2} , i.e.,

$$F(x) = \frac{r_2^2}{|x|^2} x. \quad (1.1)$$

Here and in what follows we use the standard notation

$$T_* b(y) = \frac{DT(x)b(x)DT^T(x)}{J(x)} \text{ where } x = T^{-1}(y) \text{ and } J(x) = |\det DT(x)|,$$

for a diffeomorphism T . It follows that

$$r_1 = r_2^2/r_3. \quad (1.2)$$

Concerning the second part, the medium in B_{r_1} is given by

$$\left(r_3^2/r_2^2\right)^{d-2} I. \quad (1.3)$$

The reason for this choice is condition (1.11) (mentioned later in the introduction). Note that in the two dimensional case, the medium in B_{r_1} is I , as used in [8], while it is not I in the three dimensional case. The cloaking scheme discussed here can be extended for a large class of reflections considered in [11]. The cloaking setting is illustrated in Fig. 1.

To study the problem correctly, one should allow some loss in the medium and study the limit as the loss goes to 0. With the loss, the medium is characterized by $s_\delta A$ where

$$A = \begin{cases} b & \text{in } B_{r_3} \setminus B_{r_2}, \\ F_*^{-1} b & \text{in } B_{r_2} \setminus B_{r_1}, \\ \left(r_3^2/r_2^2\right)^{d-2} I & \text{in } B_{r_1}, \\ I & \text{otherwise,} \end{cases} \quad (1.4)$$

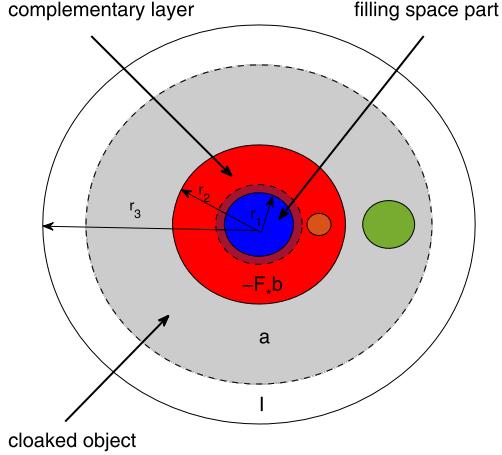


Fig. 1. The cloaked region in $B_{r_3} \setminus B_{r_2}$ consists of two parts: a in $B_{2r_2} \setminus B_{r_2}$ (grey and green regions), which is the original object, and I in $B_{r_3} \setminus B_{2r_2}$. The cloaking device contains two parts. The first part $-F_*b$ (the red, orange, and pink regions) in $B_{r_2} \setminus B_{r_1}$ is the complementary medium of b : the red part is complementary to the grey part, the orange part is the complementary to the green part, and the pink part is the complementary to I -layer. The second part $(r_3^2/r_2^2)^{d-2} I$ (the blue region) is to fill the space which disappears by the cancellation. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

and

$$s_\delta = \begin{cases} -1 + i\delta & \text{in } B_{r_2} \setminus B_{r_1}, \\ 1 & \text{otherwise.} \end{cases} \quad (1.5)$$

Physically, the imaginary part of $s_\delta A$ is the loss of the medium (more precisely the loss of the medium of negative index in $B_{r_2} \setminus B_{r_1}$). Here and in what follows, we assume that,

$$\frac{1}{\Lambda} |\xi|^2 \leq \langle a(x)\xi, \xi \rangle \leq \Lambda |\xi|^2 \quad \forall \xi \in \mathbb{R}^d, \text{ for a.e. } x \in B_{2r_2} \setminus B_{r_2}, \quad (1.6)$$

for some $\Lambda \geq 1$. Here and in what follows $\langle \cdot, \cdot \rangle$ denotes the Euclidean scalar product in \mathbb{R}^d . We require in addition that

b is Lipschitz.

This paper deals with the bounded setting equipped the zero Dirichlet boundary condition in the quasistatic regime. Let Ω be a smooth open subset of \mathbb{R}^d ($d = 2, 3$) such that $B_{r_3} \subset\subset \Omega$. Given $f \in L^2(\Omega)$, let $u_\delta, u \in H_0^1(\Omega)$ be respectively the unique solution to

$$\operatorname{div}(s_\delta A \nabla u_\delta) = f \text{ in } \Omega, \quad (1.7)$$

and

$$\Delta u = f \text{ in } \Omega. \quad (1.8)$$

Here is the main result of this paper.

Theorem 1. *Let $d = 2, 3$, $f \in L^2(\Omega)$ with $\operatorname{supp} f \subset \Omega \setminus B_{r_3}$ and let $u, u_\delta \in H_0^1(\Omega)$ be the unique solution to (1.7) and (1.8) respectively. There exists $\ell > 0$, depending only on r_2 , Λ , and the Lipschitz constant of b such that if $r_3 > \ell r_2$ then*

$$u_\delta \rightarrow u \text{ weakly in } H^1(\Omega \setminus B_{r_3}) \text{ as } \delta \rightarrow 0. \quad (1.9)$$

For an observer outside B_{r_3} , the medium in B_{r_3} looks like the homogeneous one by (1.9) (see also (1.8)): one has cloaking.

One can verify that medium $s_0 A$ is of reflecting complementary property, a concept introduced in [11, Definition 1], by considering diffeomorphism $G : \mathbb{R}^d \setminus \bar{B}_{r_3} \rightarrow B_{r_3} \setminus \{0\}$ which is the Kelvin transform with respect to ∂B_{r_3} , i.e.,

$$G(x) = r_3^2 x / |x|^2. \quad (1.10)$$

It is important to note that

$$G_* F_* A = I \text{ in } B_{r_3} \quad (1.11)$$

since $G \circ F(x) = (r_3^2/r_2^2)x$. This is the reason behind the choice of A in (1.3).

The proof of [Theorem 1](#) is based on two crucial ingredients. The first one is a three spheres inequality. The second one is the technique of removing localized singularity introduced in this paper.² The proof of [Theorem 1](#) is inspired by the approach in [11] where the reflecting technique is used. The removing singularity technique is used in [12,13] to study superlensing and cloaking via anomalous localized resonance.

NIMs have been studied extensively recently see [3–7,9–11,14] and references therein. In [3] and [7], Ammari et al. and Kohn et al. studied the blow up of the power ($\sim \delta \|u_\delta\|_{H^1}^2$) for a general core-shell structure with piecewise constant coefficients. Without the core, it is shown in [14] that there is no connection between the blow up of the power and the localized resonance in general. In the works mentioned, the localized resonance was considered only in the cases where the settings are simple enough so that the separation of variables can be applied.

The paper is organized as follows. In Section 2, we state and prove several useful lemmas which are used in the proof of [Theorem 1](#). The proof of [Theorem 1](#) is presented in Section 3.

2. Preliminaries

In this section, we present some lemmas which will be used in the proof of [Theorem 1](#). The first one is on a three spheres inequality for Lipschitz matrix-valued functions.

Lemma 1 (Three spheres inequality). *Let $d = 2, 3$, $0 < R_1 < R_2 < R_3$ and let M be a Lipschitz matrix-valued function defined in B_{R_3} such that M is uniformly elliptic in B_{R_3} , $M(0) = I$, and $M(x) = I$ for $x \in B_{R_3} \setminus B_{R_2/2}$. Let $v \in H^1(B_{R_3})$ be a solution to the equation*

$$\operatorname{div}(M \nabla v) = 0 \text{ in } B_{R_3}.$$

Then for all $0 < \alpha < 1$, there exists R_ depending only on R_1 , R_2 , and the Lipschitz and ellipticity constants of M such that, for $R_3 > R_*$,*

$$\|v\|_{L^2(B_{R_2})} \leq C \|v\|_{L^2(B_{R_1})}^\alpha \|v\|_{L^2(B_{R_3})}^{1-\alpha}, \quad (2.1)$$

for some positive constant C independent of v .

Proof. By [1, [Theorem 2.3](#) and (2.10)], there exists a constant $0 < \beta < 1$ which depends only on R_1 and R_2 such that

$$\|v\|_{L^2(B_{R_2})} \leq C \|v\|_{L^2(B_{R_1})}^\beta \|v\|_{L^2(B_{2R_2})}^{1-\beta}. \quad (2.2)$$

Here and in what follows in this proof, C denotes a positive constant which is independent of v and can change from one place to another. We claim that

$$N(v, 2R_2) \leq CN(v, R_2/2)^\gamma N(v, R_3/2)^{1-\gamma}, \quad (2.3)$$

where

$$N(v, r) := \|v\|_{H^{1/2}(\partial B_r)} + \|\partial_r v\|_{H^{-1/2}(\partial B_r)}$$

² The terminology “oscillation” has been frequently used in the physics literature to mention the localized resonance. Here we use the standard terminology from mathematics community: “singularity”. Both of them would be fine if one looks at the removed term \hat{u}_δ defined in (3.31) in the proof of [Theorem 1](#).

and

$$\gamma = \ln [R_3/(4R_2)] / \ln [R_3/R_2].$$

The proof of (2.3) can be proceeded as follows. We only consider the case $d = 2$, the case $d = 3$ follows similarly. Since $\Delta v = 0$ in $B_{R_3} \setminus B_{R_2/2}$, one can represent v in $B_{R_3} \setminus B_{R_2/2}$ as follows

$$v(x) = \sum_{n \geq 0} \sum_{\pm} (a_{n,\pm} r^{n,\pm} + b_{n,\pm} r^{-n}) e^{\pm i n \theta}$$

for $a_{n,\pm}, b_{n,\pm} \in \mathbb{C}$ ($n \geq 0$) with the convention $a_{0,+} = a_{0,-} = b_{0,+} = b_{0,-}$. For $R_2/2 \leq r \leq R_3$, we have

$$N(v, r)^2 \sim \sum_{n \geq 0} \sum_{\pm} (n+1) (|a_{n,\pm}|^2 r^{2n} + |b_{n,\pm}|^2 r^{-2n}).$$

Here for two nonnegative quantities τ_1 and τ_2 , $\tau_1 \sim \tau_2$ means that $\tau_1 \leq C\tau_2$ and $\tau_2 \leq C\tau_1$. It follows that, for $R_2/2 \leq r_1 < r_2 < r_3 \leq R_3$,

$$N(v, r_2) \leq CN(v, r_1)^\lambda N(v, r_3)^{1-\lambda},$$

where $\lambda = \lambda(r_1, r_2, r_3) := \ln(r_3/r_1)/\ln(r_3/r_2)$. We obtain claim (2.3).

A combination of (2.2) and (2.3) yields

$$\|v\|_{L^2(B_{R_2})} \leq C \|v\|_{L^2(B_{R_1})}^{\frac{\beta}{1-\gamma(1-\beta)}} \|v\|_{L^2(B_{R_3})}^{\frac{(1-\beta)(1-\gamma)}{1-\gamma(1-\beta)}}. \quad (2.4)$$

Here we use the fact that

$$\|v\|_{L^2(B_{2R_2})} \leq CN(v, 2R_2), \quad N(v, R_2/2) \leq C \|v\|_{L^2(B_{R_2})}, \quad \text{and} \quad N(v, R_3/2) \leq C \|v\|_{L^2(B_{R_3})}.$$

By taking R_3 large enough so that γ is close to 1, $\frac{(1-\beta)(1-\gamma)}{1-\gamma(1-\beta)}$ is close to 0. The conclusion follows. \square

The second lemma of this section is standard and its proof is left to the reader.

Lemma 2. Let $d \geq 2$, $\alpha, \beta > 0$, $D \subset\subset \Omega$ be two smooth open subsets of \mathbb{R}^d , and $f \in L^2(\Omega)$, $g \in H^{1/2}(\partial\Omega)$, and $h \in H^{-1/2}(\partial D)$. Assume that $v \in H^1(\Omega \setminus \partial D)$ is such that

$$\Delta v = f \text{ in } \Omega \setminus \partial D, \quad v = 0 \text{ on } \partial\Omega, \quad (2.5)$$

and

$$[v] = g \text{ on } \partial D, \quad \text{and} \quad [\partial_\eta v] = h \text{ on } \partial D. \quad (2.6)$$

We have

$$\|v\|_{H^1(\Omega \setminus \bar{D})} + \|v\|_{H^1(D)} \leq C \left(\|f\|_{L^2(\Omega)} + \|g\|_{H^{1/2}(\partial D)} + \|h\|_{H^{-1/2}(\partial D)} \right), \quad (2.7)$$

for some positive constant C independent of f , g , and h .

Here and in what follows, $v|_+$, $v|_-$, and $[v]$ on ∂D denote the trace of a function v from the exterior, interior of D , and $v|_+ - v|_-$ on ∂D respectively. Similarly, the notations $\mathcal{M}\nabla v \cdot \eta|_+$, $\mathcal{M}\nabla v \cdot \eta|_-$, and $[\mathcal{M}\nabla v \cdot \eta]$ are used on ∂D for an appropriate matrix \mathcal{M} and an appropriate function v , where η is the normal unit vector directed to the exterior of D .

The following lemma which is a consequence of [11, Lemma 4] is used in the proof of Theorem 1.

Lemma 3. Let $d \geq 2$, $0 < R_1 < R_2 < R_3$ with $R_3 = R_2^2/R_1$, $a \in [L^\infty(B_{R_3 \setminus R_2})]^{d \times d}$ be a matrix valued function, and $K : B_{R_2} \setminus \bar{B}_{R_1} \rightarrow B_{R_3} \setminus \bar{B}_{R_2}$ be the Kelvin transform with respect to ∂B_{R_2} , i.e.,

$$K(x) = R_2^2 x / |x|^2.$$

For $v \in H^1(B_{R_2} \setminus B_{R_1})$, define $w = v \circ F^{-1}$. Then

$$\operatorname{div}(a\nabla v) = 0 \text{ in } B_{R_2} \setminus B_{R_1}$$

if and only if

$$\operatorname{div}(K_* a \nabla w) = 0 \text{ in } B_{R_3} \setminus B_{R_2}.$$

Moreover,

$$w = v \quad \text{and} \quad K_* a \nabla w \cdot \eta = -a \nabla v \cdot \eta \text{ on } \partial B_{R_2}.$$

3. Proof of Theorem 1

Let \mathcal{A} be a matrix valued function defined in B_{r_3} such that $\mathcal{A} = A = b$ in $B_{r_3} \setminus B_{r_2}$, $\mathcal{A}(0) = I$, and \mathcal{A} is Lipschitz. Take $\ell > 4$ large enough such that (2.1) holds for

$$\alpha = 2/3, \tag{3.1}$$

with $M = \mathcal{A}$, $R_1 = r_2$, $R_2 = 4r_2$, and $R_3 = r_3$. Then

$$\|v\|_{L^2(B_{4r_2})} \leq \|v\|_{L^2(B_{r_2})}^\alpha \|v\|_{L^2(B_{r_3})}^{1-\alpha}, \tag{3.2}$$

for $v \in H^1(B_{r_3})$ which satisfies $\operatorname{div}(\mathcal{A}\nabla v) = 0$ in B_{r_3} .

Multiplying (1.7) by \bar{u}_δ and integrating on Ω , we obtain

$$\int_{\Omega} \langle s_\delta \mathcal{A} \nabla u_\delta, \nabla u_\delta \rangle = - \int_{\Omega} f \bar{u}_\delta.$$

Considering the imaginary part and the real part, we have

$$\int_{\Omega} |\nabla u_\delta|^2 \leq C \delta^{-1} \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}. \tag{3.3}$$

In this proof, C denotes a positive constant changing from one place to another but independent of δ and f . Since $u_\delta = 0$ on $\partial\Omega$, it follows that

$$\|u_\delta\|_{L^2(\Omega)} \leq C \|\nabla u_\delta\|_{L^2(\Omega)}.$$

We derive from (3.3) that

$$\|u_\delta\|_{H^1(\Omega)} \leq C \delta^{-1/2} \|f\|_{L^2(\Omega)}^{1/2} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^{1/2} \tag{3.4}$$

and

$$\|u_\delta\|_{H^1(\Omega)} \leq C \delta^{-1} \|f\|_{L^2(\Omega)}. \tag{3.5}$$

As in [11], let $u_{1,\delta}$ be the reflection of u_δ through ∂B_{r_2} by F , i.e.,

$$u_{1,\delta} = u_\delta \circ F^{-1} \text{ in } \mathbb{R}^d \setminus \bar{B}_{r_2}. \tag{3.6}$$

Applying Lemma 3 and using the fact that $F_* A = A$ in $B_{r_3} \setminus B_{r_2}$, we obtain

$$u_{1,\delta} = u_\delta|_+ \text{ on } \partial B_{r_2} \quad \text{and} \quad (1 - i\delta) A \nabla u_{1,\delta} \cdot \eta = A \nabla u_\delta \cdot \eta|_+ \text{ on } \partial B_{r_2}. \tag{3.7}$$

Define U_δ in B_{r_3} as follows

$$U_\delta = \begin{cases} u_\delta - u_{1,\delta} & \text{in } B_{r_3} \setminus B_{r_2}, \\ 0 & \text{in } B_{r_2}. \end{cases} \tag{3.8}$$

Using the fact that $F_*A = A$ in $B_{r_3} \setminus B_{r_2}$ and applying Lemma 3, we derive from (3.6), (3.7), and (3.8) that $U_\delta \in H^1(B_{r_3})$,

$$\operatorname{div}(\mathcal{A}\nabla U_\delta) = 0 \text{ in } B_{r_3} \setminus \partial B_{r_2}, \quad \text{and} \quad [\mathcal{A}\nabla U_\delta \cdot \eta] = -\frac{i\delta}{1-i\delta} \mathcal{A}\nabla u_\delta \cdot \eta|_+ \text{ on } \partial B_{r_2}. \quad (3.9)$$

It is clear from (3.4), (3.6), and (3.8) that

$$\|U_\delta\|_{H^1(B_{r_3})} \leq C\delta^{-1/2} \|f\|_{L^2(\Omega)}^{1/2} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^{1/2}. \quad (3.10)$$

Let $w_\delta \in H_0^1(B_{r_3})$ be the unique solution to

$$\operatorname{div}(\mathcal{A}\nabla w_\delta) = 0 \text{ in } B_{r_3} \setminus \partial B_{r_2} \quad \text{and} \quad [\mathcal{A}\nabla w_\delta \cdot \eta] = -\frac{i\delta}{1-i\delta} \mathcal{A}\nabla u_\delta \cdot \eta|_+ \text{ on } \partial B_{r_2}. \quad (3.11)$$

Then

$$\|w_\delta\|_{H^1(B_{r_3})} \leq C\delta \|A\nabla u_\delta \cdot \eta|_+\|_{H^{-1/2}(\partial B_{r_2})}. \quad (3.12)$$

Since

$$\|A\nabla u_\delta \cdot \eta|_+\|_{H^{-1/2}(\partial B_{r_2})} \leq C\|u_\delta\|_{H^1(\Omega)},$$

it follows from (3.4) and (3.12) that

$$\|w_\delta\|_{H^1(B_{r_3})} \leq C\delta^{1/2} \|f\|_{L^2(\Omega)}^{1/2} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^{1/2}. \quad (3.13)$$

Define

$$V_\delta = U_\delta - w_\delta \text{ in } B_{r_3}. \quad (3.14)$$

Then $V_\delta \in H^1(B_{r_3})$ is a solution to

$$\operatorname{div}(\mathcal{A}\nabla V_\delta) = 0 \text{ in } B_{r_3}. \quad (3.15)$$

Using (3.2), we obtain

$$\|V_\delta\|_{L^2(B_{4r_2})} \leq C\|V_\delta\|_{L^2(B_{r_2})}^\alpha \|V_\delta\|_{L^2(B_{r_3})}^{1-\alpha}. \quad (3.16)$$

From (3.8) and (3.14), we have

$$V_\delta = -w_\delta \text{ in } B_{r_2}.$$

We derive from (3.13) that

$$\|V_\delta\|_{L^2(B_{r_2})} \leq C\delta^{1/2} \|f\|_{L^2(\Omega)}^{1/2} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^{1/2}. \quad (3.17)$$

On the other hand, from (3.10), (3.13), and (3.14), we have

$$\|V_\delta\|_{L^2(B_{r_3})} \leq C\delta^{-1/2} \|f\|_{L^2(\Omega)}^{1/2} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^{1/2}. \quad (3.18)$$

A combination of (3.16), (3.17), and (3.18) yields

$$\|V_\delta\|_{L^2(B_{4r_2})}^2 \leq C\delta^{(2\alpha-1)} \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}.$$

Since $\Delta V_\delta = 0$ in $B_{4r_2} \setminus B_{2r_2}$, it follows that

$$\|V_\delta\|_{H^{1/2}(\partial B_{3r_2})}^2 + \|\partial_r V_\delta\|_{H^{-1/2}(\partial B_{3r_2})}^2 \leq C\delta^{(2\alpha-1)} \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}. \quad (3.19)$$

A combination of (3.8), (3.13), (3.14), and (3.19) implies

$$\|u_\delta - u_{1,\delta}\|_{H^{1/2}(\partial B_{3r_2})}^2 + \|\partial_r u_\delta - \partial_r u_{1,\delta}\|_{H^{-1/2}(\partial B_{3r_2})}^2 \leq C\delta^{2\beta} \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}, \quad (3.20)$$

where, by (3.1),

$$\beta := (2\alpha - 1)/2 = 1/6 > 0. \quad (3.21)$$

As in [11], let $u_{2,\delta}$ be the reflection of $u_{1,\delta}$ through ∂B_{r_3} by G , i.e.,

$$u_{2,\delta} = u_{1,\delta} \circ G^{-1} \text{ in } B_{r_3}.$$

We have

$$\Delta u_{1,\delta} = 0 \text{ in } B_{r_3} \setminus B_{3r_2} \quad \text{and} \quad \Delta u_{2,\delta} = 0 \text{ in } B_{r_3}, \quad (3.22)$$

by (1.11).

We next consider the case $d = 2$ and the case $d = 3$ separately.

Case 1: $d = 2$. From (3.22), one can represent $u_{1,\delta}$ and $u_{2,\delta}$ as follows

$$u_{1,\delta} = c_0 + d_0 \ln r + \sum_{n=1}^{\infty} \sum_{\pm} (c_{n,\pm} r^n + d_{n,\pm} r^{-n}) e^{\pm in\theta} \text{ in } B_{r_3} \setminus B_{3r_2}, \quad (3.23)$$

and

$$u_{2,\delta} = e_0 + \sum_{n=1}^{\infty} \sum_{\pm} e_{n,\pm} r^n e^{\pm in\theta} \text{ in } B_{r_3}, \quad (3.24)$$

for $c_0, d_0, e_0, c_{n,\pm}, d_{n,\pm}, e_{n,\pm} \in \mathbb{C}$ ($n \geq 1$). We derive from (3.4) that

$$|e_0|^2 + \sum_{n=1}^{\infty} \sum_{\pm} n |e_{n,\pm}|^2 r_3^{2n} \leq C \delta^{-1} \|f\|_{L^2(\Omega)} \|u_{\delta}\|_{L^2(\Omega \setminus B_{r_3})}. \quad (3.25)$$

Using the fact that $G_* F_* A = I$ and applying Lemma 3, we have

$$u_{1,\delta} = u_{2,\delta} \text{ on } \partial B_{r_3} \quad \text{and} \quad (1 - i\delta) \partial_{\eta} u_{1,\delta} \Big|_{-} = \partial_{\eta} u_{2,\delta} \text{ on } \partial B_{r_3}. \quad (3.26)$$

A combination of (3.23), (3.24), and (3.26) yields

$$c_{n,\pm} r_3^n + d_{n,\pm} r_3^{-n} = e_{n,\pm} r_3^n, \quad c_{n,\pm} r_3^n - d_{n,\pm} r_3^{-n} = \frac{1}{1 - i\delta} e_{n,\pm} r_3^n \text{ for } n \geq 1, \quad (3.27)$$

and

$$c_0 = e_0, \quad d_0 = 0. \quad (3.28)$$

We derive from (3.27) that

$$c_{n,\pm} = \frac{2 - i\delta}{2(1 - i\delta)} e_{n,\pm} \quad \text{and} \quad d_{n,\pm} = \frac{-i\delta}{2(1 - i\delta)} r_3^{2n} e_{n,\pm} \quad \text{for } n \geq 1. \quad (3.29)$$

From (3.28) and (3.29), we obtain

$$u_{1,\delta} - u_{2,\delta} = \sum_{n=1}^{\infty} \sum_{\pm} \frac{i\delta}{2(1 - i\delta)} \left(r^n - \frac{r_3^{2n}}{r^n} \right) e_{n,\pm} e^{\pm in\theta}. \quad (3.30)$$

We now introduce the technique of removing localized singularity. Set

$$\hat{u}_{\delta} := \sum_{n=1}^{\infty} \sum_{\pm} \frac{-i\delta}{2(1 - i\delta)} e_{n,\pm} \frac{r_3^{2n}}{r^n} e^{\pm in\theta} \text{ in } \mathbb{R}^2 \setminus B_{3r_2}. \quad (3.31)$$

Define³

³ We remove \hat{u}_{δ} from u_{δ} in $B_{r_3} \setminus B_{3r_2}$. Function \hat{u}_{δ} contains high modes and creates a trouble for estimating $u_{\delta} - u_{2,\delta}$ on ∂B_{3r_2} (to obtain an estimate for u_{δ}). However this term can be negligible for large $|x| = r_3$ since r^{-n} is small for large r . This is the reason for the choice of \hat{u}_{δ} and this also explains the terminology: “removing localized singularity”.

$$W_\delta = \begin{cases} u_\delta & \text{in } \Omega \setminus B_{r_3}, \\ u_\delta - \hat{u}_\delta & \text{in } B_{r_3} \setminus B_{3r_2}, \\ u_{2,\delta} & \text{in } B_{3r_2}. \end{cases}$$

It is clear from (3.22) that

$$\Delta W_\delta = f \text{ in } \Omega \setminus (\partial B_{r_3} \cup \partial B_{3r_2}). \quad (3.32)$$

We claim that

$$\|\hat{u}_\delta\|_{H^{1/2}(\partial B_{r_3})} + \|\partial_r \hat{u}_\delta\|_{H^{-1/2}(\partial B_{r_3})} = o(1)\|f\|_{L^2(\Omega)} + o(1)\|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}. \quad (3.33)$$

Here and in what follows we use the standard notation: $o(1)$ denotes a quantity which converges to 0 as $\delta \rightarrow 0$. Indeed, from (3.25), we have

$$\sum_{n=1}^{\infty} \sum_{\pm} n\delta^2 |e_{n,\pm}|^2 r_3^{2n} \leq C\delta \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})} \leq o(1)\|f\|_{L^2}^2 + o(1)\|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^2. \quad (3.34)$$

Hence (3.33) follows. We derive from (3.33) that

$$\|[W_\delta]\|_{H^{1/2}(\partial B_{r_3})} + \|[\partial_r W_\delta]\|_{H^{-1/2}(\partial B_{r_3})} = o(1)\|f\|_{L^2(\Omega)} + o(1)\|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}. \quad (3.35)$$

We next consider the transmission conditions for W_δ on ∂B_{3r_2} . Since

$$[W_\delta] = u_\delta - \hat{u}_\delta - u_{2,\delta} \quad \text{on } \partial B_{3r_2},$$

it follows from (3.30) and (3.31) that

$$[W_\delta] = (u_\delta - u_{1,\delta}) + \sum_{n=1}^{\infty} \sum_{\pm} \frac{i\delta}{2(1-i\delta)} (3r_2)^n e_{n,\pm} e^{in\theta} \quad \text{on } \partial B_{3r_2}.$$

This implies

$$\begin{aligned} C \|[W_\delta]\|_{H^{1/2}(\partial B_{3r_2})}^2 &\leq \|u_\delta - u_{1,\delta}\|_{H^{1/2}(\partial B_{3r_2})}^2 \\ &\quad + \sum_{n=1}^{\infty} \sum_{\pm} \delta^2 n |e_{n,\pm}|^2 r_3^{2n} \frac{(3r_2)^{2n}}{r_3^{2n}}. \end{aligned} \quad (3.36)$$

We derive from (3.20) and (3.25) that

$$C \|[W_\delta]\|_{H^{1/2}(\partial B_{3r_2})}^2 \leq \delta^{2\beta} \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_R)} + \delta \|f\|_{L^2(\Omega)} \|u_\delta\|_{L^2(\Omega \setminus B_{r_3})};$$

which yields

$$\|[W_\delta]\|_{H^{1/2}(\partial B_{3r_2})}^2 = o(1)\|f\|_{L^2(\Omega)}^2 + o(1)\|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^2. \quad (3.37)$$

Similarly,

$$\|[\partial_r W_\delta]\|_{H^{-1/2}(\partial B_{3r_2})}^2 = o(1)\|f\|_{L^2(\Omega)}^2 + o(1)\|u_\delta\|_{L^2(\Omega \setminus B_{r_3})}^2. \quad (3.38)$$

Applying Lemma 2 and using (3.35), (3.37), and (3.38), we have

$$\|W_\delta\|_{H^1(\Omega \setminus (\partial B_{r_3} \cup \partial B_{3r_2}))} \leq C\|f\|_{L^2},$$

for small δ .

Without loss of generality, one may assume that $W_\delta \rightarrow W$ weakly in $H^1(\Omega \setminus (\partial B_{r_3} \cup \partial B_{3r_2}))$ as $\delta \rightarrow 0$. From (3.35), (3.37), and (3.38), we have

$$W \in H_0^1(\Omega) \quad \text{and} \quad \Delta W = f \text{ in } \Omega.$$

Hence $W = u$. Since the limit W is unique, the convergence holds for the whole family (W_δ) as $\delta \rightarrow 0$. The proof is complete in two dimensions.

Case 2: $d = 3$. The proof in the three dimensional case follows similarly as the one in the two dimensional case. We just note here that, in three dimensions, $u_{1,\delta}$ and $u_{2,\delta}$ can be represented, by (3.22), as follows

$$u_{1,\delta} = c_0 + \frac{d_0}{r} + \sum_{n=1}^{\infty} \sum_{k=-n}^n (c_{n,k} r^n + d_{n,k} r^{-n-1}) Y_n^k(x/|x|) \quad \text{in } B_{r_3} \setminus B_{r_2}$$

and

$$u_{2,\delta} = e_0 + \sum_{n=1}^{\infty} \sum_{k=-n}^n e_{n,k} r^n Y_n^k(x/|x|) \quad \text{in } B_{r_3}.$$

The proof is complete. \square

Conflict of interest statement

No conflict.

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